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(54) **Title:** METHODS AND COMPOSITIONS FOR DIAGNOSIS AND RISK PREDICTION IN HEART FAILURE

(57) **Abstract:** The present invention relates in part to diagnosing the occurrence of heart failure, particularly in subjects who exhibit a normal body fluid level of a natriuretic peptide. The present invention further relates in part to assigning an outcome risk (e.g., worsening cardiac function or a mortality risk, a risk of rehospitalization) to a subject. The methods comprise performing one or more assays that detect one or more biomarkers selected from the group consisting of WAP4C, ESAM, LTBR, Mesothelin, and Syn-decan-1 performed on a body fluid sample obtained from a subject, and assigning diagnosis or risk based, at least in part, on the result(s) obtained thereby.

**METHODS AND COMPOSITIONS FOR DIAGNOSIS AND RISK PREDICTION IN**  
**HEART FAILURE**

**[0001]** The present invention claims priority of U.S. Provisional Patent Application No. 61/417,851 filed November 29, 2010; and of U.S. Provisional Patent Application No. 61/437,609 filed January 29, 2011, each of which is hereby incorporated by reference in its entirety including all tables, figures, and claims.

FIELD OF THE INVENTION

**[0002]** The present invention relates to methods and compositions for monitoring cardiorenal syndrome, and the heart failure and renal dysfunction underlying the cardiorenal syndrome.

BACKGROUND OF THE INVENTION

**[0003]** The following discussion of the background of the invention is merely provided to aid the reader in understanding the invention and is not admitted to describe or constitute prior art to the present invention.

**[0004]** Congestive heart failure (CHF) is a fatal disease with a 5-year mortality rate that rivals the most deadly malignancies. For example, in the Framingham Heart Study, median survival after the onset of heart failure was 1.7 years in men and 3.2 years in women. Overall, 1-year and 5-year survival rates were 57% and 25% in men and 64% and 38% in women, respectively. Moreover, a person age 40 or older has a one-in-five lifetime chance of developing congestive heart failure. Heart failure typically develops after other conditions have damaged the heart. Coronary artery disease, and in particular myocardial infarction, is the most common form of heart disease and the most common cause of heart failure.

**[0005]** The appropriate treatments given to patients suffering from heart failure are diverse. For example, diuretics are often given to reduce the increased fluid load characteristic of heart failure; Angiotensin-converting enzyme (ACE) inhibitors are a class of vasodilator used to lower blood pressure, improve blood flow and decrease the workload on the heart; Angiotensin II receptor blockers (ARBs) have many of the same benefits as ACE inhibitors; and Beta blockers may reduce signs and symptoms of heart failure and improve heart function.

**[0006]** In recent years, natriuretic peptide measurement has dramatically changed the diagnosis and management of cardiac diseases, including heart failure and the acute coronary syndromes. In particular, B-type natriuretic peptide (BNP, human precursor Swiss-Prot P16860), various related polypeptides arising from the common precursor proBNP (such as NT-proBNP), and proBNP itself have been used to diagnose heart failure, determine its severity, and estimate prognosis. In addition, BNP and its related polypeptides have been demonstrated to provide diagnostic and prognostic information in unstable angina, non-ST-elevation myocardial infarction, and ST-elevation myocardial infarction.

**[0007]** BNP and its related peptides are correlated with other measures of cardiac status such as New York Heart Association classification. However, many patients with chronic stable or asymptomatic heart failure will have natriuretic peptide levels in the normal diagnostic range (e.g., BNP levels less than about 100 pg/mL; NT-proBNP levels less than about 400 pg/mL). There is a trade-off in selecting diagnostic cutoff levels for these markers, because lowering the cutoff decreases the false-negative rate (i.e., increased sensitivity and fewer missed diagnoses) but increases the false-positive rate (i.e., decreased specificity and more incorrect diagnoses).

**[0008]** There remains a need in the art for markers which can be used for diagnosis and risk stratification of patients having or suspected of having congestive heart failure.

#### BRIEF SUMMARY OF THE INVENTION

**[0009]** It is an object of the invention to provide methods and compositions for diagnosis, prognosis, and determination of treatment regimens in subjects suffering from, or being evaluated for, heart failure. In various aspects, the present invention provides methods for diagnosis of heart failure, assessing risk of worsening heart failure; methods for assigning risk of mortality in the context of heart failure, methods of monitoring heart failure; and various devices and kits adapted to perform such methods.

**[0010]** In a first aspect, the present invention relates to methods for diagnosing heart failure. These methods comprise performing an assay method configured to detect performing one or more assays selected from the group consisting of an assay that detects WAP four-disulfide core domain protein 2 (also known as "WAP4C" and "HE4"), an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid

sample obtained from a subject, thereby providing one or more assay result(s); and assigning a diagnosis that the subject has or does not have heart failure based on the assay result(s) obtained.

**[0011]** In a related aspect, the present invention relates to methods for risk stratification - that is, assigning an outcome risk - to a subject. These methods comprise performing one or more assays selected from the group consisting of an assay that detects WAP4C, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid sample obtained from a subject, thereby providing one or more assay result(s); and assigning an outcome risk based on the assay result(s) obtained.

**[0012]** In certain embodiments described herein, each assay result is compared to a corresponding baseline (i.e., a diagnostic or prognostic “threshold”) level which is considered indicative of a “positive” or “negative” result. A variety of methods may be used by the skilled artisan to arrive at a desired baseline. In certain preferred embodiments, the baseline assay result is determined from an earlier assay result obtained from the same subject. That is, the change in a biomarker concentration may be observed over time, and an increased concentration provides an indication of the onset of, or worsening, heart failure in the subject.

**[0013]** In alternative embodiments, the baseline assay result is determined from a population of subjects. In the case of the use of the markers of the present invention for diagnosis, the population may contain some subjects which suffer from heart failure, and some which do not; in the case of the use of the markers of the present invention for use for prognosis, the population may contain some subjects which suffer from some outcome (e.g., cardiovascular mortality; worsening heart failure; improving heart failure, etc.), and some which do not. As described hereinafter, a threshold is selected which provides an acceptable level of specificity and sensitivity in separating the population into a “first” subpopulation exhibiting a particular characteristic (e.g., having an increased risk of worsening heart failure) relative to the remaining “second” subpopulation that does not exhibit the characteristic. As discussed herein, a preferred threshold value separates this first and second population by one or more of the following measures of test accuracy:

**[0014]** an odds ratio of at least about 2 or more or about 0.5 or less, more preferably at least about 3 or more or about 0.33 or less, still more preferably at least about 4 or more or

about 0.25 or less, even more preferably at least about 5 or more or about 0.2 or less, and most preferably at least about 10 or more or about 0.1 or less.

at least 75% sensitivity, combined with at least 75% specificity;

a ROC curve area of at least 0.6, more preferably 0.7, still more preferably at least 0.8, even more preferably at least 0.9, and most preferably at least 0.95; and/or

a positive likelihood ratio (calculated as sensitivity/(1-specificity)) of at least 5, more preferably at least 10, and most preferably at least 20; or

a negative likelihood ratio (calculated as (1-sensitivity)/specificity) of less than or equal to 0.3, more preferably less than or equal to 0.2, and most preferably less than or equal to 0.1. The term “about” in this context refers to +/- 5% of a given measurement.

**[0015]** The present risk stratification methods preferably assign a “near-term” risk of worsening heart failure or cardiovascular mortality. By “near term” is meant within 30 days. As described hereinafter, the methods preferably assign a risk within 7 days, more preferably within 5 days, and still more preferably within 3 days.

**[0016]** Preferred assay methods comprise performing an immunoassay that detects a marker of interest. Antibodies for use in such assays will specifically bind the marker of interest, and may optionally also bind one or more polypeptides that are “related” thereto, as described hereinafter with regard to related markers. Such immunoassays may comprise contacting said body fluid sample with a solid phase antibody that detects the marker, and detecting binding to that antibody, although assay formats that do not require the use of a solid phase are known in the art. While the present invention is generally described in terms of immunoassays, other binding entities (e.g., aptamers) which are not based on an immunoglobulin scaffold may be used in lieu of antibodies in such methods. Preferably, the body fluid sample is selected from the group consisting of urine, blood, serum, and plasma.

**[0017]** It is not intended that a diagnosis or prognosis be assigned based exclusively on the assay result(s). Rather, the skilled artisan will understand that a diagnosis, prognosis, monitoring, etc., can also consider numerous additional clinical variables as described hereinafter, provided that the assay results are variables considered during the diagnostic process; that is, the assay result(s) are used to increase or decrease the probability that the subject under study suffers from heart failure. As described in additional detail hereinafter, assays that detect various markers (both subject-derived and physical characteristics) may be combined, including assays that detect various natriuretic peptides such as BNP, NT-proBNP,

and proBNP; markers related to inflammation such as myeloperoxidase, soluble FLT-1, C-reactive protein, and placental growth factor; markers related to cardiac damage such as cardiac troponins and CK-MB; markers of renal damage such as serum creatinine, creatinine clearance rates, cystatin C, and glomerular filtration rates; and variables such as urine output levels, age, the presence or absence of various cardiovascular risk factors such as diabetes, hypertension, body mass, smoking status; etc.

**[0018]** In certain embodiments, the methods comprise performing a plurality of assays (e.g., 2, 3, 4 or more) selected from the group consisting of an assay that detects WAP4C, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR. In methods where multiple assays are performed on body fluids, the various assays can be performed on the same or different body fluid samples. For example, ESAM may be measured in a urine sample and LTBR may be measured in a plasma sample; or ESAM may be measured in a plasma sample and LTBR measured in a different plasma sample.

**[0019]** In still another aspect, the present invention relates to methods for monitoring cardiovascular disease in a patient. These methods comprise performing one or more assays selected from the group consisting of an assay that detects WAP4C, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on serially collected body fluid samples obtained from a subject, thereby providing one or more assay result(s). A worsening cardiovascular disease status may be assigned to the patient if the assay result(s) are increasing with time. In the alternative, an improving cardiovascular disease status may be assigned to the patient if the assay result(s) are decreasing with time.

**[0020]** In certain embodiments, reagents for performing such assays are provided in an assay device, and such assay devices may be included in such a kit. Preferred reagents comprise one or more solid phase antibodies, the solid phase antibody comprising antibody that detects the intended target(s) bound to a solid support. In the case of sandwich immunoassays, such reagents can also include one or more detectably labeled antibodies, the detectably labeled antibody comprising antibody that detects the intended target(s) bound to a detectable label. Additional optional elements that may be provided as part of an assay device are described hereinafter.

## DETAILED DESCRIPTION OF THE INVENTION

**[0021]** The present invention relates to methods and compositions for diagnosis, prognosis, and determination of treatment regimens in subjects suffering from congestive heart failure.

**[0022]** As described herein, the present invention relates in part to diagnosing the occurrence of heart failure, particularly in subjects who exhibit a normal body fluid level of a natriuretic peptide. The present invention further relates in part to assigning an outcome risk (e.g., worsening cardiac function or a mortality risk) to a subject based, at least in part, on the result(s) obtained from one or more assays that detect one or more biomarkers selected from the group consisting of WAP4C, ESAM, LTBR, TROY, Mesothelin, and Syndecan-1 performed on a body fluid sample obtained from a subject.

**[0023]** If the sample tested is obtained from the subject at a time  $t$ , the phrase “short term risk” refers to a 7-day (168 hour) period measured from time  $t$ . Thus, the risk is a likelihood that the subject will suffer from deterioration of one or more of measures of cardiac function, or will die, in a window beginning at time  $t$  and ending 168 hours later. Suitable measures of cardiac function include one or more of: dyspnea (at rest or exertional), orthopnea, pulmonary edema, SaO<sub>2</sub> level, dizziness or syncope, chest pain, systolic blood pressure, hypoperfusion, edema, compensation status (that is, a change from compensated to decompensated, or vice versa), end-diastolic function, end-systolic function, ventricular filling, flow across the mitral valve, left ventricular ejection fraction (LVEF), results of stress testing, results of an imaging study such as a cardiac CT, ultrasound, or MRI, NYHA or American College of Cardiology heart failure classification, etc. These characteristics, and methods for their assessment, are well known in the art. *See, e.g., Harrison’s Principles of Internal Medicine*, 16<sup>th</sup> ed., McGraw-Hill, 2005, pages 1361-1377, which is hereby incorporated by reference in its entirety. This list is not meant to be limiting.

**[0024]** More preferably, the risk is a likelihood that the subject will suffer from deterioration of one or more of these measures of cardiac function, or will die, in a 96 hour window beginning at time  $t$ , and most preferably the risk is a likelihood that the subject will suffer from deterioration of one or more of these measures of cardiac function, or a likelihood that the subject will die, in a window of between 48 and 84 hours beginning at time  $t$ . The term “deterioration” as used herein refers to a worsening change in a parameter at a later time, relative to a measure of the same parameter earlier in the same subject, and is the opposite of

“improvement.” For example, “deterioration in cardiac function” as used herein refers to a later change in the subject from an asymptomatic state to NYHA heart failure class I or greater; worsening LVEF, etc.

[0025] The terms “marker” and “biomarker” as used herein refers to proteins, polypeptides, glycoproteins, proteoglycans, lipids, lipoproteins, glycolipids, phospholipids, nucleic acids, carbohydrates, etc. or small molecules to be used as targets for screening test samples obtained from subjects. “Proteins or polypeptides” used as markers in the present invention are contemplated to include any fragments thereof, in particular, immunologically detectable fragments. Markers can also include clinical “scores” such as a pre-test probability assignment, a pulmonary hypertension “Daniel” score, an NIH stroke score, a Sepsis Score of Elebute and Stoner, a Duke Criteria for Infective Endocarditis, a Mannheim Peritonitis Index, an “Apache” score, *etc.*

[0026] The sequence of the 108 amino acid BNP precursor pro-BNP (BNP<sub>1-108</sub>) is as follows, with mature BNP (BNP<sub>77-108</sub>) underlined:

```
HPLGSPGSAS DLETSGLQEQ RNHLQGKLSE LQVEQTSLEP LQESPRPTGV 50
WKSREVATEG IRGHRKMVLY TLRAPRSPKM VQSGSGCFGRK MDRISSSSGL 100
GCKVLRRH 108
```

(SEQ ID NO: 1).

[0027] BNP<sub>1-108</sub> is synthesized as a larger precursor pre-pro-BNP having the following sequence (with the "pre" sequence shown in bold):

```
MDPQTAPSRA LLLLLFLHLA FLGGRSHPLG SPGSASDLET SGLQEQRNHL 50
QGKLSLELQVE QTSLEPLQES PRPTGVWKS R EVATEGIRGH RKMVLYTLRA 100
PRSPKMOVQS GCFGRKMDRI SSSSGLGCKV LRRH 134
```

(SEQ ID NO: 2).

[0028] While a mature protein (e.g., BNP) itself may be used as a marker in the present invention, various related markers that may be measured either as surrogates for a mature protein of interest or as markers in and of themselves. Thus, BNP-related polypeptides prepro-BNP, BNP<sub>1-108</sub> and BNP<sub>1-76</sub> may replace BNP as a heart failure marker. “Related markers” to each of the markers described herein may be identified and used in an analogous fashion to that described above for BNP.

[0029] As used herein, the term “ESAM” or “Endothelial cell-selective adhesion molecule” refers to one or polypeptides present in a biological sample that are derived from

the Endothelial cell-selective adhesion molecule precursor (Swiss-Prot Q96AP7 (SEQ ID NO: 3)).

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      10           20           30           40           50           60
MISLPGPLVT  NLLRFLFLGL  SALAPPSRAQ  LQLHLPANRL  QAVEGGEVVL  PAWYTLHGEV

      70           80           90           100          110          120
SSSQPWVVPF  VMWFFKQKEK  EDQVLSYING  VTTSKPGVSL  VYSMPSRNLS  LRLEGLQEKD

     130          140          150          160          170          180
SGPYSCSVNV  QDKQGKSRGH  SIKTLELNVL  VPPAPPSCRL  QGVPHVGANV  TLSCQSPRSK

     190          200          210          220          230          240
PAVQYQWDRQ  LPSFQTFAP  ALDVIRGSL  LTNLSSSMAG  VYVCKAHNEV  GTAQCNTLE

     250          260          270          280          290          300
VSTGPGAADV  AGAVVGTLVG  LGLLAGLVLL  YHRRGKALEE  PANDIKEDAI  APRTLWPWPKS

     310          320          330          340          350          360
SDTISKNGTL  SSVTSARALR  PPHGPPRPGA  LTPTPSLSSQ  ALPSPRLPTT  DGAHPQPISP

     370          380          390
IPGGVSSSGL  SRMGAVPVMV  PAQSQAGSLV

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**[0030]** Most preferably, an ESAM assay detects one or more soluble forms of ESAM. ESAM is a single-pass type I membrane protein having a large extracellular domain, most or all of which are present in soluble forms of ESAM generated either through an alternative splicing event which deletes all or a portion of the transmembrane domain, or by proteolysis of the membrane-bound form. In the case of an immunoassay, one or more antibodies that bind to epitopes within this extracellular domain may be used to detect these soluble form(s). The following domains have been identified in ESAM:

Residues	Length	Domain ID
1-29	29	signal sequence
30-390	361	ESAM
30-248	219	extracellular
249-269	21	transmembrane
270-390	121	cytoplasmic

**[0031]** As used herein, the term “LTBR” or “Tumor necrosis factor receptor superfamily member 3” refers to one or polypeptides present in a biological sample that are derived from the LTBR precursor (Swiss-Prot P36941 (SEQ ID NO: 4)).

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      10      20      30      40      50      60
MLLPWATSAP GLAWGPLVLG LFGLLAASQP QAVPPYASEN QTCRDQEKEY YEPQHRICCS

      70      80      90     100     110     120
RCPPGTYVSA KCSRIRDIVC ATCAENSYNE HWNYLTICQL CRPCDPVMGL EEIAPCTSKR

     130     140     150     160     170     180
KTQCRCQPGM FCAAWALECT HCELLSDCPP GTEAELKDEV GKGNNHCVPC KAGHFQNTSS

     190     200     210     220     230     240
PSARCQPHTR CENQGLVEAA PGTAQSDTTC KNPLEPLPPE MSGTMLMLAV LLPLAFFLLL

     250     260     270     280     290     300
ATVFSCIWKS HPSLCRKLGS LLKRRPQEGE PNPVAGSWEP PKAHPYFPDL VQPLLPISGD

     310     320     330     340     350     360
VSPVSTGLPA APVLEAGVPQ QQSPLDLTRE PQLEPGEQSQ VAHGTINGIHV TGGSMITIGN

     370     380     390     400     410     420
IYIYNGPVLG GPPGPGDLPA TPEPPYPIPE EGDGPPGLS TPHQEDGKAW HLAETEHCGA

     430
TPSNRGPRNQ FITHD

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**[0032]** Most preferably, an LTBR assay detects one or more soluble forms of LTBR. LTBR is a single-pass type I membrane protein having a large extracellular domain, most or all of which is present in soluble forms of LTBR generated either through an alternative splicing event which deletes all or a portion of the transmembrane domain, or by proteolysis of the membrane-bound form. In the case of an immunoassay, one or more antibodies that bind to epitopes within this extracellular domain may be used to detect these soluble form(s). The following domains have been identified in LTBR:

Residues	Length	Domain ID
1-30	30	signal sequence
31-435	405	LTBR
31-227	197	extracellular
228-248	21	transmembrane
249-435	187	cytoplasmic

**[0033]** As used herein, the term “Mesothelin” refers to one or more polypeptides present in a biological sample that are derived from the Mesothelin precursor (Swiss-Prot Q13421 (SEQ ID NO: 5)).

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10      20      30      40      50      60
MALPTARPLL GSCGTPALGS LFLFLFSLGW VQPSRTLAGE TGQEAAPLDG VLANPPNISS

70      80      90      100     110     120
LSPRQLLGFP CAEVSGLSTE RVRELAVALA QKNVKLSTEQ LRCLAHRLSE PPEDLDALPL

130     140     150     160     170     180
LLLLFLNPDA FSGPQACTRF FSRITKANVD LLPRGAPERQ RLLPAALACW GVRGSLLESEA

190     200     210     220     230     240
DVRALGGLAC DLPGRFVAES AEVLLPRLVS CPGPLDQDQQ EAARAALQGG GPPYGGPSTW

250     260     270     280     290     300
SVSTMDALRG LLPVLGQPII RSIPQGIVAA WRQRSSRDPS WRQPRTLIR PRFRREVEKT

310     320     330     340     350     360
ACPSGKKARE IDESLIFYKK WELEACVDAA LLATQMDRVN AIPFTYEQLD VLKHKLDELY

370     380     390     400     410     420
PQGYPEVSIQ HLGYLFLKMS PEDIRKWNVT SLETLKALLE VNKGHEMSPQ APRRPLPQVA

430     440     450     460     470     480
TLIDRFVKGR GQLDKDTLDT LTAFYPGYLC SLSPEELSSV PPSSIWAVRP QDLDTCDPRQ

490     500     510     520     530     540
LDVLYPKARL AFQNMNGSEY FVKIQSFLGG APTEDLKALS QQNVSMDLAT FMKLRTDAVL

550     560     570     580     590     600
PLTVAEVQKL LGPHVEGLKA EERHRPVRDW ILRQRQDDLD TLGLGLQGGI PNGYLVLDDL

610     620     630
MQEALSGTPC LLGPGPVLTV LALLLASTLA

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**[0034]** Most preferably, a Mesothelin assay detects one or more soluble forms of Mesothelin. Mesothelin is a GPI-linked membrane protein having a signal sequence which is cleaved off and replaced with a glycosphospholipid membrane anchor during expression of the polypeptide. The following domains have been identified in Mesothelin:

Residues	Length	Domain ID
1-36	36	signal sequence
37-606	570	Mesothelin
37-286	250	Megakaryocyte-potentiating factor
296-606	311	Mesothelin, cleaved form
607-630	24	propeptide
406-416	11	Missing in soluble variant
601-630	30	Replaced in soluble variant with

VQGGRRGGQARAGGRAGGVEVGALSHPSLCRGLGDALPPRTWTCSHRP  
 GTAPSLHPGLRAPLPC (SEQ ID NO: 6)

**[0035]** As used herein, the term “Syndecan-1” refers to one or more polypeptides present in a biological sample that are derived from the Syndecan-1 precursor (Swiss-Prot P18827 (SEQ ID NO: 7)).

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      10      20      30      40      50      60
MRRAALWLWL CALALSLQPA LPQIVATNLP PEDQDGSDD SDNFSGSGAG ALQDITLSQQ

      70      80      90     100     110     120
TPSTWKDTQL LTAIPTSPEP TGLEATAAST STLPAGEGPK EGEAVVLPEV EPGLTAREQE

     130     140     150     160     170     180
ATPRPRETTQ LPTTHLASTT TATTAQEPAT SHPHRDMQPG HHETSTPAGP SQADLHTPHT

     190     200     210     220     230     240
EDGGPSATER AAEDGASSQL PAAEGSGEQD FTFETSAGENT AVVAVEPDRR NQSPVDQGAT

     250     260     270     280     290     300
GASQGLLDRK EVLGGVIAGG LVGLIFAVCL VGFMLYRMKK KDEGSYSLEE PKQANGGAYQ

     310
KPTKQEEFYA
    
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**[0036]** Most preferably, a Syndecan-1 assay detects one or more soluble forms of Syndecan-1. Syndecan-1 is a single-pass type I membrane protein having a large extracellular domain, most or all of which is present in soluble forms of Syndecan-1 generated either through an alternative splicing event which deletes all or a portion of the transmembrane domain, or by proteolysis of the membrane-bound form. In the case of an immunoassay, one or more antibodies that bind to epitopes within this extracellular domain may be used to detect these soluble form(s). The following domains have been identified in Syndecan-1:

<b>Residues</b>	<b>Length</b>	<b>Domain ID</b>
1-22	22	signal sequence
23-310	405	Syndecan-1
23-254	232	extracellular
255-275	21	transmembrane
276-310	35	cytoplasmic

**[0037]** As used herein, the term “TROY” or “Tumor necrosis factor receptor superfamily member 19”) refers to one or more polypeptides present in a biological sample that are derived from the TROY precursor (Swiss-Prot Q9NS68 (SEQ ID NO: 8)).

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      10           20           30           40           50           60
MRRALWLWL CALALSLQPA LPQIVATNLP PEDQDGSDD SDNFGSGGAG ALQDITLSQQ

      70           80           90          100          110          120
TPSTWKDTQL LTAIPTSPEP TGLEATAAST STLPAGEGPK EGEAVVLPEV EPGLTAREQE

     130          140          150          160          170          180
ATPRPRETTQ LPTTHLASTT TATTAQEPAT SHPHRDMQPG HHETSTPAGP SQADLHTPHT

     190          200          210          220          230          240
EDGGPSATER AAEDGASSQL PAAEGSGEQD FTFETSGENT AVVAVEPDRR NQSPVDQGAT

     250          260          270          280          290          300
GASQGLLDRK EVLGGVIAGG LVGLIFAVCL VGFMLYRMKK KDEGSYSLEE PKQANGGAYQ

      310
KPTKQEEFYA

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**[0038]** Most preferably, a TROY assay detects one or more soluble forms of TROY. TROY is a single-pass type I membrane protein having a large extracellular domain, most or all of which is present in soluble forms of TROY generated either through an alternative splicing event which deletes all or a portion of the transmembrane domain, or by proteolysis of the membrane-bound form. In the case of an immunoassay, one or more antibodies that bind to epitopes within this extracellular domain may be used to detect these soluble form(s). The following domains have been identified in TROY:

Residues	Length	Domain ID
1-29	29	signal sequence
30-423	394	TROY
30-170	141	extracellular
171-191	21	transmembrane
192-423	232	cytoplasmic
416-423	8	Replaced with EA in isoform 2

**[0039]** As used herein, the term “PIGR” or “Polymeric immunoglobulin receptor”) refers to one or more polypeptides present in a biological sample that are derived from the PIGR precursor (Swiss-Prot P01833 (SEQ ID NO: 9)).

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      10      20      30      40      50      60
MLLFVLTCLL AVFPAISTKS PIFGPEEVNS VEGNSVSITC YYPPTSVNRH TRKYWCRQGA

      70      80      90      100     110     120
RGGCITLISS EGYVSSKYAG  RANLTNFPEN  GTFVVNIAQL  SQDDSGRYKC  GLGINSRGLS

      130     140     150     160     170     180
FDVSLEVSQG PGLLNDRKVV  TVDLGRVTI  NCPFKTENAQ  KRKSLYKQIG  LYPVLVIDSS

      190     200     210     220     230     240
GYVNPNTYGR IRLDIQGTGQ  LLFSVVINQL  RLSDAGQYLC  QAGDSSNSNK  KNADLQVLKP

      250     260     270     280     290     300
EPELVYEDLR GSVTFHCALG  PEVANVAKFL  CRQSSGENCD  VVVNTLGKRA  PAFEGRILLN

      310     320     330     340     350     360
PQDKDGSFSV VITGLRKEDA  GRYLCGAHSD  GQLQEGSPIQ  AWQLFVNEES  TIPRSPTVVK

      370     380     390     400     410     420
GVAGGSVAVL CPYNRKESKS  IKYWCLWEGA  QNGRCPLLVD  SEGWVKAQYE  GRLSLLEEPG

      430     440     450     460     470     480
NGTFTVILNQ LTSRDAGFYW  CLTNGDTLWR  TTVEIKIIEG  EPNLKVPGNV  TAVLGETLKV

      490     500     510     520     530     540
PCHFPCFKFS YEKYWCKWNN  TGCQALPSQD  EGPSKAFVNC  DENSRVLSLT  LNLVTRADEG

      550     560     570     580     590     600
WYWCGVKQGH FYGETAAVYV  AVEERKAAGS  RDVSLAKADA  APDEKVLDSG  FREIENKAIQ

      610     620     630     640     650     660
DPRLFAEKA  VADTRDQADG  SRASVDSGSS  EEQGSSRAL  VSTLVPLGLV  LAVGAVAVGV

      670     680     690     700     710     720
ARARHRKNVD RVSIRSRTD  ISMSDFENSR  EFGANDNMGA  SSITQETSLG  GKEEFVATTE

      730     740     750     760
STTETKEPKK AKRSSKEEAE  MAYKDFLLQS  STVAAEAQDG  PQEA

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**[0040]** Most preferably, a PIGR assay detects one or more soluble forms of PIGR. PIGR is a single-pass type I membrane protein having a large extracellular domain, most or all of which is present in soluble forms of PIGR generated either through an alternative splicing event which deletes all or a portion of the transmembrane domain, or by proteolysis of the membrane-bound form. In the case of an immunoassay, one or more antibodies that bind to epitopes within this extracellular domain may be used to detect these soluble form(s). The following domains have been identified in PIGR:

Residues	Length	Domain ID
1-18	18	signal sequence
19-764	746	PIGR
19-638	620	extracellular

Residues	Length	Domain ID
639-661	23	transmembrane
662-764	103	cytoplasmic
19-603	585	Secretory component (a soluble form)

**[0041]** As used herein, the terms “WAP four-disulfide core domain protein 2” “WAP4C” and “HE4” refer to one or more polypeptides present in a biological sample that are derived from a WAP four-disulfide core domain protein 2 precursor. The human precursor (Swiss-Prot entry Q14508) has the following sequence (SEQ ID NO: 1):

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      10      20      30      40      50      60
MPACRLGPLA AALLLSLLLF GFTLVSGTGA EKTGVCPQLQ ADQNCTQECV SDSECADNLK
      70      80      90     100     110     120
CCSAGCATFC SLPNDKEGSC PQVNINFPQL GLCRDQCQVD SQCPGQMKCC RINGCGKVSCV

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**[0042]** The following domains have been identified in WAP four-disulfide core domain protein 2:

Residues	Length	Domain ID
1-30	30	signal sequence
31-124	94	WAP four-disulfide core domain protein 2

And the following alternative forms derived from the WAP four-disulfide core domain protein 2 precursor have been described:

2-23	22	→ LQVQVNLPVSPLPTYPSFF YP (SEQ ID NO: 2) in isoform 2.
24-74	51	Missing in isoform 2.
27-74	48	Missing in isoform 3.
71-79	9	→ LLCPNGQLAE (SEQ ID NO: 3) in isoform 4.
75-102	28	→ ALFHWHLKTRRLWEISGPRP RRPTWDSS (SEQ ID NO: 4) in isoform 5.
80-124	45	Missing in isoform 4.
103-124	22	Missing in isoform 5.

**[0043]** Because production of marker fragments is an ongoing process that may be a function of, *inter alia*, the elapsed time between onset of an event triggering marker release into the tissues and the time the sample is obtained or analyzed; the elapsed time between sample acquisition and the time the sample is analyzed; the type of tissue sample at issue; the storage conditions; the quantity of proteolytic enzymes present; *etc.*, it may be necessary to consider this degradation when both designing an assay for one or more markers, and when performing such an assay, in order to provide an accurate prognostic or diagnostic result. In addition, individual antibodies that distinguish amongst a plurality of marker fragments may be individually employed to separately detect the presence or amount of different fragments. The results of this individual detection may provide a more accurate prognostic or diagnostic result than detecting the plurality of fragments in a single assay. For example, different weighting factors may be applied to the various fragment measurements to provide a more accurate estimate of the amount of natriuretic peptide originally present in the sample.

**[0044]** The failure to consider the degradation fragments that may be present in a clinical sample may have serious consequences for the accuracy of any diagnostic or prognostic method. Consider for example a simple case, where a sandwich immunoassay is provided for BNP, and a significant amount (*e.g.*, 50%) of the biologically active BNP that had been present has now been degraded into an inactive form. An immunoassay formulated with antibodies that bind a region common to the biologically active BNP and the inactive fragment(s) will overestimate the amount of biologically active BNP present in the sample by 2-fold, potentially resulting in a “false positive” result. Overestimation of the biologically active form(s) present in a sample may also have serious consequences for patient management. Considering the BNP example again, the BNP concentration may be used to determine if therapy is effective (*e.g.*, by monitoring BNP to see if an elevated level is returning to normal upon treatment). The same “false positive” BNP result discussed above may lead the physician to continue, increase, or modify treatment because of the false impression that current therapy is ineffective.

**[0045]** As used herein, the term “relating a signal to the presence or amount” of an analyte reflects this understanding. Assay signals are typically related to the presence or amount of an analyte through the use of a standard curve calculated using known concentrations of the analyte of interest. As the term is used herein, an assay is “configured to detect” an analyte if an assay can generate a detectable signal indicative of the presence or amount of a physiologically relevant concentration of the analyte. Because an antibody

epitope is on the order of 8 amino acids, an immunoassay configured to detect a marker of interest will also detect polypeptides related to the marker sequence, so long as those polypeptides contain the epitope(s) necessary to bind to the antibody or antibodies used in the assay. The term “related marker” as used herein with regard to a biomarker such as one of the cardiac injury markers described herein refers to one or more fragments, variants, etc., of a particular marker or its biosynthetic parent that may be detected as a surrogate for the marker itself or as independent biomarkers. The term also refers to one or more polypeptides present in a biological sample that are derived from the biomarker precursor complexed to additional species, such as binding proteins, receptors, heparin, lipids, sugars, *etc.*

**[0046]** In this regard, the skilled artisan will understand that the signals obtained from an immunoassay are a direct result of complexes formed between one or more antibodies and the target biomolecule (*i.e.*, the analyte) and polypeptides containing the necessary epitope(s) to which the antibodies bind. While such assays may detect the full length biomarker and the assay result be expressed as a concentration of a biomarker of interest, the signal from the assay is actually a result of all such “immunoreactive” polypeptides present in the sample. Expression of biomarkers may also be determined by means other than immunoassays, including protein measurements (such as dot blots, western blots, chromatographic methods, mass spectrometry, *etc.*) and nucleic acid measurements (mRNA quantitation). This list is not meant to be limiting.

**[0047]** Preferred assays are “configured to detect” a particular marker. That an assay is “configured to detect” a marker means that an assay can generate a detectable signal indicative of the presence or amount of a physiologically relevant concentration of a particular marker of interest. Such an assay may, but need not, specifically detect a particular marker (*i.e.*, detect a marker but not some or all related markers). Because an antibody epitope is on the order of 8 amino acids, an immunoassay will detect other polypeptides (*e.g.*, related markers) so long as the other polypeptides contain the epitope(s) necessary to bind to the antibody used in the assay. Such other polypeptides are referred to as being “immunologically detectable” in the assay, and would include various isoforms (*e.g.*, splice variants). In the case of a sandwich immunoassay, related markers must contain at least the two epitopes bound by the antibody used in the assay in order to be detected. Preferred immunologically detectable fragments comprise at least 8 contiguous residues of the marker or its biosynthetic parent.

**[0048]** The term “test sample” as used herein refers to a sample of bodily fluid obtained for the purpose of diagnosis, prognosis, or evaluation of a subject of interest, such as

a patient. In certain embodiments, such a sample may be obtained for the purpose of determining the outcome of an ongoing condition or the effect of a treatment regimen on a condition. Preferred test samples include blood, serum, plasma, cerebrospinal fluid, urine, saliva, sputum, and pleural effusions. In addition, one of skill in the art would realize that some test samples would be more readily analyzed following a fractionation or purification procedure, for example, separation of whole blood into serum or plasma components.

**[0049]** As used herein, a “plurality” refers to at least two. Preferably, a plurality refers to at least 3, more preferably at least 5, even more preferably at least 10, even more preferably at least 15, and most preferably at least 20. In particularly preferred embodiments, a plurality is a large number, *i.e.*, at least about 100.

**[0050]** The term "subject" as used herein refers to a human or non-human organism. Thus, the methods and compositions described herein are applicable to both human and veterinary disease. Further, while a subject is preferably a living organism, the invention described herein may be used in post-mortem analysis as well. Preferred subjects are "patients," *i.e.*, living humans that are receiving medical care for a disease or condition. This includes persons with no defined illness who are being investigated for signs of pathology.

**[0051]** The term “diagnosis” as used herein refers to methods by which the skilled artisan can estimate and/or determine whether or not a patient is suffering from a given disease or condition. The skilled artisan often makes a diagnosis on the basis of one or more diagnostic indicators, *i.e.*, a marker, the presence, absence, amount, or change in amount of which is indicative of the presence, severity, or absence of the condition. The term “diagnosis” does not refer to the ability to determine the presence or absence of a particular disease with 100% accuracy, or even that a given course or outcome is more likely to occur than not. Instead, the skilled artisan will understand that the term “diagnosis” refers to an increased probability that a certain disease is present in the subject.

**[0052]** Similarly, a prognosis is often determined by examining one or more “prognostic indicators.” These are markers, the presence or amount of which in a patient (or a sample obtained from the patient) signal a probability that a given course or outcome will occur. For example, when one or more prognostic indicators reach a sufficiently high level in samples obtained from such patients, the level may signal that the patient is at an increased probability for experiencing morbidity or mortality in comparison to a similar patient exhibiting a lower marker level. A level or a change in level of a prognostic indicator, which

in turn is associated with an increased probability of morbidity or death, is referred to as being “associated with an increased predisposition to an adverse outcome” in a patient.

**[0053]** The term “correlating” or “relating” as used herein in reference to the use of markers, refers to comparing the presence or amount of the marker(s) in a patient to its presence or amount in persons known to suffer from, or known to be at risk of, a given condition; or in persons known to be free of a given condition. As discussed above, a marker level in a patient sample can be compared to a level known to be associated with a specific diagnosis. The sample’s marker level is said to have been correlated with a diagnosis; that is, the skilled artisan can use the marker level to determine whether the patient suffers from a specific type diagnosis, and respond accordingly. Alternatively, the sample’s marker level can be compared to a marker level known to be associated with a good outcome (*e.g.*, the absence of disease, *etc.*). In preferred embodiments, a profile of marker levels are correlated to a global probability or a particular outcome using ROC curves.

**[0054]** In certain embodiments, the methods described herein comprise the comparison of an assay result to a corresponding baseline result. The term “baseline result” as used herein refers to an assay value that is used as a comparison value (that is, to which a test result is compared). In practical terms, this means that a marker is measured in a sample from a subject, and the result is compared to the baseline result. A value above the baseline indicates a first likelihood of a diagnosis or prognosis, and a value below the baseline indicates a second likelihood of a diagnosis or prognosis.

**[0055]** A baseline can be selected in a number of manners well known to those of skill in the art. For example, data for a marker or markers (*e.g.*, concentration in a body fluid, such as urine, blood, serum, or plasma) may be obtained from a population of subjects. The population of subjects is divided into at least two subpopulations. The first subpopulation includes those subjects who have been confirmed as having a disease, outcome, or, more generally, being in a first condition state. For example, this first subpopulation of patients may be those diagnosed with heart failure, and that suffered from a worsening of renal function. For convenience, subjects in this first subpopulation will be referred to as “diseased,” although in fact, this subpopulation is actually selected for the presence of a particular characteristic of interest. The second subpopulation of subjects is formed from the subjects that do not fall within the first subpopulation. Subjects in this second set will hereinafter be referred to as “non-diseased.”

**[0056]** A baseline result may then be selected to distinguish between the diseased and non-diseased subpopulation with an acceptable specificity and sensitivity. Changing the baseline merely trades off between the number of false positives and the number of false negatives resulting from the use of the particular marker under study. The effectiveness of a test having such an overlap is often expressed using a ROC (Receiver Operating Characteristic) curve. ROC curves are well known to those skilled in the art. The horizontal axis of the ROC curve represents (1-specificity), which increases with the rate of false positives. The vertical axis of the curve represents sensitivity, which increases with the rate of true positives. Thus, for a particular cutoff selected, the value of (1-specificity) may be determined, and a corresponding sensitivity may be obtained. The area under the ROC curve is a measure of the probability that the measured marker level will allow correct identification of a disease or condition. Thus, the area under the ROC curve can be used to determine the effectiveness of the test.

**[0057]** In an alternative, an individual subject may provide their own baseline, in that a temporal change is used to indicate a particular diagnosis or prognosis. For example, one or more markers may be determined at an initial time to provide one or more baseline results, and then again at a later time, and the change (or lack thereof) in the marker level(s) over time determined. In such embodiments, an increase in the marker from the initial time to the second time may be indicative of a particular prognosis, of a particular diagnosis, *etc.* Likewise, a decrease in the marker from the initial time to the second time may be indicative of a particular prognosis, of a particular diagnosis, *etc.* In such an embodiment, a plurality of markers need not change in concert with one another. Temporal changes in one or more markers may also be used together with single time point marker levels compared to a population-based baseline.

**[0058]** In certain embodiments, a baseline marker level is established for a subject, and a subsequent assay result for the same marker is determined. That subsequent result is compared to the baseline result, and a value above the baseline indicates worsening cardiac function, relative to a value below the baseline. Similarly, a value below the baseline indicates improved cardiac function, relative to a value above the baseline.

**[0059]** In certain embodiments, a baseline marker level is established for a subject, and a subsequent assay result for the same marker is determined. That subsequent result is compared to the baseline result, and a value above the baseline indicates an increased

mortality risk, relative to a value below the baseline. Similarly, a value below the baseline indicates a decreased mortality risk, relative to a value above the baseline.

**[0060]** As discussed herein, the measurement of the level of a single marker may be augmented by additional markers. For example, other markers related to blood pressure regulation, including other natriuretic peptides and/or their related markers may be used together with, or separately from, BNP and/or its related markers. Suitable assays include, but are not limited to, assays that detect ANP, proANP, NT-proANP, CNP, Kininogen, CGRP II, urotensin II, BNP, NT-proBNP, proBNP, calcitonin gene related peptide, arg-Vasopressin, Endothelin-1 (and/or Big ET-1), Endothelin-2 (and/or Big ET-2), Endothelin-3 (and/or Big ET-3), procalcitonin, calcyphosine, adrenomedullin, aldosterone, angiotensin 1 (and/or angiotensinogen 1), angiotensin 2 (and/or angiotensinogen 2), angiotensin 3 (and/or angiotensinogen 3), Bradykinin, Tachykinin-3, calcitonin, Renin, Urodilatin, and Ghrelin, and/or one or more markers related thereto.

**[0061]** Various clinical variables may also be utilized as variables in the methods described herein. Examples of such variables include urine output levels, age, the presence or absence of one or more cardiovascular risk factors such as diabetes, hypertension, smoking status, etc. This list is not meant to be limiting.

**[0062]** Suitable methods for combining markers into a single composite value that may be used as if it is a single marker are described in detail in U.S. Provisional Patent Application No. 60/436,392 filed December 24, 2002, PCT application US03/41426 filed December 23, 2003, U.S. Patent Application No. 10/331,127 filed December 27, 2002, and PCT application No. US03/41453, each of which is hereby incorporated by reference in its entirety, including all tables, figures, and claims. In an alternative, assay results may be used in an "n-of-m" type of approach. Using a two marker example of such methods, when either marker above its corresponding baseline value may signal a heart failure diagnosis or an increased risk of an adverse outcome (in n-of-m terms, this is a "1-of-2" result). If both are above the corresponding baselines (a "2-of-2" result), an even greater confidence in the subject's status may be indicated.

**[0063]** The sensitivity and specificity of a diagnostic and/or prognostic test depends on more than just the analytical "quality" of the test--they also depend on the definition of what constitutes an abnormal result. In practice, Receiver Operating Characteristic curves, or "ROC" curves, are typically calculated by plotting the value of a variable versus its relative

frequency in "normal" and "disease" populations. For any particular marker, a distribution of marker levels for subjects with and without a "disease" will likely overlap. Under such conditions, a test does not absolutely distinguish normal from disease with 100% accuracy, and the area of overlap indicates where the test cannot distinguish normal from disease. A threshold is selected, above which (or below which, depending on how a marker changes with the disease) the test is considered to be abnormal and below which the test is considered to be normal. The area under the ROC curve is a measure of the probability that the perceived measurement will allow correct identification of a condition. ROC curves can be used even when test results don't necessarily give an accurate number. As long as one can rank results, one can create an ROC curve. For example, results of a test on "disease" samples might be ranked according to degree (say 1=low, 2=normal, and 3=high). This ranking can be correlated to results in the "normal" population, and a ROC curve created. These methods are well known in the art. *See, e.g., Hanley et al., Radiology 143: 29-36 (1982).*

**[0064]** Measures of test accuracy may also be obtained as described in Fischer *et al.*, *Intensive Care Med.* 29: 1043-51, 2003, and used to determine the effectiveness of a given marker or panel of markers. These measures include sensitivity and specificity, predictive values, likelihood ratios, diagnostic odds ratios, and ROC curve areas. As discussed above, preferred tests and assays exhibit one or more of the following results on these various measures.

**[0065]** Preferably, a baseline is chosen to exhibit at least about 70% sensitivity, more preferably at least about 80% sensitivity, even more preferably at least about 85% sensitivity, still more preferably at least about 90% sensitivity, and most preferably at least about 95% sensitivity, combined with at least about 70% specificity, more preferably at least about 80% specificity, even more preferably at least about 85% specificity, still more preferably at least about 90% specificity, and most preferably at least about 95% specificity. In particularly preferred embodiments, both the sensitivity and specificity are at least about 75%, more preferably at least about 80%, even more preferably at least about 85%, still more preferably at least about 90%, and most preferably at least about 95%. The term "about" in this context refers to +/- 5% of a given measurement.

**[0066]** In other embodiments, a positive likelihood ratio, negative likelihood ratio, odds ratio, or hazard ratio is used as a measure of a test's ability to predict risk or diagnose a disease. In the case of a positive likelihood ratio, a value of 1 indicates that a positive result is equally likely among subjects in both the "diseased" and "control" groups; a value greater

than 1 indicates that a positive result is more likely in the diseased group; and a value less than 1 indicates that a positive result is more likely in the control group. In the case of a negative likelihood ratio, a value of 1 indicates that a negative result is equally likely among subjects in both the “diseased” and “control” groups; a value greater than 1 indicates that a negative result is more likely in the test group; and a value less than 1 indicates that a negative result is more likely in the control group. In certain preferred embodiments, markers and/or marker panels are preferably selected to exhibit a positive or negative likelihood ratio of at least about 1.5 or more or about 0.67 or less, more preferably at least about 2 or more or about 0.5 or less, still more preferably at least about 5 or more or about 0.2 or less, even more preferably at least about 10 or more or about 0.1 or less, and most preferably at least about 20 or more or about 0.05 or less. The term “about” in this context refers to +/- 5% of a given measurement.

**[0067]** In the case of an odds ratio, a value of 1 indicates that a positive result is equally likely among subjects in both the “diseased” and “control” groups; a value greater than 1 indicates that a positive result is more likely in the diseased group; and a value less than 1 indicates that a positive result is more likely in the control group. In certain preferred embodiments, markers and/or marker panels are preferably selected to exhibit an odds ratio of at least about 2 or more or about 0.5 or less, more preferably at least about 3 or more or about 0.33 or less, still more preferably at least about 4 or more or about 0.25 or less, even more preferably at least about 5 or more or about 0.2 or less, and most preferably at least about 10 or more or about 0.1 or less. The term “about” in this context refers to +/- 5% of a given measurement.

**[0068]** In the case of a hazard ratio, a value of 1 indicates that the relative risk of an endpoint (*e.g.*, death) is equal in both the “diseased” and “control” groups; a value greater than 1 indicates that the risk is greater in the diseased group; and a value less than 1 indicates that the risk is greater in the control group. In certain preferred embodiments, markers and/or marker panels are preferably selected to exhibit a hazard ratio of at least about 1.1 or more or about 0.91 or less, more preferably at least about 1.25 or more or about 0.8 or less, still more preferably at least about 1.5 or more or about 0.67 or less, even more preferably at least about 2 or more or about 0.5 or less, and most preferably at least about 2.5 or more or about 0.4 or less. The term “about” in this context refers to +/- 5% of a given measurement.

**[0069]** Numerous methods and devices are well known to the skilled artisan for the detection and analysis of the markers of the instant invention. With regard to polypeptides or proteins in patient test samples, immunoassay devices and methods are often used. *See, e.g.*,

U.S. Patents 6,143,576; 6,113,855; 6,019,944; 5,985,579; 5,947,124; 5,939,272; 5,922,615; 5,885,527; 5,851,776; 5,824,799; 5,679,526; 5,525,524; and 5,480,792, each of which is hereby incorporated by reference in its entirety, including all tables, figures and claims. These devices and methods can utilize labeled molecules in various sandwich, competitive, or non-competitive assay formats, to generate a signal that is related to the presence or amount of an analyte of interest. Additionally, certain methods and devices, such as biosensors and optical immunoassays, may be employed to determine the presence or amount of analytes without the need for a labeled molecule. *See, e.g.*, U.S. Patents 5,631,171; and 5,955,377, each of which is hereby incorporated by reference in its entirety, including all tables, figures and claims. One skilled in the art also recognizes that robotic instrumentation including but not limited to Beckman Access, Abbott AxSym, Roche ElecSys, Dade Behring Stratus systems are among the immunoassay analyzers that are capable of performing the immunoassays taught herein.

**[0070]** Preferably the markers are analyzed using an immunoassay, and most preferably sandwich immunoassay, although other methods are well known to those skilled in the art (for example, the measurement of marker RNA levels). The presence or amount of a marker is generally determined using antibodies specific for each marker and detecting specific binding. Any suitable immunoassay may be utilized, for example, enzyme-linked immunoassays (ELISA), radioimmunoassays (RIAs), competitive binding assays, and the like. Specific immunological binding of the antibody to the marker can be detected directly or indirectly. Biological assays such as immunoassays require methods for detection, and one of the most common methods for quantitation of results is to conjugate an enzyme, fluorophore or other molecule to form an antibody-label conjugate. Detectable labels may include molecules that are themselves detectable (*e.g.*, fluorescent moieties, electrochemical labels, metal chelates, *etc.*) as well as molecules that may be indirectly detected by production of a detectable reaction product (*e.g.*, enzymes such as horseradish peroxidase, alkaline phosphatase, *etc.*) or by a specific binding molecule which itself may be detectable (*e.g.*, biotin, digoxigenin, maltose, oligohistidine, 2,4-dinitrobenzene, phenylarsenate, ssDNA, dsDNA, *etc.*). Particularly preferred detectable labels are fluorescent latex particles such as those described in U.S. Patents 5,763,189, 6,238,931, and 6,251,687; and International Publication WO95/08772, each of which is hereby incorporated by reference in its entirety. Exemplary conjugation to such particles is described hereinafter. Direct labels include fluorescent or luminescent tags, metals, dyes, radionuclides, and the like, attached to the

antibody. Indirect labels include various enzymes well known in the art, such as alkaline phosphatase, horseradish peroxidase and the like.

**[0071]** The use of immobilized antibodies specific for the markers is also contemplated by the present invention. The term "solid phase" as used herein refers to a wide variety of materials including solids, semi-solids, gels, films, membranes, meshes, felts, composites, particles, papers and the like typically used by those of skill in the art to sequester molecules. The solid phase can be non-porous or porous. Suitable solid phases include those developed and/or used as solid phases in solid phase binding assays. *See, e.g.*, chapter 9 of *Immunoassay*, E. P. Dianiandis and T. K. Christopoulos eds., Academic Press: New York, 1996, hereby incorporated by reference. Examples of suitable solid phases include membrane filters, cellulose-based papers, beads (including polymeric, latex and paramagnetic particles), glass, silicon wafers, microparticles, nanoparticles, TentaGels, AgroGels, PEGA gels, SPOCC gels, and multiple-well plates. *See, e.g.*, Leon *et al.*, *Bioorg. Med. Chem. Lett.* 8: 2997, 1998; Kessler *et al.*, *Agnew. Chem. Int. Ed.* 40: 165, 2001; Smith *et al.*, *J. Comb. Med.* 1: 326, 1999; Orain *et al.*, *Tetrahedron Lett.* 42: 515, 2001; Papanikos *et al.*, *J. Am. Chem. Soc.* 123: 2176, 2001; Gottschling *et al.*, *Bioorg. Med. Chem. Lett.* 11: 2997, 2001. The antibodies could be immobilized onto a variety of solid supports, such as magnetic or chromatographic matrix particles, the surface of an assay plate (such as microtiter wells), pieces of a solid substrate material or membrane (such as plastic, nylon, paper), and the like. An assay strip could be prepared by coating the antibody or a plurality of antibodies in an array on solid support. This strip could then be dipped into the test sample and then processed quickly through washes and detection steps to generate a measurable signal, such as a colored spot. When multiple assays are being performed, a plurality of separately addressable locations, each corresponding to a different marker and comprising antibodies that bind the appropriate marker, can be provided on a single solid support. The term "discrete" as used herein refers to areas of a surface that are non-contiguous. That is, two areas are discrete from one another if a border that is not part of either area completely surrounds each of the two areas. The term "independently addressable" as used herein refers to discrete areas of a surface from which a specific signal may be obtained.

**[0072]** For separate or sequential assay of markers, suitable apparatuses include clinical laboratory analyzers such as the ElecSys (Roche), the AxSym (Abbott), the Access (Beckman), the ADVIA® CENTAUR® (Bayer) immunoassay systems, the NICHOLS ADVANTAGE® (Nichols Institute) immunoassay system, etc. Preferred apparatuses perform

simultaneous assays of a plurality of markers using a single test device. Particularly useful physical formats comprise surfaces having a plurality of discrete, addressable locations for the detection of a plurality of different analytes. Such formats include protein microarrays, or "protein chips" (see, e.g., Ng and Ilag, *J. Cell Mol. Med.* 6: 329-340 (2002)) and certain capillary devices (see, e.g., U.S. Patent No. 6,019,944). In these embodiments, each discrete surface location may comprise antibodies to immobilize one or more analyte(s) (e.g., a marker) for detection at each location. Surfaces may alternatively comprise one or more discrete particles (e.g., microparticles or nanoparticles) immobilized at discrete locations of a surface, where the microparticles comprise antibodies to immobilize one analyte (e.g., a marker) for detection.

**[0073]** Preferred assay devices of the present invention will comprise, for one or more assays, a first antibody conjugated to a solid phase and a second antibody conjugated to a signal development element. Such assay devices are configured to perform a sandwich immunoassay for one or more analytes. These assay devices will preferably further comprise a sample application zone, and a flow path from the sample application zone to a second device region comprising the first antibody conjugated to a solid phase.

**[0074]** Flow of a sample in an assay device along the flow path may be driven passively (*e.g.*, by capillary, hydrostatic, or other forces that do not require further manipulation of the device once sample is applied), actively (*e.g.*, by application of force generated via mechanical pumps, electroosmotic pumps, centrifugal force, increased air pressure, *etc.*), or by a combination of active and passive driving forces. Most preferably, sample applied to the sample application zone will contact both a first antibody conjugated to a solid phase and a second antibody conjugated to a signal development element along the flow path (sandwich assay format). Additional elements, such as filters to separate plasma or serum from blood, mixing chambers, *etc.*, may be included as required by the artisan. Exemplary devices are described in Chapter 41, entitled "Near Patient Tests: Triage® Cardiac System," in *The Immunoassay Handbook*, 2<sup>nd</sup> ed., David Wild, ed., Nature Publishing Group, 2001, which is hereby incorporated by reference in its entirety.

**[0075]** The analysis of markers could be carried out in a variety of physical formats as well. For example, the use of microtiter plates or automation could be used to facilitate the processing of large numbers of test samples. Alternatively, single sample formats could be

developed to facilitate immediate treatment and diagnosis in a timely fashion, for example, in ambulatory transport or emergency room settings.

**[0076]** In another embodiment, the present invention provides a kit for the analysis of markers. Such a kit preferably comprises devices and reagents for the analysis of at least one test sample and instructions for performing the assay(s) of interest. Optionally the kits may contain one or more means for using information obtained from immunoassays performed for a marker panel to rule in or out certain diagnoses or prognoses. Other measurement strategies applicable to the methods described herein include chromatography (*e.g.*, HPLC), mass spectrometry, receptor-based assays, and combinations of the foregoing.

**[0077]** The term "antibody" as used herein refers to a peptide or polypeptide derived from, modeled after or substantially encoded by an immunoglobulin gene or immunoglobulin genes, or fragments thereof, capable of specifically binding an antigen or epitope. *See, e.g. Fundamental Immunology*, 3<sup>rd</sup> Edition, W.E. Paul, ed., Raven Press, N.Y. (1993); Wilson (1994) *J. Immunol. Methods* 175:267-273; Yarmush (1992) *J. Biochem. Biophys. Methods* 25:85-97. The term antibody includes antigen-binding portions, *i.e.*, "antigen binding sites," (*e.g.*, fragments, subsequences, complementarity determining regions (CDRs)) that retain capacity to bind antigen, including (i) a Fab fragment, a monovalent fragment consisting of the VL, VH, CL and CH1 domains; (ii) a F(ab')<sub>2</sub> fragment, a bivalent fragment comprising two Fab fragments linked by a disulfide bridge at the hinge region; (iii) a Fd fragment consisting of the VH and CH1 domains; (iv) a Fv fragment consisting of the VL and VH domains of a single arm of an antibody, (v) a dAb fragment (Ward et al., (1989) *Nature* 341:544-546), which consists of a VH domain; and (vi) an isolated complementarity determining region (CDR). Single chain antibodies are also included by reference in the term "antibody."

**[0078]** Preferably, an antibody is selected that specifically binds a marker of interest. The term "specifically binds" is not intended to indicate that an antibody binds exclusively to its intended target. Rather, an antibody "specifically binds" if its affinity for its intended target is about 5-fold greater when compared to its affinity for a non-target molecule. Preferably the affinity of the antibody will be at least about 5 fold, preferably 10 fold, more preferably 25-fold, even more preferably 50-fold, and most preferably 100-fold or more, greater for a target molecule than its affinity for a non-target molecule. In preferred embodiments, Specific binding between an antibody or other binding agent and an antigen means a binding affinity of

at least  $10^6 \text{ M}^{-1}$ . Preferred antibodies bind with affinities of at least about  $10^7 \text{ M}^{-1}$ , and preferably between about  $10^8 \text{ M}^{-1}$  to about  $10^9 \text{ M}^{-1}$ , about  $10^9 \text{ M}^{-1}$  to about  $10^{10} \text{ M}^{-1}$ , or about  $10^{10} \text{ M}^{-1}$  to about  $10^{11} \text{ M}^{-1}$ .

**[0079]** Affinity is calculated as  $K_d = k_{\text{off}} / k_{\text{on}}$  ( $k_{\text{off}}$  is the dissociation rate constant,  $k_{\text{on}}$  is the association rate constant and  $K_d$  is the equilibrium constant. Affinity can be determined at equilibrium by measuring the fraction bound ( $r$ ) of labeled ligand at various concentrations ( $c$ ). The data are graphed using the Scatchard equation:  $r/c = K(n-r)$ :

where

$r$  = moles of bound ligand/mole of receptor at equilibrium;

$c$  = free ligand concentration at equilibrium;

$K$  = equilibrium association constant; and

$n$  = number of ligand binding sites per receptor molecule

By graphical analysis,  $r/c$  is plotted on the Y-axis versus  $r$  on the X-axis thus producing a Scatchard plot. The affinity is the negative slope of the line.  $k_{\text{off}}$  can be determined by competing bound labeled ligand with unlabeled excess ligand (see, e.g., U.S. Pat No. 6,316,409). The affinity of a targeting agent for its target molecule is preferably at least about  $1 \times 10^{-6}$  moles/liter, is more preferably at least about  $1 \times 10^{-7}$  moles/liter, is even more preferably at least about  $1 \times 10^{-8}$  moles/liter, is yet even more preferably at least about  $1 \times 10^{-9}$  moles/liter, and is most preferably at least about  $1 \times 10^{-10}$  moles/liter. Antibody affinity measurement by Scatchard analysis is well known in the art. See, e.g., van Erp *et al.*, *J. Immunoassay* 12: 425-43, 1991; Nelson and Griswold, *Comput. Methods Programs Biomed.* 27: 65-8, 1988.

**[0080]** The generation and selection of antibodies may be accomplished several ways. For example, one way is to purify polypeptides of interest or to synthesize the polypeptides of interest using, e.g., solid phase peptide synthesis methods well known in the art. See, e.g., Guide to Protein Purification, Murray P. Deutcher, ed., Meth. Enzymol. Vol 182 (1990); Solid Phase Peptide Synthesis, Greg B. Fields ed., Meth. Enzymol. Vol 289 (1997); Kiso *et al.*, Chem. Pharm. Bull. (Tokyo) 38: 1192-99, 1990; Mostafavi *et al.*, Biomed. Pept. Proteins Nucleic Acids 1: 255-60, 1995; Fujiwara *et al.*, Chem. Pharm. Bull. (Tokyo) 44: 1326-31, 1996. The selected polypeptides may then be injected, for example, into mice or rabbits, to generate polyclonal or monoclonal antibodies. One skilled in the art will recognize that many procedures are available for the production of antibodies, for example, as described in Antibodies, A Laboratory Manual, Ed Harlow and David Lane, Cold Spring Harbor

Laboratory (1988), Cold Spring Harbor, N.Y. One skilled in the art will also appreciate that binding fragments or Fab fragments which mimic antibodies can also be prepared from genetic information by various procedures (Antibody Engineering: A Practical Approach (Borrebaeck, C., ed.), 1995, Oxford University Press, Oxford; J. Immunol. 149, 3914-3920 (1992)).

**[0081]** In addition, numerous publications have reported the use of phage display technology to produce and screen libraries of polypeptides for binding to a selected target. See, e.g, Cwirla et al., Proc. Natl. Acad. Sci. USA 87, 6378-82, 1990; Devlin et al., Science 249, 404-6, 1990, Scott and Smith, Science 249, 386-88, 1990; and Ladner et al., U.S. Pat. No. 5,571,698. A basic concept of phage display methods is the establishment of a physical association between DNA encoding a polypeptide to be screened and the polypeptide. This physical association is provided by the phage particle, which displays a polypeptide as part of a capsid enclosing the phage genome which encodes the polypeptide. The establishment of a physical association between polypeptides and their genetic material allows simultaneous mass screening of very large numbers of phage bearing different polypeptides. Phage displaying a polypeptide with affinity to a target binds to the target and these phage are enriched by affinity screening to the target. The identity of polypeptides displayed from these enriched phage can be determined from their respective genomes. Using these methods a polypeptide identified as having a binding affinity for a desired target can then be synthesized in bulk by conventional means. See, e.g., U.S. Patent No. 6,057,098, which is hereby incorporated in its entirety, including all tables, figures, and claims.

**[0082]** The antibodies that are generated by phage display methods may then be selected by first screening for affinity and specificity with the purified polypeptide of interest and, if required, comparing the results to the affinity and specificity of the antibodies with polypeptides that are desired to be excluded from binding. The screening procedure can involve immobilization of the purified polypeptides in separate wells of microtiter plates. The solution containing a potential antibody or groups of antibodies is then placed into the respective microtiter wells and incubated for about 30 min to 2 h. The microtiter wells are then washed and a labeled secondary antibody (for example, an anti-mouse antibody conjugated to alkaline phosphatase if the raised antibodies are mouse antibodies) is added to the wells and incubated for about 30 min and then washed. Alkaline phosphatase substrate is added to the wells and a color reaction will appear where antibody to the immobilized polypeptide(s) are present.

[0083] The antibodies so identified may then be further analyzed for affinity and specificity in the assay design selected. In the development of immunoassays for a target protein, the purified target protein acts as a standard with which to judge the sensitivity and specificity of the immunoassay using the antibodies that have been selected. Because the binding affinity of various antibodies may differ; certain antibody pairs (e.g., in sandwich assays) may interfere with one another sterically, etc., assay performance of an antibody may be a more important measure than absolute affinity and specificity of an antibody.

[0084] Those skilled in the art will recognize that many approaches can be taken for producing antibodies or binding fragments and screening and selecting for affinity and specificity for the various polypeptides, but these approaches do not change the scope of the invention.

#### Examples

[0085] The following examples serve to illustrate the present invention. These examples are in no way intended to limit the scope of the invention.

#### [0086] Example 1. Biochemical Analyses

[0087] Markers were measured using standard immunoassay techniques. These techniques involve the use of antibodies to specifically bind the analyte(s) of interest.

[0088] Immunoassays were performed using TECAN Genesis RSP 200/8 or Perkin Elmer Minitrak Workstations, using microtiter-based assays, or using microfluidic devices manufactured at Biosite Incorporated essentially as described in WO98/43739, WO98/08606, WO98/21563, and WO93/24231. Analytes may be measured using a sandwich immunoassay or using a competitive immunoassay as appropriate, depending on the characteristics and concentration range of the analyte of interest.

[0089] In certain cases, multiplexed and single-assay, bead-based immunoassays were performed on human plasma (or serum) samples in microtiter plates. The primary antibody for each assay was conjugated to modified paramagnetic Luminex® beads obtained from Radix Biosolutions. Either the secondary antibodies (sandwich assays) or the antigens (competitive assays) were biotinylated. Fluorescent signals were generated using Streptavidin-R-Phycoerythrin (SA-RPE: Prozyme PJ31S). All assays were heterogeneous and required multiple washes; washes were performed in 96-well plates placed on a 96-well

magnetic ring stand (Ambion) in order to keep the paramagnetic beads from being removed. All liquid handling steps were performed with a Beckman Biomek FX.

**[0090]** In other cases, a monoclonal antibody directed against a selected analyte was biotinylated using N-hydroxysuccinimide biotin (NHS-biotin) at a ratio of about 5 NHS-biotin moieties per antibody. The antibody-biotin conjugate was then added to wells of a standard avidin 384 well microtiter plate, and antibody conjugate not bound to the plate was removed by washing. The solution containing any unbound antibody was removed, and the wells washed with a wash buffer, consisting of 20 mM borate (pH 7.42) containing 150 mM NaCl, 0.1% sodium azide, and 0.02% Tween-20. This formed the “anti-marker” in the microtiter plate. Another monoclonal antibody directed against the same analyte was conjugated to alkaline phosphatase, for example using succinimidyl 4-[*N*-maleimidomethyl]-cyclohexane-1-carboxylate (SMCC) and *N*-succinimidyl 3-[2-pyridyldithio]propionate (SPDP) (Pierce, Rockford, IL). The plasma samples (10  $\mu$ L) containing added HAMA inhibitors were pipetted into the microtiter plate wells, and incubated for 60 min. The sample was then removed and the wells washed with a wash buffer. The antibody-alkaline phosphatase conjugate was then added to the wells and incubated for an additional 60 min, after which time, the antibody conjugate was removed and the wells washed with a wash buffer. A substrate, (AttoPhos®, Promega, Madison, WI) was added to the wells, and the rate of formation of the fluorescent product is related to the concentration of the analyte in the sample tested.

**[0091]** An 8-point calibration curve was made gravimetrically by spiking each antigen into the calibration matrix. For sandwich assays, this matrix was plasma (or serum) from healthy donors; one of the eight points included free antibody to neutralize any endogenous antigen that was present. For competitive assays, this matrix was CD8 buffer (10 mmol/L Tris-HCl (pH 8.0), 150 mmol/L NaCl, 1 mmol/L MgCl<sub>2</sub>, 0.1 mmol/L ZnCl<sub>2</sub>, 10 mL/L polyvinyl alcohol (MW 9000–10 000), 10 g/L bovine serum albumin, and 1 g/L NaN<sub>3</sub>). Samples were stored in 384-well microtiter plates keep at -70° C. A source plate was made by thawing the sample plate at 37° C, and then adding replicates of the 8-point calibration curve.

**[0092]** The assays were performed at room temperature. The bead-based primary antibody solution was added to a 384-well assay plate (10ul/well) and then samples were added from the source plate (10ul/well), mixed, and incubated one hour. Note, competitive assays were run in different assay plates than the sandwich assays, and the biotinylated

antigen was added to the samples before transfer to the assay plate. Each 384-well plate was split into four 96-well plates for subsequent processing. The plates were washed as described above; the sandwich assays were incubated with biotinylated secondary antibodies and washed again. The assay mixtures were labeled with SA-RPE, washed, and read using a Luminex® LX200 reader; the median signal for each assay for was used for data reduction of each sample. The antigen concentrations were calculated using a standard curve determined by fitting a five parameter logistic function to the signals obtained for the 8-point calibration curves.

**[0093]** The assays were calibrated using purified proteins (that is either the same as or related to the selected analyte, and that can be detected in the assay) diluted gravimetrically into EDTA plasma treated in the same manner as the sample population specimens. Endogenous levels of the analyte present in the plasma prior to addition of the purified marker protein was measured and taken into account in assigning the marker values in the calibrators. When necessary to reduce endogenous levels in the calibrators, the endogenous analyte was stripped from the plasma using standard immunoaffinity methods. Calibrators were assayed in the same manner as the sample population specimens, and the resulting data used to construct a “dose-response” curve (assay signal as a function of analyte concentration), which may be used to determine analyte concentrations from assay signals obtained from subject specimens.

**[0094]** In the case of assays performed using microfluidic devices, devices used to perform assays were essentially as described in Chapter 41, entitled “Near Patient Tests: Triage® Cardiac System,” in *The Immunoassay Handbook*, 2<sup>nd</sup> ed., David Wild, ed., Nature Publishing Group, 2001.

**[0095]** For sandwich immunoassays, a plasma sample was added to the microfluidic device that contains all the necessary assay reagents, including HAMA inhibitors, in dried form. The plasma passed through a filter to remove particulate matter. Plasma entered a “reaction chamber” by capillary action. This reaction chamber contained fluorescent latex particle-antibody conjugates (hereafter called FETL-antibody conjugates) appropriate to an analyte of interest, and may contain FETL-antibody conjugates to several selected analytes. The FETL-antibody conjugates dissolved into the plasma to form a reaction mixture, which was held in the reaction chamber for an incubation period (about a minute) to allow the analyte(s) of interest in the plasma to bind to the antibodies. After the incubation period, the reaction mixture moved down the detection lane by capillary action. Antibodies to the

analyte(s) of interest were immobilized in discrete capture zones on the surface of a “detection lane.” Analyte/antibody-FETL complexes formed in the reaction chamber were captured on an appropriate detection zone to form a sandwich complex, while unbound FETL-antibody conjugates were washed from the detection lane into a waste chamber by excess plasma. The amount of analyte/antibody-FETL complex bound on a capture zone was quantified with a fluorometer (Triage® MeterPlus, Biosite Incorporated) and was related to the amount of the selected analyte in the plasma specimen.

**[0096]** Individual assays were configured to bind the following markers: WAP4C, BNP, ESAM, LTBR, Mesothelin, Syndecan-1, TROY, and PIGR. Reported units are as follows: WAP4C, ng/mL; BNP pg/mL; ESAM ng/mL; LTBR ng/mL; Mesothelin ng/mL; PIGR ng/mL; Syndecan-1 ng/mL; Troy ng/mL. Descriptive statistics are presented in the following table. “N” is the number of subjects in each group; “25<sup>th</sup>”, “50<sup>th</sup>”, and “75<sup>th</sup>” refer to the value at the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentile, respectively; “SD” is the standard deviation; SE of Mean is the standard error for the mean value.

**[0097]** Example 2. Descriptive Statistics for Assays

**Table 1**

Marker	Group	N	Min	Mean	SE of Mean	Max	25th	50th	75th	SD
BNP	Clinical Normal	112	18.0	52.0	8.1	847.2	18.0	28.8	48.6	85.9
BNP	ACC/AHA Stage A/B Asymptomatic Heart Failure	25	18.0	88.4	22.0	424.4	20.1	31.2	132.3	109.8
BNP	Symptomatic Heart Failure, NYHA I-II	35	18.0	367.2	97.8	2900.0	45.4	184.4	349.8	578.7
BNP	BNP <= 100 pg/mL Clinical Normal	98	18.0	32.2	1.9	98.8	18.0	23.4	42.6	18.4
BNP	BNP <= 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	18	18.0	29.9	3.5	75.3	18.0	27.2	35.4	14.8
BNP	BNP <= 100 pg/mL Symptomatic Heart Failure, NYHA I-II	13	18.0	41.5	6.9	83.9	20.4	41.3	59.9	24.7
ESAM	Clinical Normal	112	5.8	22.7	0.6	41.6	19.0	21.9	25.5	6.4
ESAM	ACC/AHA	25	28.2	42.7	2.4	75.5	34.3	42.1	45.6	11.9

Marker	Group	N	Min	Mean	SE of Mean	Max	25th	50th	75th	SD
	Stage A/B Asymptomatic Heart Failure									
ESAM	Symptomatic Heart Failure, NYHA I-II	35	28.6	56.0	2.9	84.3	42.5	57.2	68.4	17.4
ESAM	BNP <= 100 pg/mL Clinical Normal	98	5.8	22.0	0.6	41.4	18.7	21.8	24.2	6.0
ESAM	BNP <= 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	18	28.2	39.2	1.8	57.8	34.3	39.7	43.9	7.5
ESAM	BNP <= 100 pg/mL Symptomatic Heart Failure, NYHA I-II	13	31.7	57.6	5.3	82.0	39.2	57.5	76.7	19.0
LTBR	Clinical Normal	112	0.4	0.5	0.0	1.0	0.4	0.4	0.4	0.1
LTBR	ACC/AHA Stage A/B Asymptomatic Heart Failure	25	0.4	1.1	0.1	2.7	0.7	0.9	1.4	0.5
LTBR	Symptomatic Heart Failure, NYHA I-II	35	0.5	2.0	0.2	5.4	1.0	1.8	2.8	1.2
LTBR	BNP <= 100 pg/mL Clinical Normal	98	0.4	0.5	0.0	1.0	0.4	0.4	0.4	0.1
LTBR	BNP <= 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	18	0.4	1.0	0.1	1.8	0.6	0.8	1.3	0.4
LTBR	BNP <= 100 pg/mL Symptomatic Heart Failure, NYHA I-II	13	0.6	2.0	0.3	4.4	1.0	1.6	3.2	1.2
Mesothelin	Clinical Normal	112	0.4	5.1	0.3	22.9	2.5	4.3	6.6	3.6
Mesothelin	ACC/AHA Stage A/B Asymptomatic Heart Failure	25	1.0	27.4	4.1	87.0	12.0	20.3	38.1	20.3
Mesothelin	Symptomatic Heart Failure, NYHA I-II	35	1.7	45.7	6.0	147.6	19.5	43.8	59.0	35.4
Mesothelin	BNP <= 100 pg/mL Clinical	98	0.4	4.7	0.3	14.6	2.4	4.0	6.3	3.0

Marker	Group	N	Min	Mean	SE of Mean	Max	25th	50th	75th	SD
	Normal									
Mesothelin	BNP <= 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	18	8.5	28.3	5.0	87.0	12.4	18.9	43.8	21.2
Mesothelin	BNP <= 100 pg/mL Symptomatic Heart Failure, NYHA I-II	13	4.4	45.2	10.4	147.6	18.7	46.0	59.7	37.6
PIGR	Clinical Normal	112	10.2	58.6	2.9	169.6	40.5	52.3	68.9	30.6
PIGR	ACC/AHA Stage A/B Asymptomatic Heart Failure	25	11.1	209.8	41.4	829.4	49.7	177.2	279.6	206.8
PIGR	Symptomatic Heart Failure, NYHA I-II	35	13.1	299.8	34.1	778.5	135.3	289.5	453.2	201.7
PIGR	BNP <= 100 pg/mL Clinical Normal	98	10.2	53.8	2.5	161.1	39.2	49.6	64.4	24.4
PIGR	BNP <= 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	18	11.1	147.3	27.6	396.5	37.0	128.4	217.3	117.3
PIGR	BNP <= 100 pg/mL Symptomatic Heart Failure, NYHA I-II	13	13.1	133.2	28.7	296.5	58.3	94.7	208.7	103.4
Syndecan-1	Clinical Normal	112	0.8	2.9	0.1	11.7	2.1	2.7	3.4	1.4
Syndecan-1	ACC/AHA Stage A/B Asymptomatic Heart Failure	25	5.8	11.1	1.0	25.6	7.8	8.7	12.9	5.2
Syndecan-1	Symptomatic Heart Failure, NYHA I-II	35	3.7	15.0	1.4	36.4	10.8	14.5	15.9	8.1
Syndecan-1	BNP <= 100 pg/mL Clinical Normal	98	0.8	2.8	0.1	11.7	1.9	2.6	3.3	1.4
Syndecan-1	BNP <= 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	18	6.3	11.4	1.3	25.6	8.4	8.7	12.3	5.6
Syndecan-1	BNP <= 100 pg/mL	13	3.7	15.5	2.5	36.4	11.0	14.8	16.0	9.1

Marker	Group	N	Min	Mean	SE of Mean	Max	25th	50th	75th	SD
	Symptomatic Heart Failure, NYHA I-II									
Troy	Clinical Normal	112	0.2	0.5	0.0	1.4	0.4	0.5	0.6	0.2
Troy	ACC/AHA Stage A/B Asymptomatic Heart Failure	25	0.4	1.1	0.1	3.4	0.7	0.9	1.2	0.6
Troy	Symptomatic Heart Failure, NYHA I-II	35	0.5	1.6	0.1	3.7	1.1	1.4	1.8	0.8
Troy	BNP <= 100 pg/mL Clinical Normal	98	0.2	0.5	0.0	1.4	0.4	0.5	0.6	0.2
Troy	BNP <= 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	18	0.4	1.0	0.1	2.2	0.7	0.9	1.1	0.4
Troy	BNP <= 100 pg/mL Symptomatic Heart Failure, NYHA I-II	13	0.6	1.4	0.2	2.9	0.9	1.4	1.8	0.7

**Table 2**

Marker	Group	N	Min	Max	Median	Mean	SE of mean
BNP	CVD Death Yes	275	30.0	2600.0	69.2	132.0	13.0
BNP	CVD Death No	1464	30.0	2600.0	30.0	73.6	3.3
BNP	All	1739	30.0	2600.0	35.5	82.8	3.5
ESAM	CVD Death Yes	275	9.1	71.2	31.0	31.9	0.5
ESAM	CVD Death No	1464	0.2	75.8	28.1	28.9	0.2
ESAM	All	1739	0.2	75.8	28.5	29.4	0.2
LTBR	CVD Death Yes	275	0.3	4.9	0.3	0.5	0.0
LTBR	CVD Death No	1464	0.3	18.2	0.3	0.5	0.0
LTBR	All	1739	0.3	18.2	0.3	0.5	0.0
Mesothelin	CVD Death Yes	275	0.5	275.5	9.6	13.2	1.1
Mesothelin	CVD Death No	1464	0.1	380.0	7.7	11.4	0.5
Mesothelin	All	1739	0.1	380.0	8.0	11.7	0.4
PIGR	CVD Death Yes	275	14.5	605.4	110.1	128.1	4.7
PIGR	CVD Death No	1466	4.8	715.7	81.0	94.4	1.6
PIGR	All	1741	4.8	715.7	84.2	99.7	1.6
Syndecan-1	CVD Death Yes	275	0.9	20.1	3.9	4.4	0.1
Syndecan-1	CVD Death No	1465	0.2	35.2	3.8	4.6	0.1
Syndecan-1	All	1740	0.2	35.2	3.8	4.6	0.1
Troy	CVD Death Yes	275	0.2	3.9	0.8	0.9	0.0
Troy	CVD Death No	1463	0.0	9.6	0.6	0.7	0.0
Troy	All	1738	0.0	9.6	0.6	0.7	0.0

**[0098]**      Example 2. Use of biomarkers to diagnose heart failure

**[0099]**      Two cohorts were defined as described in each of the following tables. The ability to distinguish Cohort 1 from Cohort 2 was determined using ROC analysis. The meaning and use of the area under a receiver operating characteristic (ROC) curve is described in Radiology (1982) 143: 29-36 (the contents of which are incorporated herein by reference). An AUC < 0.5 is indicative of a negative going marker (meaning the marker falls in Cohort 2 as compared to Cohort 1) for the comparison, and an AUC > 0.5 is indicative of a positive going marker (meaning the marker rises in Cohort 2 as compared to Cohort 1) for the comparison.

**Table 3:**

<b>N, Cohort 1: Clinical Normal</b>	<b>N, Cohort 2: Symptomatic Heart Failure, NYHA I-II</b>	<b>Biomarker</b>	<b>ROC AUC</b>	<b>ROC AUC 95% CI</b>			<b>P-value:</b>
112	35	BNP	0.81	0.71	-	0.98	< 0.0001
112	35	ESAM	0.98	0.96	-	1.00	< 0.0001
112	35	LTBR	0.99	0.98	-	1.00	< 0.0001
112	35	Mesothelin	0.94	0.88	-	1.00	< 0.0001
112	35	Syndecan-1	0.98	0.97	-	1.00	< 0.0001

**Table 4:**

<b>N, Cohort 1: BNP &lt;= 100 pg/mL Clinical Normal</b>	<b>BNP &lt;= 100 pg/mL Symptomatic Heart Failure, NYHA I/II</b>	<b>Biomarker</b>	<b>ROC AUC</b>	<b>ROC AUC 95% CI</b>			<b>P-value:</b>
98	13	BNP	0.59	0.397	-	0.785	0.1791
98	13	ESAM	0.99	0.97	-	1.00	< 0.0001
98	13	LTBR	0.99	0.98	-	1.00	< 0.0001
98	13	Mesothelin	0.95	0.88	-	1.00	< 0.0001
98	13	Syndecan-1	0.98	0.94	-	1.00	< 0.0001

**Table 5:**

N, Cohort 1: Clinical Normal	N, Cohort 2: ACC/AHA Stage A/B Asymptomatic Heart Failure	Biomarker	ROC AUC	ROC AUC 95% CI			P-value: H0: Area ≤ 0.5. H1: Area > 0.5.
112	25	BNP	0.54	0.39	-	0.69	0.2964
112	25	ESAM	0.97	0.94	-	0.99	< 0.0001
112	25	LTBR	0.89	0.78	-	1.00	< 0.0001
112	25	Mesothelin	0.93	0.85	-	1.00	< 0.0001
112	25	Syndecan-1	0.99	0.98	-	1.00	< 0.0001

**Table 6:**

N, Cohort 1: BNP ≤ 100 pg/mL Clinical Normal	N, Cohort 2: BNP ≤ 100 pg/mL ACC/AHA Stage A/B Asymptomatic Heart Failure	Biomarker	ROC AUC	ROC AUC 95% CI			P-value: H0: Area ≤ 0.5. H1: Area > 0.5.
98	18	BNP	0.57	0.26	-	0.59	0.8088
98	18	ESAM	0.97	0.95	-	1.00	< 0.0001
98	18	LTBR	0.91	0.80	-	1.00	< 0.0001
98	18	Mesothelin	0.98	0.96	-	1.00	< 0.0001
98	18	Syndecan-1	0.99	0.98	-	1.00	< 0.0001

**[0100]** As can be seen by these results, each of BNP, ESAM, LTBR, Mesothelin, and Syndecan-1 is able to distinguish NYHA class 1 or 2 heart failure patients from clinically normal individuals (Table 1). It is notable that ESAM, LTBR, Mesothelin, and Syndecan-1 each demonstrate a superior ROC area to BNP, which is currently the best established heart failure marker in clinical use. Importantly, each of ESAM, LTBR, Mesothelin, and Syndecan-1 are able to identify NYHA class 1 or 2 heart failure patients with a plasma BNP level ≤ 100 pg/mL (a level often considered to be diagnostically negative for heart failure) with high confidence (Table 2).

**[0101]** Also, in those patients adjudged clinically as having ACC/AHA Stage A/B asymptomatic heart failure, BNP is an extremely poor diagnostic marker (Tables 3 and 4), with a ROC area that is not significantly better than random. In contrast, each of ESAM,

LTBR, Mesothelin, and Syndecan-1 are able to identify such asymptomatic heart failure with high confidence.

Example 3. Use of biomarkers prognostically

**[0102]** We computed adjusted odds ratios (AOR) for CVD and CHD death by marker level quartile, normalized to first quartile odds. For the fourth quartile, the AOR can be expressed as in the following equation:

$$AOR(Q4) = \frac{\frac{P(+|Q4, X)}{P(-|Q4, X)}}{\frac{P(+|Q1, X)}{P(-|Q1, X)}}$$

**[0103]** In the equation,  $P(+|Q4, X)$  is the probability of death, given that the subject's marker level fell within the fourth quartile, and that the value of the covariates to be adjusted for (e.g. age, gender) is  $X$  for all subjects used in the calculation. The numerator and denominator are the odds of death versus survival for the fourth and first quartiles respectively. We also used follow-up data on the clinical endpoints CVD and CHD death to compute empirical survival probabilities. We also modeled these data using Cox proportional hazards (CPH) regression [2], which allowed us to estimate the impact of marker level, age, gender, etc. on survival. Empirical estimates of the survival probability were computed using the Kaplan-Meier method, which accounts for censored data (i.e. subjects that exit the study due to causes other than the endpoint of interest). Appropriate methods which may be used for the analysis may be found in Dupont, William Dudley; Statistical modeling for biomedical researchers: a simple introduction to the analysis of complex data; Cambridge University Press; 2002; Collett, David; Modeling survival data in medical research; CRC Press; 2003; and Bender, Ralf, Augustin, Thomas and Blettner, Maria; Statistics in Medicine; 24; 1713; 2005. (The contents of which are incorporated herein by reference).

**[0104]** Risk of cardiovascular disease death; International Classification of Disease - 9th Revision criteria. CVD death included deaths assigned codes 390 through 459:

**[0105] Table 7**

Biomarker	Unadjusted exp(Beta)	Unadjusted P-Value	Unadjusted Hazard Ratio quartile 4 v. quartile 1	BNP-adjusted exp(Beta)	BNP-adjusted P-Value	BNP-adjusted Hazard Ratio quartile 4 v. quartile 1
Troy	1.6	1.66E-10	4.1	1.5	4.41E-09	3.7
PIGR	1.7	2.68E-13	5.2	1.7	1.01E-11	4.7
BNP	1.6	5.35E-14	4.3	N/A	N/A	N/A

**[0106]** It is noted in these data that TROY and PIGR remain statistically significant predictors of mortality following adjustment of the model for BNP concentrations.

**[0107]** Example 4. Use of WAP4C prognostically

**[0108]** The following study utilizes patents from the Coordinating Study Evaluating Outcomes of Advising and Counseling in Heart Failure (COACH) study, a multicenter, randomized, controlled trial in which 1023 patients were enrolled after hospitalization because of HF. See, *Arch. Intern. Med.* 168: 316-24, 2008. Patients were assigned to 1 of 3 groups: a control group (follow-up by a cardiologist) and 2 intervention groups with additional basic or intensive support by a nurse specializing in management of patients with HF. Patients were studied for 18 months. Primary end points were time to death or rehospitalization because of HF and the number of days lost to death or hospitalization.

**[0109]** A baseline WAP four-disulfide core domain protein 2 measurement was obtained from the COACH subjects. The baseline draw was taken after randomization to either the care or active intervention pathway as described above, which was to have occurred within 2 days of HF admission. Descriptive statistics obtained from this measurement are presented in the following table. “N” is the number of subjects in each group; “25<sup>th</sup>”, “50<sup>th</sup>”, and “75<sup>th</sup>” refer to the value at the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentile, respectively; “SD” is the standard deviation; SE of Mean is the standard error for the mean value.

[01010] Table 8

	<b>NO DEATH</b>	<b>NO HF re hosp</b>	<b>NO DEATH, NO HF re hosp</b>	<b>DEATH, all cause</b>	<b>HF re hosp</b>	<b>DEATH, all cause OR HF re hosp</b>
N	479	419	327	92	148	240
0th percentile	0.71	0.71	0.71	2.22	1.03	1.03
25th percentile	3.18	3.15	2.87	4.87	4.06	4.41
50th percentile	5.17	5.17	4.67	7.66	7.94	7.81
75th percentile	9.26	8.69	7.66	17.17	12.29	13.93
100th percentile	42.72	63.26	33.94	63.26	30.19	63.26
Mean	7.112	7.47	6.06	12.50	9.10	10.41
SE	0.262	0.35	0.27	1.16	0.51	0.55
Variance	32.60	51.93	22.96	123.49	38.75	73.60
SD	5.71	7.21	4.79	11.11	6.22	8.58

[01011] The ability of the baseline WAP four-disulfide core domain protein 2 measurement to identify outcome risk was determined. We computed adjusted odds ratios (AOR) for CVD and CHD death by marker level quartile, normalized to first quartile odds. For the fourth quartile, the AOR can be expressed as in the following equation:

$$AOR(Q4) = \frac{\frac{P(+|Q4, X)}{P(-|Q4, X)}}{\frac{P(+|Q1, X)}{P(-|Q1, X)}}$$

[0110] In the equation, P(+|Q4,X) is the probability of death, given that the subject’s marker level fell within the fourth quartile, and that the value of the covariates to be adjusted for (e.g. age, gender) is X for all subjects used in the calculation. The numerator and denominator are the odds of death versus survival for the fourth and first quartiles respectively. We also used follow-up data on the clinical endpoints CVD and CHD death to compute empirical survival probabilities. We also modeled these data using Cox proportional hazards (CPH) regression [2], which allowed us to estimate the impact of marker level, age, gender, etc. on survival. Empirical estimates of the survival probability were computed using

the Kaplan-Meier method, which accounts for censored data (i.e. subjects that exit the study due to causes other than the endpoint of interest).

**[0111] Table 9**

<b>Event: HF rehospitalization or death (all cause)</b>		
	<b>Hazard ratio (3rd vs. 1st tertiles)</b>	<b>P-Value</b>
WAP4C	3.30	1.8E-12
WAP4C, adjusted for COACH treatment group, age, gender, NYHA class at enrollment	2.80	4.0E-08
WAP4C, adjusted for COACH treatment group, age, gender, NYHA class at enrollment, and BNP	2.26	2.7E-05
WAP4C, adjusted for COACH treatment group, age, gender, diabetes, LVEF, and NYHA class at enrollment	2.65	1.6E-06
WAP4C, adjusted for COACH treatment group, age, gender, diabetes, LVEF, NYHA class at enrollment, and BNP	2.00	1.3E-03

**Table 10**

<b>Event: HF rehospitalization</b>		
	<b>Hazard ratio (3rd vs. 1st tertiles)</b>	<b>P-Value</b>
WAP4C	2.83	3.3E-07
WAP4C, adjusted for COACH treatment group, age, gender, NYHA class at enrollment	2.70	1.3E-05
WAP4C, adjusted for COACH treatment group, age, gender, NYHA class at enrollment, and BNP	2.32	3.6E-04
WAP4C, adjusted for COACH treatment group, age, gender, diabetes, LVEF, and NYHA class at enrollment	2.79	2.3E-05
WAP4C, adjusted for COACH treatment group, age, gender, diabetes, LVEF, NYHA class at enrollment, and BNP	2.27	1.4E-03

**Table 11**

<b>Event: HF rehospitalization or death (all cause)</b>		
	<b>Odds Ratio (3rd v.1st tertile)</b>	<b>P-Value</b>
WAP4C	4.21	<0.001
WAP4C, adjusted for COACH treatment group, age, and gender	3.26	<0.001
WAP4C, adjusted for COACH treatment group, age, gender, NYHA class at enrollment, and BNP	2.69	<0.001

**Table 12**

<b>Event: HF rehospitalization</b>		
	<b>Odds Ratio (3rd v.1st tertile)</b>	<b>P-Value</b>
WAP4C	2.47	<0.001
WAP4C, adjusted for COACH treatment group, age, and gender	2.37	0.001
WAP4C, adjusted for COACH treatment group, age, gender, NYHA class at enrollment, and BNP	2.21	0.005

**Table 13**

<b>Clinical Dichotomy</b>	<b>AUC (confidence interval)</b>	<b>S.E.</b>	<b>p-Value</b>	<b>N (control)</b>	<b>N (disease)</b>
HF rehospitalization or death (all cause)	0.69 (0.64-0.73)	0.023	<0.001	327	240
HF rehospitalization or death (all cause) (T > 180 days)	0.61 (0.55-0.68)	0.032	<0.001	321	101
HF rehospitalization or death (all cause) (T <= 180 days)	0.72 (0.67-0.77)	0.026	<0.001	428	139
HF rehospitalization	0.61 (0.56-0.66)	0.027	<0.001	419	148
HF rehospitalization (T > 180 days)	0.60 (0.52-0.67)	0.037	0.005	353	69
HF rehospitalization (T <= 180 days)	0.66 (0.59-0.72)	0.034	<0.001	488	79

**[0112]** The following study utilizes patents from the Heart and Soul study (Whooley *et al.*, *J. Am. Med. Assoc.* 300: 2379-2388, 2008). The patient population consisted of outpatients with documented coronary artery disease identified from databases at two Department of

Veterans Affairs Medical Centers (San Francisco VA Medical Center and the VA Palo Alto Health Care System, California), one university medical center (University of California, San Francisco), and nine public health clinics in the Community Health Network of San Francisco. Patients were eligible to participate if they had at least one of the following: a history of myocardial infarction, angiographic evidence of at least 50% stenosis in one or more coronary vessels, prior evidence of exercise-induced ischemia by treadmill or nuclear testing, a history of coronary revascularization, or a diagnosis of coronary artery disease documented by an internist or cardiologist. Between September 11, 2000, and December 20, 2002, a total of 1024 participants were enrolled: 240 from the public health clinics, 346 from the university medical center, and 438 from the VA medical centers.

**[0113]** Clinical endpoints of interest were cardiovascular death, cardiovascular Hospitalization, and heart failure. Plasma BNP, WAP4C, and the combined results of these two markers measured at enrollment were used to assess risk of an event over a 10 year follow-up period.

**[0114] Table 14**

<b>Event: HF rehospitalization and/or all-cause death</b>		
	<b>Hazard ratio (3rd vs 1st tertile)</b>	<b>P-value</b>
WAP4C	4.9162	2.06E-25
WAP4C, adjusted for age and gender	3.9068	1.30E-16
WAP4C, adjusted for age, gender, and BNP	3.2658	3.75E-12
WAP4C, adjusted for age, gender, diabetes, and LVEF	3.4416	4.98E-14
WAP4C, adjusted for age, gender, diabetes, LVEF, and BNP	2.9954	1.08E-10

**Table 15**

<b>Event: HF rehospitalization</b>		
	<b>Hazard ratio (3rd vs 1st tertile)</b>	<b>P-value</b>
WAP4C	6.8519	7.57E-14
WAP4C, adjusted for age and gender	5.4647	4.95E-10
WAP4C, adjusted for age, gender, and BNP	3.8442	2.25E-06
WAP4C, adjusted for age, gender, diabetes, and LVEF	4.3588	4.88E-08
WAP4C, adjusted for age, gender, diabetes, LVEF, and BNP	3.2062	4.04E-05

**Table 16**

<b>Event: HF rehospitalization and/or all-cause death</b>		
	<b>Odds ratio (3rd vs 1st tertile)</b>	<b>P-value</b>
WAP4C	7.6886	6.60E-28
WAP4C, adjusted for age and gender	5.8193	2.18E-19
WAP4C, adjusted for age, gender, and BNP	4.3416	4.52E-13

**Table 17**

<b>Event: HF rehospitalization</b>		
	<b>Odds ratio (3rd vs 1st tertile)</b>	<b>P-value</b>
WAP4C	7.1342	4.30E-13
WAP4C, adjusted for age and gender	5.6849	8.12E-10
WAP4C, adjusted for age, gender, and BNP	3.5182	2.31E-05

**Table 18**

<b>Clinical dichotomy</b>	<b>AUC (confidence interval)</b>	<b>S.E.</b>	<b>P-value</b>	<b>N control</b>	<b>N disease</b>
HF rehospitalization and/or all-cause death	0.738 (0.706-0.770)	0.016	<0.001	607	374
HF rehospitalization and/or all-cause death (T > 180 days)	0.727 (0.694-0.760)	0.017	<0.001	607	345
HF rehospitalization and/or all-cause death (T <= 180 days)	0.797 (0.731-0.863)	0.034	<0.001	952	29
HF rehospitalization	0.732 (0.691-0.772)	0.021	<0.001	822	159
HF rehospitalization (T > 180 days)	0.720 (0.676-0.764)	0.022	<0.001	822	137
HF rehospitalization (T <= 180 days)	0.778 (0.700-0.857)	0.04	<0.001	959	22

**Table 19**

<b>Event: HF rehospitalization</b>						
<b>Marker</b>	<b>AUC</b>	<b>95% LCI</b>	<b>95% UCI</b>	<b>SE</b>	<b>ND</b>	<b>D</b>
BNP + WAP4C*	0.814	0.780	0.848	0.017	822	159
BNP	0.798	0.761	0.835	0.019	822	159
WAP4C	0.732	0.691	0.772	0.021	822	159

**Table 20**

<b>Event: all-cause death, MI, HF rehospitalization, stroke, or transient ischemic attack</b>						
<b>Marker</b>	<b>AUC</b>	<b>95% LCI</b>	<b>95% UCI</b>	<b>SE</b>	<b>ND</b>	<b>D</b>
BNP + WAP4C*	0.751	0.721	0.782	0.016	560	421
WAP4C	0.729	0.697	0.760	0.016	560	421
BNP	0.686	0.653	0.720	0.017	560	421

\*Multiple logistic regression model

**Table 21**

<b>Event: HF rehospitalization</b>					
<b>Comparison<sup>†</sup></b>	<b>AUC difference</b>	<b>95% LCI</b>	<b>95% UCI</b>	<b>SE</b>	<b>p</b>
BNP + WAP4C vs WAP4C	0.082	0.042	0.122	0.021	<0.0001
BNP vs WAP4C	0.066	0.018	0.114	0.025	0.0075
BNP + WAP4C vs BNP	0.016	0.004	0.028	0.006	0.0110

**Table 22**

<b>Event: all-cause death, MI, HF rehospitalization, stroke, or transient ischemic attack</b>					
<b>Comparison<sup>†</sup></b>	<b>AUC difference</b>	<b>95% LCI</b>	<b>95% UCI</b>	<b>SE</b>	<b>p</b>
BNP + WAP4C vs BNP	0.065	0.043	0.086	0.011	<0.0001
BNP + WAP4C vs WAP4C	0.023	0.003	0.042	0.010	0.0218
WAP4C vs BNP	0.042	0.006	0.079	0.019	0.0226

<sup>†</sup> deLong, deLong, Clarke-Pearson method for contrast between pairs of ROC AUCs

**[0115]** One skilled in the art will readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. The examples provided herein are representative of preferred embodiments, are exemplary, and are not intended as limitations on the scope of the invention.

**[0116]** It will be readily apparent to a person skilled in the art that varying substitutions and modifications may be made to the invention disclosed herein without departing from the scope and spirit of the invention.

**[0117]** All patents and publications mentioned in the specification are indicative of the levels of those of ordinary skill in the art to which the invention pertains. All patents and publications are herein incorporated by reference to the same extent as if each individual publication was specifically and individually indicated to be incorporated by reference.

**[0118]** The invention illustratively described herein suitably may be practiced in the absence of any element or elements, limitation or limitations which is not specifically disclosed herein. Thus, for example, in each instance herein any of the terms “comprising”, “consisting essentially of” and “consisting of” may be replaced with either of the other two terms. The terms and expressions which have been employed are used as terms of description and not of limitation, and there is no intention that in the use of such terms and expressions of excluding any equivalents of the features shown and described or portions thereof, but it is recognized that various modifications are possible within the scope of the invention claimed. Thus, it should be understood that although the present invention has been specifically disclosed by preferred embodiments and optional features, modification and variation of the concepts herein disclosed may be resorted to by those skilled in the art, and that such modifications and variations are considered to be within the scope of this invention as defined by the appended claims.

**[0119]** Other embodiments are set forth within the following claims.

We claim:

1. A method of diagnosing heart failure in a subject, comprising:

performing one or more assays selected from the group consisting of an assay that detects WAP four-disulfide core domain protein 2, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid sample obtained from said subject, thereby providing one or more assay result(s); and

assigning a diagnosis that the subject has or does not have heart failure based on the assay result(s) obtained.

2. A method according to claim 1, further comprising performing one or more additional assay(s) selected from the group consisting of an assay that detects BNP, -an assay that detects NT-proBNP, and an assay that detects proBNP on a body fluid sample obtained from said subject, thereby providing one or more additional assay result(s); and

assigning a diagnosis that the subject has or does not have heart failure based on the assay result(s) obtained and on the additional assay result(s) obtained.

3. A method according to claim 1, wherein the assigning step comprises comparing each assay result obtained to a corresponding threshold level; and

assigning an increased likelihood that the subject has heart failure when the assay result is greater than the threshold, relative to a risk assigned when the assay result is less than the threshold level, or by assigning a decreased likelihood that the subject has heart failure when the assay result is less than the threshold, relative to a risk assigned when the assay result is greater than the threshold level.

4. A method according to claim 1, wherein the threshold level is a level obtained from the subject at a time earlier than the time at which the body fluid sample used to provide the assay result was obtained.

5. A method according to claim 1, wherein the threshold level is determined from a first population of subjects suffering from heart failure, and the threshold level is selected to separate said population from a second population not suffering from heart failure.

6. A method according to claim 3, wherein the threshold level separates said first population from said second population with an odds ratio of at least 2 or more or 0.5 or less.

7. A method according to claim 3, wherein the threshold level separates said first population from said second population with an odds ratio of at least 3 or more or 0.33 or less.

8. A method according to claim 1, wherein the body fluid sample is selected from the group consisting of urine, blood, serum, and plasma.

9. A method of diagnosing heart failure in a subject having a measured level of BNP, NTproBNP, or proBNP which is not indicative of a heart failure diagnosis, comprising:

performing one or more assays selected from the group consisting of an assay that detects WAP four-disulfide core domain protein 2, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid sample obtained from said subject, thereby providing one or more assay result(s); and

assigning a diagnosis that the subject has or does not have heart failure based on the assay result(s) obtained.

10. A method of diagnosing heart failure in a subject not exhibiting symptomatic heart failure, comprising:

performing one or more assays selected from the group consisting of an assay that detects WAP four-disulfide core domain protein 2, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid sample obtained from said subject, thereby providing one or more assay result(s); and

assigning a diagnosis that the subject has or does not have heart failure based on the assay result(s) obtained.

11. A method of diagnosing heart failure in a subject not exhibiting symptomatic heart failure, comprising:

performing one or more assays selected from the group consisting of an assay that detects WAP four-disulfide core domain protein 2, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid sample obtained from said subject, thereby providing one or more assay result(s); and

assigning a diagnosis that the subject has or does not have heart failure based on the assay result(s) obtained.

12. A method according to one of claims 9, 10, or 11, wherein the assigning step comprises comparing each assay result obtained to a corresponding threshold level; and  
assigning an increased likelihood that the subject has heart failure when the assay result is greater than the threshold, relative to a risk assigned when the assay result is less than the threshold level, or by assigning a decreased likelihood that the subject has heart failure when the assay result is less than the threshold, relative to a risk assigned when the assay result is greater than the threshold level.
13. A method according to one of claims 9, 10, or 11, wherein the threshold level is a level obtained from the subject at a time earlier than the time at which the body fluid sample used to provide the assay result was obtained.
14. A method according to one of claims 9, 10, or 11, wherein the threshold level is determined from a first population of subjects suffering from heart failure, and the threshold level is selected to separate said population from a second population not suffering from heart failure.
15. A method according to claim 12, wherein the threshold level separates said first population from said second population with an odds ratio of at least 2 or more or 0.5 or less.
16. A method according to claim 12, wherein the threshold level separates said first population from said second population with an odds ratio of at least 3 or more or 0.33 or less.
17. A method according to one of claims 9, 10, or 11, wherein the body fluid sample is selected from the group consisting of urine, blood, serum, and plasma.
18. A method of assigning one or more of a mortality risk due to cardiovascular disease, a risk of myocardial infarction, a risk of rehospitalization due to heart failure, a risk of stroke, or a risk of a transient ischemic attack to a subject having clinically apparent coronary heart disease, comprising:  
performing one or more assays selected from the group consisting of an assay that detects WAP four-disulfide core domain protein 2, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid sample obtained from said subject, thereby providing one or more assay result(s); and  
assigning the risk to the subject based on the assay result(s) obtained.

19. A method according to claim 18, further comprising performing one or more additional assay(s) selected from the group consisting of an assay that detects BNP, an assay that detects NT-proBNP, and an assay that detects proBNP on a body fluid sample obtained from said subject, thereby providing one or more additional assay result(s); and

assigning a mortality risk to the subject based on the assay result(s) obtained and on the additional assay result(s) obtained.

20. A method according to claim 18, wherein the assigning step comprises comparing each assay result obtained to a corresponding threshold level; and

assigning an increased mortality risk to the subject when the assay result is greater than the threshold, relative to a risk assigned when the assay result is less than the threshold level, or by assigning a decreased mortality risk to the subject when the assay result is less than the threshold, relative to a risk assigned when the assay result is greater than the threshold level.

21. A method according to claim 18, wherein the threshold level is a level obtained from the subject at a time earlier than the time at which the body fluid sample used to provide the assay result was obtained.

22. A method according to claim 18, wherein the threshold level is determined from a first population of subjects suffering from heart failure, and the threshold level is selected to separate said population from a second population not suffering from heart failure.

23. A method according to claim 20, wherein the threshold level separates said first population from said second population with an odds ratio of at least 2 or more or 0.5 or less.

24. A method according to claim 20, wherein the threshold level separates said first population from said second population with an odds ratio of at least 3 or more or 0.33 or less.

25. A method according to claim 18, wherein the body fluid sample is selected from the group consisting of urine, blood, serum, and plasma.

26. A method of assigning one or more of a mortality risk due to cardiovascular disease, a risk of myocardial infarction, a risk of rehospitalization due to heart failure, a risk of stroke, or a risk of a transient ischemic attack to a subject having clinically apparent heart failure, comprising:

performing one or more assays selected from the group consisting of an assay that detects WAP four-disulfide core domain protein 2, an assay that detects ESAM, an assay that detects LTBR, an assay that detects Mesothelin, an assay that detects Syndecan-1, an assay that detects TROY, and an assay that detects PIGR on a body fluid sample obtained from said subject, thereby providing one or more assay result(s); and

assigning the risk to the subject based on the assay result(s) obtained.

27. A method according to claim 26, further comprising performing one or more additional assay(s) selected from the group consisting of an assay that detects BNP, an assay that detects NT-proBNP, and an assay that detects proBNP on a body fluid sample obtained from said subject, thereby providing one or more additional assay result(s); and

assigning a mortality risk to the subject based on the assay result(s) obtained and on the additional assay result(s) obtained.

28. A method according to claim 26, wherein the assigning step comprises comparing each assay result obtained to a corresponding threshold level; and

assigning an increased mortality risk to the subject when the assay result is greater than the threshold, relative to a risk assigned when the assay result is less than the threshold level, or by assigning a decreased mortality risk to the subject when the assay result is less than the threshold, relative to a risk assigned when the assay result is greater than the threshold level.

29. A method according to claim 26, wherein the threshold level is a level obtained from the subject at a time earlier than the time at which the body fluid sample used to provide the assay result was obtained.

30. A method according to claim 26, wherein the threshold level is determined from a first population of subjects suffering from heart failure, and the threshold level is selected to separate said population from a second population not suffering from heart failure.

31. A method according to claim 28, wherein the threshold level separates said first population from said second population with an odds ratio of at least 2 or more or 0.5 or less.

32. A method according to claim 28, wherein the threshold level separates said first population from said second population with an odds ratio of at least 3 or more or 0.33 or less.
33. A method according to claim 26, wherein the body fluid sample is selected from the group consisting of urine, blood, serum, and plasma.

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#### 摘要(译)

本发明部分涉及诊断心力衰竭的发生,特别是在表现出利尿钠肽的正常体液水平的受试者中。本发明还部分涉及将受试者的结果风险(例如,心脏功能或死亡风险恶化,再入院的风险)分配给受试者。该方法包括进行一种或多种检测,所述检测检测选自对受试者获得的体液样品进行的WAP4C, ESAM, LTBR, 间皮素和Syndecan-1组成的组中的一种或多种生物标志物,并基于,分配诊断或风险,至少部分地,取决于由此获得的结果。

