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(54) **QUALITY CONTROL BIOASSAYS FOR  
NUTRICEUTICAL AND MEDICINAL  
PRODUCTS**

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(57) **ABSTRACT**

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Bioassays for detecting the ability of one sample of a food substance, nutritional supplement, therapeutic agent and/or disease preventive agent relative to that of a second sample of such a substance, supplement and/or agent to inhibit, upregulate or otherwise modulate translation initiation, and thereby demonstrate a disease curative and/or preventive effect in a human and/or animal that consumes a such substance, supplement and/or agent or to whom a such substance, supplement and/or agent is administered are provided.

**Related U.S. Application Data**

(63) Continuation of application No. 13/264,086, filed on Feb. 14, 2012, now Pat. No. 8,999,646, filed as application No. PCT/US2010/031393 on Apr. 16, 2010.

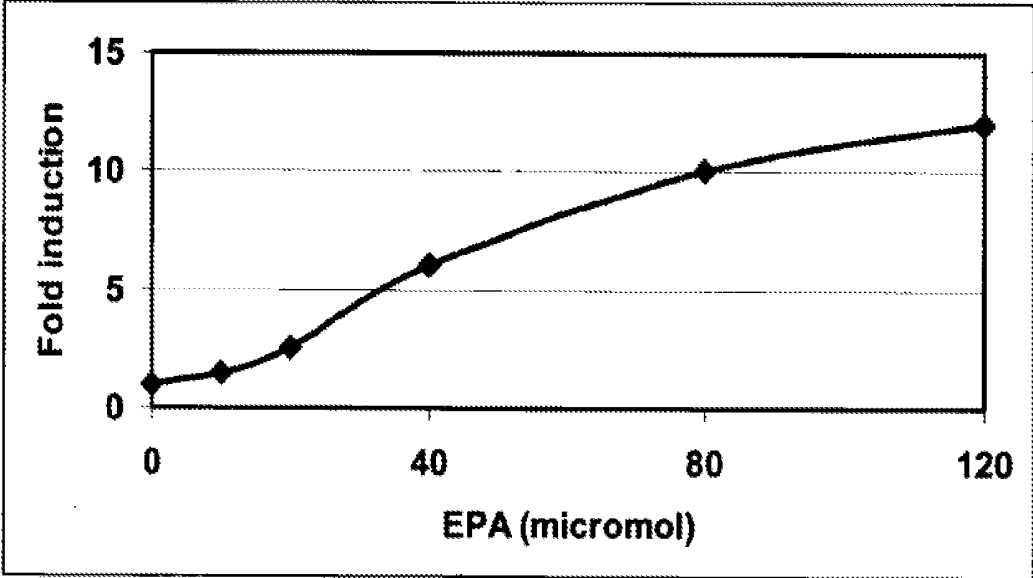


Figure 1

## QUALITY CONTROL BIOASSAYS FOR NUTRICEUTICAL AND MEDICINAL PRODUCTS

**[0001]** This application claims priority from U.S. provisional patent application No. 61/170,330, filed Apr. 17, 2009, which is hereby incorporated herein by reference in its entirety for all purposes.

### STATEMENT OF GOVERNMENT INTERESTS

**[0002]** The invention was made with Government support under R01CA078411 awarded by the National Institutes of Health. The Government has certain rights in the invention.

### FIELD

**[0003]** Embodiments of the present invention relate in general to the assay of food, nutraceutical and medicinal products for properties beneficial to human or animal health. Embodiments of the present invention further include methods for the manufacture of food, nutraceutical and medicinal products that can, as quantitatively determined by improved assay methods, modulate levels of certain nucleic acid or protein biomarkers associated with, or in a manner indicative of, disease prevention or cure.

### BACKGROUND

**[0004]** Messenger RNA (mRNA) translation initiation plays a critical role in the regulation of cell growth and malignant transformation because expression of most oncogenic and cell growth regulatory proteins is translationally regulated (Flynn et al., 1996, *Cancer Surv.* 27:293; Sonenberg et al., 1998, *Curr. Opin. Cell Biol.* 10:268). For this reason, translation initiation is a tightly regulated cellular process. Failure in negative regulation of translation initiation may lead to the induction, onset and progression of cancer (Donze et al., 1995, *Embo J.* 14: 3828; Rosenwald, 1996, *Bloessays* 18: 243-50; De Benedetti et al., 2004, *Oncogene* 23: 3189-99; and Rosenwald, 2004, *Oncogene* 23:3230). Inhibition of poorly-regulated translation initiation also can cause reversion of transformed phenotypes (Jiang et al., 2003, *Cancer Cell Int.* 3:2; Graff et al., 1995, *Int. J. Cancer* 60:255). The eIF2'GTP'Met-tRNA<sub>i</sub> complex (also known as the ternary complex) is a key positive regulator of translation initiation. Limiting its availability curtails initiation of new rounds of protein translation. While translation of many oncogenic proteins and other cell growth factors relies heavily on the ternary complex, the same is not true of housekeeping genes, for which reason food, nutraceutical and medicinal products that help to limit the amount, availability or activity of the ternary complex potentially offer a safe means of preventing and treating disease. In addition, expression of certain tumor suppressors and pro-apoptotic genes and/or proteins actually increases in the presence of inhibitors of the ternary complex or, more generally, of translation initiation. Reduced translation of oncogenic proteins, especially combined with up-regulation of tumor suppressors and pro-apoptotic genes, tends overall to prevent and/or repress the malignant phenotype.

**[0005]** Eicosapentaenoic acid (EPA), an n-3 polyunsaturated fatty acid (n-3 PUFA), is found in large quantities in oil derived from fish, particularly those of wild populations native to cold oceanic waters. Farmed fish typically contain far lower levels of n-3 PUFAs than do wild fish. It has been

observed that when marine fish oil is administered to human prostate cancer patients, eIF2 $\alpha$  is phosphorylated, suggesting that the availability of functional eIF2 to the ternary complex has been reduced, in accordance with the findings using EPA and synthetic inhibitors of the ternary complex in animal models or cell-based experimental systems. Accordingly, dietary supplements that contain translation initiation inhibitors represent attractive commercial products for treatment and/or prevention of cancer and/or proliferative diseases in which abnormal cell proliferation is a characteristic pathological abnormality. Such dietary supplements can also act as translation initiation regulators, and represent attractive commercial products for treatment and/or prevention of metabolic diseases such as obesity and diabetes.

**[0006]** Fish oil from a variety of sources is widely available to consumers as a food product or nutritional supplement. The oil, or oil-derived fractions or components, contained in different production lots, batches, samples or doses of a product may vary in quality or potency, depending on their sources (e.g., climates, fish species or growth conditions, suppliers) or processing conditions. The same even may be true of the contents of a single lot, batch, sample or dose of product. Other food, nutraceutical or medicinal products that contain natural or synthetic inhibitors of translation initiation can vary in quality or potency for similar reasons.

**[0007]** There is a need for quality control and/or assurance with respect to a product's physiological or medicinal effects on potential consumers.

### SUMMARY

**[0008]** Dietary supplements with therapeutic/preventive effects for human diseases represent a fast growing, multibillion dollar industry worldwide. However, a major unresolved problem in this industry is the lack of quality control of products that are extracted from natural sources to assure a specific biological activity and potency, a homogeneity in biological activity among different preparations extracted/produced from the same plant/animal source, and a comparable potency among the preparations extracted from the same plant or animal species but originating from different geographical regions and/or industry sources.

**[0009]** Inhibitors, upregulators or other modulators of translation initiation have broad-spectrum anti-cancer, anti-cell proliferation effects as well as broad-spectrum effects on energy balance. Nutraceuticals containing inhibitors, upregulators or other modulators of translation initiation, including but not limited to fish oil preparations, could be used for prevention of human diseases characterized by abnormal cell proliferation, including cancer. However, the current absence of bioassays to determine the biological activity of nutraceuticals of this kind makes it impossible to control their quality, potency and/or homogeneity among different brands or sources or among different batches or products from a single brand or source.

**[0010]** Accordingly, in certain exemplary embodiments, methods for the quality control and/or assurance of food, nutraceutical and medicinal products with respect to such products' ability to modulate mRNA translation initiation, thereby addressing the need to supply accurate information to consumers regarding the potential health benefits of such products are provided.

**[0011]** Translation initiation-specific bioassays that can be used to quantitatively assess the biological activity of compounds, e.g., nutraceuticals, that contain inhibitors, upregula-

tors or modulators of translation initiation are provided. The translation initiation-specific assays provided herein assess the quality (e.g., biological activity), potency and batch homogeneity of nutraceuticals that contain products, e.g., endogenous products or additives, that act as inhibitors, upregulators or other modulators of translation initiation.

**[0012]** These assay methods offer accurate and rapid means of determining the extent to which a given sample of a food, nutraceutical or medicinal product can modulate translation initiation, and thereby benefit a human or animal that consumes such product or to whom such product is administered. These assay methods generally permit a sample of such a product to be tested for its ability to inhibit mRNA translation initiation. Exemplary assays described herein enable detection of a sample's ability to inhibit formation, availability or activity of the ternary complex, whether through phosphorylation of eIF2 $\alpha$  or otherwise.

**[0013]** In certain exemplary embodiments, a sample of a product may be tested for its ability to upregulate translation of certain mRNA transcripts. Upregulation of translation of such transcripts may indicate the presence, level, availability and/or activity of EPA or other 3-n PUFAs contained in such sample. In certain embodiments, a sample's ability to increase translation of certain mRNA transcripts whose 5' untranslated regions (5' UTRs) contain two or more open reading frames (ORFs) may be detected. In certain embodiments, a sample's ability to increase translation of one or more of ATF-4, BRCA1 mRNA, CD59, TCTP and GCN4 may be tested as a measure of such sample's potency and/or ability to confer health benefits on a human or animal that consumes the corresponding product or to whom the corresponding product is administered. Such assays may detect increased amounts, availability or activities of proteins made as a result of upregulated translation of these mRNAs. The extent to which translation of marker proteins is increased, upregulated or otherwise modulated may be determined by comparison of test results with controls. Without wishing to be bound by any particular theory, such increased translation may be facilitated by phosphorylation of eIF2 $\alpha$  and/or inhibition of the ternary complex.

**[0014]** The invention enables a sample of a food, nutraceutical or medicinal product to be assayed for beneficial activities by detecting nucleic acid products of genes whose transcription is increased, upregulated or otherwise modulated in the presence of EPA or other 3-n PUFAs contained in such sample.

**[0015]** In certain embodiments, the invention provides for the detection of gene transcripts that are increased, upregulated or otherwise modulated in the presence of EPA, other 3-n PUFAs or other beneficial agents. Such transcripts may include, in non-limiting fashion, those that encode ATF-4, BiP, CHOP, Xbp-1 and amino acid synthetases. Certain embodiments of the invention provide for detection of mRNA transcripts that encode such proteins, such as through reverse transcription, nucleic acid amplification (e.g., PCR or isothermal amplification methods known in the art) or nucleic acid hybridization methods. Detection of increased, upregulated or otherwise modulated gene transcription also may be performed using reporter gene assays, e.g., such that the promoter of the gene of interest is operably linked to a reporter gene prior to contact with the test or control sample in a system that permits DNA transcription to occur. The extent to which transcription is increased, upregulated or otherwise modulated is determined through comparison of transcription

levels or the reporter gene activity observed in the test sample with those observed for an external or internal (e.g. dual-reporter) standard or control.

**[0016]** Certain exemplary embodiments provide for the assay of food, nutraceutical and medicinal products by detecting proteins encoded by gene transcripts that are increased, upregulated or otherwise modulated in the presence of EPA, other 3-n PUFAs or other beneficial agents. Such proteins may include, in non-limiting fashion, ATF-4, BiP, CHOP, Xbp-1 and amino acid synthetases. Levels of such proteins observed in the presence of a test sample may be compared to those observed in the presence of a standard or other control sample to determine the potency of the test sample.

**[0017]** In another embodiment, a method of determining batch homogeneity of a plurality of individual compositions comprising the steps of detecting translation initiation inhibition, upregulation or other modulation activity of at least one of the individual compositions, and comparing the translation initiation inhibition, upregulation or other modulation activity of the at least one of the individual compositions to a standard to determine batch homogeneity is provided.

**[0018]** Accordingly, in certain exemplary embodiments, a method for determining whether a substance (e.g., a substance derived from fish oil and/or a substance containing EPA) has one or more beneficial biological, nutraceutical or medicinal properties is provided. The method includes the steps of providing a second sample including a second mRNA sequence having at least two open reading frames at its 5' UTR, wherein the second mRNA sequence encodes a second biomarker protein, contacting the second sample with the substance, and detecting translation levels of the first and second biomarker proteins, wherein the translation level of the second biomarker protein is greater than the translation level of the first biomarker if the substance has one or more beneficial biological, nutraceutical or medicinal properties. In certain aspects, the first sample is contacted with a standard substance or a control substance. In other aspects, the first mRNA and the second mRNA have the same sequence. In other aspects, the first biomarker protein and the second biomarker protein are the same protein. In certain aspects, the first and second biomarker proteins are selected from the group consisting of breast cancer susceptibility gene 1 (BRCA1) transcript b product, activating transcription factor 4 (ATF-4), translationally controlled tumor protein (TCTP), protectin (CD59) and general control nonderepressible 4 (GCN4). In other aspects, the step of detecting translation levels is performed by one or more of Western analysis, ELISA and immunocytochemistry. In certain aspects, the sample is an animal, a cell or a cell free system (e.g., a rabbit reticulocyte lysate system) in which DNA transcription and/or mRNA translation, as appropriate, can occur. Cells may be derived from humans, other mammals (including without limitation mice and rats), chickens or other birds or yeast. Cell Free systems include rabbit reticulocyte, wheat-germ, or mammalian cell cytoplasmic extracts such as HeLa S100 extracts. In certain aspects, the 5' UTR is naturally occurring or synthetic. In other aspects, the 5' UTR is operably linked to a coding sequence that encodes a reporter protein. In certain aspects, translation levels are determined by assaying one or more activities of the reporter protein. In other aspects, the translation level of the second biomarker protein is at least 150% of the translation level of the first biomarker. In certain aspects, the substance is being assayed for an n-3 polyunsaturated fatty acid (PUFA) (e.g., an eicosapentaenoic acid

(EPA)) activity. In certain aspects, the substance is a food product sample, a nutraceutical product sample or a pharmaceutical product sample.

**[0019]** In certain exemplary embodiments, a method for determining whether a substance (e.g., a substance derived from fish oil and/or a substance containing EPA) has one or more beneficial biological, nutraceutical or medicinal properties is provided. The method includes the steps of providing a sample including an mRNA sequence having at least two open reading frames at its 5' UTR, wherein the mRNA sequence encodes a biomarker protein, contacting the sample with the substance, detecting a translation level of the biomarker protein, and detecting a translation level of an internal standard protein, wherein the translation level of the biomarker protein is greater than the translation level of the internal standard protein if the substance has one or more beneficial biological, nutraceutical or medicinal properties. In certain aspects, the internal standard protein is encoded by an mRNA sequence having one or no open reading frames at its 5' UTR. In other aspects, the biomarker protein is selected from the group consisting of BRCA1 transcript b product, ATF-4, TCTP, CD59 and GCN4. In other aspects, the step of detecting the translation level is performed by one or more of Western analysis, ELISA and immunocytochemistry. In yet other aspects, the 5' UTR is naturally occurring or synthetic. In other aspects, the 5' UTR is operably linked to a coding sequence that encodes a reporter protein. In other aspects, translation levels are determined by assaying one or more activities of the reporter protein. In other aspects, the translation level of the biomarker protein is at least 150% of the translation level of the internal standard. In certain aspects, the substance is being assayed for an n-3 PUFA (e.g., EPA) activity. In certain aspects, the substance is a food product sample, a nutraceutical product sample or a pharmaceutical product sample.

**[0020]** In certain exemplary embodiments, a method for detecting whether a substance (e.g., a substance derived from fish oil and/or a substance containing EPA) mediates transcriptional upregulation of a biomarker gene is provided. The method includes the steps of providing a first test system including a mRNA sequence having a coding region for a first reporter protein operably linked to a first biomarker promoter, providing a second test system including a second mRNA sequence having a coding region for a second reporter protein operably linked to a second biomarker promoter, contacting the second test system with the substance, and detecting transcription levels of the first and second mRNA sequences, wherein the transcription level of the second mRNA sequence is greater than the transcription level of the first mRNA sequence if the substance mediates transcriptional upregulation of the biomarker gene. In certain aspects, the first and second test systems are an animal assay, a cell based assay or a cell free assay. In certain aspects, the first test system is contacted with a standard substance or a control substance. In other aspects the first mRNA and the second mRNA have the same sequence and/or the first reporter protein and the second reporter protein are the same protein. In certain aspects, transcription levels are determined by real time PCR (e.g., in vitro or in vivo (e.g., in cells)). In certain aspects, transcriptional activity is determined by detecting one or more reporter protein activities. In other aspects, the biomarker gene encodes a pro-apoptotic protein or a tumor suppressor protein (e.g., CHOP, BiP, ATF-4, Xbp-1, an amino acid synthetase or the like). In certain aspects, transcription of the second mRNA

sequence is at least 150% of the transcription level of the first mRNA sequence. In certain aspects, the substance is being assayed for an n-3 PUFA (e.g., EPA) activity. In certain aspects, the substance is a food product sample, a nutraceutical product sample or a pharmaceutical product sample.

**[0021]** In certain exemplary embodiments, a method for manufacturing a quality controlled fish oil product is provided. The method includes the steps of providing a first sample including a first mRNA sequence having at least two open reading frames at the 5' untranslated region of the first mRNA sequence, wherein the first mRNA sequence encodes a first biomarker protein, providing a second sample including a second mRNA sequence having at least two open reading frames at the 5' untranslated region (UTR) of the second mRNA sequence, wherein the second mRNA sequence encodes a second biomarker protein, contacting the second sample with the fish oil product, detecting translation levels of the first and second biomarker proteins, wherein the translation level of the second biomarker protein is greater than the translation level of the first biomarker if the fish oil product can provide one or more beneficial biological, nutraceutical or medicinal properties to a subject, and selecting a fish oil product that has a greater translation level as a quality controlled fish oil product. In certain aspects, the first sample is contacted with a standard substance or a control substance. In other aspects, the first mRNA and the second mRNA have the same sequence. In yet other aspects, the first biomarker protein and the second biomarker protein are the same protein (e.g., BRCA1 transcript b product, ATF-4, TCTP, CD59 and GCN4).

**[0022]** In certain exemplary embodiments, a method for manufacturing a quality controlled fish oil product is provided. The method includes the steps of providing a sample including an mRNA sequence having at least two open reading frames at the 5' untranslated region of the mRNA sequence, wherein the mRNA sequence encodes a biomarker protein, contacting the sample with the fish oil product, detecting a translation level of the biomarker protein, detecting a translation level of an internal standard protein, wherein the translation level of the biomarker protein is greater than the translation level of the internal standard protein if the fish oil product can provide one or more beneficial biological, nutraceutical or medicinal properties to a subject, and selecting a fish oil product that has a greater translation level as a quality controlled fish oil product. In certain aspects, the biomarker protein is selected from the group consisting of BRCA1 transcript b product, ATF-4, TCTP, CD59 and GCN4.

**[0023]** In certain exemplary embodiments, a method for manufacturing a quality controlled fish oil product is provided. The method includes the steps of providing a first test system including a mRNA sequence having a coding region for a first reporter protein operably linked to a first biomarker promoter, providing a second test system including a second mRNA sequence having a coding region for a second reporter protein operably linked to a second biomarker promoter, contacting the second test system with the fish oil product, detecting transcription levels of the first and second mRNA sequences, wherein the transcription level of the second mRNA sequence is greater than the transcription level of the first mRNA sequence if the fish oil product can provide one or more beneficial biological, nutraceutical or medicinal properties to a subject, and selecting a fish oil product that has a greater translation level as a quality controlled fish oil prod-

uct. In certain aspects, the biomarker promoter is selected from the group consisting of CHOP promoter, BiP promoter, ATF-4 promoter, Xbp-1 promoter or an amino acid synthetase promoter, and the like or other promoters similarly induced by inhibition of translation initiation.

**[0024]** In certain further exemplary embodiments of the present invention, methods are provided for the detection of transcripts in a sample that increase as a result of omega-3 fatty acid activity using nucleic acid detection methods, such as real-time PCR. Methods are further provided for the detection of transcripts in a sample that increase as a result of omega-3 fatty acid activity through detection of activity of reporter proteins encoded by genes under the influence of promoters that are transcriptionally upregulated by omega-3 fatty acids. Methods are further provided for the detection of increased translation of transcripts that have two or more open reading frames in their 5'UTRs. Methods are still further provided for the manufacture of quality-controlled food, nutraceutical and medicinal products using the method of detecting transcripts in a sample that increase as a result of omega-3 fatty acid activity.

#### BRIEF DESCRIPTION OF THE DRAWING

**[0025]** The foregoing and other features and advantages of the present invention will be more fully understood from the following detailed description of illustrative embodiments taken in conjunction with the accompanying drawing in which:

**[0026]** FIG. 1 graphically depicts results obtained when a CHOP-encoding mRNA transcript was amplified.

#### DETAILED DESCRIPTION

**[0027]** The paradoxical observation has been made that some mRNAs are translated more efficiently when the ternary complex is scarce than when it is abundant (Aktas et al., 2004, *Journal of Nutrition* 134(9): 2487S-2491S; Halperin and Aktas, international patent application publication no. WO2008/008333). These include the mRNA encoding for the transcription factor ATF-4, which transcriptionally up-regulates many of the ER stress response genes such as pro-apoptotic C/EBP-homologous protein (CHOP) or the ER chaperone binding protein (BiP) (Harding et al., 2000, *Mol. Cell* 6:1099). An isoform of the BRCA1 mRNA, designated mRNAb, also is more efficiently translated when the ternary complex is scarce. It was observed that the n-3 polyunsaturated fatty acid eicosapentaenoic acid (EPA) up-regulated CHOP (GenBank accession number S40706) and Glucose regulated protein 78 (BiP, RefSeq accession number NM\_005347) in cancer cells and in tumors excised from either animal cancer models or human patients, and that it increased the translation of BRCA1 mRNAb in breast cancer cell lines and animal tumors.

**[0028]** Each of BRCA1 mRNAb and the mRNA that encodes Activating Transcription Factor 4 (ATF-4, RefSeq accession number NM\_001675) contains multiple open reading frames (ORFs) in its 5' untranslated region (5' UTR). Without intending to be bound by scientific theory, additional mRNAs that contain two or more ORFs in their respective 5' UTRs have now been identified. Such mRNAs include, without limitation, the mRNA transcripts of the genes that encode translationally controlled tumor protein (TCTP, RefSeq accession number NM\_003295.2), protectin (CD59) and general control nondepressible 4 (GCN4, RefSeq accession

NC\_00113). According to certain exemplary embodiments, a sample of a food, nutraceutical or medicinal product may be assayed for its ability to increase the presence, level or biological activity of a protein encoded by an mRNA transcript having multiple ORFs in its 5' UTR. In particular, such a sample may be assayed for its ability to mediate an increase in the presence, level or activity of one or more of BRCA1, ATF-4, TCTP, CD59 and GCN4.

**[0029]** Increased transcription of certain genes also occurs in the presence of inhibitors of the ternary complex. In addition to genes that encode ATF-4, BiP and CHOP, genes that exhibit increased transcription in the presence of inhibitors of translation initiation are those that encode X-box binding protein 1 (Xbp-1, RefSeq accession number NM\_001079539.1) and amino acid synthetases. Such genes provide appropriate test biomarkers for translation initiation inhibitors assayed according to the invention, such as those found in fish oil. These gene transcripts can be detected, and their levels quantitated, before and after exposure of test animals, cells or cell-free systems to the test food, nutraceutical or medicinal product sample by methods known in the art, and the levels of the transcripts compared to determine the extent to which the test sample facilitated transcription of the marker gene. Alternatively, the levels of the test biomarker transcripts can be compared to those of control transcripts (e.g., of housekeeping genes) or to transcripts isolated from animals, cells or cell-free systems exposed to standards or controls of known biological activity. Similarly, the protein products of the biomarker transcripts can be detected and quantified, and their levels compared to those of untreated animals, cells or cell-free systems or to animals, cells or systems exposed to a standard or control of known biological activity.

**[0030]** The term "nutraceutical," as used herein, is a combination of "nutritional" and "pharmaceutical," and refers to an ingestible substance that has one or more beneficial effects on an organism such as a human. The term nutraceutical can also refer to one or more compounds which are present in an ingestible substance. Ingestible substances include, but not limited to dietary supplements, foods, beverages and the like. The terms "nutraceutical" and "nutritional supplement" may be used interchangeably. A substance (e.g., a food product, a nutraceutical product or a pharmaceutical) having beneficial biological, nutraceutical or medicinal properties refers to the ability of the substance to provide an individual one or more health benefits as described herein (e.g., in the prevention, reduction and/or cure of one or more diseases and/or disorders described herein).

**[0031]** Nutraceuticals of the present invention include oils derived from fish such as cold water fish, warm water fish, fresh water fish, salt water fish, brackish water fish, wild fish, farm-raised fish and the like, and preparations of fatty acids such as those containing omega-3 fatty acids.

**[0032]** The term "omega-3 fatty acid," as used herein, refers to polyunsaturated fatty acids such as those found in oil from oily fish such as mackerel, salmon, sardines and the like, or vegetable sources such as the seeds of chia, perilla, flax, walnut, purslane, lionberry, seabuckthorn, hemp, and the like, and fruits from plants such as the acai palm. Omega-3 fatty acids include, but are not limited to,  $\alpha$ -linoleic acid (ALA), eicosapentaenoic acid (EPA), docosahexaenoic acid (DHA) and the like.

**[0033]** Certain aspects of the invention are directed to methods of determining the potency of a composition to

inhibit, upregulate or modulate translation initiation or gene transcription. The term "potency," as used herein, is intended to include, but is not limited to, the effectiveness of a compound, e.g., a nutraceutical, to inhibit, upregulate or otherwise modulate translation initiation or gene transcription. The potency of a composition can be defined as the ability of the composition to inhibit, upregulate or otherwise modulate translation initiation or gene transcription relative to a standard or control.

**[0034]** A standard or control of the present invention is a compound or composition having a translation initiation- or transcription inhibition, upregulation or modulation activity as determined by one or more of the bioassays described herein. Standards may be obtained from a variety of sources such as the sources of omega-3 fatty acids or other agents described herein. Standards may be synthesized in the laboratory or obtained from commercial sources. A standard may be diluted or concentrated to decrease or increase its translation inhibition, upregulation or modulation activity, respectively. Alternatively, a standard or control may be internal to the test system, e.g., a gene, gene promoter, mRNA transcript or protein (e.g., a housekeeping gene, promoter, transcript or protein) whose transcription or translation is substantially unaffected by the test substance, e.g.,  $\beta$ -actin, ubiquitin, b-tubulin, GADPH and the like.

**[0035]** In certain aspects, a standard or control is an omega-3 fatty acid, such as eicosapentaenoic acid. The standard or control may be derived from fish oil (e.g., marine fish oil) or flax seed oil.

**[0036]** In other aspects, a standard or control is a biomarker that is substantially insensitive to the effects of the substance whose potency or biological activity is being assayed. As used in this context with respect to transcriptional regulation of a gene or gene promoter, or translational regulation of an mRNA transcript or protein, the term "substantially insensitive" means either wholly unaffected or modulated to significantly lesser extent (e.g., at least 10-fold, 100-fold, 1000-fold or greater than 1000-fold less) by the test substance than is a biomarker for activity of the test substance.

**[0037]** In certain aspects, a test sample is calibrated such that its active components are in the linear range and do not saturate the test system. Methods of calibrating are well known in the art and include simple dilutions, serial dilutions and the like.

**[0038]** The present invention provides assays in which the translation or transcription inhibition, upregulation or modulation activity of a composition is compared to a standard using one or more of the bioassays described herein. A composition may have an activity level that is 0.001%, 0.01%, 0.1%, 1%, 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, 100%, 101%, 102%, 103%, 104%, 105%, 106%, 107%, 108%, 109%, 110%, 115%, 120%, 125%, 130%, 135%, 140%, 145%, 150%, 155%, 160%, 165%, 170%, 175%, 180%, 185%, 190%, 195%, 200%, 250%, 300%, 350%, 400%, 450%, 500%, 550%, 600%, 650%, 700%, 750%, 800%, 850%, 900%, 950%, 1000%, or greater than 1000% of the activity of the standard or control.

**[0039]** In certain aspects, inhibition, upregulation or other modulation of activity with respect to translation initiation or gene transcription is between about 1% and 200%, between about 5% and 195%, between about 10% and 190%, between about 20% and 180%, between about 30% and 170%,

between about 40% and 160%, between about 50% and 150%, between about 60% and 140%, between about 65% and 135%, between about 70% and 130%, between about 75% and 125%, between about 80%, and 120%, between about 85% and 115%, between about 90% and 110%, between about 91% and 109%, between about 92% and 108%, between about 93% and 107%, between about 94% and 106%, between about 95% and 105%, between about 96% and 104%, between about 97% and 103%, between about 98% and 102%, or between about 99% and 101%, of the activity of the standard or control. In other aspects, inhibition, upregulation or other modulation of activity with respect to translation initiation or gene transcription is between about 50% and about 150% of the activity of the standard, between about 80% and about 120% of the activity of the standard, between about 90% and about 110% of the activity of the standard, or between about 95% and about 105% of the activity of the standard or control.

**[0040]** In certain embodiments, a control or standard may have zero activity. Thus, a binary result (i.e., positive or negative) may be obtained for a given activity. In such a case, if precise quantitation of activity is needed, it would be measured on an absolute scale or in comparison to a standard that has at least some activity of a known level.

**[0041]** A nutraceutical or composition including a nutraceutical of the present invention may be diluted or concentrated to decrease or increase its translation or transcription inhibition, upregulation or modulation activity relative to the control/standard, respectively.

**[0042]** The present invention also provides assays in which batch or lot homogeneity of compositions is determined by comparing the relative activity of two or more (e.g., 10, 100, 1000, 10,000 1,000,000 or more) compositions using one or more of the bioassays described herein. As used herein, the terms "batch homogeneity" or "lot homogeneity" are intended to refer, but are not limited to, the relative translation initiation inhibition upregulation or modulation activity, or transcriptional upregulation activity, of two or more compositions in a batch or lot. As used herein, the terms "batch" or "lot" refer, but are not limited to, a group of two or more compositions. A batch or lot includes compositions prepared together or compositions from two or more sources (e.g., geographical, plant, animal, commercial, and/or synthetic sources). As used herein, the term "batch" or "lot" also may refer to a single pool of a composition, from which units of products or test samples are to be drawn or produced, or which will be otherwise further divided or fractionated.

**[0043]** In at least certain examples, the nutraceuticals disclosed herein can be used in the treatment of disorders associated with aberrant cellular proliferation such as cellular proliferative disorders, (e.g., cancer). Treatment of cellular proliferative disorders is intended to include inhibition of proliferation including rapid proliferation. As used herein, the term "cellular proliferative disorder" includes disorders characterized by undesirable or inappropriate proliferation of one or more subset(s) of cells in a multicellular organism. The term "cancer" refers to various types of malignant neoplasms, most of which can invade surrounding tissues, and may metastasize to different sites (see, for example, PDR Medical Dictionary 1st edition, 1995). The terms "neoplasm" and "tumor" refer to an abnormal tissue that grows by cellular proliferation more rapidly than normal and continues to grow after the stimuli that initiated proliferation is removed (see, for example, PDR Medical Dictionary 1st edition, 1995).

Such abnormal tissue shows partial or complete lack of structural organization and functional coordination with the normal tissue which may be either benign (i.e., benign tumor) or malignant (i.e., malignant tumor).

**[0044]** The language “treatment of cellular proliferative disorders” is intended to include the prevention of the induction, onset, establishment or growth of neoplasms in a subject or a reduction in the growth of pre-existing neoplasms in a subject. The language also can describe inhibition of the invasion of neoplastic cells into neighboring tissues or the metastasis of a neoplasm from one site to another. Examples of the types of neoplasms intended to be encompassed by the present invention include but are not limited to those neoplasms associated with cancers of the breast, skin, bone, prostate, ovaries, uterus, cervix, liver, lung, brain, larynx, gallbladder, pancreas, rectum, parathyroid, thyroid, adrenal gland, immune system, neural tissue, head and neck, colon, stomach, bronchi, and/or kidneys.

**[0045]** Cellular proliferative disorders can further include disorders associated with hyperproliferation of vascular smooth muscle cells such as proliferative cardiovascular disorders, e.g., atherosclerosis and restenosis. Cellular proliferation disorders can also include disorders such as proliferative skin disorders. e.g., X-linked ichthyosis, psoriasis, atopic dermatitis, allergic contact dermatitis, epidermolytic hyperkeratosis, and seborrheic dermatitis. Cellular proliferative disorders can further include disorders such as autosomal dominant polycystic kidney disease (ADPKD), mastocytosis, and cellular proliferation disorders caused by infectious agents such as viruses.

**[0046]** In at least certain examples, the nutraceuticals assayed and/or produced according to the methods disclosed herein can be used in the treatment of disorders associated with energy balance, such as metabolic disorders including, but not limited to, diabetes, obesity, glycogen storage diseases, lipid storage disorders, mitochondrial diseases and the like (See also the Worldwide Website: [emedicine.com/ped/GENETICS\\_AND\\_METABOLIC\\_DISEASE.htm](http://emedicine.com/ped/GENETICS_AND_METABOLIC_DISEASE.htm)). In certain aspects, the nutraceuticals assayed and/or produced according to the methods disclosed herein modulate weight gain by interacting with the 5' UTR of the leptin receptor.

**[0047]** Detection methods described herein can be used to detect one or more DNA sequences, RNA sequences, proteins or polypeptides of interest in a biological sample in vitro as well as in vivo. For example, in vitro techniques for detection of mRNA include Northern hybridizations and in situ hybridizations. In vitro techniques for detection of a polypeptide corresponding to a marker of the invention include enzyme linked immunosorbent assays (ELISAs). Western blots, immunoprecipitations and immunofluorescence. In vitro techniques for detection of genomic DNA include Southern hybridizations. Furthermore, in vivo techniques for detection of a protein and/or polypeptide include introducing into a subject a labeled antibody directed against the protein and/or polypeptide. For example, the antibody can be labeled with a radioactive marker whose presence and location in a subject can be detected by standard imaging techniques.

**[0048]** A general principle of detection and/or quantification involves preparing a sample or reaction mixture that may contain one or more DNA sequences, RNA sequence, proteins or polypeptides of interest and a probe under appropriate conditions and for a time sufficient to allow the marker and probe to interact and bind, thus forming a complex that can be

removed and/or detected in the reaction mixture. These assays can be conducted in a variety of ways.

**[0049]** For example, one method to conduct such an assay would involve anchoring the DNA sequence, RNA sequence, protein or polypeptide of interest or a probe onto a solid phase support, also referred to as a substrate, and detecting target DNA sequence, RNA sequence, protein or polypeptide of interest/probe complexes anchored on the solid phase at the end of the reaction. In one embodiment of such a method, a sample which is to be assayed for presence and/or concentration of marker, can be anchored onto a carrier or solid phase support. In another embodiment, the reverse situation is possible, in which the probe can be anchored to a solid phase and a sample from a subject can be allowed to react as an unanchored component of the assay.

**[0050]** There are many established methods for anchoring assay components to a solid phase. These include, without limitation, marker or probe molecules which are immobilized through conjugation of biotin and streptavidin. Such biotinylated assay components can be prepared from biotin-NHS(N-hydroxy-succinimide) using techniques known in the art (e.g., biotinylation kit, Pierce Chemicals, Rockford, Ill.), and immobilized in the wells of streptavidin-coated 96 well plates (Pierce Chemical). In certain embodiments, the surfaces with immobilized assay components can be prepared in advance and stored.

**[0051]** Other suitable carriers or solid phase supports for such assays include any material capable of binding the class of molecule to which the marker or probe belongs. Well known supports or carriers include, but are not limited to, glass, polystyrene, nylon, polypropylene, nylon, polyethylene, dextran, amylases, natural and modified celluloses, polyacrylamides, gabbros, and magnetite.

**[0052]** In order to conduct assays with the above mentioned approaches, the non-immobilized component is added to the solid phase upon which the second component is anchored. After the reaction is complete, uncomplexed components may be removed (e.g., by washing) under conditions such that any complexes formed will remain immobilized upon the solid phase. The detection of DNA sequence, RNA sequence, protein or polypeptide of interest/probe complexes anchored to the solid phase can be accomplished in a number of methods outlined herein.

**[0053]** In certain exemplary embodiments, the probe, when it is the unanchored assay component, can be labeled for the purpose of detection and readout of the assay, either directly or indirectly, with detectable markers which are well-known to one skilled in the art. Examples of detectable markers include various radioactive moieties, enzymes, prosthetic groups, fluorescent markers, luminescent markers, bioluminescent markers, metal particles, protein-protein binding pairs, protein-antibody binding pairs and the like. Examples of fluorescent proteins include, but are not limited to, yellow fluorescent protein (YFP), green fluorescence protein (GFP), cyan fluorescence protein (CFP), umbelliferone, fluorescein, fluorescein isothiocyanate, rhodamine, dichlorotriazinylamine fluorescein, dansyl chloride, phycocerythrin and the like. Examples of bioluminescent markers include, but are not limited to, luciferase (e.g., bacterial, firefly, click beetle and the like), luciferin, acquirin and the like. Examples of enzyme systems having visually detectable signals include, but are not limited to, galactosidases, glucuronidases, phosphatases, peroxidases, cholinesterases and the like. Identifiable markers also include radioactive compounds such as

<sup>125</sup>I, <sup>35</sup>S, <sup>14</sup>C, <sup>3</sup>H or <sup>32</sup>P. Identifiable markers are commercially available from a variety of sources.

**[0054]** Fluorescent labels and their attachment to nucleotides and/or oligonucleotides are described in many reviews, including Haugland, *Handbook of Fluorescent Probes and Research Chemicals*, Ninth Edition (Molecular Probes, Inc., Eugene, 2002); Keller and Manak, *DNA Probes*, 2nd Edition (Stockton Press, New York, 1993); Eckstein, editor, *Oligonucleotides and Analogues: A Practical Approach* (IRL Press, Oxford, 1991); and Wetmur, *Critical Reviews in Biochemistry and Molecular Biology*, 26:227-259 (1991). Particular methodologies applicable to the invention are disclosed in the following sample of references: U.S. Pat. Nos. 4,757,141, 5,151,507 and 5,091,519. In one aspect, one or more fluorescent dyes are used as labels, e.g., as disclosed by U.S. Pat. No. 5,188,934 (4,7-dichlorofluorescein dyes); U.S. Pat. No. 5,366,860 (spectrally resolvable rhodamine dyes); U.S. Pat. No. 5,847,162 (4,7-dichlororhodamine dyes); U.S. Pat. No. 4,318,846 (ether-substituted fluorescein dyes); U.S. Pat. No. 5,800,996 (energy transfer dyes); Lee et al.; U.S. Pat. No. 5,066,580 (xanthine dyes); U.S. Pat. No. 5,688,648 (energy transfer dyes); and the like. Labelling can also be carried out with quantum dots, as disclosed in the following patents and patent publications: U.S. Pat. Nos. 6,322,901, 6,576,291, 6,423,551, 6,251,303, 6,319,426, 6,426,513, 6,444,143, 5,990,479, 6,207,392, 2002/0045045 and 2003/0017264. As used herein, the term "fluorescent label" includes a signaling moiety that conveys information through the fluorescent absorption and/or emission properties of one or more molecules. Such fluorescent properties include fluorescence intensity, fluorescence lifetime, emission spectrum characteristics, energy transfer, and the like.

**[0055]** In another embodiment, determination of the ability of a probe to recognize a marker can be accomplished without labeling either assay component (probe or marker) by utilizing a technology such as real-time Biomolecular Interaction Analysis (BIA) (see, e.g., Sjolander et al. (1991) *Anal. Chem.* 63:2338 2345 and Szabo et al. (1995) *Curr. Opin. Struct. Biol.* 5:699 705). As used herein, "BIA" or "surface plasmon resonance" is a technology for studying biospecific interactions in real time, without labeling any of the interactants (e.g., BIAcore). Changes in the mass at the binding surface (indicative of a binding event) result in alterations of the refractive index of light near the surface (the optical phenomenon of surface plasmon resonance (SPR)), resulting in a detectable signal which can be used as an indication of real-time reactions between biological molecules.

**[0056]** Alternatively, in another embodiment, analogous detection and/or quantification assays can be conducted with one or more DNA sequences, RNA sequences, proteins or polypeptides of interest and probe as solutes in a liquid phase. In such an assay, the complexed DNA sequence, RNA sequence, protein or polypeptide of interest and probe are separated from uncomplexed components by any of a number of standard techniques, including but not limited to: differential centrifugation, chromatography, electrophoresis and immunoprecipitation. In differential centrifugation, DNA sequence, RNA sequence, protein or polypeptide of interest/probe complexes may be separated from uncomplexed assay components through a series of centrifugal steps, due to the different sedimentation equilibria of complexes based on their different sizes and densities (see, for example, Rivas and Minion (1993) *Trends Biochem S.* 18:284). Standard chromatographic techniques may also be utilized to separate com-

plexed molecules from uncomplexed ones. For example, gel filtration chromatography separates molecules based on size, and through the utilization of an appropriate gel filtration resin in a column format, for example, the relatively larger complex may be separated from the relatively smaller uncomplexed components. Similarly, the relatively different charge properties of the DNA sequence, RNA sequence, protein or polypeptide of interest/probe complex as compared to the uncomplexed components may be exploited to differentiate the complex from uncomplexed components, for example through the utilization of ion-exchange chromatography resins. Such resins and chromatographic techniques are well known to one skilled in the art (see, e.g., Heegaard (1998) *J. Mfol. Recognit.* 11:141; Hage and Tweed (1997) *J. Chromatogr. B. Blomed. Sci. Appl.* 12:499). Gel electrophoresis may also be employed to separate complexed components from unbound components (see, e.g., Ausubel et al., ed., *Current Protocols in Molecular Biology*, John Wiley & Sons, New York, 1987 1999). In this technique, protein or nucleic acid complexes are separated based on size or charge, for example. In order to maintain the binding interaction during the electrophoretic process, non-denaturing gel matrix materials and conditions in the absence of reducing agent are typically preferred. Appropriate conditions to the particular assay and components thereof will be well known to one skilled in the art.

**[0057]** In certain exemplary embodiments, the level of an mRNA sequence of interest can be determined either by in situ and/or by in vitro formats in a biological sample using methods known in the art. Many expression detection methods use isolated RNA. For in vitro methods, any RNA isolation technique that does not select against the isolation of mRNA can be utilized for the purification of RNA from blood cells (see, e.g., Ausubel et al, ed., *Current Protocols in Molecular Biology*, John Wiley & Sons, New York 1987 1999). Additionally, large numbers of cells and/or samples can readily be processed using techniques well known to those of skill in the art, such as, for example, the single-step RNA isolation process of Chomezynski (1989, U.S. Pat. No. 4,843,155).

**[0058]** Isolated mRNA can be used in hybridization or amplification assays that include, but are not limited to. Southern or Northern analyses, polymerase chain reaction analyses and probe arrays. In certain exemplary embodiments, a diagnostic method for the detection of mRNA levels involves contacting the isolated mRNA with a nucleic acid molecule (probe) that can hybridize to the mRNA encoded by the gene being detected. The nucleic acid probe can be, for example, a full-length cDNA, or a portion thereof, such as an oligonucleotide of at least 7, 15, 30, 50, 100, 250 or 500 nucleotides in length and sufficient to specifically hybridize under stringent conditions to an mRNA or genomic DNA encoding a marker of the present invention. Other suitable probes for use in the diagnostic assays of the invention are described herein.

**[0059]** In one format, the mRNA is immobilized on a solid surface and contacted with a probe, for example by running the isolated mRNA on an agarose gel and transferring the mRNA from the gel to a membrane, such as nitrocellulose. In an alternative format, the probe(s) are immobilized on a solid surface and the mRNA is contacted with the probe(s), for example, in a gene chip array. A skilled artisan can readily

adapt known mRNA detection methods for use in detecting the level of mRNA encoded by the markers of the present invention.

**[0060]** An alternative method for determining the level of mRNA corresponding to a marker of the present invention in a sample involves the process of nucleic acid amplification, e.g., by rtPCR (the experimental embodiment set forth in U.S. Pat. Nos. 4,683,195 and 4,683,202), COLD-PCR (Li et al. (2008) *Nat. Med.* 14:579), ligase chain reaction (Barany, 1991, *Proc. Natl. Acad. Sci. USA*, 88:189), self sustained sequence replication (Guatelli et al., 1990. *Proc. Natl. Acad. Sci. USA* 87:1874), transcriptional amplification system (Kwoh et al. (1989) *Proc. Natl. Acad. Sci. USA*. 86:1173), Q-Beta Replicase (Lizardi et al. (1988) *Bio/Technology* 6:1197), rolling circle replication (U.S. Pat. No. 5,854,033) or any other nucleic acid amplification method, followed by the detection of the amplified molecules using techniques well known to those of skill in the art. These detection schemes are especially useful for the detection of nucleic acid molecules if such molecules are present in very low numbers. As used herein, amplification primers are defined as being a pair of nucleic acid molecules that can anneal to 5' or 3' regions of a gene (plus and minus strands, respectively, or vice-versa) and contain a short region in between. In general, amplification primers are from about 10 to 30 nucleotides in length and flank a region from about 50 to 200 nucleotides in length. Under appropriate conditions and with appropriate reagents, such primers permit the amplification of a nucleic acid molecule comprising the nucleotide sequence flanked by the primers.

**[0061]** For in situ methods. mRNA does not need to be isolated from the sample (e.g., a bodily fluid (e.g., blood cells)) prior to detection. In such methods, a cell or tissue sample is prepared/processed using known histological methods. The sample is then immobilized on a support, typically a glass slide, and then contacted with a probe that can hybridize to mRNA that encodes the marker.

**[0062]** As an alternative to making determinations based on the absolute expression level of the DNA sequence, RNA sequence, protein or polypeptide of interest, determinations may be based on the normalized expression level of the DNA sequence, RNA sequence, protein or polypeptide of interest. Expression levels are normalized by correcting the absolute expression level of a DNA sequence, RNA sequence, protein or polypeptide of interest by comparing its expression to the expression of a gene that is not a marker, e.g., a standard or control. This normalization allows the comparison of the expression level in a sample from one source to a sample from another source.

**[0063]** In another exemplary embodiment, a protein or polypeptide is detected. In certain exemplary embodiments, an agent for detecting a polypeptide of the invention is an antibody capable of binding to a polypeptide corresponding to a marker of the invention, such as an antibody with a detectable label. Antibodies can be polyclonal, or more preferably, monoclonal. An intact antibody, or a fragment thereof (e.g., Fab or F(ab')<sub>2</sub>) can be used. The term "labeled," with respect to the probe or antibody, is intended to encompass direct labeling of the probe or antibody by coupling (i.e., physically linking) a detectable substance to the probe or antibody, as well as indirect labeling of the probe or antibody by reactivity with another reagent that is directly labeled. Examples of indirect labeling include detection of a primary antibody using a fluorescently labeled secondary antibody

and end-labeling of a DNA probe with biotin such that it can be detected with fluorescently labeled streptavidin.

**[0064]** Polyclonal antibodies can be prepared by immunizing a suitable subject with a protein or polypeptide of choice. The protein of choice titer in the immunized subject can be monitored over time by standard techniques, such as with an enzyme linked immunosorbent assay (ELISA) using immobilized protein. If desired, the antibody molecules directed against the protein of choice can be isolated from the mammal (e.g., from the blood) and further purified by well known techniques, such as protein A chromatography to obtain the IgG fraction. At an appropriate time after immunization, e.g., when the anti-protein of choice antibody titers are highest, antibody-producing cells can be obtained from the subject and used to prepare monoclonal antibodies by standard techniques, such as the hybridoma technique originally described by Kohler and Milstein (1975) *Nature* 256:495-497 (see also. Brown et al. (1981) *J. Immunol.* 127:539-46; Brown et al. (1980) *J. Biol. Chem.* 255:4980-83; Yeh et al. (1976) *Proc. Natl. Acad. Sci. USA* 76:2927-31; and Yeh et al. (1982) *Int. J. Cancer* 29:269-75), the human B cell hybridoma technique (Kozbor et al. (1983) *Immunol. Today* 4:72), the EBV-hybridoma technique (Cole et al. (1985). *Monoclonal Antibodies and Cancer Therapy*, Alan R. Liss, Inc., pp. 77-96) or trioma techniques. The technology for producing monoclonal antibody hybridomas is well known (see generally R. H. Kenneth, in *Monoclonal Antibodies: A New Dimension In Biological Analyses*. Plenum Publishing Corp., New York, N.Y. (1980); E. A. Lerner (1981) *Yale J. Biol. Med.* 54:387-402; Gefer et al. (1977) *Somatic Cell Genet.* 3:231-36). Briefly, an immortal cell line (typically a myeloma) is fused to lymphocytes (typically splenocytes) from a mammal immunized with a protein of choice as described above, and the culture supernatants of the resulting hybridoma cells are screened to identify a hybridoma producing a monoclonal antibody that binds the protein of choice.

**[0065]** A variety of formats can be employed to determine whether a sample contains a protein that binds to a given antibody. Examples of such formats include, but are not limited to, enzyme immunoassay (EIA), radioimmunoassay (RIA), Western blot analysis, enzyme linked immunosorbent assay (ELISA) and the like. A skilled artisan can readily adapt known protein/antibody detection methods for use in determining whether cells (e.g., bodily fluid cells such as blood cells) express a marker of the present invention.

**[0066]** In one format, antibodies, or antibody fragments, can be used in methods such as Western blots or immunofluorescence techniques to detect the expressed proteins. In such uses, it is generally preferable to immobilize either the antibody or proteins on a solid support. Suitable solid phase supports or carriers include any support capable of binding an antigen or an antibody. Well known supports or carriers include glass, polystyrene, polypropylene, polyethylene, dextran, nylon, amylases, natural and modified celluloses, polyacrylamides, gabbros, magnetite and the like.

**[0067]** One skilled in the art will know many other suitable carriers for binding antibody or antigen, and will be able to adapt such support for use with the present invention. For example, protein isolated from cells (e.g., bodily fluid cells such as blood cells) can be run on a polyacrylamide gel electrophoresis and immobilized onto a solid phase support such as nitrocellulose. The support can then be washed with suitable buffers followed by treatment with the detectably labeled antibody. The solid phase support can then be washed

with the buffer a second time to remove unbound antibody. The amount of bound label on the solid support can then be detected by conventional means.

**[0068]** In certain exemplary embodiments, assays of the invention may be performed in animal models (including, but not limited to horses, cows, sheep, pigs, goats, rabbits, guinea pigs, rats, mice, gerbils, non-human primates and the like), cells (e.g., cells from microorganisms (e.g., bacterial cells, viral cells, yeast cells and the like)) or cell-free systems (e.g., in vitro transcription assays. In vitro translation assays, cell lysate assays, fractionated cell lysate assays and the like).

**[0069]** It is to be understood that the embodiments of the present invention which have been described are merely illustrative of some of the applications of the principles of the present invention. Numerous modifications may be made by those skilled in the art based upon the teachings presented herein without departing from the true spirit and scope of the invention. The contents of all references, patents and published patent applications cited throughout this application are hereby incorporated by reference in their entirety for all purposes.

**[0070]** The following examples are set forth as being representative of the present invention. These examples are not to be construed as limiting the scope of the invention as these and other equivalent embodiments will be apparent in view of the present disclosure, figures, tables, and accompanying claims.

#### Example 1

##### Detection of Biomarker mRNA by Real Time PCR

**[0071]** Real-time PCR is a quantitative method for detecting changes in the levels of specific RNAs; therefore, real-time PCR for pro-apoptotic or tumor suppressor genes transcriptionally upregulated in the presence of inhibitors of the ternary complex provides a rapid and accurate quantitative assay for evaluating the availability of the ternary complex, and is an effective surrogate assay for detection of the phosphorylation of cI $\beta$ 2 $\alpha$  induced by omega-3 fatty acids. It has been determined that this new assay also has shown remarkable correlation with those obtained through use of an existing ATF-4 cell-based assay that is highly dependent on availability of the ternary complex. It is, therefore, an improved method for quality-control and assurance of food, nutraceutical and medicinal products with respect to the activity of omega-3 fatty acids and other beneficial compounds that influence availability of the ternary complex to initiate mRNA translation.

##### Standard Real-Time PCR Assay

**[0072]** 1. Plate cells of either human mouse or rat origin such as, e.g., rat hepatocytes, mouse or human fibroblast grown in standard culture media such as, e.g., DMEM or RPMI 1640 with 5-10% fetal bovine or bovine calf serum (either three wells in 6-well or 100 mm plate, or other container) for each condition;

2. Treat with compound to be evaluated or with control/standard vehicle;

3. Harvest cells after six hours;

4. Isolate RNA;

**[0073]** 5. Reverse transcribe RNA;

6. Amplify reverse transcripts of biomarker mRNA (e.g., that which encodes CHOP, BiP, ATF-4, Xbp-1 or an amino acid synthetase) and those of 18S RNA (internal standard);

7. Quantify amount of biomarker reverse transcript after normalization against 18S reverse transcript; and

8. Compare amounts of biomarker reverse transcript across differently-treated samples (e.g., treated with test compounds or vehicle).

##### In Cell, Real Time PCR Assay

**[0074]** 1. Plate cells (e.g., in 96-well plates or other multi-chamber format);

2. Treat with different doses of compounds or vehicle;

3. Lyse cells after 6 hours;

4. Reverse transcribe in the same wells;

5. Amplify reverse transcript of biomarker mRNA (e.g., that which encodes CHOP, BiP, ATF-4, Xbp-1 or an amino acid synthetase) and that of 18S RNA in the same well;

6. Quantify amount of biomarker reverse transcript after normalization against 18S reverse transcript; and

7. Compare amounts of biomarker reverse transcript across differently-treated samples (e.g., treated with test compounds or vehicle).

**[0075]** Results obtained when a CHOP-encoding mRNA transcript was amplified are shown in FIG. 1, in comparison to results obtained in the existing ATF-4 assay.

#### Example 2

##### Detection of Transcriptional Activity of Biomarker Genes Via Reporter Gene Assay

**[0076]** Another means by which to assay the presence and activity of omega-3 fatty acids in food, nutraceutical and medicinal compositions is to measure upregulation of marker gene transcriptional activity using reporter gene constructs. According to this method, each such construct contains a nucleic acid sequence that encodes a reporter protein (e.g., luciferase, Green Fluorescent Protein, Red, far Red, dsRed, dsRed2, orange, yellow, cyan, beta galactosidase, horseradish peroxidase, aquaporins, chloramphenicol acetyl transferase, or other protein that generates a detectable signal or has an enzymatic or other activity that is susceptible to detection by methods known to those of skill in the art). Operably linked to the reporter protein coding sequence of the construct is a naturally-occurring or synthetic promoter region that is transcriptionally upregulated in the presence of omega-3 fatty acids or other suitable inhibitors of mRNA translation initiation, e.g., ternary complex inhibitors. Suitable promoters include, in non-limiting fashion, those of the genes that encode biomarkers such as CHOP, BiP, ATF-4, Xbp-1 or amino acid synthetases. Under conditions that permit nucleic acid transcription and mRNA translation to occur, the system is contacted or treated with a test sample. Suitable negative controls include, but are not limited to, a parallel test system not so contacted or treated, or one that is contacted or treated with an appropriate standard sample. In both the test and control systems, reporter protein function is detected and quantified by methods well known in the art, and the levels of reporter function in the test and standard systems are compared to determine the potency of omega-3 fatty acids present in the test sample. In such an assay, the signal obtained using an ATF-4 promoter construct or CHOP promoter construct that also includes the native ATF-4 5'UTR is amplified to a

greater degree than would those resulting from use of the other promoters, as ATF-4 is both transcriptionally and translationally upregulated in the presence of omega-3 fatty acids. Reporter constructs may be designed with this in mind, so as to keep signal levels in the linear range for the level of reporter activity that is anticipated to result from exposure to test samples, depending on their estimated potency prior to assay, e.g., based on results obtained with samples of comparable origin, processing or the like.

**[0077]** Certain reporter constructs of the present invention also combine high efficiency transcription promoters and high efficiency translation 5'UTRs, such as with the CHOP promoter and ATF-4 5'UTR, to provide signal amplification that is preferably geometric thereby providing an advantageous signal-to-noise ratio compared to reporter constructs including only one of the two elements. Such a reporter construct is particularly useful in assays where high sensitivity is desired such as when comparing dilute or weakly-positive samples commonly encountered during early stages of processing or when detecting a rare activity. Promoters and 5'UTRs can be combined into a single reporter construct using methods known to those of skill in the art and as described herein. Useful promoters include, in non-limiting fashion, those of the genes that encode biomarkers such as CHOP, BiP, ATF-4, Xbp-1 or amino acid synthetases and the like and others known in the art and described herein. Useful 5'UTRs include ATF-4, BRCA1 mRNA, CD59, TCTP and GCN4 and the like and others known in the art and described herein. A useful reporter construct including both elements are particularly advantageous when the selected elements, i.e. 5'UTR and promoter pairings, respond to the same or similar agent or signal.

#### Example 3

##### Detection of Increased Translation of Biomarker Proteins

**[0078]** To determine the potency of omega-3 fatty acids or other beneficial agents in food, nutraceutical or medicinal products. mRNA transcripts in which 5'UTR sequences containing two or more ORFs are operably linked to sequences that encode reporter proteins are exposed to conditions under which protein translation is permitted to occur, e.g., an animal, cell or cell-free translation system, such as a rabbit reticulocyte lysate or other in vitro system containing the cellular components necessary to effect translation of mRNA to protein. The transcripts may be produced within the system (e.g., expressed in an animal, cell or other mixture that contains the reporter construct and an appropriate nucleic acid polymerase, e.g., an RNA polymerase), or may be exogenously produced and added to the system. The system optionally may contain an internal or other control reporter mRNA whose translational efficiency is not affected by the presence of omega-3 fatty acids or other beneficial agents that the assay is intended to detect, thereby allowing for levels of test and control translational activity to be normalized against the relative amounts of test and control transcripts available to be translated. Alternatively, reporter mRNA levels may be normalized between various test samples and levels of reporter function compared.

**[0079]** Appropriate 5'UTRs for test transcripts include, in non-limiting fashion, those of the genes or mRNA transcripts that encode biomarker proteins such as BRCA1, ATF-4, TCTP, CD59 or GCN4. Appropriate 5'UTRs for control tran-

scripts may be drawn from genes or mRNA transcripts that encode housekeeping proteins and/or that have one or fewer (i.e., zero) ORFs in their respective 5'UTRs.

**[0080]** The systems described above are treated or contacted with a sample of a food, nutraceutical or medicinal composition, and the amounts of test and control reporter protein function (e.g., within a test sample; between a test sample and an untreated sample; between a test sample and a sample treated with a standard for potency of an omega-3 fatty acid or other beneficial agent), detected and quantified by methods well known in the art, wherein elevated levels of reporter function correlate positively with translational activity of the test reporter mRNAs and, consequently, potency of an omega-3 fatty acid or other beneficial agent contained in the test sample.

#### Example 4

##### Manufacture of Quality-Controlled Nutraceutical and Other Products from Fish Oil

**[0081]** As mentioned above, fish oil is a significant source of omega-3 fatty acids; however, supplies of fish oil differ greatly from one another in content and bioactivity of these beneficial compounds. The invention provides methods for the manufacture of fish-oil-derived products that possess known, uniform bioactivity of omega-3 fatty acids.

**[0082]** Fish are caught and, while live or fresh-killed, pressed under food-grade manufacturing conditions to effect extraction of oil from the flesh. Heavy metals and other environmental contaminants are removed by filtration, chelation and/or other methods known to those of skill in the relevant art. Optionally, fish oil then may be further processed, for example, to improve taste, aroma and/or appearance, to add other beneficial agents (including, in non-limiting fashion, phytosterols or other beneficial compounds) or to concentrate omega-3 fatty acids and/or other beneficial agents. Optionally, unprocessed, partially-processed (e.g., detoxified), fractionated or otherwise processed fish oil may be packaged, for example, in bottles or other non-consumable containers, or in consumable containers, such as food- or pharmaceutical grade capsules, e.g., gel capsules or caplets. Optionally, omega-3 fatty acids and/or other beneficial agents contained in fish oil may be enriched, partially purified or even fully purified, i.e., isolated.

**[0083]** At one or more of the aforementioned stages of manufacture, the ability of the fish oil, intermediate product or finished product to effect increased transcription of one or more of the genes that encode biomarkers such as ATF-4, CHOP, BiP, Xbp-1 or amino acid synthetases, or, alternatively, increased translation of mRNAs containing in their 5'UTRs two or more ORFs, including, in non-limiting fashion, 5'UTRs from mRNAs that encode biomarkers such as BRCA1, ATF-4, TCTP, CD59 or GCN4, is assayed using the methods described in the preceding Examples herein. Assay results obtained during early stages of manufacture may enable adjustments to be made in concentration of omega-3 fatty acids during further production steps, or may otherwise guide assembly, mixture or formulation of product components to result in a food, nutraceutical or medicinal product of known potency with regard to omega-3 fatty acid bioactivity. Optionally, samples of the finished product (e.g., an aliquot of a liquid or powder, or a single caplet, each representative of the batch or lot from which is has been drawn or selected) may be assayed prior to distribution, thereby enabling label or

other marketing claims to be made with respect to the level of a beneficial biological, nutraceutical or medicinal property possessed by the product, e.g., with respect to the inhibition of translation initiation, or therapeutic or preventive properties associated with the inhibition, upregulation or other modulation of biomarker gene transcription or mRNA translation.

What is claimed:

1. A method for identifying or determining whether a substance has one or more beneficial biological, nutraceutical or medicinal properties comprising the steps of:

providing a first sample including a first mRNA sequence having at least two open reading frames at its 5' untranslated region (UTR), wherein the first mRNA sequence encodes a first biomarker protein;

providing a second sample including a second mRNA sequence having at least two open reading frames at its 5' UTR, wherein the second mRNA sequence encodes a second biomarker protein;

contacting the second sample with the substance; and detecting translation levels of the first and second biomarker proteins, wherein the translation level of the second biomarker protein is greater than the translation level of the first biomarker if the substance has one or more beneficial biological, nutraceutical or medicinal properties.

2. The method of claim 1, wherein the first sample is contacted with a standard substance or a control substance.

3. The method of claim 1, wherein the first mRNA and the second mRNA have the same sequence.

4. The method of claim 1, wherein the first biomarker protein and the second biomarker protein are the same protein.

5. The method of claim 1, wherein the first and second biomarker proteins are selected from the group consisting of breast cancer 1 (BRCA1) transcript b product, activating transcription factor 4 (ATF-4), translationally controlled tumor protein (TCTP), protectin (CD59) and general control nonderepressible 4 (GCCN4).

6. The method of claim 1, wherein the step of detecting translation levels is performed by one or more of Western analysis, ELISA and immunocytochemistry.

7. The method of claim 1, wherein the sample is an animal, a cell or a cell free system.

8. The method of claim 7, wherein the cell free system is a rabbit reticulocyte lysate system.

9. The method of claim 1, wherein the 5' UTR is naturally occurring.

10. The method of claim 1, wherein the 5' UTR is synthetic.

11. The method of claim 1, wherein the 5' UTR is operably linked to a coding sequence that encodes a reporter protein.

12. The method of claim 1, wherein translation levels are determined by assaying one or more activities of the reporter protein.

13. The method of claim 1, wherein the translation level of the second biomarker protein is at least 150% of the translation level of the first biomarker.

14. The method of claim 1, wherein the substance is derived from fish oil.

15. The method of claim 1, wherein the substance is being assayed for an n-3 polyunsaturated fatty acid (PUFA) activity.

16. The method of claim 15, wherein the n-3 PUFA is eicosapentaenoic acid (EPA).

17. The method of claim 1, wherein the substance contains EPA.

18. The method of claim 1, wherein the substance is selected from the group consisting of a food product sample, a nutraceutical product sample and a pharmaceutical product sample.

19. A method for identifying or determining whether a substance has one or more beneficial biological, nutraceutical or medicinal properties comprising the steps of:

providing a sample including an mRNA sequence having at least two open reading frames at its 5' UTR, wherein the mRNA sequence encodes a biomarker protein;

contacting the sample with the substance;

detecting a translation level of the biomarker protein; and detecting a translation level of an internal standard protein, wherein the translation level of the biomarker protein is greater than the translation level of the internal standard protein if the substance has one or more beneficial biological, nutraceutical or medicinal properties.

20. The method of claim 19, wherein the internal standard protein is encoded by an mRNA sequence having one or no open reading frames at its 5' UTR.

21. The method of claim 19, wherein the biomarker protein is selected from the group consisting of BRCA1 transcript b product, ATF-4, TCTP, CD59 and GCN4.

22. The method of claim 19, wherein the step of detecting the translation level is performed by one or more of Western analysis, ELISA and immunocytochemistry.

23. The method of claim 19, wherein the 5' UTR is naturally occurring.

24. The method of claim 19, wherein the 5' UTR is synthetic.

25. The method of claim 19, wherein the 5' UTR is operably linked to a coding sequence that encodes a reporter protein.

26. The method of claim 19, wherein translation levels are determined by assaying one or more activities of the reporter protein.

27. The method of claim 19, wherein the translation level of the biomarker protein is at least 150% of the translation level of the internal standard.

28. The method of claim 19, wherein the substance is derived from fish oil.

29. The method of claim 19, wherein the substance is being assayed for an n-3 PUFA activity.

30. The method of claim 29, wherein the n-3 PUFA is EPA.

31. The method of claim 19, wherein the substance contains EPA.

32. The method of claim 19, wherein the substance is selected from the group consisting of a food product sample, a nutraceutical product sample and a pharmaceutical product sample.

33. A method for identifying a substance that mediates transcriptional upregulation of a biomarker gene or detecting whether a substance mediates transcriptional upregulation of a biomarker gene comprising the steps of:

providing a first test system including a mRNA sequence having a coding region for a first reporter protein operably linked to a first biomarker promoter;

providing a second test system including a second mRNA sequence having a coding region for a second reporter protein operably linked to a second biomarker promoter;

contacting the second test system with the substance; and detecting transcription levels of the first and second mRNA sequences, wherein the transcription level of the second mRNA sequence is greater than the transcription level of

- the first mRNA sequence if the substance mediates transcriptional upregulation of the biomarker gene.
- 34.** The method of claim **33**, wherein the first and second test systems are selected from the group consisting of an animal, a cell and a cell free assay.
- 35.** The method of claim **33**, wherein the first test system is contacted with a standard substance or a control substance.
- 36.** The method of claim **33**, wherein the first mRNA and the second mRNA have the same sequence.
- 37.** The method of claim **33**, wherein the first reporter protein and the second reporter protein are the same protein.
- 38.** The method of claim **33**, wherein transcription levels are determined by real time PCR.
- 39.** The method of claim **38**, wherein real time PCR is performed in vitro.
- 40.** The method of claim **38**, wherein real time PCR is performed in cells.
- 41.** The method of claim **33**, wherein transcriptional activity is determined by detecting one or more reporter protein activities.
- 42.** The method of claim **33**, wherein the biomarker gene encodes a pro-apoptotic protein or a tumor suppressor protein.
- 43.** The method of claim **33**, wherein the biomarker gene is selected from the group consisting of nucleic acid sequences that encode CHOP, BiP, ATF-4, Xbp-1 and an amino acid synthetase.
- 44.** The method of claim **33**, wherein transcription of the second mRNA sequence is at least 150% of the transcription level of the first mRNA sequence.
- 45.** The method of claim **33**, wherein the substance is selected from the group consisting of a food product sample, a nutraceutical product sample and a pharmaceutical product sample.
- 46.** The method of claim **33**, wherein the substance is derived from fish oil.
- 47.** The method of claim **33**, wherein the substance is being assayed for an n-3 PUFA activity.
- 48.** The method of claim **47**, wherein the n-3 PUFA is EPA.
- 49.** The method of claim **33**, wherein the substance contains EPA.
- 50.** A method for manufacturing a quality controlled fish oil product comprising the steps of:  
 providing a first sample including a first mRNA sequence having at least two open reading frames at the 5' untranslated region of the first mRNA sequence, wherein the first mRNA sequence encodes a first biomarker protein;  
 providing a second sample including a second mRNA sequence having at least two open reading frames at the 5' untranslated region (UTR) of the second mRNA sequence, wherein the second mRNA sequence encodes a second biomarker protein;  
 contacting the second sample with the fish oil product;  
 detecting translation levels of the first and second biomarker proteins, wherein the translation level of the second biomarker protein is greater than the translation level of the first biomarker if the fish oil product can provide one or more beneficial biological, nutraceutical or medicinal properties to a subject; and  
 selecting a fish oil product that has a greater translation level as a quality controlled fish oil product.
- 51.** The method of claim **50**, wherein the first sample is contacted with a standard substance or a control substance.
- 52.** The method of claim **50**, wherein the first mRNA and the second mRNA have the same sequence.
- 53.** The method of claim **50**, wherein the first biomarker protein and the second biomarker protein are the same protein.
- 54.** The method of claim **50**, wherein the first and second biomarker proteins are selected from the group consisting of BRCA1 transcript b product, ATF-4, TCTP, CD59 and GCN4.
- 55.** A method for manufacturing a quality controlled fish oil product comprising the steps of:  
 providing a sample including an mRNA sequence having at least two open reading frames at the 5' untranslated region of the mRNA sequence, wherein the mRNA sequence encodes a biomarker protein;  
 contacting the sample with the fish oil product;  
 detecting a translation level of the biomarker protein;  
 detecting a translation level of an internal standard protein, wherein the translation level of the biomarker protein is greater than the translation level of the internal standard protein if the fish oil product can provide one or more beneficial biological, nutraceutical or medicinal properties to a subject; and  
 selecting a fish oil product that has a greater translation level as a quality controlled fish oil product.
- 56.** The method of claim **55**, wherein the biomarker protein is selected from the group consisting of BRCA1 transcript b product, ATF-4, TCTP, CD59 and GCN4.
- 57.** A method for manufacturing a quality controlled fish oil product comprising the steps of:  
 providing a first test system including a mRNA sequence having a coding region for a first reporter protein operably linked to a first biomarker promoter;  
 providing a second test system including a second mRNA sequence having a coding region for a second reporter protein operably linked to a second biomarker promoter;  
 contacting the second test system with the fish oil product;  
 detecting transcription levels of the first and second mRNA sequences, wherein the transcription level of the second mRNA sequence is greater than the transcription level of the first mRNA sequence if the fish oil product can provide one or more beneficial biological, nutraceutical or medicinal properties to a subject; and  
 selecting a fish oil product that has a greater translation level as a quality controlled fish oil product.
- 58.** The method of claim **57**, wherein the biomarker promoter is selected from the group consisting of CHOP promoter, BiP promoter, ATF-4 promoter, Xbp-1 promoter or an amino acid synthetase promoter.

\* \* \* \* \*

专利名称(译)	营养药品和药品的质量控制生物测定		
公开(公告)号	<a href="#">US20150185212A1</a>	公开(公告)日	2015-07-02
申请号	US14/657537	申请日	2015-03-13
[标]申请(专利权)人(译)	哈佛大学校长及研究员协会		
申请(专利权)人(译)	哈佛学院的总统和研究员		
当前申请(专利权)人(译)	哈佛学院的总统和研究员		
[标]发明人	HALPERIN JOSE A AKTAS HUSEYIN		
发明人	HALPERIN, JOSE A. AKTAS, HUSEYIN		
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外部链接	<a href="#">Espacenet</a> <a href="#">USPTO</a>		

摘要(译)

用于检测食物物质，营养补充剂，治疗剂和/或疾病预防剂的一个样品相对于这样的物质，补充剂和/或试剂的第二样品的能力的生物测定，以抑制，上调或以其他方式调节翻译起始因此，提供了消耗这种物质，补充剂和/或药剂或给予这种物质，补充剂和/或药剂的人和/或动物的疾病治愈和/或预防效果。

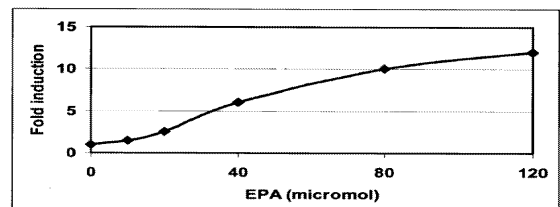


Figure 1