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(54) **METHODS FOR ANALYZING RARE CIRCULATING CELLS**

VERFAHREN ZUR ANALYSE VON SELTENEN ZIRKULIERENDEN ZELLEN

MÉTHODES POUR ANALYSER DES CELLULES CIRCULANTES RARES

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Description

[0001] The present disclosure relates generally to methods for the diagnosis of diseases such as cancer or cardiovascular diseases and, more specifically, to methods for the molecular and cellular analysis of rare circulating cells (RCCs), such as Circulating Tumor Cells (CTCs) or Circulating Endothelial Cells (CECs).

BACKGROUND

[0002] Certain types of rare cells circulating in the bloodstream (rare circulating cells, RCCs) have recently emerged as highly promising biomarker candidates in a growing number of disease conditions. For example, Circulating Tumor Cells (CTCs) are considered promising diagnostic and prognostic markers for the monitoring of cancer progression and anti-cancer treatment responses. Moreover, Circulating Endothelial Cells (CECs) are considered promising diagnostic and prognostic markers in cardiovascular disease conditions, such as acute myocardial infarction.

[0003] RCCs can be conveniently collected in blood samples ("liquid biopsy"), which enables repeated sampling throughout the course of a patient's disease progression or treatment regimen. Consequently, diagnostic methods based on RCC detection, quantification and analysis enable the real-time and personalized assessment of an individual patient's disease, which facilitates the design of personalized treatment plans.

[0004] However, the development of full biomarker utility of RCCs has been hindered by the lack of assay technologies that can accurately and robustly identify and enumerate RCCs and also allow for the downstream analysis of RCC cell biology (e.g., gene expression, metabolic activity, protein localization, RNA localization) and RCC molecular biology (e.g., genome, proteome, secretome, metabolome analysis). Especially the extremely low abundance of RCCs and the tremendous heterogeneity of RCC populations have posed substantial technical challenges for the development of reliable diagnostic assays.

[0005] Most existing RCC assay platforms lack the sensitivity and accuracy to allow for robust RCC identification and quantification. Moreover, the vast majority of RCC assay platforms do not allow for the detailed cellular or molecular analysis of RCCs once these cells have been identified and enumerated.

[0006] For example, many methods for RCC identification and quantification rely on flow cytometry (e.g., FACS) or immunocapture technologies (e.g., CellSearch®). While flow cytometry generally enables cell sorting, it cannot robustly enumerate very small populations of cells, such as CTCs or CECs (~1-10 CTCs/ml whole blood), in the presence of much more abundant cell populations, such as the white blood cell population (WBC; >1 million CTCs/ml whole blood). Additionally, FACS-based methods do not allow for the in-depth anal-

ysis of cell morphologies. Chuanli Ren et al. (Cancer biology and Therapy, 2011) describes a method for analyzing RCC in blood samples using quenching buffers.

[0007] One prominent example of RCC immunocapture platforms is the CellSearch® platform, which has obtained FDA-approval for the monitoring of metastatic cancer patients. The CellSearch® CTC immunocapture assay has recently been adapted for CEC detection (see, e.g., Damani, et al., 2012, Sci. Transl. Med. 4, 126 ra33). However, CellSearch® and related immunocapture platforms require an initial immunomagnetic bead-based capture step that targets a single biomarker to enrich the very rare RCCs in a sample prior to an attempted identification and quantification. It is this initial, targeted enrichment step that render an unbiased multi-parametric analysis and classification of heterogeneous RCC populations impossible and that prevents any analysis from reaching much beyond the analysis of the single biomarker used for cell capture. Moreover, RCC-targeted immunocapture assays are often plagued by a lack of assay sensitivity and specificity.

[0008] Due to the limitations of many existing assay technologies, the RCC levels reported for human blood samples vary greatly across the literature, even though substantial assay optimization and standardization efforts were made. This variability in RCC assay results significantly impedes the further development of RCCs as clinically useful biomarkers. Another caveat of most existing RCC assay technologies is the limited amount of diagnostically relevant information that is commonly obtained. Typical RCC assays may deliver RCC counts and describe general morphological features of a cell (e.g., cell size, size distributions across a cell population), but current RCC assays typically do not provide a diagnostically meaningful profile of RCC cell biology (e.g., regarding the energy metabolism of cancer cells or the presence of apoptotic bodies) or RCC molecular biology (e.g., presence of genetic abnormalities, including gene fusions, aneuploidy, loss of chromosomal regions, specific oncogene mutations or oncogene expression levels). Thus, new approaches are needed to accurately identify, enumerate and analyze RCCs.

[0009] Recently, a high-definition (HD) immunofluorescence assay platform has been developed, which enables the reliable identification and enumeration of RCCs in the presence of much more abundant cell types. HD-RCC assays are generally based on the side-by-side comparison of rare cells (e.g., CTCs or CECs) and abundant cells (e.g., WBCs) in non-enriched samples (e.g., blood samples) with respect to certain immunofluorescent and morphological characteristics. Most notably, HD-CTC and HD-CEC assays have proven to enable the highly sensitive, highly accurate, and highly robust detection and quantification of CTCs and CECs.

[0010] While current HD-RCC assay protocols enable accurate cell identification and cell counting, robust protocols for the subsequent downstream analysis of RCC cell biology or RCC molecular biology are still largely lack-

ing today. Nevertheless, it is widely expected that a deeper understanding of RCC biology will promote the development of meaningful disease diagnostics and efficacious treatments. For example, it is expected that a better understanding of CTC biology will promote the development of next-generation anti-cancer treatments that target CTCs and thereby help suppress tumor metastasis. Moreover, it is expected that the detection of certain molecular characteristics of CTCs will have immediate diagnostic value (e.g., detection of BRCA-1/2 mutations) and aid in the personalized tailoring of anti-cancer treatment regimens to each patient (e.g., treatment with PARP inhibitors).

[0011] Thus, there exists a need for methods enabling the cellular and molecular analysis of RCCs following RCC detection. The present disclosure addresses this need by providing methods for the analysis of RCCs in non-enriched patient samples. Related advantages are provided as well.

SUMMARY

[0012] The present disclosure provides methods for further characterizing CTCs following their identification in a non-enriched biological sample

[0013] In one aspect, the disclosure provides a method for analyzing rare circulating cells (RCCs) in a non-enriched blood sample, comprising (a) detecting RCCs in the non-enriched blood sample, comprising i) determining presence or absence of one or more immunofluorescent RCC detection markers in nucleated cells in the non-enriched blood sample, and ii) assessing the morphology of the nucleated cells, wherein RCCs are detected among the nucleated cells based on a combination of distinct immunofluorescent staining and morphological characteristics; (b) quenching the immunofluorescence of the one or more immunofluorescent RCC detection markers comprising contacting the RCCs with a guanidinium-salt-containing buffer, wherein the immunofluorescence is quenched by more than 50%, 60%, 70%, 80%, 90%, 95%, 99%, 99.9% or 99.99%; and (c) analyzing the detected RCCs, comprising determining presence or absence of one or more fluorescent RCC analysis markers.

[0014] In some embodiments, the fluorescent RCC analysis markers are fluorescence *in situ* hybridization (FISH) markers.

[0015] In some embodiments, more than 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95% or 99% of RCCs detected in (a) are retained in (c).

[0016] In some embodiments, the fluorescent RCC analysis markers are positive control markers. In some embodiments, the positive control markers are present in more than 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95% or 99% of RCCs analyzed in (c). In some embodiments, the positive control markers are chromosomal markers. In some em-

bodiments, the positive control markers are centromer markers or telomere markers.

[0017] In some embodiments, the fluorescent RCC analysis markers are genetic mutations selected from the group consisting of gene translocation, gene amplification gene deletion, gene aneuploidy and chromosomal aneuploidy.

[0018] In some embodiments, analyzing the detected RCCs further comprises assessing the morphology of the detected RCCs.

[0019] In some embodiments, the RCCs are circulating tumor cells (CTC). In some embodiments, the RCCs are a circulating epithelial cell (CEC). In some embodiments, the RCCs are CTC mimics. In some embodiments, the RCCs are CTC candidates.

[0020] In some embodiments, the guanidinium-salt-containing buffer comprises guanidinium thiocyanate (guanidine thiocyanate) or guanidinium chloride (guanidine hydrochloride).

[0021] In some embodiments, the method is performed by fluorescent scanning microscopy.

[0022] In some embodiments, the microscopy provides a field of view comprising more than 2, 5, 10, 20, 30, 40 or 50 RCCs, wherein each RCC is surrounded by more than 10, 50, 100, 150 or 200 WBCs.

[0023] In some embodiments, determining presence or absence of the immunofluorescent RCC detection markers comprises comparing the distinct immunofluorescent staining of RCCs with the distinct immunofluorescent staining of WBCs.

[0024] In some embodiments, determining presence or absence of the fluorescent RCC analysis markers comprises comparing the distinct fluorescent staining of RCCs with the distinct fluorescent staining of WBCs.

[0025] In some embodiments, assessing the morphology of the nucleated cells comprises comparing the morphological characteristics of RCCs with the morphological characteristics of surrounding WBCs.

BRIEF DESCRIPTION OF THE DRAWINGS

[0026]

FIG. 1 shows images illustrating the relative quenching effects of Guanidinium Thiocyanate Buffer (GdnSCN) and Western Blot Stripping Buffer (WBSB) on CTC immunofluorescence staining. Images in the top row show exemplary nuclear staining (DAPI) of WBCs and CTCs in a non-enriched blood sample. Images in the bottom row show exemplary residual cytokeratin (CK) immunofluorescence staining of CTCs after treatment with GdnSCN (4M, 10 minutes; first and second columns) or after treatment with WBSB for 5 minutes or 10 minutes (third, fourth and fifth columns).

FIG. 2 shows images further illustrating the relative quenching effects of Guanidinium Thiocyanate Buff-

er (GdnSCN, 4M) and Western Blot Stripping Buffer (WBSB) on CTC immunofluorescence staining. Images in the top row show exemplary nuclear staining (DAPI) of WBCs and CTCs in a non-enriched blood sample. Images in the bottom row show exemplary residual cytokeratin (CK) immunofluorescence staining of CTCs after treatment with GdnSCN (first column) at room temperature (RT) or after treatment with WBSB at room temperature (second column) or at 37°C (third column).

FIG. 3 shows images illustrating the relative quenching effects of Guanidinium Thiocyanate Buffer (GdnSCN, 4M) and Sodium Thiocyanate Buffer (NaSCN, 4M) on CTC immunofluorescence staining. Images in the top row show exemplary nuclear staining (DAPI) of WBCs and CTCs in a non-enriched blood sample. Images in the bottom row show exemplary residual cytokeratin (CK) immunofluorescence staining of CTCs after treatment with GdnSCN (left column) or after treatment with NaSCN (right column).

FIG. 4 shows images illustrating the concentration-dependent quenching effect of Guanidinium Thiocyanate Buffer (GdnSCN). Images in the top row show exemplary nuclear staining (DAPI) of WBCs and CTCs in a non-enriched blood sample. Images in the bottom row show exemplary residual cytokeratin (CK) immunofluorescence staining of CTCs after treatment with GdnSCN at concentrations of 4 M, 3 M, 2 M or 1M.

FIG. 5 shows images illustrating the relative effects of a Guanidinium Thiocyanate Buffer (GdnSCN, 4M), an acidic Glycine Buffer (pH 2) and an acidic Glycine/SDS Buffer (Pre-Fix) on CTC immunofluorescent staining and cell viability. Images in the top row show exemplary nuclear staining (DAPI) of WBCs and CTCs in a non-enriched blood sample. Images in the bottom row show exemplary residual cytokeratin (CK) immunofluorescence staining of CTCs after treatment with GdnSCN (4M, left column), Glycine (pH 2, 50°C; center column) or SDS(1%)/Glycine(pH2.2) (pre-fixed with 1% formaldehyde; right column).

FIG. 6 shows images illustrating the relative effects of a Guanidinium Thiocyanate Buffer (GdnSCN, 4M), a neutral Glycine Buffer (pH 7) and a basic Glycine Buffer (pH 10) on CTC immunofluorescent staining and cell viability. Images in the top row show exemplary nuclear staining (DAPI) of WBCs and CTCs in a non-enriched blood sample. Images in the bottom row show exemplary residual cytokeratin (CK) immunofluorescence staining of CTCs after treatment with GdnSCN (left column), Glycine (pH 2; center column) or Glycine/SDS (Pre-Fix; right col-

umn).

FIG. 7 shows images illustrating the relative effects of a Guanidinium Thiocyanate Buffer (GdnSCN, 4M) and an SDS(1%)/Glycine Buffer (pH 2.2) on CTC immunofluorescent staining and cell viability. Images in the top row show exemplary nuclear staining (DAPI) of WBCs and CTCs in a non-enriched blood sample. Images in the bottom row show exemplary residual cytokeratin (CK) immunofluorescence staining of CTCs after treatment with GdnSCN (left column) or SDS (1%)/Glycine (pH2.2) (room temperature; right column).

FIG. 8 shows images illustrating exemplary results of a FISH experiment conducted on a non-enriched blood sample, following the identification of CTCs in an HD-CTC assay of this disclosure and the subsequent quenching of CK-immunofluorescence with 4M GdnSCN quenching buffers. Red dots represent signals of the FISH-control probe (chromosome 10 centromere probe; Texas Red channel); green dots represent signals of FISH-probes targeting a gene of interest (PTEN; FITC channel).

DETAILED DESCRIPTION

[0027] The present disclosure is based, in part, on the discovery that RCCs can be subjected to further analysis of their cellular or molecular characteristics after they were identified, classified (*e.g.*, as CTCs, CTC mimics, CTC candidates or CECs) and quantified in a non-enriched biological sample. Specifically, the present disclosure is based, in part, on the discovery that RCCs, such as CTCs, CTC mimics, CTC candidates or CECs, can be subjected to further analysis after they were identified, classified and quantified in a non-enriched biological sample using an HD-RCC assay.

[0028] In certain embodiments, HD-RCC assays include, *inter alia*, detecting RCCs in non-enriched blood samples by determining presence or absence of certain immunofluorescent RCC detection markers. For example, the detection of CTCs can include determining the presence or absence of the immunofluorescent marker cytokeratin. In another example, the detection of CECs can include determining the presence or absence of the immunofluorescent detection marker Von Willebrand factor (vWF).

[0029] The present disclosure is further based, in part, on the discovery that in HD-RCC assays, the RCCs can be further analyzed by determining presence or absence of certain immunofluorescent RCC analysis markers. For example, in some embodiments, RCCs can be further analyzed by determining presence or absence of oncogene mutations or of elevated oncogene expression levels. In some embodiments, determining presence or absence of RCC analysis markers includes *in situ* fluorescence hybridization (FISH).

[0030] The present disclosure is further based, in part, on the discovery that, in some embodiments, determining the presence or absence of an immunofluorescent RCC analysis marker requires quenching the immunofluorescence of an immunofluorescent RCC detection marker.

[0031] The present disclosure is further based, in part, on the discovery that it is technically very challenging to effectively quench the immunofluorescence of an immunofluorescent RCC detection marker while, at the same time, retaining RCCs for subsequent analysis and while maintaining the RCCs in a condition that allows for the subsequent detection of immunofluorescent RCC detection markers. For example, it was found that many quenching buffers and many assay buffers (*e.g.*, FISH buffers) that are commonly used by skilled artisans either did not sufficiently quench the immunofluorescence of RCC detection marker (*see, e.g.*, **FIG. 2**; Western Blot Stripping Buffer, WBSB) or resulted in the loss of previously detected RCCs or rendered the remaining RCCs in a condition that did not allow for the subsequent detection of immunofluorescent RCC analysis markers or rendered the downstream analysis of RCCs otherwise impossible (*see, e.g.*, **FIG. 5**, Glycine Buffer (pH 2)).

[0032] The present disclosure is further based, in part, on the surprising discovery that the immunofluorescence of an RCC detection marker can in fact be quenched while retaining a substantial number of RCCs for further analysis.

[0033] The present disclosure is further based, in part, on the surprising discovery that the presence of certain immunofluorescent RCC analysis markers can be detected in a substantial number of RCCs after the immunofluorescence of an immunofluorescent RCC detection marker has been quenched.

[0034] The present disclosure is further based, in part, on the surprising discovery that highly effective quenching buffers included buffers that a skilled artisan would not expect to yield high quality results when applied in a method of this disclosure, for example and without wishing to be bound by theory, because the buffers would be considered highly stressful or disruptive on cells and resulting in either cell loss or loss of RCC analysis marker signals (*e.g.*, buffers containing chaotropic reagents).

[0035] The present disclosure is further based, in part, on the surprising discovery that the morphological characteristics of RCCs as well as other nucleated cells in the sample (*e.g.*, white blood cells, WBCs) can be largely maintained after treatment of RCCs with effective quenching buffers that contain chaotropic agents, such as guanidinium thiocyanate and the like.

[0036] A fundamental aspect of the present disclosure is the robustness of the disclosed methods. The rare event detection (RED) disclosed herein with regard to RCCs is based on a direct analysis of a non-enriched cell population that encompasses the identification of rare events in the context of the surrounding non-rare events. Identification of the rare events according to the disclosed methods inherently identifies the surrounding

events as non-rare events. Taking into account the surrounding non-rare events and determining the averages for non-rare events, for example, average cell size of non-rare events, allows for calibration of the detection method by removing noise. The result is a robustness of the disclosed methods that cannot be achieved with methods that are not based on direct analysis but that instead compare enriched populations with inherently distorted contextual comparisons of rare events.

[0037] The disclosure provides methods for further analyzing RCCs (*e.g.*, CTCs, CTC mimics, CTC candidates or CECs) after they were identified in non-enriched blood samples. One major advantage of the present disclosure is the combination of a highly accurate and sensitive method for identifying, classifying and quantifying RCCs with downstream methods for analyzing RCCs with respect to their cell biology and molecular biology traits. In certain aspects the downstream analysis RCCs includes the analysis of disease and biomarkers, *e.g.*, the detection of oncogene mutations (*e.g.*, BRCA-1/2 mutations) in CTCs or the detection of aberrant oncogene expression levels (*e.g.*, HER2 expression levels) in CTCs. It is widely expected that by combining an accurate RCC quantification with the downstream detection of disease markers or biomarkers the diagnostic and prognostic value of RCCs will be potentiated.

[0038] As a result, the present disclosure is of particular benefit, for example, to human patients. Specifically, cancer patients will benefit from the improved diagnosis of their disease. For example, the methods of this disclosure will improve the diagnosis of neoplastic progression or recurrence and allow for the real-time analysis of a tumor's development at the molecular and cellular level. An improved understanding of a patient's tumor biology is generally expected to facilitate the personalization of treatment regimens and improve treatment outcomes.

[0039] Disclosed herein are methods for analyzing rare circulating cells (RCCs) in a non-enriched biological sample, including: (a) detecting RCCs in the non-enriched biological sample, including i) determining presence or absence of one or more RCC detection markers in nucleated cells in the non-enriched biological sample, and ii) assessing the morphology of the nucleated cells, wherein RCCs are detected among the nucleated cells based on a combination of distinct detection marker staining and morphological characteristics; (b) quenching the staining of the one or more RCC detection markers comprising contacting the RCCs with a quenching buffer, wherein the staining is quenched by more than 50%, 60%, 70%, 80%, 90%, 95%, 99%, 99.9% or 99.99%; and (c) analyzing the detected RCCs, comprising determining presence or absence of one or more RCC analysis probes.

[0040] Disclosed herein are methods for analyzing rare circulating cells (RCCs) in a non-enriched blood sample, including: (a) detecting RCCs in the non-enriched blood sample, including i) determining presence or absence of one or more immunofluorescent RCC detection markers

in nucleated cells in the non-enriched blood sample, and ii) assessing the morphology of the nucleated cells, wherein RCCs are detected among the nucleated cells based on a combination of distinct immunofluorescent staining and morphological characteristics; (b) quenching the immunofluorescence of the one or more immunofluorescent RCC detection markers comprising contacting the RCCs with a quenching buffer, wherein the immunofluorescence is quenched by more than 50%, 60%, 70%, 80%, 90%, 95%, 99%, 99.9% or 99.99%; and (c) analyzing the detected RCCs, comprising determining presence or absence of one or more fluorescent RCC analysis markers.

[0041] Provided herein are methods for analyzing rare circulating cells (RCCs) in a non-enriched blood sample, including: (a) detecting RCCs in the non-enriched blood sample, including i) determining presence or absence of one or more immunofluorescent RCC detection markers in nucleated cells in the non-enriched blood sample, and ii) assessing the morphology of the nucleated cells, wherein RCCs are detected among the nucleated cells based on a combination of distinct immunofluorescent staining and morphological characteristics; (b) quenching the immunofluorescence of the one or more immunofluorescent RCC detection markers comprising contacting the RCCs with a guanidinium-containing buffer, wherein the immunofluorescence is quenched by more than 50%, 60%, 70%, 80%, 90%, 95%, 99%, 99.9% or 99.99%; and (c) analyzing the detected RCCs, comprising determining presence or absence of one or more fluorescent RCC analysis markers.

[0042] It must be noted that, as used in this specification and the appended claims, the term "about," particularly in reference to a given quantity, is meant to encompass deviations of plus or minus five percent.

[0043] As used in this application, including the appended claims, the singular forms "a," "an," and "the" include plural references, unless the content clearly dictates otherwise, and are used interchangeably with "at least one" and "one or more."

[0044] As used herein, the terms "comprises," "comprising," "includes," "including," "contains," "containing," and any variations thereof, are intended to cover a non-exclusive inclusion, such that a process, method, product-by-process, or composition of matter that comprises, includes, or contains an element or list of elements does not include only those elements but can include other elements not expressly listed or inherent to such process, method, product-by-process, or composition of matter.

[0045] The biological samples of this disclosure can be any sample suspected to contain RCCs (e.g., CTCs, CTC candidates, CTC mimics, CECs), including solid tissue samples, such as bone marrow, and liquid samples, such as whole blood, plasma, amniotic fluid, pleural fluid, peritoneal fluid, central spinal fluid, urine, saliva and bronchial washes. In some embodiments, the biological sample is a blood sample. As will be appreciated by those skilled in the art, a biological sample can include any

fraction or component of blood, without limitation, T-cells, monocytes, neutrophils, erythrocytes, platelets and microvesicles such as exosomes and exosome-like vesicles.

5 **[0046]** The biological samples of this disclosure can be obtained from any organism, including mammals such as humans, primates (e.g., monkeys, chimpanzees, orangutans, and gorillas), cats, dogs, rabbits, farm animals (e.g., cows, horses, goats, sheep, pigs), and rodents
10 (e.g., mice, rats, hamsters, and guinea pigs).

[0047] It is noted that, as used herein, the terms "organism," "individual," "subject," or "patient" are used as synonyms and interchangeably.

15 **[0048]** The organisms of this disclosure include healthy organisms and diseased organisms.

[0049] Diseased organisms can suffer from any disease associated with aberrant RCC levels. The term "aberrant RCC levels", as used herein, refers to RCC levels in a sample that significantly deviate from the median
20 RCC levels found in a population of healthy organisms. In some embodiments, the aberrant RCC levels are higher than the median RCC levels. In some embodiments, the aberrant RCC levels are lower than the median RCC levels.

25 **[0050]** In some embodiments, the healthy organisms have never suffered from a certain disease. In some embodiments, the healthy organisms were previously diseased. In some embodiments, the healthy organisms are undergoing a routine medical checkup. In some embodi-
30 ments, the healthy organisms are members of a control group in a clinical trial. In some embodiments, the healthy organisms are at risk of contracting a disease, as determined by the presence of certain risk factors that are well known in the art. Such risk factors include, without limita-
35 tion, a genetic predisposition, a personal disease history, a familial disease history, a lifestyle factor, an environmental factor, a diagnostic indicator and the like.

[0051] In some embodiments, the organism is at risk of suffering from myocardial infarction or another cardio-
40 vascular disease. In some embodiments, the organism has a genetic predisposition for developing a cardiovascular disease (e.g., resulting in high cholesterol levels, diabetes, obesity) or a family history of cardiovascular diseases. In some embodiments, the organism is subject
45 to certain lifestyle factors promoting the development of cardiovascular disease (e.g., cigarette smoking, low exercise, high body/mass index, high fat "western" diet) or shows clinical disease manifestations of cardiovascular disease (e.g., atherosclerotic plaques, hypertension, pri-
50 or medical history of the patient, chest pain, numbness in left arm). In some embodiments, the organism is a patient who is receiving a clinical workup (e.g., electrocardiogram (ECG), blood work) to diagnose a heart attack or the risk of a heart attack. In some embodiments,
55 a heart attack is expected to be imminent (e.g., a heart attack expected to occur within one week from the time of the clinical workup). In some embodiments, the organism is a patient having elevated blood levels of troponin

relative to normal controls.

[0052] In some embodiments, the organism is at risk of developing a cancer. In some embodiments, the organism has a genetic predisposition for cancer (e.g., BRCA 1 or BRCA 2 mutations) or a family history of cancer. In some embodiments, the organism was exposed to carcinogens (e.g., a cigarette smoke, exhaust fumes, smog, asbestos, environmental pollution, toxins and the like).

[0053] In some embodiments, the diseased organism suffers from a cardiovascular disease such as myocardial infarction (MI; e.g., acute myocardial infarction (MI) or stable coronary artery disease (CAD)) or stroke. In some embodiments, the diseased organism suffers from a metabolic syndrome (e.g., diabetes, obesity).

[0054] In some embodiments, the diseased organism suffers from cancer. The cancers of this disclosure typically form a solid tumor. The tumor can include a primary tumor and a metastatic tumor. The tumor can be vascularized. The cancers can be at least partly responsive to therapy (e.g., surgery, chemotherapy, radiation therapy) or unresponsive to therapy. The cancers can be resistant to one or more anti-cancer treatments (e.g., resistant to specific chemotherapy regimens). The cancers can include cancers of all stages, e.g., stage I, stage II, stage III, or stage IV cancers.

[0055] The cancers of this disclosure include, without limitation, lung cancer (e.g., small-cell lung cancer (SCLC), non-small cell lung cancer (NSCLC), including, e.g., adenocarcinomas or lung carcinoid tumor), skin cancer, colon cancer, renal cancer, liver cancer, pancreatic cancer, thyroid cancer, bladder cancer, gall bladder cancer, brain cancer (e.g., glioma, glioblastoma, medulloblastoma, neuroblastoma), breast cancer, ovarian cancer, endometrial cancer, prostate cancer, testicular cancer and lymphomas (e.g., Hodgkin's lymphoma, non-Hodgkin's lymphoma, T-cell lymphoma, B-cell lymphoma).

[0056] In some embodiments, the diseased organism is treatment naive. In some embodiments, the diseased organism has received a treatment prior to sample collection. In some embodiments the diseased organism is undergoing treatment at the time of sample collection. Treatments can include, without limitation, drug treatments, radiation treatments, surgery, and the like.

[0057] In some embodiments, the treatment includes a drug treatment (e.g., beta blockers, anti-coagulants (e.g., aspirin, plavix), nitro, heparin, morphine, statins, insulin, chemotherapy, VEGF antagonists, EGFR antagonists, HER2 antagonists, kinase inhibitors). In some embodiments, the treatment includes surgery (e.g., endarterectomy, tumor excision). In some embodiments, the treatment includes radiation therapy. In some embodiments, the treatment includes a combination of treatments (e.g., a combination of two or more drug treatments, a combination of a drug treatment with a radiation treatment).

[0058] In some embodiments, the organism is an ani-

mal model. In some embodiments, the organism is an animal model for a cardiovascular disease. In some embodiments, the organism is an animal model for cancer, including, without limitation, a xenograft mouse model, a transgenic mouse carrying a transgenic oncogene, a knockout mouse lacking a proapoptotic gene and others. A person of ordinary skill understands that animal models (in mice or other organisms) are well known in the art for a series of disease conditions.

[0059] In some embodiments, the blood sample was obtained from a patient. In some embodiments, the patient received a treatment for a period of time (e.g., for more than 1 day, 1 week, 1 month, 3 months, 6 months, 9 months, 1 year, 2 years, 3 years, 4 years, 5 years). In some embodiments the blood sample is a plurality of blood samples. In some embodiments the plurality of blood samples were collected over a period of time. In some embodiments, at least one blood sample of the plurality of blood samples was collected before the patient received a treatment for a period of time. In some embodiments, at least one blood sample of the plurality of blood samples was obtained when the patient was treatment naive. In some embodiments, at least one blood sample of the plurality of blood samples was obtained from a patient during the period of time when the patient received a treatment. In some embodiments, at least one blood sample of the plurality of blood samples was obtained before the patient received a treatment for a period of time and at least one blood sample of the plurality of blood samples was obtained during the period of time when the received the treatment. In some embodiments, a first blood sample was obtained at a first time during the period of time when the patient received a treatment and a second blood sample was obtained at a second time during the period of time when the patient received the treatment. In some embodiments the first time and the second time were separated by a period of time of more than 1 day, 1 week, 2 weeks, 1 month, 2 months, 3 months, 6 months, 9 months, 1 year, 2 years, 3 years, 4 years or 5 years.

[0060] In some embodiments, the blood sample was obtained from a non-small cell lung cancer (NSCLC) patient. In some embodiments, the blood sample was obtained from a MI patient.

[0061] The methods disclosed herein may include the initial step of obtaining a blood sample from a patient.

[0062] The samples of this disclosure can each contain a plurality of cell populations and cell subpopulation that are distinguishable by methods well known in the art (e.g., FACS, immunohistochemistry). For example, a blood sample can contain populations of non-nucleated cells, such as erythrocytes (e.g., 4-5 million/ μ l) or platelets (150,000-400,000 cells/ μ l), and populations of nucleated cells such as white blood cells (WBCs, e.g., 4,500 - 10,000 cells/ μ l), CECs or CTCs (circulating tumor cells; e.g., 2-800 cells/ μ l). WBCs can contain cellular subpopulations of, e.g., neutrophils (2,500-8,000 cells/ μ l), lymphocytes (1,000-4,000 cells/ μ l), monocytes (100-700

cells/ μ l), eosinophils (50-500 cells/ μ l), basophils (25-100 cells/ μ l) and the like. The samples of this disclosure are non-enriched samples, *i.e.*, they are not enriched for any specific population or subpopulation of nucleated cells. For example, non-enriched blood samples are not enriched for any WBCs, B-cells, T-cells, NK-cells, monocytes, or the like. Specifically, the blood samples of this disclosure are not enriched for any RCC, including CTCs, CTC mimics, CECs or the like.

[0063] The samples of this disclosure can be obtained by any applicable method known to a person of skill, including, *e.g.*, by solid tissue biopsy or by fluid biopsy (*see, e.g.*, Marrinucci D. et al., 2012, Phys. Biol. 9 016003; Nieva J. et al., 2012, Phys. Biol. 9 016004). A blood sample can be extracted from any source known to include blood cells or components thereof, such as venous, arterial, peripheral, tissue, cord and the like. The sample can be processed using well known and routine clinical methods (*e.g.*, procedures for drawing and processing whole blood). In some embodiments, a blood sample is drawn into anti-coagulant blood collection tubes (BCT), which can contain EDTA or Streck Cell-Free DNA™. In other embodiments, a blood sample is drawn into Cell-Save® tubes (Veridex). A blood sample can be stored for up to 12 hours, 24 hours, 36 hours, 48 hours, 60 hours, 72 hours, 86 hours, 96 hours, 108 hours or 120 hours or longer before further processing.

[0064] In some embodiments, the methods of this disclosure comprise obtaining a white blood cell (WBC) count for the blood sample. In certain embodiments, the WBC count may be obtained by using a HemoCure® WBC device (Hemocure, Ängelholm, Sweden).

[0065] In some embodiments, the methods of this disclosure comprise a step of lysing erythrocytes in the blood sample. In some embodiments, the erythrocytes are lysed, *e.g.*, by adding an ammonium chloride solution to the blood sample. In certain embodiments, a blood sample is subjected to centrifugation following erythrocyte lysis and nucleated cells are resuspended, *e.g.*, in a PBS solution.

[0066] In some embodiments, nucleated cells from a sample, such as a blood sample, are deposited as a monolayer on a planar support. The planar support can be of any material, *e.g.*, any fluorescently clear material, any material conducive to cell attachment, any material conducive to the easy removal of cell debris, any material having a thickness of < 100 μ m. In some embodiments, the material is a film. In some embodiments the material is a glass slide. The glass slide can be coated to allow maximal retention of live cells (*See, e.g.*, Marrinucci D. et al., 2012, Phys. Biol. 9 016003). In some embodiments, about 0.5 million, 1 million, 1.5 million, 2 million, 2.5 million, 3 million, 3.5 million, 4 million, 4.5 million, or 5 million nucleated cells are deposited onto the glass slide. In some embodiments, the methods of this disclosure comprise an initial step of depositing nucleated cells from the blood sample as a monolayer on a glass slide. In certain embodiments, the method comprises depositing about 3

million cells onto a glass slide. In some embodiments, the WBC count is used to determine the amount of blood required to plate a consistent loading volume of nucleated cells per glass slide.

[0067] In some embodiments, the methods of this disclosure comprise an initial step of identifying nucleated cells in the non-enriched blood sample. In some embodiments, the nucleated cells are identified with a fluorescent stain. In certain embodiments, the fluorescent stain comprises a nucleic acid specific stain. In certain embodiments, the fluorescent stain is diamidino-2-phenylindole (DAPI).

[0068] The term "rare cell", as used herein, refers to a cell that has an abundance of less than 1:1,000 in a cell population, *e.g.*, an abundance of less than 1:5,000, 1:10,000, 1:30,000, 1:50,000, 1:100,000, 1:300,000, 1:500,000, or 1:1,000,000. In some embodiments, the rare cell has an abundance of 1:50,000 to 1:100,000 in the cell population.

[0069] The term "sample cell", as used herein, refers to any cell in a sample that is not a rare cell. For example, sample cells in a blood sample include WBCs.

[0070] In the context of the invention, the rare cells of this disclosure are rare circulating cells (RCCs). In some embodiments, the RCCs are circulating in the blood stream of an organism. In some embodiments, the RCC is a circulating tumor cell (CTC). In some embodiments, the RCC is a circulating epithelial cell (CEC). In some embodiments, the RCC is a CTC mimic. In some embodiments, the RCC is a CTC candidate.

[0071] The Circulating Tumor Cells (CTCs) of this disclosure are tumor cells that are circulating in the bloodstream of an organism.

[0072] The Circulating Endothelial Cells (CECs) of this disclosure are endothelial cells that are circulating in the bloodstream of an organism.

[0073] The term "CTC mimic", as used herein, refers to a cell that, while sharing one or more biomarkers, morphological characteristics, or a combination thereof, with a CTC, is not a CTC. In some embodiments, a CTC mimic is a CEC.

[0074] The term "CTC candidate", as used herein, refers to a cell that is detected based on the presence of a biomarker or a morphological characteristic, or combination thereof, that is shared between CTC mimics and CTCs. A CTC candidate can be a CTC or a CTC mimic. A CTC candidate can be identified as a CTC or a CTC mimic based on the detection of further biomarkers, further morphological characteristics, or combinations thereof that are characteristic of a CTC or another RCC.

[0075] The RCCs of this disclosure are detected among the nucleated cells of a sample based on a combination of distinct biomarkers and morphological characteristics.

[0076] The term "CEC detection marker", as used herein, refers to a biomarker that can be used to detect CECs, but not certain other RCCs (*e.g.*, CTCs) or certain sample cells (*e.g.*, WBCs). In some embodiments, the

CEC marker is present in CECs, CTC mimics and CTC candidates and absent in CTCs and WBCs.

[0077] CEC detection markers include, without limitation, any biomarker that is specific for endothelial cells (e.g., cluster of differentiation (CD) 146, Von Willebrand factor (vWF), CD 31, CD 34, or CD 105).

[0078] The term "CTC detection marker", as used herein, refers to a biomarker that can be used to detect CTCs, but not certain other RCCs (e.g., CECs) or certain sample cells (e.g., WBCs). In some embodiments, the CTC detection marker is present in CTCs, CTC candidates and CTC mimics and absent in CECs and WBCs.

[0079] CTC detection markers include, without limitation, any cancer-specific biomarker. Cancer-specific biomarkers can include, for example, biomarkers that are specific for a given cancer-type of interest (e.g., non-small cell lung cancer, NSCLC), a clinical cancer-stage of interest (e.g., stage IV), or a cancer cell property of interest (e.g., energy metabolism, epithelial-mesenchymal transition). Additionally, cancer-specific biomarkers can include more general cancer markers, such as cancer markers that are present in several cancer-types, but not in normal cells, or cancer markers that generally signal the malignant transformation of a cell. A person of skill will recognize that many specific and general cancer-specific biomarkers are known in the art.

[0080] CTC detection markers include, without limitation, anaplastic lymphoma kinase (ALK), androgen receptor (AR), Axl, cMET, cytokeratins 1, 4, 5, 6, 7, 8, 10, 13, 18 or 19; CD 31, CD 99, CD 117, chromatogranin, desmin, E-cadherin, epidermal growth factor receptor (EGFR), epithelial cell adhesion molecule (EpCAM), epithelial membrane antigen (EMA), gross cystic disease fluid protein (GCDFP-15), HMB-45, inhibin, MART-1, MCM2, Myo D1, muscle-specific actin (MSA), N-cadherin, neurofilament, neuron-specific enolase (NSE), p63, placental alkaline phosphatase (PLAP), prostate specific membrane antigen (PSMA), S100 protein, smooth muscle actin (SMA), synaptophysin, thyroid transcription factor-1 (TTF-1), tumor M2-PK (*i.e.*, pyruvate kinase isoenzyme type M2), vimentin and more.

[0081] The term "RCC detection marker", as used herein, refers to a biomarker that is present in a RCC of interest, but not in a sample cell. In some embodiments, the RCC marker is present in one type of RCCs (e.g., a CEC marker that is only present in CECs). In some embodiments, the RCC marker is present in more than one type of RCCs (e.g., a CTC marker that is present in CTCs and CTC mimics). The RCC detection markers of this disclosure can be used to detect RCCs, but not sample cells, such as WBCs. RCC detection markers include, for example, CTC markers, CEC markers and the like.

[0082] The term "sample cell detection marker", as used herein, refers to any biomarker that is present in at least one sample cell, but that is not present in an RCC of interest. In some embodiments, the sample cell detection marker is present in at least one cell-type in the sample and absent in CECs, CTCs, CTC candidates and CTC

mimics. The sample cell detection markers of this disclosure are present in a sample cell that is more abundant than CECs, CTCs, CTC candidates, or CTC mimics. In some embodiments, the sample cell detection marker is present in a WBC and absent in CECs, CTCs, CTC candidates and CTC mimics. In some embodiments, the sample cell detection marker is CD 45. In some embodiments the methods include determining presence or absence of a sample cell detection marker.

[0083] The term "biomarker," as used herein, refers to a biological molecule, or a fragment of a biological molecule, the change and/or the detection of which can be correlated with the identity of an RCC or with a particular physical condition or state of an RCC. In some embodiments, the biomarkers are detection markers. In some embodiments, the biomarkers are analysis markers.

[0084] The term "detection marker", as used herein, refers to a biomarker that is used to identify a cell as belonging to a certain cell-type of interest, e.g., a CTC, CEC or WBC. Detection markers can be used to differentiate one cell type from another cell type (e.g., differentiate a CTC mimic from a CTC). Generally, the detection markers of this disclosure can be used for cell identification, classification, and quantification.

[0085] The term "analysis marker" as used herein, refers to a biomarker that is used to describe a cell with respect to a cell biological or molecular biological property of interest. For example, without limitation, analysis markers can describe certain aspects of a cellular genome (e.g., gene mutations (e.g., oncogene mutations), gene amplifications), transcriptome (gene expression profiles), proteome (protein expression profiles, post-translational protein modifications, intracellular protein localization), secretome, metabolome (metabolic activity, including energy metabolism), lipidome (lipid profiles, lipid rafts) and the like.

[0086] The terms "marker" and "biomarker" are used interchangeably throughout the disclosure. Such biomarkers include, but are not limited to, biological molecules comprising nucleotides, nucleic acids, nucleosides, amino acids, sugars, fatty acids, steroids, metabolites, peptides, polypeptides, proteins, carbohydrates, lipids, hormones, antibodies, regions of interest that serve as surrogates for biological macromolecules and combinations thereof (e.g., glycoproteins, ribonucleoproteins, lipoproteins). The term also encompasses portions or fragments of a biological molecule, for example, peptide fragment of a protein or polypeptide. In some embodiments, biomarkers (e.g., RCC analysis markers) are disease marker (e.g., oncogenic mutations). In some embodiments, biomarkers (e.g., RCC analysis markers) are used to distinguish and identify subpopulations of cells.

[0087] A person skilled in the art will appreciate that a number of methods can be used to determine the presence or absence of a biomarker, including microscopy based approaches, such as fluorescence microscopy or fluorescence scanning microscopy (*see, e.g.*, Marrinucci D. et al., 2012, Phys. Biol. 9 016003; Nieva J. et al.,

2012, Phys. Biol. 9 016004). Other approaches include mass spectrometry, gene expression analysis (e.g., gene-chips, Southern Blots, PCR, FISH) and antibody-based approaches, including immunofluorescence, immunohistochemistry, immunoassays (e.g., Western blots, enzyme-linked immunosorbent assay (ELISA), immunoprecipitation, radioimmunoassay, dot blotting, and FACS). In some embodiments, the methods of this disclosure are performed in an automated or robotic fashion. In some embodiments, the signals from multiple samples are detected simultaneously.

[0088] A person of skill in the art will further appreciate that the presence or absence of biomarkers in a cell can be detected using any class of marker-specific binding reagents known in the art, including, e.g., antibodies, aptamers, fusion proteins, such as fusion proteins including protein receptor or protein ligand components (e.g. CD 146, vWF, CD 31, CD 34, CD 105, or CD 45-binding receptors or ligands), biomarker-specific peptides, small molecule binders or nucleic acids (e.g., antisense oligonucleotides, hybridization probes).

[0089] In some embodiments, the presence or absence of vWF, CD 146, CD31, CD 34, CD 105, CD 45 or a cytokeratin (e.g., cytokeratin 1, 4, 5, 6, 7, 8, 10, 13, 18 or 19), or a combination thereof, is determined by an antibody. In some embodiments, the presence or absence of vWF and one or more cytokeratins (e.g., cytokeratin 1, 4, 5, 6, 7, 8, 10, 13, 18 or 19) is determined by antibodies. In some embodiments, the presence or absence of vWF, one or more cytokeratin (e.g., cytokeratin 1, 4, 5, 6, 7, 8, 10, 13, 18 or 19) or CD 45 is determined by antibodies.

[0090] The antibodies of this disclosure bind specifically to a biomarker. In some embodiments, the antibodies bind specifically to a single biomarker (e.g., cytokeratin 1). In other embodiments, the antibodies are pan-specific. Pan-specific antibodies of this disclosure can bind specifically to one or more members of a biomarker family (e.g., one or more members of the cytokeratin family, including cytokeratins 1, 4, 5, 6, 7, 8, 10, 13, 18 and 19). The antibody can be any immunoglobulin or derivative thereof, whether natural or wholly or partially synthetically produced. All antibody derivatives which maintain specific binding ability can also be used. The antibody has a binding domain that is homologous or largely homologous to an immunoglobulin binding domain and can be derived from natural sources, or partly or wholly synthetically produced. The antibody can be a monoclonal or polyclonal antibody. In some embodiments, the antibody is a single-chain antibody. In some embodiments, the antibody includes a single-chain antibody fragment. In some embodiments, the antibody can be an antibody fragment including, but not limited to, Fab, Fab', F(ab')₂, scFv, Fv, dsFv diabody, and Fd fragments. Due to their smaller size antibody fragments can offer advantages over intact antibodies in certain applications. Alternatively or additionally, the antibody can comprise multiple chains which are linked together, for example, by

disulfide linkages, and any functional fragments obtained from such molecules, wherein such fragments retain specific-binding properties of the parent antibody molecule. Those of ordinary skill in the art will appreciate that the antibody can be provided in any of a variety of forms including, for example, humanized, partially humanized, chimeric, chimeric humanized, etc. The antibody can be prepared using any suitable methods known in the art. For example, the antibody can be enzymatically or chemically produced by fragmentation of an intact antibody or it can be recombinantly produced from a gene encoding the partial antibody sequence.

[0091] A wide variety of detectable labels can be used for the direct or indirect detection of biomarkers. Suitable detectable labels include, but are not limited to, fluorescent dyes (e.g., fluorescein, fluorescein isothiocyanate (FITC), Oregon Green™, rhodamine, Texas Red, tetrahydroamine isothiocyanate (TRITC), Cy3, Cy5, Alexa Fluor® 647, Alexa Fluor® 555, Alexa Fluor® 488), fluorescent protein markers (e.g., green fluorescent protein (GFP), phycoerythrin, etc.), enzymes (e.g., luciferase, horseradish peroxidase, alkaline phosphatase, etc.), nanoparticles, biotin, digoxigenin, metals, and the like.

[0092] In some embodiments, the biomarkers are fluorescent markers. In some embodiments, the biomarkers are immunofluorescent markers. In some embodiments, the biomarkers are fluorescence in situ hybridization (FISH) markers.

[0093] In some embodiments, the immunofluorescent markers are immunofluorescent analysis markers. In some embodiments, the immunofluorescent markers are immunofluorescent detection markers. In some embodiments, the immunofluorescent detection markers are immunofluorescent RCC detection markers. In some embodiments, the immunofluorescent RCC detection markers are immunofluorescent CTC detection markers. In some embodiments, the immunofluorescent RCC detection markers are immunofluorescent CEC detection markers.

[0094] In some embodiments, the immunofluorescent CTC detection markers include a cytokeratin (CK). Cytokeratins include, e.g., cytokeratin 1, 4, 5, 6, 7, 8, 10, 13, 18 or 19. In some embodiments, the immunofluorescent CTC detection marker is a plurality of cytokeratins, including two or more of cytokeratins 1, 4, 5, 6, 7, 8, 10, 13, 18 or 19.

[0095] In some embodiments, the immunofluorescent CEC detection markers include Von Willebrand factor (vWF), cluster of differentiation (CD) 31, CD 34, CD 105, CD 145 or CD 146.

[0096] In some cells the sample cell markers are immunofluorescent sample cell markers. In some embodiments, the immunofluorescent sample cell markers are specific for white blood cells (WBCs). In certain embodiments the immunofluorescent sample cell markers comprise CD 45. In some embodiments, the methods include determining presence or absence or one or more immunofluorescent sample cell detection markers in the nucle-

ated cells.

[0097] In some embodiments, the distinct immunofluorescent staining of nucleated cells of a sample includes the presence or absence of immunofluorescent detection markers, such as immunofluorescent RCC detection markers.

[0098] In some embodiments, the distinct immunofluorescent staining of CTCs includes the presence of an immunofluorescent CTC detection marker, the absence of an immunofluorescent CEC detection marker, and the absence of an immunofluorescent sample cell detection marker. In some embodiments, the distinct immunofluorescent staining of CTCs includes positive staining for CK, negative staining for vWF and negative staining for CD45 (CK⁺/vWF⁻/CD45⁻).

[0099] In some embodiments, the distinct immunofluorescent staining of CECs includes the presence of an immunofluorescent CEC detection marker, the absence of an immunofluorescent CTC detection marker and the absence of an immunofluorescent sample cell detection marker. In some embodiments, the distinct immunofluorescent staining of CECs includes positive staining for vWF, negative staining for CK and negative staining for CD45 (vWF⁺/CK⁻/CD45⁻).

[0100] In some embodiments, the distinct immunofluorescent staining of CTC mimics includes the presence of an immunofluorescent CTC detection marker, the presence of an immunofluorescent CEC detection marker, and the absence of an immunofluorescent sample cell detection marker. In some embodiments, the distinct immunofluorescent staining of CTC mimics includes positive staining for CK, positive staining for vWF and negative staining for CD45 (CK⁺/vWF⁺/CD45⁻).

[0101] In some embodiments, the distinct immunofluorescent staining of CTC candidates includes the presence of an immunofluorescent CTC detection marker, the absence of an immunofluorescent CEC detection marker, and the absence of an immunofluorescent sample cell detection marker. In other embodiments, the distinct staining of CTC candidates includes the presence of an immunofluorescent CTC detection marker, the presence of an immunofluorescent CEC detection marker, and the absence of an immunofluorescent sample cell detection marker. In some embodiments, the distinct immunofluorescent staining of CTC candidates includes positive staining for CK and negative staining for CD45 (CK⁺/CD45⁻).

[0102] In some embodiments, the distinct immunofluorescent staining of a sample cell includes the presence of an immunofluorescent sample cell detection marker, the absence of an immunofluorescent CEC detection marker and the absence of an immunofluorescent CTC detection marker.

[0103] In some embodiments, the distinct immunofluorescent staining of a CEC, CTC, CTC mimic, CTC candidate or sample cell includes distinct intracellular staining patterns for an immunofluorescent CEC detection marker, an immunofluorescent CTC detection marker, or

an immunofluorescent sample cell detection marker. For example, the intracellular staining for an immunofluorescent marker of this disclosure can be distinctly diffuse, punctuate, cytoplasmic, nuclear or membrane bound.

[0104] In some embodiments, determining presence or absence of an immunofluorescent RCC detection marker comprises comparing the distinct immunofluorescent staining of RCCs with the distinct immunofluorescent staining of WBCs.

[0105] In some embodiments, determining presence or absence of an immunofluorescent CTC detection marker includes comparing the distinct immunofluorescent staining of CTC candidates with the distinct immunofluorescent staining of a sample cell. In some embodiments, determining presence or absence of an immunofluorescent CTC detection marker includes comparing the distinct immunofluorescent staining of CTC candidates with the distinct immunofluorescent staining of WBCs.

[0106] In some embodiments, determining presence or absence of an immunofluorescent CEC detection marker includes comparing the distinct immunofluorescent staining of CEC candidates with the distinct immunofluorescent staining of a sample cell. In some embodiments, determining the presence or absence of an immunofluorescent CEC detection marker includes comparing the distinct immunofluorescent staining of CTC candidates with the distinct immunofluorescent staining of WBCs.

[0107] In some embodiments, the morphological characteristics include nucleus size, nucleus shape, cell size, cell shape, and nuclear-to-cytoplasmic ratio. In some embodiments, assessing the morphology of RCCs includes assessing the RCCs by nuclear detail, nuclear contour, presence or absence of nucleoli, quality of cytoplasm, quantity of cytoplasm, or immunofluorescent staining patterns. In some embodiments, the method further comprises assessing the aggregation characteristics of RCCs.

[0108] A person of ordinary skill in the art understands that the morphological characteristics of this disclosure can include any feature, property, characteristic or aspect of a cell that can be determined and correlated with the detection of RCCs.

[0109] The methods of this disclosure can be performed with any microscopic method known in the art. In some embodiments, the method is performed by fluorescent scanning microscopy. In some embodiments the microscopic method provides high-resolution images of RCCs and their surrounding WBCs (see, e.g., Marinucci D. et al., 2012, Phys. Biol. 9 016003; Nieva J. et al., 2012, Phys. Biol. 9 016004). In some embodiments, a slide coated with a monolayer of nucleated cells from a sample, such as a non-enriched blood sample, is scanned by a fluorescent scanning microscope and the fluorescence intensities from immunofluorescent detection markers and nuclear stains are recorded. The scanned images are analyzed to determine the presence

or absence of immunofluorescent detection markers and to assess the morphology of the nucleated cells, including RCCs. In some embodiments, microscopic data collection and analysis is conducted in an automated manner.

[0110] In some embodiments, the microscopic field contains RCCs and WBCs. In some embodiments, the microscopic field shows at least 1, 5, 10, 20, 50, or 100 RCCs. In some embodiments, the microscopic field shows at least 10, 25, 50, 100, 250, 500, or 1,000 fold more WBCs than RCCs. In certain embodiments, the microscopic field shows RCCs, wherein each RCC is surrounded by at least 10, 50, 100, 150, 200, 250, 500, 1,000 or more WBCs.

[0111] In certain embodiments, the microscopy provides a field of view comprising more than 2, 5, 10, 20, 30, 40 or 50 RCCs, wherein each RCC is surrounded by more than 10, 50, 100, 150 or 200 WBCs. In some embodiments, the microscopy provides a field of view comprising more than 10 RCCs, wherein each RCC is surrounded by more than 200 WBCs.

[0112] In some embodiments, a biomarker is considered "present" in a cell if it is detectable above the background signal and noise of the respective detection method used (e.g., 2-fold, 3-fold, 5-fold, or 10-fold higher than the background; 2σ or 3σ over background). In some embodiments, a biomarker is considered "absent" if it is not detectable above the background noise of the detection method used (e.g., <1.5 -fold or <2.0 -fold higher than the background signal; $<1.5\sigma$ or $<2.0\sigma$ over background).

[0113] In some embodiments, the presence or absence of immunofluorescent markers in nucleated cells is determined by selecting the exposure times during the fluorescence scanning process such that all immunofluorescent markers achieve a pre-set level of fluorescence on the WBCs in the field of view. Under these conditions, immunofluorescent RCC detection markers, for example, are visible on the WBCs as background signals with fixed heights, even though the respective immunofluorescent RCC detection markers are not present in WBCs. Moreover, WBC-specific immunofluorescent sample cell detection markers are visible on RCCs as background signals with fixed heights, even though the markers are not present in RCCs.

[0114] A cell is considered positive for an immunofluorescent marker (i.e., the marker is considered present) if its fluorescent signal for the respective marker is significantly higher than the fixed background signal (e.g., 2-fold, 3-fold, 5-fold, or 10-fold higher than the background; 2σ or 3σ over background). For example, a nucleated cell is considered CD 45-positive (CD 45⁺) if its fluorescent signal for CD 45 is significantly higher than the background signal. A cell is considered negative for an immunofluorescent marker (i.e., the marker is considered absent) if the cell's fluorescence signal for the respective marker is not significantly higher than the background signal or noise (e.g., <1.5 -fold or <2.0 -fold higher than the background signal; e.g., $<1.5\sigma$ or $<2.0\sigma$ over

background).

[0115] The relative expression levels of an immunofluorescent RCC detection marker can be expressed by comparing the fluorescence signal of a cell that is positive for the respective marker (i.e., a CTC, CTC candidate, CTC mimic or CEC) with the corresponding fluorescence signal of surrounding cells that are negative for the immunofluorescent RCC detection marker (e.g., a WBC). For example, the relative expression of the CTC marker cytokeratin on a given CTC candidate is >5 if the fluorescence signal for cytokeratin on the cell is >5 -fold higher than, e.g., the average or median fluorescence signal of surrounding WBCs.

[0116] A cell is considered a nucleated cell if it shows a fluorescence signal for a nuclear stain (e.g., DAPI) that is significantly higher than the background signal or noise, e.g., as detected for a non-nucleated platelet cell or for representative cell-free areas on a microscope slide.

[0117] In some embodiments, determining the presence of an immunofluorescent CTC detection marker in nucleated cells includes identifying nucleated cells having a relative expression of the CTC detection marker of >2 , >3 , >4 , >5 , >6 , >7 , >8 , >9 or >10 . In some embodiments, determining the presence of CK in nucleated cells includes identifying nucleated cells having a relative CK expression of >3 .

[0118] In some embodiments, determining the presence of an immunofluorescent CEC detection marker in CTC candidates includes identifying CTC candidates having a relative expression of the CEC detection marker of >2 , >3 , >4 , >5 , >6 , >7 , >8 , >9 or >10 . In some embodiments, determining the presence of vWF in CTC candidate includes identifying CTC candidate cells having a relative vWF expression of >6 .

[0119] In some embodiments, the morphological assessment of a nucleated cell, such as the determination of its size or shape, is based on the fluorescence signals of an immunofluorescent detection marker (see, e.g., Marrinucci D. et al., 2012, Phys. Biol. 9 016003; Nieva J. et al., 2012, Phys. Biol. 9 016004).

[0120] In some embodiments, the RCCs are morphologically distinct from the surrounding nucleated cells, such as WBCs. In some embodiments, assessing the morphology of the nucleated cells comprises comparing the morphological characteristics of the RCC with the morphological characteristics of surrounding WBCs.

[0121] In some embodiments, the CTCs, CTC mimics, CTC candidates and CECs are morphologically distinct from the surrounding WBCs. In some embodiments, assessing the morphology of the CTC candidate comprises comparing the morphological characteristics of the CTC candidate with the morphological characteristics of surrounding WBCs.

[0122] In some embodiments, the CTCs, CTC mimics, CTC candidates and CECs are morphologically distinct from each other. In some embodiments, assessing the morphology of the CTC candidate comprises comparing

the morphological characteristics of the CTC candidate with the morphological characteristics of a CTC. In some embodiments, assessing the morphology of the CTC candidate comprises comparing the morphological characteristics of the CTC candidate with the morphological characteristics of a CEC.

[0123] Morphological features shared between CTCs and CTC mimics include, for example and without limitation, the presence of distinct and intact nuclei, the presence of nuclei with irregular shapes, the presence of condensed chromatin, a nuclear area that is larger than the nuclear area of WBCs, a cytoplasmic area that is larger than the cytoplasmic area of WBCs, a higher cytoplasmic-to-nuclear ratio relative to WBCs, the presence of aggregates of two or more cytokeratin positive (CK⁺) cells, or combinations thereof.

[0124] Morphological features shared between CECs and CTC mimics include, for example and without limitation, the presence of nuclei with irregular shapes, the presence of elongated nuclei, the presence of an elongated cytoplasm, a nuclear area that is larger than the nuclear area of WBCs, a cytoplasmic area that is larger than the cytoplasmic area of WBCs, a higher cytoplasmic-to-nuclear ratio relative to WBCs, the presence of aggregates of two or more cytokeratin positive (vWF⁺) cells, or combination thereof.

[0125] In some embodiments, the (average or mean) nuclear area of RCCs in a microscopic field of view is at least 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45% or 50% greater than the nuclear area of WBCs.

[0126] In some embodiments, the (average or mean) cytoplasmic area of RCCs in a microscopic field of view is at least 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45% or 50% greater than the cytoplasmic area of WBCs.

[0127] In some embodiments, the (average or mean) cytoplasmic-to-nuclear ratio of RCCs in a microscopic field of view is at least 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45% or 50% greater than the cytoplasmic-to-nuclear ratio of WBCs.

[0128] In some embodiments (also referred to as "high-definition (HD)"-RCC Assay), the comparison of an RCC (*i.e.*, a target cells of interest) with surrounding WBCs (*i.e.*, negative control cells) improves the performance of the method, *e.g.*, by increasing the accuracy, specificity, or sensitivity of the method, relative to a method wherein no such comparison is performed. In some embodiments, RCCs are compared with surrounding WBCs when determining the presence or absence of an immunofluorescent RCC detection marker. In some embodiments, RCCs are compared with surrounding WBCs when assessing the morphology of nucleated cells. In some embodiments, RCCs are compared with surrounding WBCs when determining the presence or absence of an immunofluorescent RCC detection marker and when assessing the morphology of nucleated cells.

[0129] In some embodiments, assessing the morphology of the nucleated cells includes assessing the morphology of RCC aggregates. In some embodiments, as-

sessing the morphology of RCC aggregates includes quantifying the RCC aggregates in the blood sample. In some embodiments, assessing the morphology of RCC aggregates includes assessing the percent of detected RCCs that are in an aggregated form. In some embodiments, assessing the morphology of RCC aggregates includes quantifying the average or mean number of cells per aggregate in a sample.

[0130] In some embodiments, the methods are used to calculate the concentration of RCCs in a sample (*e.g.*, in [RCC/ml]). For example, CTCs are detected in a human blood sample according to the methods of this disclosure. Next, the ratio of CTCs to total nucleated cells (*i.e.*, CTCs, CTC candidates, CTC mimics, CECs plus sample cells, such as WBCs) is determined for a field of vision. Then, the CTC mimic/total nuclear cell ratio is multiplied by the concentration of total nucleated cells in a blood sample (*e.g.*, as determined using a standard automated cell counter) to calculate the concentration of CTC mimics in the blood sample.

[0131] According to the methods of this disclosure, the RCCs can be further analyzed after they were detected, and optionally quantified, by a method of this disclosure.

[0132] The methods of this disclosure include quenching the staining of the one or more RCC detection markers comprising contacting the RCCs with a quenching buffer, wherein the staining is quenched by more than 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95% or 99%.

[0133] The methods of this disclosure include quenching the immunofluorescence of the one or more immunofluorescent RCC detection markers comprising contacting the RCCs with a quenching buffer, wherein the immunofluorescence is quenched by more than 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95% or 99%.

[0134] The quenching buffer may contain a chaotropic agent. The term "chaotropic agent", as used herein, refers to a substance that can disrupt the secondary or tertiary structure of biological macromolecules, such as proteins and nucleic acids (*e.g.*, DNA, RNA) or dissolve lipid bilayers, such as plasma membranes. Chaotropic agents include, without limitation, chaotropic salts (*e.g.*, guanidinium chloride (guanidine chloride), lithium perchlorate, lithium acetate, magnesium chloride, sodium dodecyl sulfate), chaotropic solvents (*e.g.*, butanol, ethanol), or uncharged, solid chaotropes (*e.g.*, urea, thiourea).

[0135] The concentration of the chaotropic agent may be less than 10 M, less than 8 M, less than 6 M, less than 4 M, less than 2 M, less than 1 M, or less than 0.5 M. In some cases, the concentration of the chaotropic agent is at least 0.5M, at least 1 M, at least 2M, at least 4M, at least 6M or at least 8M.

[0136] The quenching buffer contains a chaotropic salt which is a guanidine salt. In some embodiments, the quenching buffer contains guanidinium thiocyanate (guanidine thiocyanate) or guanidinium chloride (guanidine hydrochloride).

[0137] The RCCs may be contacted with the quenching buffer for a period of time of more than 1 minute, more than 2 minutes, more than 3 minutes, more than 4 minutes, more than 5 minutes, more than 6 minutes, more than 7 minutes, more than 8 minutes, more than 9 minutes, more than 10 minutes, more than 15 minutes or more than 20 minutes. In some cases the RCCs are contacted with the quenching buffer for a period of time of less than 1 minute, less than 45 seconds, less than 30 seconds, less than 15 seconds, less than 10 second or less than 5 seconds.

[0138] In some cases the RCCs are contacted with the quenching buffer at a temperature of less than 37°C, less than 34°C, less than 30°C, less than 25°C, less than 20°C, less than 15°C, less than 10°C, less than 5°C, or less than 1°C. In some cases RCCs are contacted with the quenching buffer at a temperature of about 4°C.

[0139] In some embodiments, the RCCs are detected in a non-enriched blood sample placed on a solid support (e.g., on a glass slide). In some embodiments, a certain fraction of RCCs detected in the HD-RCC assay is washed off the solid support during incubation with the quenching buffer. These cells are physically unavailable for further analysis.

[0140] In some embodiments, more than 50%, more than 55%, more than 60%, more than 65%, more than 70%, more than 75%, more than 80%, more than 85%, more than 90%, more than 95% or more than 99% of detected RCCs that were present on the solid support prior to incubation with quenching buffer are retained on the solid support after incubation with quenching buffer.

[0141] In some embodiments, more than 50%, more than 55%, more than 60%, more than 65%, more than 70%, more than 75%, more than 80%, more than 85%, more than 90%, more than 95% or more than 99% of RCCs that were detected in the blood sample by a method of this disclosure are physically present for further analysis after incubation with quenching buffer.

[0142] In some embodiments, more than 25%, more than 30%, more than 35%, more than 40%, more than 45%, more than 50%, more than 55%, more than 60%, more than 65%, more than 70%, more than 75%, more than 80%, more than 85%, more than 90%, more than 95%, or more than 99% of RCCs detected in (a) in a method of this disclosure are retained in (c) in a method of this disclosure.

[0143] In some embodiments, the methods include analyzing the detected RCCs by determining presence or absence of one or more fluorescent RCC analysis markers. In some embodiments, the RCC analysis markers are present in more than 25%, more than 30%, more than 35%, more than 40%, more than 45%, more than 50%, more than 55%, more than 60%, more than 65%, more than 70%, more than 75%, more than 80%, more than 85%, more than 90%, more than 95% or more than 99% of RCCs analyzed in (c) in a method of this disclosure.

[0144] In some embodiments, determining presence

or absence of the fluorescent RCC analysis markers includes comparing the distinct fluorescent staining of RCCs with the distinct fluorescent staining of WBCs.

[0145] The RCC analysis marker can include any molecular probe that indicates the cell biological or molecular biological status of an RCC. The cell biological or molecular biological status of an RCC can include, without limitation, cell morphological characteristics, cellular dynamics (e.g., cell motility, adhesion to extracellular matrix substrates), intracellular localization or structural characteristics (e.g., intracellular localization of organelles, biomolecules; formation and localization of biomolecular assemblies, such as lipid rafts), metabolic characteristics (e.g., energy metabolism, intracellular signaling), genomic characteristics (e.g., gene expression, gene mutations, mRNA splicing) or proteomic characteristics (protein expression, localization, post-translational modification, secretome profile).

[0146] In some embodiments, the RCC analysis marker includes a genetic mutation (e.g., a gene deletion, duplication, amplification, translocation, point-mutation). In some embodiments, the RCC analysis marker includes the elevated expression of a gene of interest (e.g., an oncogene). In some embodiments, the RCC analysis marker includes the reduced expression of a gene of interest (e.g., a tumor suppressor gene). In some embodiments, the RCC analysis marker includes the elevated expression of an mRNA of interest. In some embodiments, the RCC analysis marker includes the elevated expression of a protein of interest (e.g., HER2, Bcl-2). In some embodiments, the RCC analysis marker includes a specific intracellular localization of a protein of interest (e.g., nuclear localization, cytoplasmic localization). In some embodiments, the RCC analysis marker includes a post-translational protein modification (e.g., phosphorylation, methylation).

[0147] In some embodiments, the RCC analysis marker is a genetic mutation, including a gene translocation, a gene inversion, a gene amplification, a gene deletion, gene aneuploidy or chromosomal aneuploidy.

[0148] In some embodiments, the RCC analysis marker is an oncogene. Oncogenes include, without limitation, PTEN, ALK, PIK3CA, MET, ROS, RET, HER2, ERG, AURKA, BRCA 1, BRCA 2, P53, RAS, RAF, EGFR, HER2, WNT, MYC, FAS, TRK, CDK, SRC, SYK, BTK and ABL.

[0149] In some embodiments, the RCC analysis marker is a positive control marker. A positive control marker can be any molecular or cellular marker expected to be present in essentially every cell in a RCC population of interest, e.g., in every RCC in a microscopic field of view. In some embodiments, the positive control marker is a chromosomal marker (e.g., a marker for human chromosomes 10, 15, 5, 3 and the like). In some embodiments, the positive control marker is a telomere marker.

[0150] In some embodiments, the determination of the presence or absence of a positive control marker is a measure for whether a cell of interest, e.g., an RCC in a microscopic field of view, is amenable to further analysis

following detection of the RCC in an HD-RCC assay of this disclosure. Without wishing to be bound by theory, it is believed that RCCs that were successfully detected in (a) in a method of this disclosure, but in which a positive control maker cannot be detected in (c) in a method of this disclosure were damaged during the quenching step (b) such that these RCC are not amenable to further molecular or cellular analysis. By contrast, RCCs that were successfully identified and in which a positive control marker can be detected are amenable to further analysis.

[0151] In some embodiments, the positive control marker is determined to be present in more than 25%, more than 30%, more than 35%, more than 40%, more than 45%, more than 50%, more than 55%, more than 60%, more than 65%, more than 70%, more than 75%, more than 80%, more than 85%, more than 90%, more than 95%, or more than 99% of the detected RCCs analyzed in (c).

[0152] The presence or absence of an RCC analysis marker in a cell can be detected using any class of marker-specific binding reagents (RCC analysis probes) known in the art, including, *e.g.*, antibodies, aptamers, fusion proteins, such as fusion proteins including protein receptor or protein ligand components, marker-specific peptides, small molecule binders or nucleic acids (*e.g.*, antisense oligonucleotides, hybridization probes).

[0153] The RCC analysis markers can be detected by methods such as fluorescence scanning microscopy, mass spectrometry, gene-chips, protein-chips, immunocytochemistry, whole genome sequencing and the like. In some embodiments, presence or absence of an RCC marker is detected by detecting gene copy number variants, by exome sequencing, by the mutational analysis of biomarker genes, or by polymerase chain reaction (PCR).

[0154] In some embodiments, the RCC analysis marker is a plurality of RCC analysis markers.

[0155] In some embodiments, the RCC analysis marker is a fluorescent analysis marker.

[0156] In some embodiments, the RCC analysis marker is a fluorescence *in situ* hybridization (FISH) marker. In some embodiments, the FISH marker is a chromosomal marker for genetic abnormalities, including, without limitation, gene fusions, aneuploidy and loss of chromosomal regions. In some embodiments, the FISH marker is a chromosomal marker for genetic mutations, including gene translocation, gene amplification and gene deletions. In some embodiments, the FISH marker is a positive control marker.

[0157] In some embodiments, the presence or absence of a FISH marker is detected using a FISH probe. In some embodiments, FISH probes comprise synthetic DNA oligonucleotides linked to a fluorescent dye. A skilled artisan will recognize that many fluorescent dyes for FISH probes are well known in the art, including, without limitation, SpectrumOrange™, SpectrumGreen™ and SpectrumAqua™. In some embodiments, the FISH-probe binds to a DNA molecule (DNA-FISH). In some

embodiments, the FISH-probe binds to an RNA molecule (RNA-FISH).

[0158] FISH probes include, without limitation, locus specific probes, each of which binds to a particular region of a chromosome, alphoid or centromeric repeat probes, which are generated from repetitive sequences found on the middle of each chromosome, and whole chromosome probes, which include collections of smaller probes, each of which binds to a different sequence along the length of a given chromosome. Other FISH probes include, without limitation, whole chromosome painting probes (WPP), chromosome arm painting probes (APP), chromosome terminal band painting probes (TPP), chromosome enumeration probes (CEP), chromosome subtelomere probes (CSP) and chromosome loci specific probes (CLP), also commonly called LSI (Locus specific identifier) probes.

[0159] FISH probes can be used alone or in combination with other FISH probes or with other RCC analysis probes. Combinations of FISH probes can include more than 2 probes, more than 3 probes, more than 4 probes, more than 5 probes, more than 6 probes, more than 7 probes, more than 8 probes, more than 9 probes, more than 10 probes, more than 15 probes, more than 20 probes, more than 25 probes, more than 50 probes, more than 75 probes or more than 100 probes. In some embodiments, combinations of FISH probes include 1-color probes, 2-color probes, 3-color probes or 4-color probes.

[0160] In some embodiments, the methods further comprise contacting the RCCs with a FISH probe. In some embodiments, the RCCs are contacted with the FISH probe for more than 1 minute, more than 2 minutes, more than 3 minutes, more than 4 minutes, more than 5 minutes, more than 6 minutes, more than 7 minutes, more than 8 minutes, more than 9 minutes, more than 10 minutes, more than 15 minutes or more than 20 minutes.

[0161] In some embodiments, the RCCs are contacted with the FISH probe at a temperature of more than about 35°C, more than about 37°C, more than about 40°C, more than about 42°C, more than about 44°C or more than about 46°C.

[0162] In some embodiments, analyzing the detected RCCs further comprises assessing the morphology of the detected RCCs. In some embodiments, the treatment of RCCs with quenching buffer does not significantly alter the morphological characteristics of the RCCs.

[0163] In some aspects, the methods of this disclosure are used to detect, quantify and characterize RCCs in non-enriched blood samples from human patients.

[0164] In some embodiments, the non-enriched blood sample is a plurality of non-enriched blood samples. In some embodiments, the RCC analysis markers are present in more than 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 80%, 85%, 90%, 95% or 99% of analyzed RCCs in more than 80%, 85%, 90%, 95% or 99% of blood samples in the plurality of blood samples. In some embodiments, the plurality of non-enriched blood samples was obtained from a plurality of patients.

[0165] The following examples are provided by way of illustration, not limitation.

EXAMPLES

Example 1: Identification, Quantification and Characterization of CTCs in Non-Enriched Blood Samples from Human Cancer Patients.

[0166] First, blood samples were obtained from three confirmed non-small cell lung cancer (NSCLC) patients. CTC candidates were identified in each sample as described, e.g., by Marrinucci et al. (2012) Phys Biol 9(1) 016003 or Nieva et al. (2012) Phys Biol 9(1) 016004.

[0167] Briefly, blood samples underwent red blood cell lysis followed by monolayer preparation of all nucleated cells on custom glass substrates. After paraformaldehyde (PFA) fixation and methanol permeabilization, cells were incubated with pan anti-cytokeratin antibodies recognizing cytokeratins 1, 4, 5, 6, 7, 8, 10, 13, 18 and 19 and a pre-conjugated anti-CD45 antibody followed by incubation with an Alexa™ 555-conjugated secondary antibody and DAPI as a nuclear stain. All nucleated cells in the specimen were imaged in multiple fluorescent channels to produce high-quality and high-resolution digital images that retain fine cytologic detail of nuclear contour and cytoplasmic distribution. Cells that were both cytokeratin positive (CK⁺) and CD45 negative (CD45⁻) were identified using custom computer algorithms and then subjected to morphological analysis (e.g., analysis of their nuclear-to-cytoplasmic ratio). Cells were evaluated by direct review of captured microscopic images and classified as a CTC candidate based on cell morphology (e.g., their low nuclear-to-cytoplasmic ratios) and immunophenotype (e.g., CK⁺/CD45⁻).

Example 2: FISH-Analysis of CTCs in Non-Enriched Blood Samples from Human Cancer Patients.

[0168] This example demonstrates that CTCs can be further analyzed with respect to their molecular or cell biology after they were identified in an HD-CTC assay. This example further demonstrates that an effective quenching buffer was identified that effectively quenched the immunofluorescent staining (e.g., the staining of immunofluorescent CTC detection markers) while maintaining the identified CTCs in a condition allowing their subsequent analysis in a fluorescent *in situ* hybridization (FISH) assay.

FISH Protocol Following CTC Identification by HD-CTC Assay

[0169] Following the HD-CTC assay protocol described above, the non-enriched blood samples were further processed as follows.

[0170] Cover slips were removed from the microscope slides and the slides were placed into a quenching buffer.

Quenching buffers, incubation times and incubation temperatures were as further described below. The slides were then incubated for another 5 min in 5% formaldehyde in PBS. Next, the slides were transferred to 70% ethanol in water, incubated for 2 min, transferred into 85% ethanol in water, incubated for another 2 min, transferred into 100% ethanol and incubated for another 2 min. The backside and sides of the slides were wiped with a delicate task wipe and the slides were allowed to air dry. Then, 20 μl of FISH probe solution (containing, e.g., a target-gene probe, including PTEN or ERG probes, or a control probe, including centromere probes) in hybridization buffer was applied in an even line across a coverslip, the coverslip was placed probe side down onto the slide. The probe solution was allowed to spread to the borders of the cover slip and the coverslips were gently pushed to eliminate air bubbles. The borders were then sealed with rubber cement. A 10 min incubation at 83°C (allowing for DNA denaturation) was followed by a 1-24 hour incubation at 37°C (allowing for DNA-FISH probe hybridization). The coverslips were then removed and the slides were first placed in 0.4xSSC/0.3% Igepal solution, pH 7 for 2 minutes and afterwards transferred to 2xSSC/0.1% Igepal solution, pH 7 for 1-10 minutes. The slides were removed, the DAPI counterstain was applied and the edges of the coverslip were sealed with nail polish. Finally, the slides were analyzed by fluorescence scanning microscopy.

30 Comparison of Quenching Buffers

[0171] In exemplary experiments, the identification of CTCs in non-enriched blood samples involved the immunofluorescent detection of cytokeratin (CK). Surprisingly, incubation of CTC samples with a 4 M guanidinium thiocyanate (GdnSCN) quenching buffer resulted in an effective reduction of CK immunofluorescence while largely maintaining the CTC cell morphology (e.g., cell shapes and sizes, nuclei shapes and sizes). See, e.g.,

40 **FIG. 1.**

[0172] In typical experiments, quenching buffer incubation times around 5-10 minutes were found to be sufficient to achieve the reduction of CK immunofluorescence. Moreover, quenching buffer incubation temperatures between 4°C and room temperature resulted in an efficient reduction of CK immunofluorescence. GdnSCN concentrations as low as 2 M were found to be sufficient to effectively reduce CK immunofluorescence. See, e.g.,

45 **FIG. 4.**

[0173] Quenching of CK immunofluorescence was found to be more efficient on CTCs having lower relative CK expression than in cells having higher relative CK expression. Generally, GdnSCN buffers were found to quench CK immunofluorescence by at least about 75%. In some experiments, the immunofluorescence was quenched by up to 90%, up to 99% or more.

[0174] Surprisingly, the incubation with GdnSCN buffers resulted in the retention of the majority of previously

identified CTCs on the microscope slides. Generally, more than 60% of previously identified CTCs were retained. In some experiments, more than 70%, 80% and even up to 95% of previously identified CTCs were retained.

[0175] Typically, more than 85% of the retained CTCs showed signals for positive control FISH markers, such as the chromosome 10 centromere marker. In some experiments, more than 70%, 80% and even more than 95% of previously identified CTCs showed positive signals for positive control FISH markers.

[0176] The surprising activity of the GdnSCN buffer was found to depend on the presence of the chaotropic agent guanidinium. For example, sodium thiocyanate (NaSCN) buffers were found not to quench CK immunofluorescence. See, e.g., FIG. 3.

[0177] Additionally, many other buffers that are commonly used in molecular or cell biology protocols were either found to be ineffective quenchers of CK immunofluorescence (see, e.g., FIGs. 1, 2, 5, 6 and 7) or were found to destroy CTC cell morphology (see, e.g., FIG. 5, center column).

[0178] Ineffective buffers included, Sodium Thiocyanate (NaSCN), Thermo Scientific Restore™ Western Blot Stripping Buffer, Glycine/SDS at pH 2, Glycine/SDS at pH 4, Glycine/SDS at pH 7, Glycine/SDS at pH 10, and other Tris-buffers containing combinations of SDS, Tris, Betamercaptoethanol or dithiotreitol (DTT).

[0179] Results of an exemplary FISH experiment conducted on the cells of a non-enriched blood sample following the identification of CTCs in an HD-CTC assay and the quenching of CK-immunofluorescence with 4M GdnSCN buffers are shown in FIG. 8.

[0180] In summary, guanidinium-containing buffers were found to effectively quench CTCs' immunofluorescence following their detection in HD-CTC assays while retaining the majority of identified CTCs available for further analysis and while maintaining CTC cell morphology.

Claims

1. A method for analyzing rare circulating cells (RCCs) in a non-enriched blood sample, comprising:

(a) detecting RCCs in the non-enriched blood sample, comprising:

- i) determining presence or absence of one or more immunofluorescent RCC detection markers in nucleated cells in the non-enriched blood sample, and
- ii) assessing the morphology of the nucleated cells, wherein RCCs are detected among the nucleated cells based on a combination of distinct immunofluorescent staining and morphological characteristics;

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(b) quenching the immunofluorescence of the one or more immunofluorescent RCC detection markers comprising contacting the RCCs with a guanidinium-salt-containing buffer, wherein the immunofluorescence is quenched by more than 50%, 60%, 70%, 80%, 90%, 95%, 99%, 99.9% or 99.99%; and

(c) analyzing the detected RCCs, comprising determining presence or absence of one or more fluorescent RCC analysis markers.

2. The method of claim 1, wherein

(a) more than 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95% or 99% of RCCs detected in (a) are retained in (c); or

(b) the RCCs are detected on a solid support.

3. The method of claim 1, wherein

(a) the fluorescent RCC analysis markers are fluorescence *in situ* hybridization (FISH) markers; or

(b) wherein the fluorescent RCC analysis markers are positive control markers; optionally wherein:

(i) the positive control markers are present in more than 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95% or 99% of RCCs analyzed in step (c) of claim 1; or

(ii) the positive control markers are chromosomal markers; or

(iii) the positive control markers are centromere markers or telomere markers;

or

(c) the RCC analysis markers are genetic mutations selected from the group consisting of gene translocation, gene amplification gene deletion, gene aneuploidy and chromosomal aneuploidy.

4. The method of claim 1, wherein analyzing the detected RCCs further comprises assessing the morphology of the detected RCCs.

5. The method of claim 1, wherein the RCCs are circulating tumor cells (CTCs), circulating epithelial cells (CECs), CTC mimics, or CTC candidates.

6. The method of claim 1, wherein the guanidinium-salt-containing buffer comprises guanidinium thiocyanate (guanidine thiocyanate) or guanidinium chloride (guanidine hydrochloride).

7. The method of claim 1, wherein the RCCs were contacted with the guanidinium-salt-containing buffer for a period of time of more than 1 minute, 2 minutes, 3 minutes, 4 minutes, 5 minutes, 6 minutes, 7 minutes, 8 minutes, 9 minutes, 10 minutes, 15 minutes or more than 20 minutes. 5
8. The method of claim 1, wherein the analyzed RCCs comprise RCC aggregates. 10
9. The method of claim 1, wherein the method is performed by fluorescent scanning microscopy; optionally wherein the microscopy provides a field of view comprising more than 2, 5, 10, 20, 30, 40 or 50 RCCs, wherein each RCC is surrounded by more than 10, 50, 100, 150 or 200 WBCs. 15
10. The method of claim 1, wherein 20
- (a) determining presence or absence of the immunofluorescent RCC detection markers comprises comparing the distinct immunofluorescent staining of RCCs with the distinct immunofluorescent staining of WBCs; or
- (b) determining presence or absence of the fluorescent RCC analysis markers comprises comparing the distinct fluorescent staining of RCCs with the distinct fluorescent staining of WBCs. 25
11. The method of claim 1, wherein 30
- (a) the immunofluorescent RCC detection markers are immunofluorescent CTC detection markers; optionally wherein the immunofluorescent CTC detection markers comprise a cytokeratin (CK); 35
- (b) the immunofluorescent RCC detection markers are immunofluorescent CEC detection markers; or 40
- (c) the immunofluorescent RCC detection markers comprise Von Willebrand Factor (vWF). 45
12. The method of claim 1, wherein (a) further comprises determining the presence or absence of one or more immunofluorescent sample cell markers in the nucleated cells; optionally wherein 50
- (a) the immunofluorescent sample cell markers are specific for white blood cells (WBCs); or 50
- (b) the immunofluorescent sample cell markers comprise CD 45.
13. The method of claim 1, wherein 55
- (a) assessing the morphology of the nucleated cells comprises comparing the morphological characteristics of RCCs with the morphological

characteristics of surrounding WBCs;
 (b) the morphological characteristics comprise nucleus size, nucleus shape, cell size, cell shape, or nuclear to cytoplasmic ratio; or
 (c) assessing the morphology of the nucleated cells comprises assessing the nucleated cell by nuclear detail, nuclear contour, presence or absence of nucleoli, quality of cytoplasm, quantity of cytoplasm, or immunofluorescent staining patterns.

14. The method of claim 1, wherein the blood sample was obtained from a non-small cell lung cancer (NSCLC) patient.

15. The method of claim 1, wherein the non-enriched blood sample is a plurality of non-enriched blood samples; optionally wherein the plurality of non-enriched blood samples was obtained from a plurality of patients.

Patentansprüche

1. Verfahren zum Analysieren von seltenen zirkulierenden Zellen (RCCs) in einer nicht angereicherten Blutprobe, umfassend:

(a) Nachweisen von RCCs in der nicht angereicherten Blutprobe, umfassend:

i) Bestimmen der Anwesenheit oder Abwesenheit eines oder mehrerer immunfluoreszierender RCC-Detektionsmarker in nukleierten Zellen in der nicht angereicherten Blutprobe und

ii) Bewerten der Morphologie der nukleierten Zellen, wobei RCCs unter den nukleierten Zellen basierend auf einer Kombination aus einer markanten immunfluoreszierenden Färbung und morphologischen Eigenschaften nachgewiesen werden;

(b) Löschen der Immunfluoreszenz des einen oder der mehreren immunfluoreszierenden RCC-Detektionsmarker, umfassend ein Kontaktieren der RCCs mit einem Guanidiniumsalzhaltigen Puffer, wobei die Immunfluoreszenz um mehr als 50 %, 60 %, 70 %, 80 %, 90 %, 95 %, 99 %, 99,9 % oder 99,99 % gelöscht wird; und

(c) Analysieren der nachgewiesenen RCCs, umfassend ein Bestimmen der Anwesenheit oder Abwesenheit eines oder mehrerer fluoreszierender RCC-Analysemarker.

2. Verfahren nach Anspruch 1, wobei

(a) mehr als 25 %, 30 %, 35 %, 40 %, 45 %, 50 %, 55

- 55%, 60%, 65%, 70 %, 75 %, 80%, 85%, 90%, 95% oder 99 % der RCCs, die in (a) nachgewiesen werden, in (c) zurückgehalten werden; oder (b) die RCCs auf einem festen Träger nachgewiesen werden. 5
- 3.** Verfahren nach Anspruch 1, wobei
- (a) die fluoreszierenden RCC-Analysemarker Fluoreszenz-in situ-Hybridisierungs- (FISH)-Marker sind; oder 10
- (b) wobei die fluoreszierenden RCC-Analysemarker positive Kontrollmarker sind; wobei optional:
- (i) die positiven Kontrollmarker in mehr als 25 %, 30 %, 35 %, 40 %, 45 %, 50 %, 55 %, 60 %, 65 %, 70 %, 75 %, 80 %, 85 %, 90 %, 95 % oder 99 % der RCCs vorhanden sind, die in Schritt (c) von Anspruch 1 analysiert wurden; oder 20
- (ii) die positiven Kontrollmarker chromosomale Marker sind; oder
- (iii) die positiven Kontrollmarker zentromere Marker oder telomere Marker sind; 25
- oder
- (c) die RCC-Analysemarker genetische Mutationen sind, die ausgewählt sind aus der Gruppe bestehend aus Gentranslokation, Genamplifikation, Gendeletion, Genaneuploidie und chromosomaler Aneuploidie. 30
- 4.** Verfahren nach Anspruch 1, wobei ein Analysieren der nachgewiesenen RCCs ferner ein Bewerten der Morphologie der nachgewiesenen RCCs umfasst. 35
- 5.** Verfahren nach Anspruch 1, wobei die RCCs zirkulierende Tumorzellen (Circulating Tumor Cells, CTCs), zirkulierende Epithelzellen (CECs), CTC-Imitationen oder CTC-Kandidaten sind. 40
- 6.** Verfahren nach Anspruch 1, wobei der Guanidiniumsalz-haltige Puffer Guanidiniumthiocyanat (Guanidinthiocyanat) oder Guanidiniumchlorid (Guanidinhydrochlorid) umfasst. 45
- 7.** Verfahren nach Anspruch 1, wobei die RCCs mit dem Guanidiniumsalz-haltigen Puffer für einen Zeitraum von mehr als 1 Minute, 2 Minuten, 3 Minuten, 4 Minuten, 5 Minuten, 6 Minuten, 7 Minuten, 8 Minuten, 9 Minuten, 10 Minuten, 15 Minuten oder mehr als 20 Minuten in Kontakt gebracht wurden. 50
- 8.** Verfahren nach Anspruch 1, wobei die analysierten RCCs RCC-Aggregate umfassen. 55
- 9.** Verfahren nach Anspruch 1, wobei das Verfahren
- durch fluoreszierende Rastermikroskopie durchgeführt wird; wobei die Mikroskopie optional ein Blickfeld bereitstellt, das mehr als 2, 5, 10, 20, 30, 40 oder 50 RCCs umfasst, wobei jede RCC von mehr als 10, 50, 100, 150 oder 200 WBCs umgeben ist.
- 10.** Verfahren nach Anspruch 1, wobei
- (a) das Bestimmen der Anwesenheit oder Abwesenheit der immunfluoreszierenden RCC-Detektionsmarker ein Vergleichen der markanten immunfluoreszierenden Färbung der RCCs mit der markanten immunfluoreszierenden Färbung der WBCs umfasst; oder
- (b) das Bestimmen der Anwesenheit oder Abwesenheit der immunfluoreszierenden RCC-Analysemarker ein Vergleichen der markanten immunfluoreszierenden Färbung der RCCs mit der markanten immunfluoreszierenden Färbung der WBCs umfasst.
- 11.** Verfahren nach Anspruch 1, wobei
- (a) die immunfluoreszierenden RCC-Detektionsmarker immunfluoreszierende CTC-Detektionsmarker sind; wobei die immunfluoreszierenden CTC-Detektionsmarker optional ein Cytokeratin (CK) umfassen;
- (b) die immunfluoreszierenden RCC-Detektionsmarker immunfluoreszierende CEC-Detektionsmarker sind; oder
- (c) die immunfluoreszierenden RCC-Detektionsmarker Von Willebrand-Faktor (vWF) umfassen.
- 12.** Verfahren nach Anspruch 1, wobei (a) ferner ein Bestimmen der Anwesenheit oder Abwesenheit eines oder mehrerer immunfluoreszierender Probenzellmarker in den nukleierten Zellen umfasst; wobei optional
- (a) die immunfluoreszierenden Probenzellmarker für weiße Blutkörperchen (White Blood Cells, WBCs) spezifisch sind; oder
- (b) die immunfluoreszierenden Probenzellmarker CD 45 umfassen.
- 13.** Verfahren nach Anspruch 1, wobei
- (a) Bewerten der Morphologie der nukleierten Zellen ein Vergleichen der morphologischen Eigenschaften von RCCs mit den morphologischen Eigenschaften umgebender WBCs umfasst;
- (b) die morphologischen Eigenschaften Kerngröße, Kernform, Zellgröße, Zellform oder Kern-Plasma-Relation umfasst; oder
- (c) Bewerten der Morphologie der nukleierten

Zellen ein Bewerten der nukleierten Zelle durch Kerndetail, Kernkontur, Anwesenheit oder Abwesenheit von Nukleoli, Qualität von Zytoplasma, Quantität von Zytoplasma oder immunfluoreszierende Färbungsmuster umfasst.

14. Verfahren nach Anspruch 1, wobei die Blutprobe von einem Patienten mit nichtkleinzelligem Lungenkrebs (NSCLC) erhalten wurde.

15. Verfahren nach Anspruch 1, wobei die nicht angereicherte Blutprobe eine Vielzahl von nicht angereicherten Blutproben ist; wobei optional die Vielzahl von nicht angereicherten Blutproben von mehreren Patienten erhalten wurde.

Revendications

1. Procédé d'analyse de cellules circulantes rares (CCRs) dans un échantillon de sang non enrichi, comprenant:

(a) la détection des CCRs dans l'échantillon de sang non enrichi, comprenant:

i) la détermination de la présence ou l'absence d'un ou plusieurs marqueurs de détection de CCR immunofluorescents dans des cellules nucléées de l'échantillon de sang non enrichi, et

ii) l'évaluation de la morphologie des cellules nucléées, dans lequel les CCRs sont détectées parmi les cellules nucléées sur la base d'une combinaison de coloration d'immunofluorescence distincte et de caractéristiques morphologiques;

(b) l'extinction de l'immunofluorescence d'un ou plusieurs marqueurs de détection de CCR immunofluorescents comprenant la mise en contact des CCRs avec un tampon contenant du sel de guanidinium, dans lequel l'immunofluorescence est neutralisée à plus de 50 %, 60 %, 70 %, 80 %, 90 %, 95 %, 99 %, 99,9 % ou 99,99 %; et

(c) l'analyse des CCRs détectées, comprenant la détermination de la présence ou de l'absence d'un ou plusieurs marqueurs d'analyse de CCR fluorescents.

2. Procédé selon la revendication 1, dans lequel

(a) plus de 25 %, 30 %, 35 %, 40 %, 45 %, 50 %, 55 %, 60 %, 65 %, 70 %, 75 %, 80 %, 85 %, 90 %, 95 % ou 99 % des CCR détectées en (a) sont retenues en (c); ou

(b) les CCRs sont détectées sur un support so-

lide.

3. Procédé selon la revendication 1, dans lequel

(a) les marqueurs d'analyse de CCR fluorescents sont des marqueurs d'hybridation *in situ* en fluorescence (FISH); ou

(b) dans lequel les marqueurs d'analyse de CCR fluorescents sont des marqueurs témoins positifs;

facultativement dans lequel:

(i) les marqueurs témoins positifs sont présents dans plus de 25 %, 30 %, 35 %, 40 %, 45 %, 50 %, 55 %, 60 %, 65 %, 70 %, 75 %, 80 %, 85 %, 90 %, 95 % ou 99 % de CCR analysées à l'étape (c) de la revendication 1; ou

(ii) les marqueurs témoins positifs sont des marqueurs chromosomiques; ou

(iii) les marqueurs témoins positifs sont des marqueurs centromériques ou des marqueurs télomériques;

ou

(c) les marqueurs d'analyse de CCR sont des mutations génétiques sélectionnées dans le groupe comprenant une translocation génique, une amplification génique, une délétion génique, l'aneuploïdie génique et l'aneuploïdie chromosomique.

4. Procédé selon la revendication 1, dans lequel l'analyse des CCRs détectées comprend en outre l'évaluation de la morphologie des CCRs détectées.

5. Procédé selon la revendication 1, dans lequel les CCRs sont des cellules tumorales circulantes (CTCs), des cellules épithéliales circulantes (CECs), des analogues de CTC ou des candidates de CTC.

6. Procédé selon la revendication 1, dans lequel le tampon contenant du sel de guanidinium comprend du thiocyanate de guanidinium (thiocyanate de guanidine) ou du chlorure de guanidinium (chlorhydrate de guanidine).

7. Procédé selon la revendication 1, dans lequel les CCRs ont été mises en contact avec le tampon contenant du sel de guanidinium pendant une période de temps supérieure à 1 minute, 2 minutes, 3 minutes, 4 minutes, 5 minutes, 6 minutes, 7 minutes, 8 minutes, 9 minutes, 10 minutes, 15 minutes ou plus de 20 minutes.

8. Procédé selon la revendication 1, dans lequel les CCRs analysées comprennent des agrégats de CCR.

9. Procédé selon la revendication 1, dans lequel le procédé est effectué par microscopie à balayage fluorescente; facultativement dans lequel la microscopie fournit un champ de vision comprenant plus de 2, 5, 10, 20, 30, 40 ou 50 CCRs, dans lequel chaque CCR est entourée de plus de 10, 50, 100, 150 ou 200 WBCs. 5
10. Procédé selon la revendication 1, dans lequel
- (a) la détermination de la présence ou de l'absence des marqueurs de détection de CCR immunofluorescents comprend la comparaison de la coloration d'immunofluorescence distincte des CCRs avec la coloration d'immunofluorescence distincte des WBCs; ou 10
- (b) la détermination de la présence ou de l'absence des marqueurs d'analyse de CCR fluorescents comprend la comparaison de la coloration fluorescente distincte des CCR avec la coloration fluorescente distincte des WBC. 15
11. Procédé selon la revendication 1, dans lequel
- (a) les marqueurs de détection de CCR immunofluorescents sont des marqueurs de détection de CTC immunofluorescents; facultativement dans lequel les marqueurs de détection de CTC immunofluorescents comprennent une cytoké- 25
- ratine (CK); 30
- (b) les marqueurs de détection de CCR immunofluorescents sont des marqueurs de détection de CEC immunofluorescents; ou
- (c) les marqueurs de détection de CCR immunofluorescents comprennent le facteur de von Willebrand (vWF). 35
12. Procédé selon la revendication 1, dans lequel (a) comprend en outre la détermination de la présence ou de l'absence d'un ou plusieurs marqueurs de cellules d'échantillon immunofluorescents dans les cellules nucléées; 40
- facultativement dans lequel
- (a) les marqueurs de cellules d'échantillon immunofluorescents sont spécifiques à des globules blancs (WBC) ; ou 45
- (b) les marqueurs de cellules d'échantillon immunofluorescents comprennent CD 45. 50
13. Procédé selon la revendication 1, dans lequel
- (a) l'évaluation de la morphologie des cellules nucléées consiste à comparer les caractéristiques morphologiques des CCRs avec les caractéristiques morphologiques des WBCs environnants; 55
- (b) les caractéristiques morphologiques com-
- prennent la taille du noyau, la forme du noyau, la taille de la cellule, la forme de la cellule ou le rapport nucléaire à cytoplasmique; ou
- (c) l'évaluation de la morphologie des cellules nucléées comprend l'évaluation de la cellule nucléée par détail nucléaire, contour nucléaire, présence ou absence de nucléoles, qualité de cytoplasme, quantité de cytoplasme ou profils de coloration d'immunofluorescence.
14. Procédé selon la revendication 1, dans lequel l'échantillon de sang a été obtenu à partir d'un patient atteint d'un cancer du poumon non à petites cellules (CBNPC).
15. Procédé selon la revendication 1, dans lequel l'échantillon de sang non enrichi est une pluralité d'échantillons de sang non enrichi; facultativement dans lequel la pluralité d'échantillons de sang non enrichi a été obtenue à partir d'une pluralité de patients.

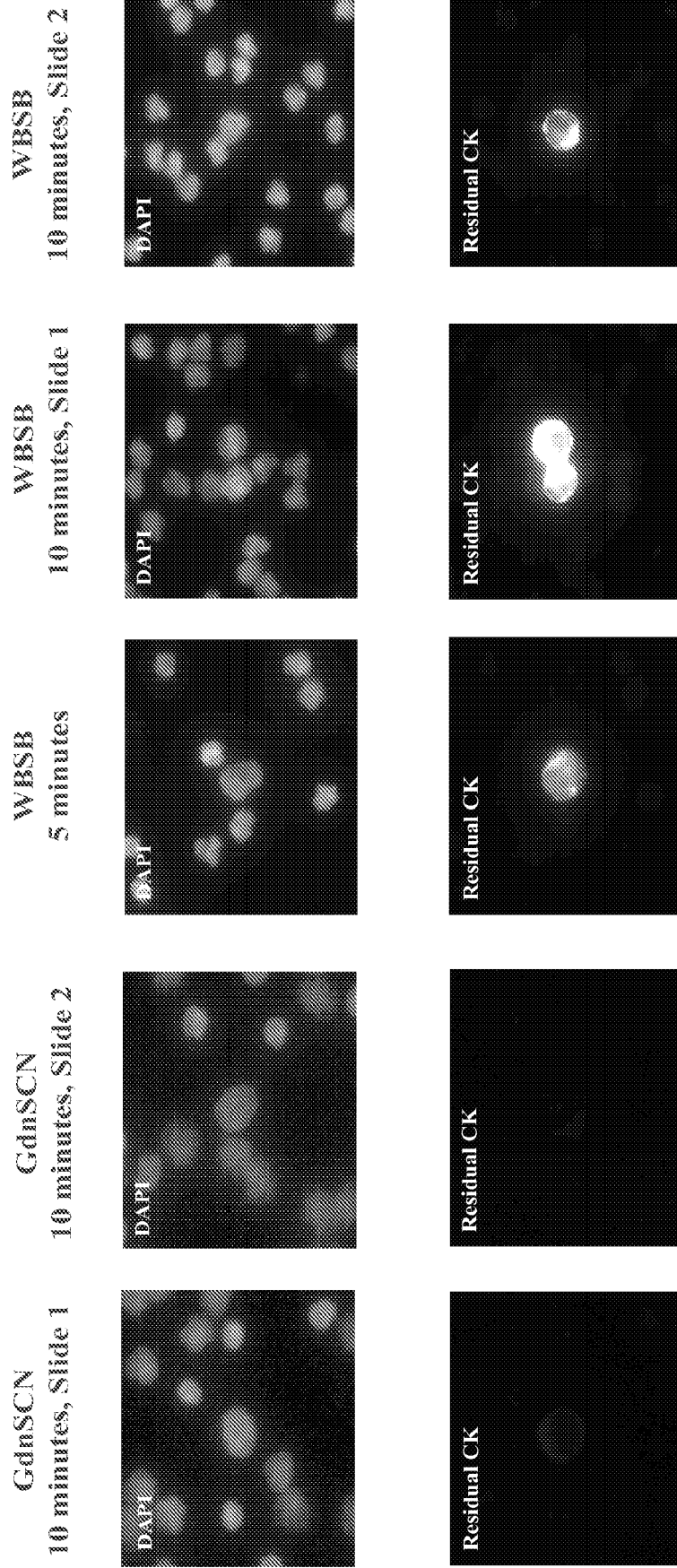


FIG. 1

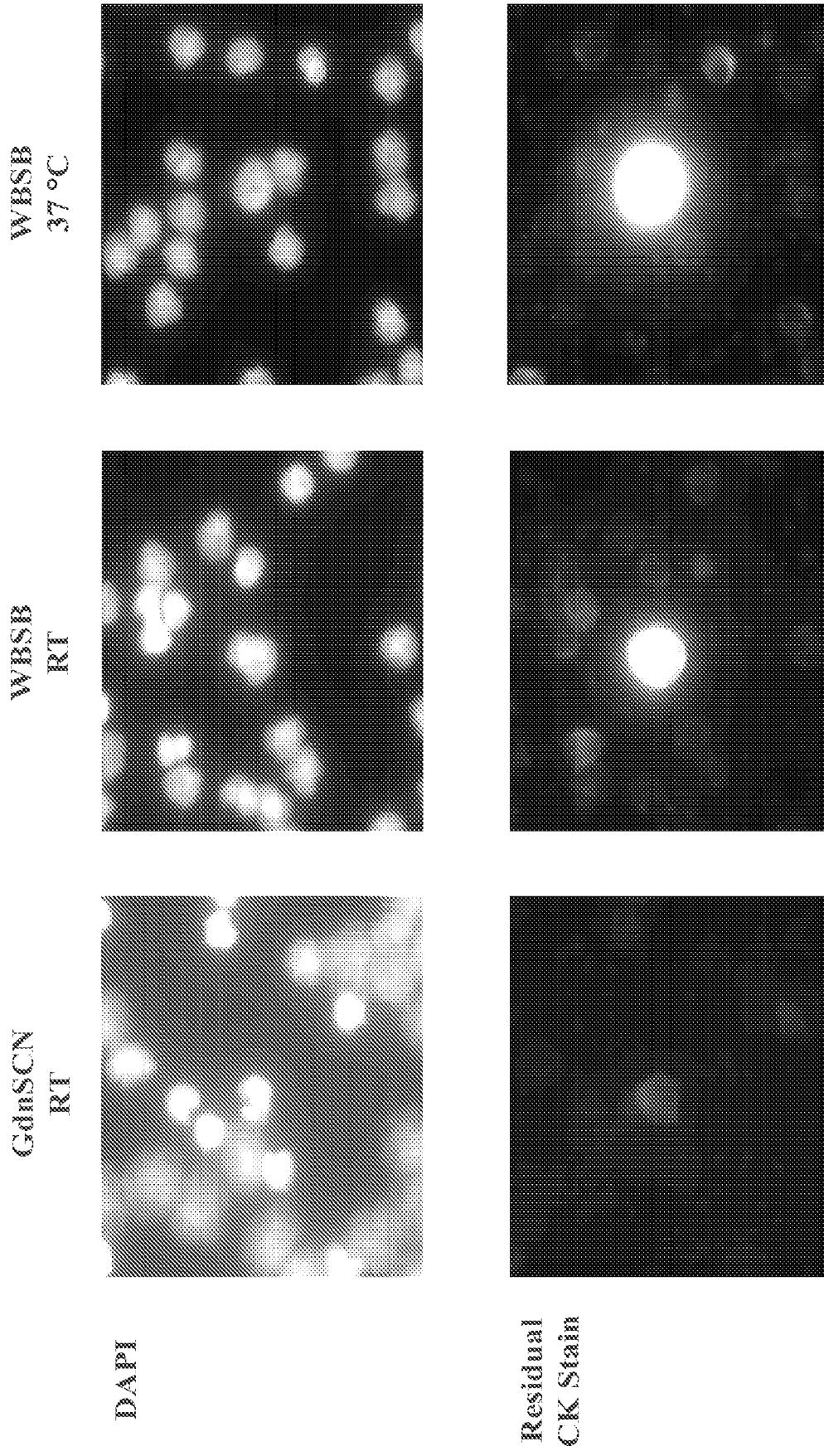


FIG. 2

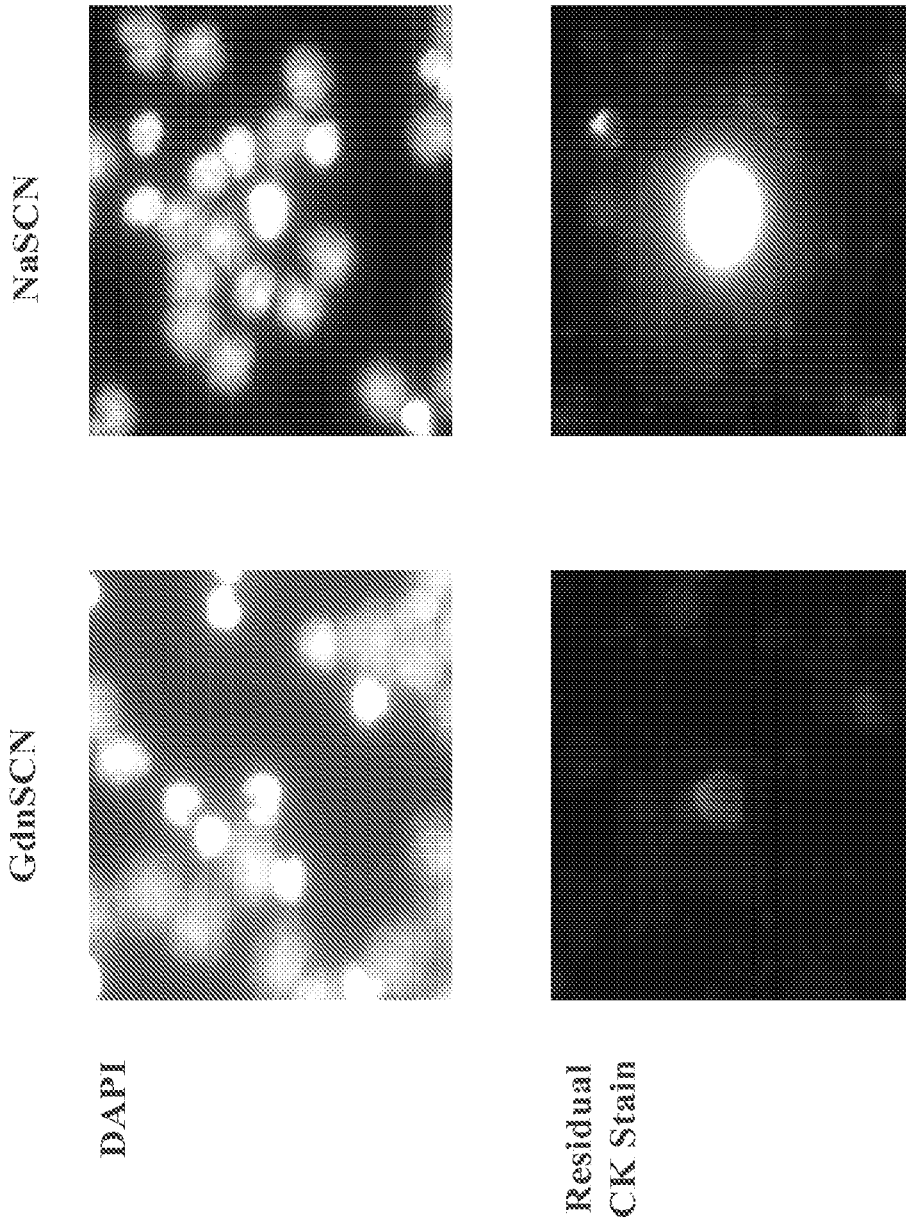


FIG. 3

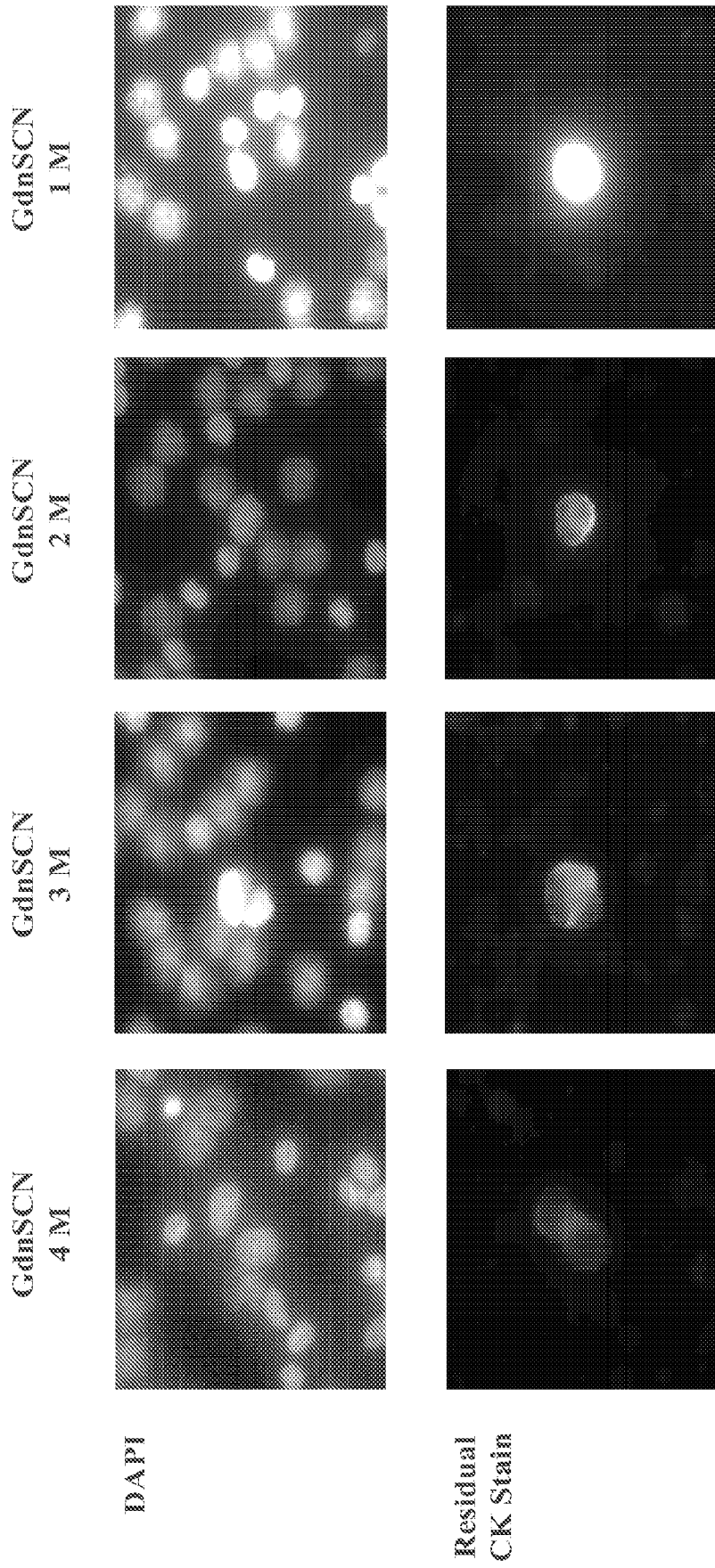


FIG. 4

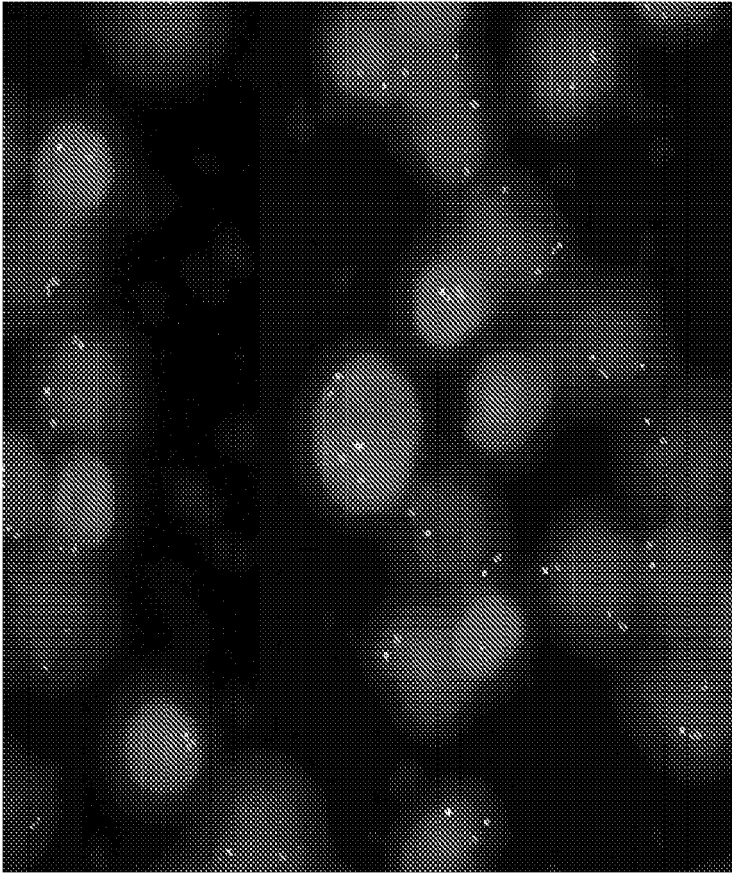


FIG. 8

REFERENCES CITED IN THE DESCRIPTION

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Non-patent literature cited in the description

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专利名称(译)	分析稀有循环细胞的方法		
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摘要(译)

本公开内容提供了在未富集的血液样品中检测后在细胞和分子水平上分析稀有循环细胞 (RCC) 的方法, 本公开内容的方法用作针对几种疾病状况 (包括心血管疾病和癌症) 的诊断方法。