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(54) 【発明の名称】高発現可能遺伝子

## (57) 【要約】

【解決手段】本発明は組換え発現系において蛋白質を産生する方法に関するものであり、発現系において、異種DNA配列から転写されるmRNAを翻訳する過程から成り立つ。本方法は野生型異種DNA配列から転写されるmRNAの二次構造を予測する工程を含む。この修飾型異種DNA配列から転写されるmRNAの二次構造は、野生型異種DNA配列から転写されるmRNAの二次構造に比べて、増大した自由エネルギーを有する。さらに、本方法は修飾型異種DNA配列を用いて、組換え発現系において蛋白質を産生する工程を含む。本発明はまた、修飾型コード配列を含む核酸分子からなる注射可能な医薬成分を提供する。本発明はまたコード配列も提供する。

**【特許請求の範囲】****【請求項 1】**

組換え発現系における蛋白質産生に関する方法であって、発現系における異種DNA配列から転写されるmRNAの翻訳によって成り立ち、この方法は、

- a) 野生型異種DNA配列から転写されるmRNAの二次構造を予測する工程と、
- b) 野生型異種DNAから転写されるmRNAの二次構造に比較して、修飾型異種DNA配列から転写されるmRNAの二次構造が増大した自由エネルギーを有するように、野生型異種DNA配列を修飾して、修飾型異種DNA配列を生産する工程と、
- c) 蛋白質生産の為に、組換え発現系において修飾型異種DNA配列を用いる工程とを有する。

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**【請求項 2】**

請求項1に記載の方法における組換え発現系は、無細胞試験管内転写並びに翻訳の系、試験管内細胞発現系、DNA直接注入に用いられるDNA構築物、個体にDNAを導入する為に用いる組換えベクターからなる群より選択される。

**【請求項 3】**

請求項1に記載の方法において、野生型異種DNA配列から転写されるmRNAの二次構造は、コンピューターとコンピュータープログラムを用いて予測される。

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**【請求項 4】**

請求項1に記載の方法において、野生型異種DNA配列から転写されるmRNAの二次構造は、コード配列のアデニンとチミンの含有量を増加することによって修飾される。

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**【請求項 5】**

請求項4に記載の方法において、野生型異種DNA配列から転写されるmRNAの二次構造は、コード配列の5'末端においてコード配列のアデニンとチミンの含有量を増加することにより、そこから転写されるmRNAがより多くのアデニンとウラシルとを含有するよう修飾される。

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**【請求項 6】**

請求項5に記載の方法において、野生型異種DNA配列から転写されるmRNAの二次構造は、開始コドンより200ヌクレオチド以内のコード配列の5'末端においてコード配列のアデニンとチミンの含有量を増加することにより、そこから転写されるmRNAがより多くのアデニンとウラシルとを含有するよう修飾される。

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**【請求項 7】**

請求項6に記載の方法において、野生型異種DNA配列から転写されるmRNAの二次構造は、開始コドンより150ヌクレオチド以内のコード配列の5'末端においてコード配列のアデニンとチミンの含有量を増加することにより、そこから転写されるmRNAがより多くのアデニンとウラシルとを含有するよう修飾される。

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**【請求項 8】**

請求項6に記載の方法において、野生型異種DNA配列から転写されるmRNAの二次構造は、開始コドンより100ヌクレオチド以内のコード配列の5'末端においてコード配列のアデニンとチミンの含有量を増加することにより、そこから転写されるmRNAがより多くのアデニンとウラシルとを含有するよう修飾される。

**【請求項 9】**

調節エレメントと連結して用いることが可能で、蛋白質をコードする修飾型コード配列を含む核酸分子を有する注射可能な医薬品成分であり、前記の修飾型コード配列は、野生型コード配列のアデニンとチミンもしくはアデニンとウラシルの含有量に比較して、より高いアデニンとチミンもしくはアデニンとウラシルを含有量を有しており、さらに医薬的に受容可能な担体を有する注射可能な医薬品成分。

**【請求項 10】**

請求項9に記載の注射可能な医薬品成分において、前記の修飾型コード配列は、野生型核酸配列のアデニンとチミンもしくはアデニンとウラシルの含有量と比較して、最初の200塩基においてより高いアデニンとチミンもしくはアデニンとウラシルの含有量を有する

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【請求項 1 1】

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は、野生型核酸配列のアデニンとチミンもしくはアデニンとウラシルの含有量と比較して、最初の 150 塩基においてより高いアデニンとチミンもしくはアデニンとウラシルの含有量を有する。

【請求項 1 2】

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は、野生型核酸配列のアデニンとチミンもしくはアデニンとウラシルの含有量と比較して、最初の 100 塩基においてより高いアデニンとチミンもしくはアデニンとウラシルの含有量を有する

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【請求項 1 3】

請求項 9 に記載の注射可能な医薬品成分において、前述の修飾型コード配列は、野生型核酸配列のアデニンとチミンもしくはアデニンとウラシルの含有量と比較して、200 塩基長までの少なくともひとつの領域において、より高いアデニンとチミンもしくはアデニンとウラシルの含有量を有する。

【請求項 1 4】

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は、野生型核酸配列のアデニンとチミンもしくはアデニンとウラシルの含有量と比較して、150 塩基長までの少なくともひとつの領域において、より高いアデニンとチミンもしくはアデニンとウラシルの含有量を有する。

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【請求項 1 5】

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は、野生型核酸配列のアデニンとチミンもしくはアデニンとウラシルの含有量と比較して、100 塩基長までの少なくともひとつの領域において、より高いアデニンとチミンもしくはアデニンとウラシルの含有量を有する。

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【請求項 1 6】

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は免疫原をコードしている。

【請求項 1 7】

請求項 1 6 に記載の注射可能な医薬品成分において、前記免疫原は病原体由来の蛋白質またはそこからの免疫原性断片である。

【請求項 1 8】

請求項 1 6 に記載の注射可能な医薬品成分において、前記免疫原は病原体由来の蛋白質またはそこからの免疫原性断片を含む融合蛋白質である。

【請求項 1 9】

請求項 1 6 に記載の注射可能な医薬品成分において、前記免疫原は癌抗原またはそこからの免疫原性断片である。

【請求項 2 0】

請求項 1 6 に記載の注射可能な医薬品成分において、前記免疫原は癌抗原またはそこからの免疫原性断片を含む融合蛋白質である。

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【請求項 2 1】

請求項 1 6 に記載の注射可能な医薬品成分において、前記免疫原は自己免疫性疾患関連蛋白質またはそこからの免疫原性断片である。

【請求項 2 2】

請求項 1 6 に記載の注射可能な医薬品成分において、前記免疫原は自己免疫疾患関連蛋白質またはそこからの免疫原性断片を含む融合蛋白質である。

【請求項 2 3】

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は非免疫原性治療蛋白質である。

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**【請求項 2 4】**

請求項 2 3 に記載の注射可能な医薬品成分において、前記非免疫原性治療蛋白質は、サイトカイン、成長因子、血液産物、酵素から成るグループから選ばれたものである。

**【請求項 2 5】**

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は分散修飾を含む。

**【請求項 2 6】**

請求項 2 5 に記載の注射可能な医薬品成分において、前記分散修飾は、200 塩基長までの少なくとも 2 つの修飾コード配列であり、野生型コード配列の領域と交互に配置される。  
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**【請求項 2 7】**

請求項 2 5 に記載の注射可能な医薬品成分において、前記分散修飾は、150 塩基長までの少なくとも 2 つの修飾コード配列であり、野生型コード配列の領域と交互に配置される。  
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**【請求項 2 8】**

請求項 2 5 に記載の注射可能な医薬品成分において、前記分散修飾は、100 塩基長までの少なくとも 2 つの修飾コード配列であり、野生型コード配列の領域と交互に配置される。  
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**【請求項 2 9】**

請求項 9 に記載の注射可能な医薬品成分において、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最後の 200 塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。  
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**【請求項 3 0】**

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最後の 150 塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。  
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**【請求項 3 1】**

請求項 9 に記載の注射可能な医薬品成分において、前記の修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最後の 100 塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。  
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**【請求項 3 2】**

調節エレメントと連結して用いることが可能で、蛋白質をコードする修飾型コード配列を含む核酸分子を有する組換えウイルスベクターであって、前記の修飾型コード配列は、野生型コード配列のアデニンとチミンもしくはアデニンとウラシルの含有量に比較して、より高いアデニンとチミンもしくはアデニンとウラシルを含有量を有する。

**【請求項 3 3】**

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最初の 200 塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

**【請求項 3 4】**

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最初の 150 塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。  
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**【請求項 3 5】**

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最初の 100 塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

**【請求項 3 6】**

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、200 塩基  
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長までの少なくともひとつの領域においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

【請求項 3 7】

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、150 塩基長までの少なくともひとつの領域においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

【請求項 3 8】

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、100 塩基長までの少なくともひとつの領域においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

【請求項 3 9】

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は免疫原をコードしている。

【請求項 4 0】

請求項 3 9 に記載の組換えウイルスベクターにおいて、前記免疫原は病原体由来蛋白質またはそこからの免疫原性断片である。

【請求項 4 1】

請求項 3 9 に記載の組換えウイルスベクターにおいて、前記免疫原は病原体由来蛋白質またはそこからの免疫原性断片を含む融合蛋白質である。

【請求項 4 2】

請求項 3 9 に記載の組換えウイルスベクターにおいて、前記免疫原は癌抗原またはそこからの免疫原性断片である。

【請求項 4 3】

請求項 3 9 に記載の組換えウイルスベクターにおいて、前記免疫原は癌抗原またはそこからの免疫原性断片を含む融合蛋白質である。

【請求項 4 4】

請求項 3 9 に記載の組換えウイルスベクターにおいて、前記免疫原は自己免疫疾患関連蛋白質またはそこからの免疫原性断片である。

【請求項 4 5】

請求項 3 9 に記載の組換えウイルスベクターにおいて、前記免疫原は自己免疫疾患関連蛋白質またはそこからの免疫原性断片を含む融合蛋白質である。

【請求項 4 6】

請求項 3 9 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は非免疫原性治療蛋白質をコードしている。

【請求項 4 7】

請求項 4 6 に記載の組換えウイルスベクターにおいて、前記非免疫原性治療法蛋白質はサイトカイン、成長因子、血液産物、酵素から成るグループから選ばれたものである。

【請求項 4 8】

請求項 3 2 に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は分散修飾を含む。

【請求項 4 9】

請求項 4 8 に記載の組換えウイルスベクターにおいて、前記分散修飾は、野生型コード配列の領域と交互に配列される、200 塩基長の少なくとも 2 つの修飾コード配列である。

【請求項 5 0】

請求項 4 8 に記載の組換えウイルスベクターにおいて、前記分散修飾は、野生型コード配列の領域と交互に配列される、150 塩基長の少なくとも 2 つの修飾コード配列である。

【請求項 5 1】

請求項 4 8 に記載の組換えウイルスベクターにおいて、前記分散修飾は、野生型コード配

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列の領域と交互に配列される、100塩基長の少なくとも2つの修飾コード配列である。

【請求項 5 2】

請求項32に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最後の200塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

【請求項 5 3】

請求項32に記載の組換えウイルスベクターにおいて、前記修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最後の150塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

【請求項 5 4】

修飾型コード配列は、野生型核酸配列のアデニンとチミンまたはアデニンとウラシルの含有量と比較して、最後の100塩基においてより高いアデニンとチミンまたはアデニンとウラシルの含有量を有する。

【発明の詳細な説明】

【0001】

本出願は、35 U.S.C. § 119(e)に基づき、引用により本明細書の一部に組み込まれている2000年10月4日付け出願の米国仮出願番号60/237,885号の利益を主張するものである。

【0002】

【発明の属する技術分野】

この発明は、一般的に遺伝子発現、遺伝子治療、遺伝免疫の分野に関するものである。

【0003】

【発明の背景】

遺伝子産物としての蛋白質の発現は、遺伝子コピー数、ゲノム中の遺伝子組み込み部位もしくは遺伝子の位置、転写因子、mRNAの安定性、翻訳効率を含む多くの要因の影響を受ける。例えば、ヒト免疫不全ウイルス-1(HIV-1)の構造遺伝子gag、pol、envの発現は、転写後のレベルにおいてRev/Rev反応エレメント(REE)に依存している。このRevへの依存性は遺伝子発現に対する制限因子となる。加えて、HIVのRNA転写産物の様々な領域において形成される、非常に安定なRNAの二次構造は、リボゾームの動きを阻害、あるいは干渉し、効果的に翻訳を制限する。遺伝子転写産物の安定なRNA二次構造の形成は一般的な現象であり、それによって、広範な種類の遺伝子について、遺伝子産物すなわち蛋白質への翻訳量が制限される。

【0004】

ここに参考文献として含められる、Gene, 199; 293-301(1997年)において、キムらは哺乳類の細胞におけるヒトエリスロポエチン(EPO)の発現を至適化する為に、成熟EPO蛋白質のリーダー配列と最初の6アミノ酸をコードするコドンを酵母細胞で最も汎用されているコドンに変更し、成熟EPO蛋白質のそれ以外の部分をコードするコドンをヒトで最も汎用されているコドンに変更した。

【0005】

ここに参考文献として含められる、米国特許番号5,972,596と5,965,726(パブラキス(Pavlakis)ら)は、mRNAのコード領域内にみられる阻害性あるいは不安定性の配列(INS:mRNAを不安定、あるいは十分に利用できなくなる、または翻訳できなくなる配列)の局在を決定する方法と、ヌクレオチドの群置換により、INSを取り除く目的で、mRNAをコードしている遺伝子を修飾する方法を述べている。

【0006】

蛋白質を発現する為の新しい方法が必要であり、治療目的ならびに免疫原性導入遺伝子の蛋白質発現レベルを増加する為の新しい方法が必要である。任意の蛋白質性遺伝子産物の翻訳収量を増加する為の方法が必要である。RNA転写産物における、RNAの二次構造によってたらされる、任意の遺伝子の蛋白質発現レベルの限界を克服する方法が必要で

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ある。本発明は、これらならびに他の必要性に応えようとするものである。

### 【0007】

#### 【発明の概要】

本発明は組換え発現系において蛋白質を产生する方法に関するものであり、発現系において、異種DNA配列から転写されるmRNAを翻訳する過程から成り立つ。本方法は野生型異種DNA配列から転写されるmRNAの二次構造を予測する工程、野生型異種DNA配列を修飾することにより、修飾型異種DNA配列を生産する工程を含む。この修飾型異種DNA配列から転写されるmRNAの二次構造は、野生型異種DNA配列から転写されるmRNAの二次構造に比べて、増大した自由エネルギーを有する。さらに、本方法は修飾型異種DNA配列を用いて、組換え発現系において蛋白質を产生する工程を含む。組換え発現系は無細胞試験管内転写翻訳系、試験管内細胞発現系、直接的DNA注入に用いられるDNA構築物、または個体にDNAを導入する為の組換えベクターのいずれでもあり得る。野生型異種DNA配列から転写されるmRNAの二次構造は、コンピューターとコンピュータープログラムとを用いて予測される。野生型異種DNA配列はコード配列のアデニンとチミンの含有量を増加することによって修飾されるが、特にコード配列の5'末端、もしくはコード配列の5'末端で、開始コドンから200ヌクレオチドまたは150ヌクレオチドまたは100ヌクレオチド以内において修飾される。

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### 【0008】

本発明はまた注射可能な医薬成分に関するものである。本医薬成分は核酸分子を含み、蛋白質をコードする修飾型コード配列が制御可能な形で制御エレメントに結合されている。この修飾型コード配列は野生型核酸配列に比較して、高いアデニンとチミンもしくはアデニンとウラシルの含有量を有している。本医薬成分はさらに医薬的に許容される担体を含む。コードされる蛋白質は免疫原でも非免疫原性治療蛋白質でもよい。コード配列の修飾は最初の100ないし200塩基以内、またはコード配列の全体にわたって分散された配列の範囲内、または最後の100ないし200塩基以内にされ得る。

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### 【0009】

本発明はまた、組換えウイルスベクターに関するものである。本ウイルスベクターは核酸分子を含み、蛋白質をコードする修飾型コード配列が制御可能な形で制御エレメントに結合されている。この修飾型コード配列は野生型核酸配列に比較して、高いアデニンとチミンもしくはアデニンとウラシルの含有量を有している。

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### 【0010】

#### 【発明の実施の形態】

本発明は、ヌクレオチドレベルでの変化に起因する、RNAの二次構造の自由エネルギーの増加と、二次構造の不安定化によって、蛋白質発現が増強されるという、発見に基づいている。RNA転写産物の自由エネルギー(X kcal)の増加によって、それがコードする蛋白質発現の増加をもたらすことが知られている。

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### 【0011】

好ましい実施例において、RNA転写産物の200塩基セグメント範囲内の自由エネルギー(X kcal)の増加は、それがコードしている蛋白質発現の増加に帰結するであろう。そのセグメントは、5'末端にあることが好ましく、通常開始コドンを含む。いくつかの実施例においては、そのセグメントの長さが200塩基、150塩基、もしくは100塩基が好ましい。RNA分子の二次構造は、その三次元構造において生じる塩基対の集合体である。任意のRNA分子の二次構造は予測可能であり、そのように予測された二次構造に対して、総体自由エネルギー価が与えられる。予測されるRNAの二次構造に対して予測される最小限の総体自由エネルギーまで総体自由エネルギーの増加をもたらすような、あるいはまた、そのRNAの部分領域に対して予測される二次構造に対して予測される最小自由エネルギーを増加するような、RNA転写産物の一次配列の変更が、そのRNA転写産物によってコードされる蛋白質の発現の増加を促進することが知られている。

### 【0012】

本戦略は蛋白質発現を至適化する為のものであり、発現が望まれるどのような場合にも応

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用される。すなわち、生体内におけるDNAワクチン、生ワクチン、遺伝子治療、あるいは遺伝子導入が含まれるが、これらに限定するものではない。また、試験管内においては原核生物や真核生物（哺乳類、昆虫、酵母）の培養細胞の系を用いての組換え製造法を含むが、これらに限定するものではない。また、生体外においては、細胞が発現構築物を受容し、レシピエントの生体に移植される系を含むがこれに限定するものではない。また、目的の遺伝子の発現、もしくは遺伝子発現を増強することが望まれる、他のいかなる発現系も含まれる。

## 【0013】

この発明のひとつの側面は、蛋白質の効率的な発現を促進、もしくは蛋白質の発現レベルの増加を導く蛋白質をコードするRNAを产生することである。RNAの予測二次構造に対する最小総体自由エネルギーの増加を導く、あるいは、RNAのひとつかそれ以上の領域の予測二次構造に対する最小自由エネルギーを増加するように、RNAをコードするDNAの配列を変更することによって、コードされた蛋白質の能率の良い、もしくは、より増強した発現を促進する。

## 【0014】

RNAの二次構造の自由エネルギーの増加は、エネルギー最小化に基づいて入力配列に対して最も安定な構造を計算し予測する、MFOLDのようなプログラムを用いて、さまざまに変更されたバージョンの配列を分析することによってモニターすることができる。MFOLDは、ズーカー(Zuker)、ジャガー(Jaeger)、その同僚らによってデザインされたコンピューターソフトウェアであり(ズーカー、1978、RNA分子の全ての準至適折り畳みについての知見、*Science*, 244:48-52. ジャガーラ、1989、RANの二次構造の改善された予測、*Proc. Natl. Acad. Sci. USA*, 86:7706-7710これらはそれぞれ、ここに参考文献として含まれる)、Turnerとその同僚らによって開発されたエネルギー法則を用いた自由エネルギー最小化によるRNA二次構造の予測に用いられる(参考文献としてここに含まれる、Freierら、1986、*Proc. Natl. Acad. Sci. USA*, 83:9373-9377を参照のこと)。MulfoldはMFOLDのマッキントッシュ版である。LoopDloopは二次構造描写プログラムである。最も安定な構造は最小限の総体自由エネルギーを持つものである。構造に対する自由エネルギー値が負になればなるほど、より安定である。より高い総体自由エネルギー価を持つ二次構造になることが予測されるRNA配列の変更は、より安定でないRNAの二次構造に帰結し、RNAの効率的な翻訳と蛋白質発現の増加を促進する不安定な変化である。

## 【0015】

この発明の実践は、もし他の方法で指示されなければ、ウイルス学、免疫学、微生物学、分子生物学、組換えDNA技術で通常の知識に属する在来法を使用する。このような技術は文献の中で十分に説明されている。例えば、サムブルック(Sambrook)ら、eds.、Molecular Cloning: A Laboratory Manual(3<sup>rd</sup> ed.) Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY(2001); アースベル(Ausubel)ら、eds.、Current Protocols in Molecular Biology, John Wiley & Sons, New York, NY(2001); Glover & Hames, eds., DNA Cloning 3: A Practical Approach, Vols. I, II & III, IRL Press, Oxford(1995); Colowick & Kaplan, eds.、Methods in Enzymology, Academic Press; ウエイラー(Weir)ら、Handbook of Experimental Immunology, 5<sup>th</sup> ed., Blackwell Scientific publications, Ltd., Edinburgh, (1997); Fields, Knipe & Howley, eds., Fields Virology(3<sup>rd</sup> ed.) Vols. I & II, Lippincott Willi 10 20 30 40 50

ams & Wilkins Pubs. (1996) ; フリント( Flint ) ら、 eds.、 Principles of virology : Molecular biology、 pathogenesis、 and Control、 ASM Press、 (1999) ; コリガン( Coligan ) ら、 eds.、 Current Protocols in Immunology、 John Wiley & Sons、 New York、 NY (2001) を参照すること。これらの文献はこの明細書に含まれる。

#### 【0016】

本明細書の全体にわたり、様々な定義がされている。ほとんどの表現は、通常の知識を有する人には通じる言葉である。本明細書内で下記もしくは他のどこかで特に定義される表現は、この発明の文脈の中で通常の知識を有する者によって一般的に理解される。

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#### 【0017】

ここで使われるよう、”組換え発現系”という用語は、対象の遺伝子産物（単数または複数）の発現を目的とする、核酸に基づく任意の方法や実験系のことであり、対象の遺伝子産物（単数または複数）はそれらの発現を目的とする成分から人工的に作られてきた（ヒトが作った）ものである。その成分とは、自然に生じた遺伝資源、合成的もしくは人工的、もしくは天然と人工の遺伝的要素の組み合わせである。一般的に遺伝子産物とは蛋白質、ポリペプチド、ペプチドである。組換え発現系の例としては、無細胞試験管内転写翻訳系、試験管内細胞発現系、DNA直接注入に用いられるDNA構築物、そして、個体にDNAを導入する組換えベクターが含まれるが、これらに限定するものではない。

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#### 【0018】

ここで使われるよう、”異種DNA配列”とは自然に存在しない状況におかれた、デオキシリボ核酸に基づく配列のことであり、これらは例えば、組換え構築物、またはプラスミド、またはウイルスの中にあるか、染色体上の自然に存在しない位置に挿入されているか、もしくは、自然に存在しないかまたは異種の細胞に導入されているものである。”異種DNA配列”とは任意のDNA配列のことであって、それは異種のDNA配列であるか、またはそれが（制御可能であろうとなからうと）関連もしくは結合する他のDNA配列と自然には関連しないDNA配列であるか、またはそれが導入される細胞や生体と自然には関連しないDNAano配列のことである。異種DNA配列の例としては、宿主細胞または生体において、外来性もしくは異種蛋白質性遺伝子産物の発現に用いられた配列が挙げられる。異種DNA配列は、宿主細胞の生体内または試験管内、または無細胞試験管内発現系において、蛋白質、ポリペプチド、またはペプチド等の遺伝子産物を発現するように設計された、遺伝物質を有する、ベクターもしくは発現構築物の一部で有り得る。

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#### 【0019】

ここで使われるよう、”野生型異種DNA配列”という用語は、非自然環境に位置づけられているが、自然な環境におけるその配列から修飾または変更されていないヌクレオチド配列を持つ異種DNA配列のことである。例えば、ウイルス遺伝子は、組換え発現構築物に挿入されて、その構築物内での他の配列との関係において異種DNA配列であるが、ウイルス遺伝子の核酸配列にはどんな変化も導入されていない。この例において、野生型異種DNA配列として、ウイルス遺伝子はウイルスゲノムの中に自然の状態で存在する通りの野生型核酸配列をもつが、組換え発現構築物（新しい環境）との関係においては異種である。野生型異種DNA配列はまた、任意のDNA配列であって、それは核酸配列の変化を含む修飾型（非自然）DNA配列を調整するための、参考配列もしくは出発材料（開始バージョン）となり得る。野生型異種DNA配列はまた、自然に存在する配列から変更されていないが、自然界には一緒に存在することがない、野生型DNA配列の多数の組み合わせにより成り立つこともあり得る。このように、多数の野生型DNA配列から成り立つ野生型異種DNA配列の例としては、二つの異なる遺伝子由来の野生型遺伝子配列で構成される融合遺伝子がある。

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#### 【0020】

ここで使われるよう、”修飾型異種DNA配列”とは、非自然環境に位置付けられるだけでなく、自然環境での配列を修飾もしくは変更されたヌクレオチド配列を持つ異種DN

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A配列のことである。例えば、修飾型異種DNA配列であるウイルス遺伝子は、組換え発現構築物に挿入されることによって、構築物の中の他の配列との関係において異種であるが、さらに、ウイルスゲノム中の自然な環境に見つけられる野生型ヌクレオチド配列ではなく、修飾または変更されたヌクレオチド配列を持つ。

## 【0021】

ここで使われるようすに、RNA二次構造に関する”自由エネルギーの増加”という用語は、RNA二次構造に対する自由エネルギー値の増加のことを示す。より多く負である自由エネルギー値は、より少なく負であるところの自由エネルギー値よりも低い。

## 【0022】

ここで使われるようすに、”修飾型コード配列”とは、核酸配列(DNA-またはRNA-に基づく)のことであり、それは遺伝子産物、蛋白質、ポリペプチド、もしくはペプチドをコードし、しかも、野生型または自然に生じるその遺伝子産物、蛋白質、ポリペプチド、もしくはペプチドに対するコード配列から修飾、変更されたものである。そのコード配列はひとつ以上の遺伝資源由来の配列で構成され得る。例えば、そのコード配列は、ある蛋白質の遺伝子由来のリーダー配列と、それ以外の部分は別の蛋白質の遺伝子由来の配列とから成り立つ融合蛋白質をコードする融合遺伝子で有り得る。この融合遺伝子は、一つの融合コード配列として一緒に運ばれ、自然には存在しないものである。この例のように、ひとつ以上の遺伝資源由来の複数の配列から成り立つコード配列の場合、”修飾型コード配列”とは、いかなる修飾も、それぞれ別個のコード配列について、野生型もしくは自然に生じるコード配列に関連して行われたものであることを示す。

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## 【0023】

ここで使われるようすに、”野生型コード配列”という用語は、核酸配列(DNA-またはRNA-に基づく)のことであり、それは遺伝子産物、蛋白質、ポリペプチド、もしくはペプチドをコードし、しかも、野生型または自然に生じるその遺伝子産物、蛋白質、ポリペプチド、もしくはペプチドに対するコード配列から修飾、変更されていないものである。コード配列が融合蛋白質をコードする場合、成分部分は、それら構成部分に対する野生型または自然に生じるコード配列から修飾もしくは変更されていない。

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## 【0024】

ここで使われるようすに、”より高いアデニンとチミンもしくはアデニンとウラシル含有量”という用語は、コード配列に対する修飾のことであり、その修飾はコード配列を、もしそれがDNAに基づいたものであれば、対応する野生型コード配列より高い濃度のアデニンとチミジン残基を持ち、もしRNAに基づいたものであれば、対応する野生型コード配列より高い濃度のアデニンとウリジン残基を持つ、というような、”修飾コード配列”にすることを指す。

## 【0025】

ここで使われるようすに、野生型コード配列と比較して、修飾型コード配列に関しての”最初の200塩基”という用語は、それぞれのコード配列の5'末端からの最初の隣接200ヌクレオチド基を示す。

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## 【0026】

ここで使われるようすに、野生型コード配列と比較して、修飾型コード配列に関しての”最初の150塩基”という用語は、それぞれのコード配列の5'末端からの最初の隣接150ヌクレオチド基を示す。

## 【0027】

ここで使われるようすに、野生型コード配列と比較して、修飾型コード配列に関しての”最初の100塩基”という用語は、それぞれのコード配列の5'末端からの最初の隣接100ヌクレオチド基を示す。

## 【0028】

ここで使われるようすに、野生型コード配列と比較して、修飾型コード配列に関しての”最後の200塩基”という用語は、それぞれのコード配列の3'末端からの最後の隣接200ヌクレオチド基を示す。

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**【 0 0 2 9 】**

ここで使われるようすに、野生型コード配列と比較して、修飾型コード配列に関しての”最後の 150 塩基”という用語は、それぞれのコード配列の 3' 末端からの最後の隣接 150 ヌクレオチド基を示す。

**【 0 0 3 0 】**

ここで使われるようすに、野生型コード配列と比較して、修飾型コード配列に関しての”最後の 100 塩基”という用語は、それぞれのコード配列の 3' 末端からの最後の隣接 100 ヌクレオチド基を示す。

**【 0 0 3 1 】**

ここで使われるようすに、コード配列に関しての”200 塩基の長さまでの領域”という用語は、コード配列の隣接 200 ヌクレオチド基までの領域を示す。 10

**【 0 0 3 2 】**

ここで使われるようすに、コード配列に関しての”150 塩基の長さまでの領域”という用語は、コード配列の隣接 150 ヌクレオチド基までの領域を示す。

**【 0 0 3 3 】**

ここで使われるようすに、コード配列に関しての”100 塩基の長さまでの領域”という用語は、コード配列の隣接 100 ヌクレオチド基までの領域を示す。

**【 0 0 3 4 】**

ここで使われるようすに、”分散修飾”という用語は、修飾を受ける個々の領域が野生型コード配列に比べて、より高いアデニンとチミンもしくはアデニンとウラシル含有量を有するようすに修飾される、隣接したヌクレオチド基群の少なくとも二つの領域の任意の組み合せのことを示し、しかも、修飾型コード配列の領域が野生型コード配列と交互に配置されるようすに、配列の全般に分散されることを示す。これに限るものではないが、例として、修飾型コード配列は修飾された配列領域を交互に含み得る。そして、コード配列の最初の隣接した 200 塩基は野生型コード配列と比較してより高いアデニンとチミンもしくはアデニンとウラシルの含有量を持っており、コード配列の次の 200 塩基は野生型コード配列に比較して非修飾配列であり、後の隣接した 200 塩基領域は野生型コード配列と比較してより高い A T または A U 含有量を持つように修飾される、という具合である。修飾領域の長さは任意であるが、200、150、100 塩基の長さが好ましい。非修飾領域の長さは、修飾領域の位置に依存するので、さまざまな長さになる。好ましい実施例として、分散修飾は、コード配列の全長にわたり、修飾型コード配列と野生型コード配列の交互の領域を有しており、その交互の領域のサイズはそれぞれ、200 塩基または 150 塩基または 100 塩基の長さが好ましい。 20 30

**【 0 0 3 5 】**

ここで使われている、”注射可能な医薬品成分”という用語は、患者における使用に対して、医薬品として容認可能な成分であるということを示す。したがって、無菌であり、発熱物質を含まず、本質的に微粒子や微粒物質を含まないものである。 Remington's Pharmaceutical Sciences、18<sup>th</sup> Ed.、Gennaro、ed.、Mack Publishing Co.、Easton、PA、1990 と U.S.P.、U.S. 薬局方の基礎、をここに参照として記載する。 40

**【 0 0 3 6 】**

ここで使われている”医薬品として容認可能な担体”は、成分を受取った個人に有害な影響を誘発しない、さまざまな担体のことを含んでいる。例えば、”医薬品的に容認可能な担体”はレシピエントに対して有害な抗体の産生を誘発すべきでない。適切な”医薬品的に容認可能な担体”は、通常の知識を有している者には知られており、Remington's Pharmaceutical Sciences、supra。に記載されている。

**【 0 0 3 7 】**

ここで使われている、”標的蛋白質”という用語は、免疫反応に対する標的蛋白質として働き、この発明における遺伝子構築物によってコードされているペプチドと蛋白質を示す 50

。 ”標的蛋白質” や ”免疫原” という用語は交換可能で、それに対して免疫反応を誘発させることができる蛋白質を言及する。標的蛋白質とは免疫原性の蛋白質であり、それは病原体または癌細胞や自己免疫疾患に関係する細胞のような望ましくないタイプの細胞由来の蛋白質と少なくともひとつの抗原決定基を共有しており、それに対する免疫反応が望まれるもののことである。標的蛋白質に対して惹起される免疫反応は、標的蛋白質が関係する、特定の感染症や疾患から個体を保護し、または治療に有用であろう。

【0038】

ここで使われている、 ”望まれる蛋白質” は、本発明における遺伝子構築物によってコードされるペプチドと蛋白質のことであり、その遺伝子構築物は免疫反応に対する標的蛋白質として、もしくは遺伝子療法における治療的、補償的蛋白質としても働く。

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【0039】

ここで使われている、免疫原に関する ”その免疫原性断片” という句は、それに対して免疫反応が惹起される、免疫原の全長より短い断片のことである。

【0040】

ここで使われている、 ”癌抗原” という用語は、癌、腫瘍、もしくは癌細胞に関係し、またはそのマーカーとして働く、任意の蛋白質、ポリペプチドもしくはペプチド、あるいは類似の物質を示す。

【0041】

ここで使われている、 ”自己免疫疾患関連蛋白質” とは任意の蛋白質、ポリペプチド、またはペプチド、ならびに類似の物質であって、自己免疫疾患に関与もしくはその原因となる細胞に関連するか、またはその細胞のマーカーとなるものである。

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【0042】

ここで使われている、 ”非免疫原性治療蛋白質” という用語は、さまざまな病気や異常の治療法において有用な蛋白質、ポリペプチド、ペプチドを示し、そのような治療や処置が必要であるレシピエントの生体、患者もしくは個人の身体への導入で、免疫反応は望まれず、またはもしくは予測されないものを指す。 ”非免疫原性治療蛋白質” の例として、その蛋白質をコードしている内因性遺伝子の遺伝的欠陥を持つ個人において、欠損もしくは低濃度である蛋白質が挙げられる。 ”非免疫原性治療蛋白質” の例として、限定するものではないが、サイトカイン、成長因子、血液産物、酵素が含まれる。

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【0043】

ここで使われている、 ”組換えウイルスベクター” という用語は、ウイルスゲノムに基づいた構築物を示し、それは、対象の蛋白質、ポリペプチド、ペプチドをコードする核酸を運ぶための担体として使われる。組換えウイルスベクターは通常の知識を有するところにおいてはよく知られており、広く報告されている。組換えウイルスベクターは、限定するものではないが、レトロウイルスベクター、アデノウイルスベクター、アデノ関連ウイルスベクターを含み、それらは普通の方法と出発材料を用いて準備される。

【0044】

ここで使われている、 ”遺伝的構築物” という用語は、標的蛋白質または免疫修飾蛋白質をコードするヌクレオチド配列を有するDNAまたはRNA分子のことである。そのコード配列は、核酸分子を投与された個々の細胞において直接発現できるように、プロモーターとポリアデニル化シグナルを含む調節エレメントと連結可能な開始、終結シグナルを含む。

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【0045】

ここで使われている、 ”発現可能な形体” という用語は、遺伝子構築物のことである。これは必須調節エレメントを持ち、標的蛋白質または免疫修飾蛋白質をコードするコード配列に制御可能な形で連結されており、個々の細胞内に存在する時、そのコード配列が発現される。

【0046】

ここで使われている、 ”抗原決定基の共有” という用語は、他の蛋白質の抗原決定基と同一もしくはほぼ同じである、少なくともひとつの抗原決定基を有する蛋白質を示す。

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## 【 0 0 4 7 】

ここで使われている、”実質的に同様の抗原決定基”という用語は、蛋白質の抗原決定基と同一ではないが、それにも関わらず、その蛋白質と交差反応する細胞性もしくは体液性免疫反応を惹起する構造を持つ抗原決定基のことを意味する。

## 【 0 0 4 8 】

ここで使われている、”細胞内病原体”という用語は、複製または生活環の少なくとも一区間で宿主細胞の中に存在し、その中で病原体蛋白質を生産もしくは生産を引き起こす、ウイルスもしくは病原生物体のことを意味する。

## 【 0 0 4 9 】

ここで使われている、”過剰増殖病”という用語は、細胞の過剰増殖を特徴とする病気や異常のことを意味している。 10

## 【 0 0 5 0 】

ここで使われている、”過剰増殖関連蛋白質”という用語は、過剰増殖病、およびもしくは過剰増殖細胞に関連した蛋白質のことを意味している。

## 【 0 0 5 1 】

いくつかの好ましい実施例において、RNAの変化は蛋白質の配列を変化しないことが好まれる。いくつかの好ましい実施例において、変化が導入された200塩基は、RNA転写産物の5'末端にあることが好まれる。いくつかの好ましい実施例において、RNA転写産物のひとつ以上のセグメントにおいて自由エネルギーが増加することが好まれる。RNA二次構造の自由エネルギー増加のために、任意にリーダー配列を加える。 20

## 【 0 0 5 2 】

オープンリーディングフレーム(orf)配列の5'末端にある安定性RNA二次構造は、リボゾーム機能を阻害することによって転写を効果的にブロックする。多くのRNA分子は高度に安定な二次構造の整合性を持っており、これらの相互作用は、遺伝子発現を阻害できる。アデニンとチミンが豊富な配列で至適化したように修飾型、リーダー配列をコードする配列の添加は、予測RNA構造のより高い自由エネルギーに帰結し、細胞性リボゾームによる効果的な開始を認めた。安定性RNA二次構造は自由エネルギーの増加によって除かれる。

## 【 0 0 5 3 】

従って、この発明によれば、RNA二次構造の整合性の減少と、RNA転写産物における阻害二次構造(ステムループ)の解離による蛋白質の発現/翻訳の増加という結果によって、コード配列内のアデニンとウラシルの含有量の増加は配列を至適化する。二次構造の整合性の分解は、RNAやコード配列、特にそのRNAの最初の100から200ヌクレオチド、の5'部分において特に大事である。いくつかの実施例において、転写開始点から最初の100から200ヌクレオチドにおいてアデニンとウラシルのもしくはアデニンとチミンの含有量が増加し、いくつかの具体化の中においては、コード配列または翻訳開始点の最初の100から200ヌクレオチドにおいてアデニンとウラシルもしくはアデニンとチミンの含有量が増加する。いくつかの実施例において、RNA二次構造完全体の破損は、全遺伝子変化もしくは100から200ヌクレオチド基伸張における変化パターンによって成立する。3'末端の修飾も大事である。 30

## 【 0 0 5 4 】

リーダーコード配列の添加や、酵母至適化(滅多にヒトのコドンは用いられない)されたその配列のコドン変化の戦略は、どんな蛋白質をコードしたどんな遺伝子にも適応できる。限定するものではないが、例えば、HIV-1 pol 遺伝子を含むウイルス蛋白質をコードする遺伝子が挙げられる。アデニンとウラシルの含有量が豊富であることがより好ましく；ヒト優性コドン/グアニンとシトシンの含有量が高いものはあまり好ましくない。蛋白質発現や構造に関する前もった知識なしでmRNAでの二次構造の領域の安定性を低下可能である、ということが発見されていた。 40

## 【 0 0 5 5 】

結果として生じる変化した転写産物から予測された二次構造の最小自由エネルギーは、元 50

の転写産物よりも増強した蛋白質発現が可能である変化した転写産物を与える。標準的な技術と容易に入手可能な出発材料を用いて、修飾核酸分子を準備する。その核酸分子は、宿主細胞に取り込まれる発現ベクターに組み込まれる。蛋白質産生に対してよく知られた組換え発現系に用いる宿主細胞は、よく知られており容易に入手可能である。宿主細胞の例としては、*E. coli* (大腸菌) のような細菌細胞、*S. cerevisiae* のような酵母細胞、*S. frugiperda* のような昆虫細胞、チャイニーズハムスター卵巣 (CHO) 細胞などの非ヒト哺乳類組織培養細胞、HeLa 細胞のようなヒト組織培養細胞が含まれる。

#### 【0056】

いくつかの実施例において、例えば、通常の知識を有してゐる人は、よく知られた技術を用い、よく知られた発現系として用いるための市販の発現ベクターに、DNA分子を挿入できる。例えば、市販のプラスミドである pSE420 (Invitrogen, San Diego, CA) は、*E. coli* における免疫修飾蛋白質の産生に用いられる。また、例えば、市販のプラスミド pYES2 (Invitrogen, San Diego, CA) は、酵母の *S. cerevisiae* における産生に用いられる。例えば、市販の MAXBAC™ コンプリートバキュロウイルス発現系 (Invitrogen, San Diego, CA) は、昆虫細胞における産生に用いられる。市販のプラスミド pcdNAI もしくは pcdNA3 (Invitrogen, San Diego, CA) は、CHO 細胞のような哺乳類細胞における産生に用いられる。通常の知識を有する人は、これらの市販の発現ベクターや発現系、もしくは、普通の技術や容易に利用可能な出発材料による免疫修飾蛋白質を産生するための他の技術を用いることができる。(サムブルックら、eds., 2001, supra 参照) 従って、要求蛋白質は、原核生物、真核生物の両方の系において準備でき、その結果、さまざまな形の処理された蛋白質が生じる。

#### 【0057】

通常の知識を有する人は、市販の他の発現ベクターや系を用いることもあるし、もしくはよく知られた方法や容易に利用可能な出発材料を用いてベクターを作製する。プロモーター やポリアデニル化シグナルのような必須調節配列やエンハンサーを含む発現系は、容易に利用可能で、宿主の多様性に対する技術として知られている。(サムブルックら、eds., 2001, supra 参照)。

#### 【0058】

修飾DNAを含む発現ベクターは、互換性のある宿主を形質転換するために用いられ、その後、外来DNAの発現が起こる条件下で培養され、維持される。この発明において産生される蛋白質は、通常の知識を有する者に適切でよく知られているように、培養によって、細胞の溶解によって、または培養液中に回収される。通常の知識を有した人は、よく知られている技術を使い、その発現系を用いて産生された蛋白質を分離することができる。抗体を用いて自然源から蛋白質を精製する方法は、組換えDNA方法論によって生産された精製蛋白質に同様に当てはめられる。

#### 【0059】

この発明の医薬品成分は、哺乳類の身体の中において活性を持った薬剤がその作用場所へ到達することを可能にする、任意の手段によって投与される。この発明の医薬品成分は、局所または全身の治療が必要とされているかということと、治療する場所に依存した多数の方法によって投与される。投与は、局所的(眼、膣、直腸、鼻腔内、経皮を含む)、経口、もしくは非経口投与である。非経口投与は、静脈点滴、皮下・腹腔内・筋肉内注射、例えば吸引やガス注射による肺投与、くも膜下腔内または脳室内投与が含まれる。

#### 【0060】

この発明は核酸分子を有する注射可能な医薬品成分と関係している。

#### 【0061】

調節エレメントと連結可能な修飾ヌクレオチド配列を有する注射可能な医薬品成分は、DNA注射 (DNAワクチン接種としても言及される)、組換えアデノウイルス、ウイルス関連組換えアデノウイルス、そして組換えワクシニアウイルスのような組換えベクターを

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含めて、いくつかのよく知られた技術のどれかを用いて運ばれる。

【0062】

DNAワクチンは米国特許番号. 5, 593, 972, 5, 739, 118, 5, 817, 637, 5, 830, 876, 5, 962, 428, 5, 981, 505, 5, 580, 859, 5, 703, 055, 5, 676, 594、どこで引用されている前明細書に記載されている。それらの明細書に記載されている添付実験方法に付け加えて、導入DNAの選択方法は、ここの参照に含まれている、米国特許番号4, 945, 050と5, 036, 006、に記載されている。投与方法は、限定するものではないが、吸引、坐薬によって、もしくは粘膜組織、例えば膣、直腸、尿道、頸側、舌下組織における洗浄によって、筋肉内、鼻腔内、腹腔内、皮内、皮下、静脈内、動脈内、眼内、経口、また、局所的、経皮的投与を含む。より好ましい投与方法は、粘膜組織、筋肉内、腹腔内、皮内、皮下注射を含む。遺伝子構築物は、限定するものではないが、従来の注射針のない注射装置、もしくは”マイクロプロジェクトイルポンバードメントジーンガン”を含む手段によって投与される。

【0063】

細胞を取り扱う時、遺伝子構築物は、機能する染色体外分子として細胞内に残り、あるいは細胞の染色体DNAの中に結合する。DNAはプラスミドもしくはプラスミドらの形で分離遺伝子物質として維持し細胞に導入される。代わりに、染色体内に結合できるリニアDNAが細胞に導入される。細胞内にDNAを導入する時、DNAが染色体に結合することを促進する試薬が加えられる。結合を促進するのに有効なDNA配列もDNA分子に含まれる。代わりに、RNAが細胞に投与される。セントロメア、テロメア、複製基点を含むリニアミニ染色体としての遺伝子構築物を供給することも予測される。遺伝子構築物は、減弱した生微生物もしくは細胞内で生存している組換え微生物ベクター内に、遺伝子物質の一部を残している。遺伝子構築物は組換えウイルスワクチンのゲノムの一部分で有り得、その中の遺伝物質は細胞の染色体に組み込まれるか、もしくは染色体外に残ることになる。

【0064】

遺伝子構築物は核酸分子の遺伝子発現に必要な調節エレメントを含む。そのエレメントには、プロモーター、開始コドン、ストップコドン、ポリアデニル化シグナルを含まれる。付け加えると、エンハンサーはしばしば、標的蛋白質をコードする配列の遺伝子発現に必要とされる。これらの因子は要求蛋白質をコードする配列と連結可能であり、調節エレメントは投与された個体において作用可能である、ということが必要である。開始コドンとストップコドンは一般的に要求蛋白質をコードするヌクレオチド配列の一部と考えられている。しかしながら、これらの因子は、その遺伝子構築物を投与された個々において機能的であることが必要である。その開始と終結コドンはコード配列の枠内でなくてはならない。プロモーターとポリアデニル化シグナルは個々の細胞内において機能的でなくてはならない。この発明の実施に対して有効なプロモーターの例として、特にヒトに対する遺伝子ワクチンの产生においては、ヒトアクチン、ヒトミオシン、ヒトヘモグロビン、ヒト筋肉クリアチン、ヒトメタロチオネインのようなヒト遺伝子由来のプロモーター、Simian Virus 40 (SV40) プロモーター、Mouse Mammary Tumor Virus (MMTV) プロモーター、Human Immunodeficiency Virus (HIV) のHIV Long Terminal Repeat (LTR) プロモーター、Moloney virus プロモーター、ALVのプロモーター、Cytomegalovirus (CMV) のCMV前初期プロモーター、Epstein Barr Virus (EBV) のプロモーター、Rous Sarcoma Virus (RSV) のプロモーターを含むが、これらに限定するものではない。この発明の実施に有効であるポリアデニル化シグナルの例は、特にヒトに対する遺伝子ワクチンの产生において、限定するものではないが、SV40ポリアデニル化シグナルとLTRポリアデニル化シグナルが含まれる。特に、SV40ポリアデニル化シグナルとして言及されているpCEP4プラスミド (Invitrogen, San Diego, 50

C A ) の中の S V 4 0 ポリアデニル化シグナルが使われている。D N A 発現に必要な調節エレメントに付け加えて、他の因子は D N A 分子の中に含まれる。そのような追加因子はエンハンサーを含む。そのエンハンサーは、限定するものではないが、C M V 、 R S V 、 E B V などのウイルス由来のエンハンサー、ならびにヒトアクチン、ヒトミオシン、ヒトヘモグロビン、ヒト筋肉クレアチニンのエンハンサーを含むグループから選ばれるものである。構築物を染色体外性に維持し、細胞内に多数の複製物を產生するために、遺伝子構築物には哺乳類複製基点を加えることが可能である。I n v i t r o g e n ( S a n D i e g o 、 C A ) 製プラスミド p C E P 4 と p R E P 4 は、E p i s t e i n B a r r v i r u s の複製基点ならびに核抗原 E B N A - 1 コード領域を含んでおり、染色体に組み込まれることなしに、染色体外で高コピー数の複製が行われる。

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## 【 0 0 6 5 】

この発明のひとつ的方法は、筋肉内、鼻腔内、腹腔内、皮下、皮内、もしくは局所、の核酸分子の投与ステップ、もしくは吸引、腔、直腸、尿道、頬側、舌下から成るグループから選択された粘膜組織の洗浄によるステップを有する。

## 【 0 0 6 6 】

いくつかの実施例において、核酸分子はポリヌクレオチド機能エンハンサー、または遺伝子ワクチン促進因子の投与と協力して働く細胞に運ばれる。ポリヌクレオチド機能エンハンサーは 1993 年 1 月 26 日に提出された米国特許出願番号 08 / 008 , 342 、 1993 年 3 月 11 日に提出された米国特許出願番号 08 / 029 , 336 、 1993 年 9 月 21 日に提出された米国特許出願番号 08 / 125 , 012 、 1994 年 1 月 26 日に提出された国際出願番号 P C T / U S 94 / 00899 に記載されており、それぞれはこの参考として記載してある。遺伝子ワクチン促進 ( G V F ) 因子は、この参考として含まれている、 1994 年 4 月 1 日に提出された米国特許出願番号 08 / 221 , 579 に記載されている。核酸分子と協力して働く投与される共因子は、核酸分子の投与の前か後に核酸分子との混合として投与され、もしくは別々の形で同時に投与される。さらに、因子 G V F と共に共投与されるであろう他の因子で、形質移入因子、または複製因子、または、炎症性因子として働くものの中には、成長因子、サイトカイン、リンホカインを含み、それには - インターフェロン、 - インターフェロン、血小板由来成長因子 ( P D G F ) 、 T N F 、上皮細胞成長因子 ( E G F ) 、 I L - 1 、 I L - 2 、 I L - 4 、 I L - 6 、 I L - 10 、 I L - 12 、線維芽細胞成長因子、免疫刺激複合体 ( I S C O M S ) のような界面活性剤、フレウンズ不完全アジュバンド、モノホスホリルリピッド A ( M P L ) 、ムラミルペプチド、キノン類縁体、スクアレインとスクアレンのような空胞とヒアルロン酸含む L P S 類縁体のようなものがあり、これらはは遺伝子構築物と共に働いて投与される。いくつかの実施例において、免疫調節蛋白質は G V F として使われる。

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## 【 0 0 6 7 】

この発明によれば、医薬品成分は約 1 ナノグラムから約 2 0 0 0 マイクログラムの D N A を有する。いくつかの好ましい実施例において、この発明によれば、医薬品成分は約 5 ナノグラムから約 1 0 0 0 マイクログラムの D N A を有する。いくつかの好ましい実施例においてその医薬品成分は約 1 0 ナノグラムから約 8 0 0 マイクログラムの D N A を含む。いくつかの好ましい実施例においてその医薬品成分は約 0.1 から約 5 0 0 マイクログラムの D N A を含む。いくつかの好ましい実施例においてその医薬成分は約 1 から約 3 5 0 マイクログラムの D N A を含む。いくつかの好ましい実施例においてその医薬品成分は約 2 5 から約 2 5 0 マイクログラムの D N A を含む。いくつかの好ましい実施例においてその医薬品成分は約 1 0 0 から約 2 0 0 マイクログラムの D N A を含む。

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## 【 0 0 6 8 】

この発明によれば、医薬品成分は使われる投与方法に従って処方される。医薬品成分は注射用薬剤構築物である場合、無菌であり、発熱物質や粒子を含まない。等張処方の使用がより好ましい。一般的に等張性に対する添加物は、塩化ナトリウム、ブドウ糖、マンニトール、ソルビトール、ラクトースを含むことができる。いくつかの場合において、リン酸緩衝食塩水のような等張性水溶液が用意された。安定剤は、ゼラチン、アルブミンを含む

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。いくつかの実施例において、血管収縮因子が処方に加えられる。

【0069】

この発明は、病原体、アレルゲン、もしくは個人の所有する”異常”細胞に特に関連した標的蛋白質に対する広範囲の免疫反応を誘発するために有用である。病原体蛋白質に対する免疫反応はその病原体に対する防衛免疫を供給するように、この発明は病原体因子と有機体に対して個人を免疫化するために有用である。過剰増殖細胞に特に関連する標的蛋白質に対する免疫反応の誘発による癌のような過剰増殖病や障害と戦うのに、この発明は有用である。自己免疫状態に関わる細胞に特に関連する標的細胞に対する免疫反応の誘発による自己免疫疾患や障害に、この発明は有用である。

【0070】

核酸分子はプラスミドDNA、組換えベクターの核酸分子として、もしくは弱毒ワクチンまたは細胞ワクチン内に提供される遺伝子物質の一部として供給される。一方いくつかの実施例において、標的蛋白質、およびもしくは免疫調節蛋白質は、それらをコードする核酸分子を添加する蛋白質、もしくはそれらをコードする核酸分子の代わりの蛋白質として運ばれる。

【0071】

この発明は、単細胞病原組織と多細胞寄生虫のような、ウイルス、原核生物、病原体真核生物組織などの全ての病原体に対して個人を免疫するのに有用である。この発明は、細胞を感染させ、淋病、リストリア、赤痢菌のようなウイルス、原核生物などの被包性ではないそれら病原体に対して個人を免疫するのに特に有用である。付け加えるとこの発明は、細胞内病原体となる生活環段階を含む原生動物病原体に対する個人を免疫するのに有用である。

【0072】

病原体の感染を防ぐための遺伝子ワクチンを产生するために、免疫防御反応の攻撃に対する免疫原性蛋白質をコードする遺伝子物質は、標的蛋白質に対するコード配列として遺伝子構築物に含まれなくてはならない。この発明において特に有用である、細胞内に感染する病原体に対してであろうと、細胞外に感染する病原体に対してであろうと、全ての病原抗原は防御反応を誘発する可能性は低い。なぜならDNAとRNAは両方とも比較的小さく、比較的簡単に産生され得るので、この発明は、多数の病原抗原のワクチン接種を念頭に入れた付加的な利点を供給する。遺伝子ワクチンで用いられた遺伝子構築物は、たくさんの病原抗原をコードする遺伝子物質を含むことができる。例えば、いくつかのウイルス遺伝子は、そこからの複数標的を供給するひとつの構築物に含まれる。

【0073】

この発明の他の側面は、過剰増殖病に特徴的な過剰増殖細胞に対する広範囲の免疫防御反応を与える方法および過剰増殖病に苦しむ個人の治療法を供給する。過剰増殖病の例として、全ての形態の癌と乾癬を含む。

【0074】

免疫原性”過剰増殖細胞”関連蛋白質をコードするヌクレオチド配列を含む遺伝子構築物の個々の細胞への導入は、個々のワクチン接種された細胞内のそれらの蛋白質産生に帰結する。過剰増殖病に対して免疫化するために、過剰増殖病に関連する蛋白質をコードするヌクレオチド配列を含む遺伝子構築物が、個々に投与される。

【0075】

過剰増殖関連蛋白質が効果的な免疫原性標的となるために、過剰増殖細胞において正常細胞に比べて独占的にもしくはより高いレベルで產生される蛋白質であるべきである。標的抗原は、そのような蛋白質から見つけられる少なくともひとつのエピトープを有する蛋白質、フラグメント、ペプチドを含んでいる。いくつかの場合において、過剰増殖関連蛋白質は蛋白質をコードする遺伝子の変異産物である。結果として正常蛋白質には見つけられない異なるエピトープを生じる、わずかに異なったアミノ酸配列を持つこと以外は、その変異遺伝子は正常蛋白質とほぼ同一である蛋白質をコードする。そのような標的蛋白質は癌遺伝子によってコードされている、myb、myc、fyn、転位遺伝子であるbcr

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/abl、ras、src、P53、neu、trk、EGFRを含む。標的抗原としての癌遺伝子産物に加えて、抗ガン治療と防御療法に対する標的蛋白質は、B細胞リンパ腫によって作られた抗体の可変領域と、自己免疫疾患に対する標的抗原として使われるT細胞リンパ腫のT細胞受容体の可変領域を含んでいる。他の癌関連蛋白質は、モノクローナル抗体17-1A、葉酸結合蛋白質によって認識される蛋白質を含む、癌細胞に高いレベルで見られる蛋白質のような標的蛋白質として使われる。

## 【0076】

癌のいくつかの形態のひとつもしくはそれ以上に対して免疫するために使われている一方、この発明は、特に癌が発症しやすい、もしくは癌患者で再発しやすい個人に、予防的に免疫する時に特に有用である。免疫学と同様に遺伝子学と科学技術における発展によって、個人における癌の発症に対する確率とリスク評価の決定を考慮できる。遺伝子スクリーニングおよびまたは家族健康歴用いることで、特定の個人が癌のいくつかのタイプのどのひとつが発症するかという確率を予測することが可能である。

## 【0077】

同様に、すでに発症した癌患者と癌を除去する治療を受けた、もしくは別に緩解にあるそれらの個人は、特に再発と再発現しやすい。治療法の一部として、そのような個人は、診断されたその癌に対して免疫され得る。従って、癌タイプを持っており再発の危険性がある個人は、癌になる将来と戦う彼ら個人の免疫系を準備するために免疫化され得る。

## 【0078】

この発明は過剰増殖疾患を患った個人を治療する方法を供給する。この方法において、遺伝子構築物の導入は、標的蛋白質を產生する過剰増殖細胞と戦うように個人の免疫系を仕向けるまたは促進する免疫療法として貢献する。

## 【0079】

この発明は、"自己"攻撃抗体を產生する細胞受容体と細胞を含む、自己免疫に関連した標的に対する広い保護免疫反応を与えることによって、自己免疫疾患または障害を患った個人を治療する方法を供給する。

## 【0080】

T細胞は、関節リウマチ(RA)、多発性硬化症(MS)、シェーグレン症候群、サルコイドーシス(類肉腫症)、インスリン依存性糖尿病(IDDM)、自己免疫甲状腺炎、反応性関節炎、強直性脊椎炎、強皮症、多発性筋炎、皮膚筋炎、乾癬、血管炎、ウェグナー肉芽腫症、クローン病、潰瘍性大腸炎を含む自己免疫病を媒介した。これらの疾患は、内因性抗原と結合し、自己免疫疾患に関連する炎症性のカスケードを開始するT細胞受容体によって特徴付けられる。T細胞の様々な領域に対するワクチン接種は、それらのT細胞を排除するためのCTLsを含む免疫反応を誘発する。

## 【0081】

RA(関節リュウマチ)において、その病気に関わるT細胞受容体(TCRs)のいくつかの特定可変領域は特徴付けられていた。これらのTCRsはV-3、V14、V17、V17を含んでいる。従って、これらの蛋白質の少なくともひとつをコードしているDNA構築物のワクチン接種は、RAに関わるT細胞を標的とする免疫反応を誘発する。ここに取り込まれている、Howell(Howell)ら、1991、Proc.Natl.Acad.Sci.U.S.A. 88:10921-10925; パリアード(Paliard)ら、1991、Science, 253:325-329; ウィリアムスら、1992、J.Clin.Invest., 90:326-333; を見ること。

## 【0082】

MS(多発性硬化症)において、その病気に関わるTARsのいくつかの特定可変領域は特徴付けられていた。これらのTCRsはV7、V10を含む。従って、これらの蛋白質の少なくともひとつをコードしているDNA構築物のワクチン接種は、MSに関わるT細胞を標的とする免疫反応を誘発する。ここに取り込まれている、ウヘルプフェニグ(Wucherpfennig)ら、1990、Science, 248:101

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6 - 1 0 1 9 ; オクセンバーグ (Oksenber g) ら、 1990、 Nature、 345 : 344 - 346 ; を見ること。

#### 【 0 0 8 3 】

強皮症において、その病気に関わる T A R s のいくつかの特定可変領域は特徴付けられていた。これらの T C R s は V 6 、 V 8 、 V 14 、と V 16 、 V 3 C 、 V 7 、 V 14 、 V 15 、 V 16 、 V 28 、 V 12 を含む。従って、これらの蛋白質の少なくともひとつをコードしている D N A 構築物のワクチン接種は、強皮症に関わる T 細胞を標的とする免疫反応を誘発する。

#### 【 0 0 8 4 】

T 細胞媒介自己免疫疾患を患った患者、特にその T C R の可変領域がまだ特徴付けられていない患者を治療するために、滑膜組織診を行うことができる。T 細胞のサンプルが使われ、T 細胞媒介自己免疫疾患の T C R s のその可変領域は標準技術を用いて特定化された。遺伝子ウイルスはこの情報を用いて準備される。

#### 【 0 0 8 5 】

B 細胞は、全身性エリテマトーデス (S L E ) 、バゼドウ病、重症筋無力病、自己免疫溶血性貧血、自己免疫血小板減少症、喘息、クリオグロブリン血症、原発性胆汁性硬化症、悪性貧血を含む自己免疫疾患を媒介した。これらの疾患は、内因性抗原と結合し、自己免疫疾患に関連した炎症性のカスケードを開始する抗体によって特徴付けられた。抗体の可変領域に対するワクチン接種は、その抗体を産生する B 細胞を排除するための C T L s を含む免疫反応を誘発する。

#### 【 0 0 8 6 】

B 細胞媒介自己免疫疾患を患った患者を治療するために、自己免疫活性化に関わるその抗体の可変領域は、特定化されるべきである。組織診が行われ、炎症部位での抗体のサンプルが利用され得る。B 細胞媒介自己免疫疾患の抗体の可変領域は、標準技術を用いて特定化され得る。遺伝子ワクチンはこの情報を用いて準備され得る。

#### 【 0 0 8 7 】

S L E の場合において、ひとつの抗原は D N A であると信じられている。従って、 S L E に対して免疫化された患者において、彼らの血清は抗 D N A 抗体として選別され、血清内で見つけられたそのような抗 D N A 抗体の可変領域をコードする D N A 構築物を含むワクチンが準備され得る。

#### 【 0 0 8 8 】

T C R s と抗体の両方の可変領域における共通の構造特徴はよく知られている。特に T C R もしくは抗体をコードしたその D N A 配列は、一般的に下記のよく知られた方法においてよく見られる。こここの参照に取り込まれている、カバット (Kabat) ら、 1987 、 Sequence of Proteins of Immunological Interest 、 U . S . Department of Health and Human Services 、 Bethesda MD 、に記載されている。付け加えると、こここの参照に取り込まれている、抗体からの機能的可変領域のクローニングの一般的な方法は、 Chaudhary ら、 1990 、 Proc . Natl . Acad . Sci . USA 、 87 : 1066 、内に見られる。

#### 【 0 0 8 9 】

遺伝子療法に関連した発明のいくつかの実施例において、遺伝子構築物は代償遺伝子だけでなく、治療性蛋白質をコードする遺伝子を含んでいる。代償遺伝子の例としては、ジストロフィンまたは機能的断片をコードした遺伝子、囊胞性線維症を患った患者における欠損遺伝子を代償するための遺伝子、インスリン遺伝子、 A D A を患った患者における欠損遺伝子を代償するための遺伝子、 F a c t o r V I I I をコードした遺伝子を含む。治療性蛋白質をコードした遺伝子の例として、エリスロポエチン、インターフェロン、 L D L 受容体、 G M - C S F 、 I L - 2 、 I L - 4 、 T N F をコードした遺伝子を含む。その上、毒性物質に特に結合する一本鎖抗体成分をコードする遺伝的構築物は投与され得る。いくつかの好ましい実施例において、ジストロフィン遺伝子はミニ遺伝子の一部分として供

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給され、筋ジストロフィーを患つた個人の治療に用いられる。いくつかの好ましい実施例において、部分的ジストロフィン蛋白質に対するコード配列を含むミニ遺伝子が供給される。ジストロフィン異常は軽症ベッカー型筋ジストロフィー（BMD）と重症デュシェンヌ型筋ジストロフィー（DMD）の両方の原因である。BMDにおいてジストロフィンは作られるが、サイズ及びもしくは量ともに異常である。その患者は軽症ないし中程度に筋力が弱っている。DMDにおいてはジストロフィン蛋白質は作られず、患者は13歳までにchair-bound（寝たきり、ベットで体を起こせる状態）になり、通常20歳までに死んでしまう。何人かの患者において、特にBMBを患っている人はにおいて、この発明によってもたらされたミニ遺伝子の発現によって產生される部分的ジストロフィン蛋白質は、改良された筋機能を供給できる。

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#### 【0090】

いくつかの好ましい実施例において、IL-2、IL-4、インターフェロン、TNFをコードする遺伝子は、存在するだけでなく除去された腫瘍細胞に運ばれ、個人に再導入される。いくつかの好ましい実施例において、ガンマイインターフェロンをコードする遺伝子は多発性硬化症を患つた個人に投与される。

#### 【0091】

遺伝的ワクチンを改善するための修飾型核酸配列の使い方に加えて、この発明は弱毒生ワクチンの改善と、抗原をコードする外来性遺伝子を運ぶための組換えベクターを用いたワクチンの改善に関連している。弱毒生ワクチンと、外来性抗原を運ぶための組換えベクターの例としては、ここに参照に取り込まれている、米国特許番号：4,722,848；5,017,487；5,077,044；5,110,587；5,112,749；5,174,993；5,223,424；5,225,336；5,240,703；5,242,829；5,294,441；5,294,548；5,310,668；5,310,668；5,387,744；5,389,368；5,424,065；5,451,499；5,453,364；5,462,734；4,470,734；5,482,713に記載されている。提供される遺伝子構築物は、ワクチン内で発現を起こすために機能し得る調節配列と連結可能な修飾型ヌクレオチド配列を含む。その遺伝子構築物は弱毒生ワクチンと、この発明による改良されたワクチンを产生するための組換えワクチンへ取り込まれる。同様に修飾型核酸配列は要求された蛋白質をコードする遺伝子治療学を行うのに有用な組換えベクターにおいて使われ得る。

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#### 【0092】

この発明は、DNAワクチン、弱毒生ワクチン、組換えワクチンを含むワクチン成分の一部として、遺伝子構築物を個人の細胞へ運ぶ過程を有する個人を免疫化する改良された方法を供給する。この遺伝子構築物は免疫修飾蛋白質をコードするヌクレオチド配列と、ワクチン内において発現を起こすために機能し得る調節配列と連結可能なヌクレオチド配列を有する。この改善されたワクチンは細胞性免疫反応の増強に帰結する。

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#### 【0093】

この発明は下記の例の方法によって説明され、発明のいくつかの実施例で詳しく述べるつもりである。これらの例は、その発明の範囲を制限するものとしての意図もなく、解釈されるものでもない。ここで特に記載された方法以外で本発明を実施することが可能であるということは明らかである。たくさんの修飾とこの発明の多様性は、ここでの指導の見解を可能にし、その結果、この発明の範囲内である。

#### 【0094】

#### 【実施例】

##### 例1：材料と方法

##### mRNA二次構造の予測

導入遺伝子の翻訳効率を上げるために、RNA二次構造をMulfoldを用いて予測し、マッキントッシュコンピューター用のLoopFoldソフトウェアを用いて見た。

#### 【0095】

##### 放射線ラベル試験管内翻訳蛋白質の免疫沈降

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<sup>3</sup> <sup>5</sup> S ラベル蛋白質産物は T N T - T 7 関連転写 / 翻訳系 ( Promega ) を用いて準備された。10 μl の放射線ラベル蛋白質サンプルと 1 μl 抗 H i s ( C - term ) 抗体 ( Invitrogen、CA ) を 300 μl の R I P A バッファーに加え、静かに混ぜた。4 、 90 分インキュベーションした後、プロテイン A - セファロースビーズ ( Amersham Pharmacia Biotech、Piscataway、NJ ) を蛋白質 - 抗体複合体にチューブ当たり最終濃度の 5 mg 加え、そのサンプルは回転性シェーカーにおいて 4 、 90 分で反応させた。

#### 【 0096 】

そのビーズは R I P A バッファーで 3 回洗浄し、2 X SDS サンプルバッファーに混ぜた。免疫沈降された蛋白質複合体は、SDS / PAGE ( 15 % ) ゲルにおける短時間の煮沸と分離によってセファロースビーズから溶離された。蛋白質サンプルの移動度は市販の <sup>1</sup> <sup>4</sup> C - メチル化分子量マーカー ( Sigma - Aldrich Corp. 、 St . Louis 、 MO ) の移動度と比較された。そのゲルは固定され、1 M サリチル酸ナトリウム溶液で簡単に処理され、ゲルドライアー ( BioRad、Hercules、CA ) で乾燥された。その乾燥したゲルは X - 線フィルム ( Kodak、Rochester、NY ) に一晩露光された。試験管内翻訳蛋白質の分子サイズは 21.5 kD であった。

#### 【 0097 】

##### 試験管内翻訳蛋白質

非放射性試験管内翻訳 Cp 蛋白質は非放射性構築物を持つ T N T - T 7 関連転写 / 翻訳系 ( Promega、Madison、WI ) を用いて上記のように作成された。試験管内翻訳の対照には発現可能な挿入断片を欠いている p c D N A 3.1 ベクター ( Invitrogen、San Diego、CA ) の入った試験管内翻訳キットを用いて作成された。

#### 【 0098 】

##### マウスの DNA 接種

6 - から 8 - 週目の雌 B A L B / c マウス ( Harlan Sprague Dawley 、 Inc. 、 Indianapolis 、 IN ) の四頭筋にリン酸緩衝食塩水 ( PBS ) と 0.25 % ブピバカイン - H C l ( Sigma 、 St . Louis 、 MO ) に混ぜた 100 μg の p W N V h - D J Y 、 p W N V y - D J Y 、もしくは p c D N A 3.1 を注射した。マウスは 2 つの DNA 免疫化 ( それぞれ 100 μg ) を 2 週間に分けて注射された。追加免疫後 13 日目に、マウスは屠殺され、脾臓を回収され、そのリンパ球は分離、細胞免疫反応によって検定された。

#### 【 0099 】

##### フローサイトメトリーによる細胞内 INF - 検出

96 穴プレートのそれぞれのウェルに 50 U / ml r H u I L - 2 ( Invitrogen 、 Purchase 、 NY ) 、 10 μg / ml B r e f e l d i n A ( Pha rmingen 、 San Diego 、 CA ) 、 100 ng / ml P M A ( フォルボール 12 - ミリスチン酸 13 - 酢酸 ) ( Sigma 、 St . Louis 、 MO ) 、 1 μg / ml イオノマイシン ( Sigma 、 St . Louis 、 MO ) を含む 100 μl R P M I - 1640 ( 5 % F B S 添加 ) を入れた。試験管内翻訳蛋白質だけでなく試験管内翻訳対照 ( 発現可能挿入断片を欠いたベクターを持つ試験管内翻訳キットを用いて作成された ) 4 μg / ml は 50 μl R 5 培地に加えられた。抗原 ( A g s ) を添加後、分離された脾細胞はそれぞれのウェルに 1 × 10<sup>6</sup> 個、 50 μl R 5 培地と共に添加された。フローサイトメトリーの補償のために、未処理マウスからの脾細胞は I L - 2 と B r e f e l d i n A のみでセットアップされた。そのプレートは 37 、 5 % CO<sub>2</sub> インキュベーターにおいて 5 から 6 時間反応させた。対照として、細胞を抗原なしで反応させた。反応後、プレートは 1200 rpm 、 5 分遠心され、上清は捨てた。細胞は 200 μl P B S で懸濁、 1 % B S A を添加、 15 分氷上に放置、その後スピンドダウンし、サンプル当たり 0.1 μg の抗 C D 4 - P E m A b ( Pha rmingen ) の溶けた 50 μl P B S / 1 % B S A で懸濁した。4 、 30 分で反応後、細胞は P B S / 1 % で 2 回洗浄した

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。2回の洗浄後、細胞プレートは100μlのCyt o f ix / Cyt o perm溶液(Pharmingen)に懸濁され、4、20分で反応した。細胞は1XPerm/Wash(Pharmingen)で2回洗浄し、サンプル濃度当たり0。1μgの抗INF-APC(Pharmingen)を含む50μl Perm/Wash溶液で懸濁した。4、30分の反応後、細胞は1XPerm/Wash溶液で2回洗浄し、2%パラホルムアルデヒドで固定、フローサイトメトリーで分析するまで4で保存した。

## 【0100】

例2：ウエストナイルウイルスキャプシドmRNAへのリーダー配列の付加

ウエストナイルキャプシドmRNAにおいて自由エネルギーを最小化するためのリーダー配列の付加は、蛋白質発現と免疫反応の増強に帰結する。

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## 【0101】

導入遺伝子の転写と翻訳効率を増強するために、ヒトIgEリーダー配列はオープンリーディング枠(corf)配列の5'上流に付加された(Fig.1)。

## 【0102】

ヒト(WNVy-DJY構築物(酵母コドン))においてそれほど優勢でなく使われているコドンを含むヒトIgEリーダー配列をコードした配列の付加は、リーダー配列のないmRNAに対する二次構造(WNVwt構築物(野生型))、もしくはヒトコドンで至適化されたリーダー配列をコードするmRNAに対する二次構造(WNVh-DJY構築物(ヒトコドン))と比較して、自由エネルギー値の増加したmRNAに対する予測された二次構造に帰結する(Fig.2)。

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## 【0103】

その上、放射線標識された試験管内翻訳蛋白質の免疫沈降によって決定された際、ヒト(酵母至適化)においてそれほど優勢でなく使われているコドンを含むリーダー配列をコードするその構築物は、ヒト至適化コドンを含むリーダー配列をコードする構築物よりもより高い蛋白質レベルをもたらす(Fig.3; Table 1、酵母コドン利用)。酵母によってより優勢に用いられたコドンは一般的にアデニンとウラシルが豊富で、ヒトによってより優勢に用いられたコドンは一般的にグアニンとシトシンが豊富である(キムら、1997、Gene、supra参照)。

## 【0104】

## 【表1】

表1 酵母コドンの優勢な使用

アミノ酸	酵母コドン
A Ala	GCU
R Arg	AGA
N Asn	AAU
D Asp	GAU
C Cys	UGU
Q Gln	CAA
E Glu	GAA
G Gly	GGU
H His	CAU
I Ile	AUU
L Leu	UUA
K Lys	AAA
P Pro	CCA
F Phe	UUU
S Ser	UCU
T Thr	ACU
W Trp	UGG
Y Tyr	UAU
V Val	GUU

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## 【0105】

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細胞内 IFN - フローサイトメトリー分析によって決定される際、マウス筋肉への DNA プラスミド挿入は抗原特異性、 CD4<sup>+</sup> Th 細胞依存性免疫反応を誘導する。 CD4<sup>+</sup> Th 細胞依存性、細胞内 IFN - 産生はフローサイトメトリーによって定量化された。 pWNVY - DJY ( pWNVCy ) 免疫マウスから単離された脾細胞は、 pWNVh - DJY9 pWNVC h ) 免疫マウスから単離された脾細胞と比較して、試験管内翻訳 C p 蛋白質での刺激における IFN - が高いレベルで発現していた ( Fig . 4 参照 ) 。

## 【 0106 】

例 3 : 最少予測自由エネルギーの増加による HIV - 1 pol RNA における RNA 二次構造の除去

リーダーコード配列の付加と酵母至適化 (ヒトにおいてそれほど優勢ではなく使われている) するためのコドンの変換の戦略は、 HIV - 1 pol 遺伝子に適応された。ヒトにおいてそれほど優勢でなく使われたコドンを持つ IgE リーダー配列をコードする核酸配列が HIV - 1 pol 遺伝子の 5' 末端に付加された時、最小化された転写エネルギーの予測自由エネルギーは増加した ( Fig . 5 ) 。

## 【 0107 】

HIV - 1 pol 構造において、ヌクレオチド ( nt ) 1738 と nt 1938 の間に位置された安定二次構造のいくつかの領域は Mu1Fol d 分析によって予測された ( Fig . 6 ) 。 nt 1738 から nt 1938 までの領域におけるコドンの、ヒトにおけるそれほど優勢ではなく使われているコドン (酵母至適化コドン) への変化は、その領域における二次構造の弱小化に帰結する。修飾型コドンを持つ領域に対する予測された二次構造は、オリジナル配列に対する予測された二次構造より高い自由エネルギーを持った ( Fig . 7 ) 。付け加えて、 pol 遺伝子の最初の 200 ヌクレオチドにおける mRNA 二次構造の形成は、ヒトにおいて最も優勢に使われているコドン (ヒト至適化コドン) ( HIV - 1 pol hu ) を含む転写産物と比較して、ヒトにおいてそれほど優勢ではなく使われているコドン (酵母至適化コドン) ( HIV - 1 pol yt ) を用いて最小化された ( Fig . 8 ) 。最小自由エネルギーは -53.0 kcal から -26.4 kcal へと劇的に增加了。

## 【 0108 】

例 4 : 最小予測自由エネルギーの増加による HIV - 1 gag RNA における RNA 二次構造の除去

安定二次構造のいくつかの領域は HIV - 1 gag 構造遺伝子の転写産物に対する Mu1Fol d 分析によって予測され ( Fig . 9 ) 、最小自由エネルギーはヒトにおいてそれほど優勢でなく使われたコドン (酵母至適化) を用いて增加了 ( -351.07 kcal から -283.11 kcal へ ) ( Fig . 10 ) 。

## 【 0109 】

例 5 : 最小予測自由エネルギーの増加による WNV env RNA における RNA 二次構造の除去

ウエストナイルウイルスエンベロープ ( env ) 遺伝子において、ヒトにおいてそれほど優勢でなく使われているコドン (酵母至適化、 WNVyt200 ) を持つ遺伝子の最初の 200 塩基対 ( bp ) での RNA エネルギー最小化の戦略適応は、野生型 WNV env 遺伝子 ( WNVwt200 ) の転写産物もしくは、ヒトにおいて最も優勢に使われているコドン ( WNVhu200 ) で至適化された転写産物と比較して、同族転写産物の最小自由エネルギーが增加了 ( Fig . 11 ) 。

## 【 0110 】

前述の例はこの発明を説明するつもりであり、この発明をどんな風にも限定するものではない。この技術におけるそれらのスキルはこの発明の精神と範囲内での修飾であると認識する。

## 【 0111 】

ここで引用されたすべての参照は、そのまま参考としてこれによって含まれている。

## 【 図面の簡単な説明 】

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## 【図1】

R N A 二次構造に基づいて修飾型構造 (W N V C h u と W N V C y ) を持つ、ウエストナイルウイルス (W N V) 野生型キャプシド (C p) 蛋白質 (W N V C) のヌクレオチドとアミノ酸配列を示している。分泌型 I g E シグナルリーダー配列は W N V C 蛋白質と融合された。W N V C のプロモーターと 5' - 近接領域の間のリニア配列で当然である様々な発現レベルを回避するために、W N C V のアミノ酸 2 - 6 に対するリーダー配列とコドンは、酵母至適化コドン (M N V C y) またはヒト至適化コドン (W N V C h u) によって修飾型。しかしながら、両方の構築物における W N V キャプシド蛋白質に対するコード配列の残った部分は、ヒト至適化コドンによって修飾型。1) s I g E リーダー配列をエンコードしている野生型ヌクレオチド配列 (4. s I g E o r i) (SEQ ID NO : 1)、2) s I g E リーダー配列のアミノ酸配列 (ヌクレオチド配列は上に記載) (SEQ ID NO : 2)、3) W N V キャプシド蛋白質に対するアミノ酸配列 (最初のメチオニンを除く) (SEQ ID NO : 3)、4) W N V C h u 構造の配列をエンコードしている s I g E h - W N V キャプシド蛋白質のヌクレオチド配列 (1. s I g E h - W N V C h u) (SEQ ID NO : 4)、が示されている。W N V C y 構造の中の s I g E h - W N V キャプシド蛋白質に対するコード配列 (2. s I g E y - W N V C y) と野生型 W N V キャプシドエンコード配列 (3. W N V C w t) の違いは、W N V C h u 構造のヌクレオチド配列の下に示される。

## 【図2】

1) W V N キャプシド蛋白質をエンコードする野生型 m R N A (W N V w t)、2) ヒト至適化コドン (W N V y - D J Y) を含む s I g E リーダー / W N V キャプシド蛋白質をエンコードする m R N A、3) 酵母至適化コドン (W N V h - D J Y) を含む s I g E リーダー / W N V キャプシド蛋白質をエンコードする m R N A、の最初の 73 ヌクレオチドに対する自由エネルギー値と共に、予測される R N A 二次構造を M u l F o l d で示している。W N V y - D J Y 配列によって明らかにされた最後のコドン (グリシンに対する G G C) は、ヒト至適化である。示されるように、" T " は R N A 鎖において " U " に書き換えられる。W N V h - D J Y と W N V y - D J Y における融合の s I g E リーダー部分をエンコードした m R N A 鎖のヌクレオチドは、はっきりと示されている。

## 【図3】

異なる W N V キャプシド蛋白質構造 : p W N V C h u (W N V C h u と p W N V h - D J Y とも呼ばれる) と p W N V C y t (W N V C y と p W N V y - D J Y とも呼ばれる)、からの試験管内転写 / 翻訳産物を電気泳動で分離し、免疫沈降し、放射線標識したオートラジオグラフを示している。左側の最初のレーンは、分子量マーカーを含む。矢印はメインキャプシド蛋白質産物の位置を指し示している。C - 末端ポリヒスチジンタグとの融合蛋白質は抗 - H i s 抗体を用いて免疫沈降された。

## 【図4】

D N A 免疫されたマウスからの試験管内刺激脾細胞における細胞内 I N F - 発現のフローサイトメトリー解析を示している。示された値は、二重ポジティブ細胞のパーセンテージである。上のパネルにおいて、細胞は I N F - と C D 4 4 で染色され；下のパネルにおいて、細胞は C D 4 と I N F - で染色された。トップのラベルは、以前マウスに免疫したベクター、プラス、脾細胞の試験管内再刺激に用いた刺激ベクターを示している。免疫するベクターは、p c D N A I I I (p c D N A 3 . 1)、p W N V h - D J Y (p W N V C h)、p W N V y - D J Y (p W N V C y) であった。" N o A g " は、脾細胞は試験管内翻訳対照 (例 2 に描写している) と反応させた、ということを指し示し、" p r o t e i n " は、脾細胞は p W N V y - D J Y 発現構築物からの翻訳された試験管内 C p 蛋白質産物と反応させた、ということを指し示している。

## 【図5】

H I V - 1 p o l 遺伝子 (p o l w t 2 0 0 r n) に対する野生型 m R N A の最初の 200 ヌクレオチドと、ヒトにおいてそれほど優勢でなく使われた (酵母至適化) (s I g y + p o l w t) コドンを持つ I g E リーダー配列をエンコードする 5' 配列を含む H I

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V - 1 p o l 遺伝子に対するm R N A の最初の2 0 0 ヌクレオチド、に対するエネルギー-最小限化に基づいた自由エネルギー値を持つ予測される二次構造をM u l F o l d で示している。示されるように、" T " はR N A 鎖において" U " に書き換えられる。

【図6】

H I V - 1 p o l 構造遺伝子に対するm R N A の予測される二次構造をM u l F o l d で示してある。

【図7】

ヌクレオチド1 7 3 8 からヌクレオチド1 9 3 8までの2 0 0 ヌクレオチド領域配列はヒトにおいてそれほど優勢でなく利用されたコドン(酵母至適化コドン)を持つために変えられた後のH I V - 1 p o l 構造遺伝子に対するm R N A の予測される二次構造をM u l F o l d で示している。  
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【図8】

予測される二次構造、ならびに、ヒト至適化コドン(H I V - 1 p o l h u )を含むH I V - 1 p o l 遺伝子に対するm R N A の最初の2 0 0 ヌクレオチドと、ヒトにおいて利用されたコドン(酵母至適化コドン)(H I V P o l y t )を含むH I V - 1 p o l 遺伝子に対するm R N A における全体の自由エネルギー値のM u l F o l d で示している。示されるように、" T " はR N A 鎖において" U " に書き換えられる。

【図9】

予測される二次構造と、H I V - 1 g a g 構造遺伝子に対するm R N A 転写における全体の自由エネルギー値をM u l F o l d で示してある  
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【図10】

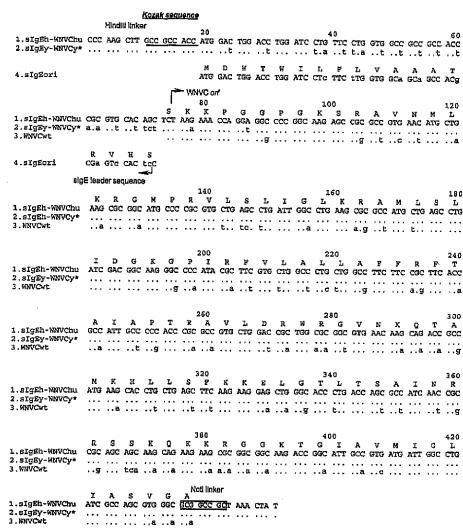
予測される二次構造と、ヒト(酵母至適化)においてそれほど優勢ではなく利用されたコドンに変えられたH I V - 1 g a g 構造遺伝子に対するm R N A 転写における全体の自由エネルギー値をM u l F o l d で示してある。

【図11】

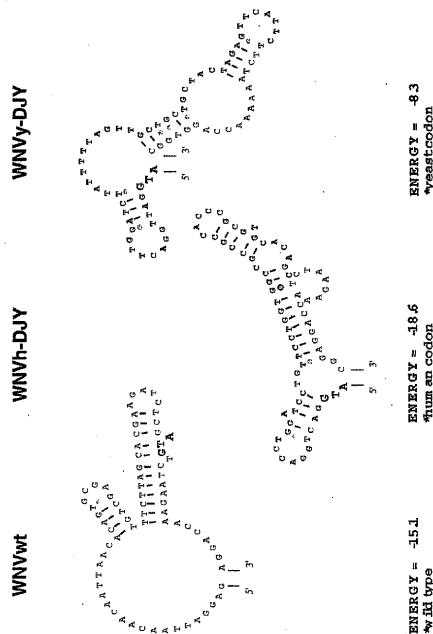
予測される二次構造と、1) 野生型ウエストナイルウイルス(W N V )エンベロープ(e n v )遺伝子(W N V w t 2 0 0 )、2) 最も優勢に使われたヒトにおけるコドン(W N V h u 2 0 0 )に至適化されたW N V e n v 遺伝子、3) ヒトにおいてそれほど優勢でなく利用されたコドン(酵母至適化、W N V y t 2 0 0 )を持つW N V e n v 遺伝子、に対するm R N A 転写の最初の2 0 0 ヌクレオチドにおける全体の自由エネルギー値をM u l F o l d で示してある。示されるように、" T " はR N A 鎖において" U " に書き換えられる。  
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【 図 1 】

Fig 1



【 図 2 】



〔 図 3 〕

**Fig. 3** Immunoprecipitation of Radiolabeled *In Vitro* Translated Proteins

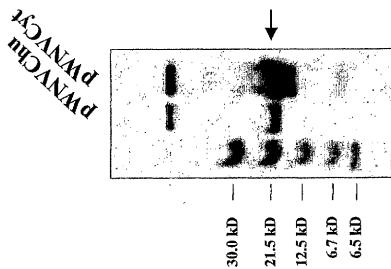
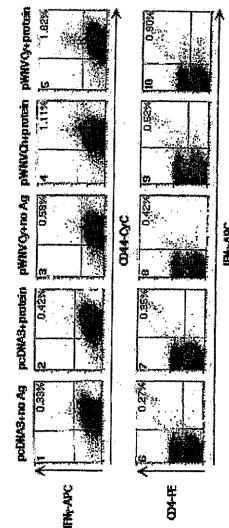


Fig. 1

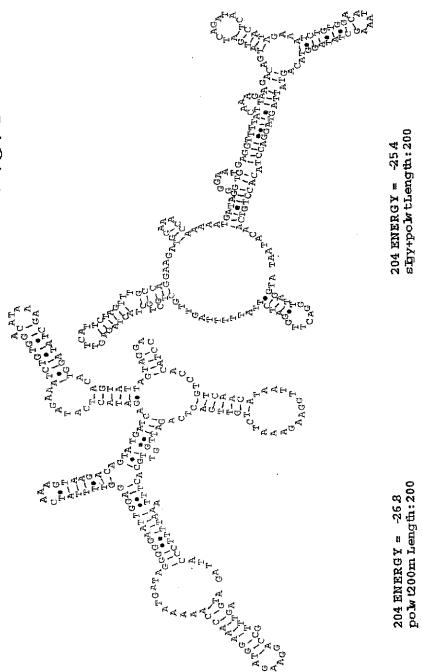
【 図 4 】



【図5】

## mRNA Energy Minimization: Addition of Leader Sequence

Fig. 5



【図6】

## mRNA Energy Minimization: HIV-1 Polwt

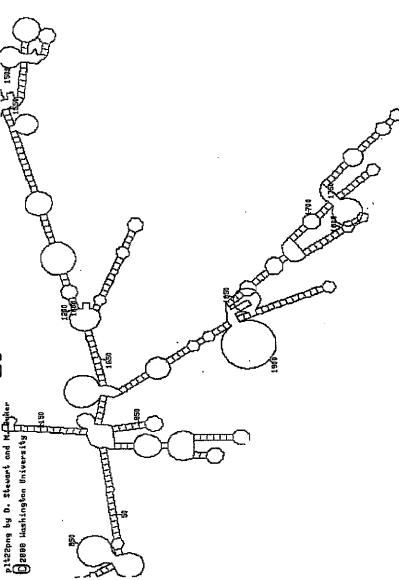


Fig. 6

【図7】

## mRNA Energy Minimization: HIV-1 Polyt

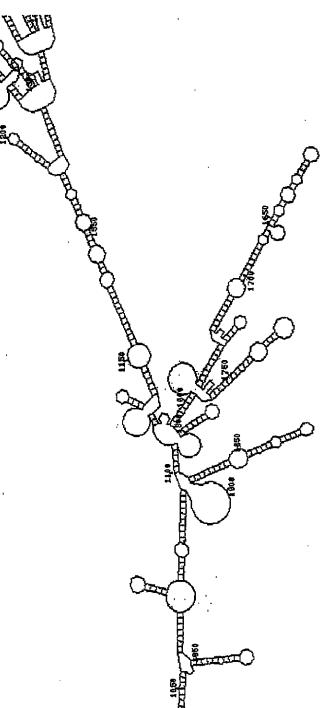
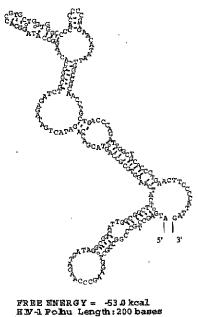


Fig. 7

【図8】

mRNA Energy Minimization with 1<sup>st</sup> 200 bases

HIV-1 Pol hu



HIV-1 Pol yt

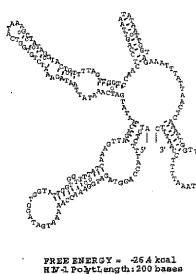
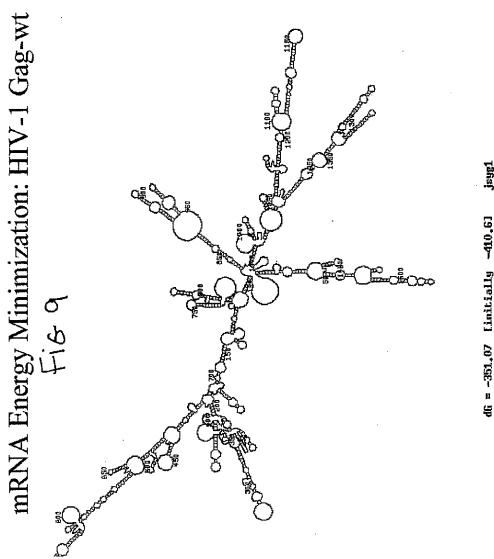
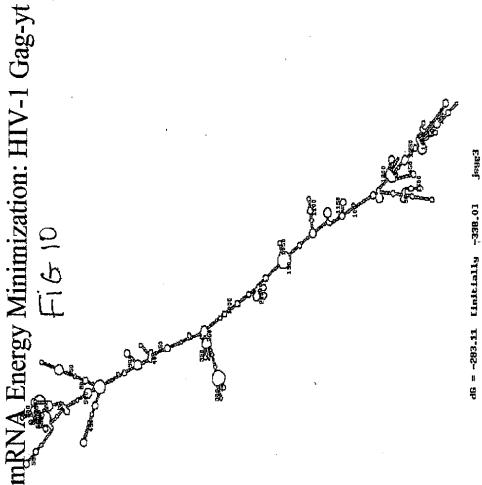


Fig. 8

【図9】



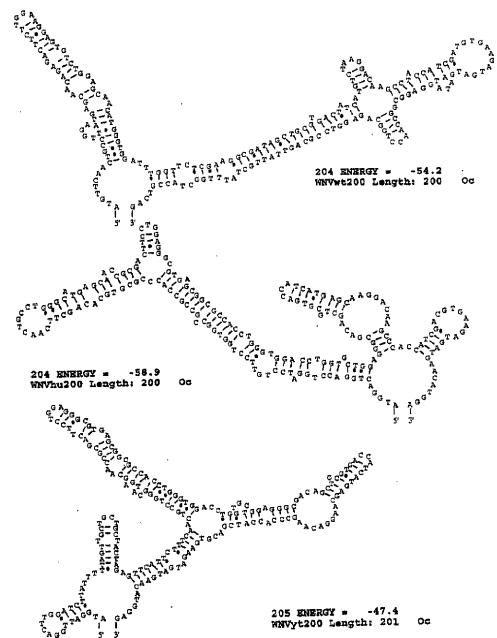
【図10】



【図11】

Fig. 11

## WNV Env RNA Optimization





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patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

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**HIGHLY EXPRESSIBLE GENES****CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims benefit under 35 U.S.C. §119(e) of U.S. Provisional Patent Application Serial No. 60/237,885, filed October 4, 2000, incorporated herein by reference.

**5 FIELD OF THE INVENTION**

The present invention relates generally to the fields of gene expression, gene therapy, and genetic immunization.

**BACKGROUND OF THE INVENTION**

The expression of a protein gene product is influenced by many factors, including gene copy number, gene integration site or gene location in the genome, transcription factors, mRNA stability, and translation efficiency. For example, the expression of the human immunodeficiency virus-1 (HIV-1) structural genes *gag*, *pol*, and *env* is dependent on the Rev/Rev-responsive element (RRE) at a posttranscriptional level. This dependency on Rev is a limiting factor for gene expression. In addition, highly stable RNA secondary structures that form in various regions of the HIV RNA transcript can block or otherwise interfere with ribosome movement, and thus effectively limit translation. Formation of stable RNA secondary structures in gene transcripts is a general phenomenon that can limit the translational yield of many protein gene products for a wide variety of genes.

Kim *et al.*, 1997, Gene, 199:293-301, which is incorporated herein by reference, optimized expression of human erythropoietin (EPO) in mammalian cells by altering the codons encoding the leader sequence and the first 6 amino acids of the mature EPO protein for the most

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prevalently used yeast codons, and changing the codons encoding the rest of the EPO protein for the most prevalently used human codons.

U.S. patents US 5,972,596 and 5,965,726 (Pavlakis *et al.*), which are incorporated herein by reference, describe methods of locating an inhibitory/instability sequence or sequences (INS: sequences that render an mRNA unstable or poorly utilized/translated) within the coding region of an mRNA and modifying the gene encoding the mRNA to remove the inhibitory/instability sequences with clustered nucleotide substitutions.

There is a need for new methods of expressing proteins and methods of increasing the level of protein expression of therapeutic and immunogenic transgenes. There is a need for methods of increasing the translational yields of any protein gene product. There is a need for methods of overcoming the limitations imposed by RNA secondary structure in RNA transcripts upon the ultimate level of protein expression of any gene. The present invention is directed to addressing these and other needs.

#### SUMMARY OF THE INVENTION

The present invention provides methods of producing protein in a recombinant expression system that comprises translation of mRNA transcribed from a heterologous DNA sequence in the expression system, said method comprising the steps of predicting the secondary structure of mRNA transcribed from a native heterologous DNA sequence; modifying the native heterologous DNA sequence to produce a modified heterologous DNA sequence wherein mRNA transcribed from the modified heterologous DNA sequence has a secondary structure having increased free energy compared to that of the secondary structure of the mRNA transcribed from the native heterologous DNA sequence; and using the modified heterologous DNA sequence in the recombinant expression system for protein production. The recombinant expression system may be a cell free *in vitro* transcription and translation system, an *in vitro* cell expression system, a DNA construct used in direct DNA injection, or a recombinant vector for delivery of DNA to an individual. The secondary structure of the mRNA transcribed from a native heterologous DNA sequence may be predicted using a computer and computer program. The native heterologous DNA sequence may be modified by increasing the AT content of the coding sequence, in particular, at the 5' end of the coding sequence, or at the 5' end of the coding sequence within 200, 150, or 100 nucleotides from the initiation codon.

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The present invention also provides injectable pharmaceutical compositions comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence, and further comprising a pharmaceutically acceptable carrier. The encoded proteins may be immunogens or non-immunogenic therapeutic proteins. The modifications may be within the first 100 to 200 bases of the coding sequence, within stretches of sequences dispersed throughout the coding sequence, or within the last 100 to 200 bases.

The present invention also provides recombinant viral vectors comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 presents the nucleotide and amino acid sequence of the West Nile Virus (WNV) wild type capsid (Cp) protein (WNVC) with constructs (WNVChu and WNVCy\*) modified on the basis of RNA secondary structure. A secretory IgE signal leader sequence was fused to the WNVC protein. To avoid varied expression levels due to the linear sequence between the promoter and 5'-proximal region of the WNVC, the leader sequences and the codons for amino acids 2 - 6 of the WNVC were modified with yeast (WNVCy) or human (WNVChu) optimized codons. However, the remaining portion of the coding sequence for the WNV capsid protein, in both constructs, was modified with human optimized codons. Presented are 1) the wild type nucleotide sequence encoding the slgE leader sequence (4. slgEori) (SEQ ID NO:1), 2) the amino acid sequence of the slgE leader sequence (appearing above the nucleotide sequence) (SEQ ID NO:2), 3) the amino acid sequence for the WNV capsid protein (minus the initial methionine) (SEQ ID NO:3), and 4) the nucleotide sequence of the slgEh-WNV capsid protein encoding sequence of the WNVChu construct (1. slgEh-WNVChu) (SEQ ID NO:4). Differences in the coding sequence for slgEh-WNV capsid protein in the WNVCy construct (2. slgEy-WNVCy\*) and in the wild type WNV capsid encoding sequence (3. WNVCwt) are indicated below the nucleotide sequence of the WNVChu construct.

Figure 2 presents the MulFold predicted RNA secondary structures with free energy values for the first 73 nucleotides of 1) the wild type mRNA encoding WVN capsid protein

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(WNVwt), 2) an mRNA encoding the IgE leader/WNV capsid protein containing human optimized codons (WNVh-DJY), and 3) an mRNA encoding the IgE leader/WNV capsid protein containing yeast optimized codons (WNVy-DJY). The last codon (GGC for glycine) shown for the WNVy-DJY sequence is human optimized. As shown, "T" represents "U" in the RNA strands. The nucleotides of the mRNA strands that encode the IgE leader portion of the fusions in WNVh-DJY and WNVy-DJY are shown in bold.

Figure 3 presents an autoradiograph of electrophoretically separated, immunoprecipitated, radiolabeled *in vitro* transcription/translation products from two different WNV capsid protein constructs: pWNVChu (also called WNVChu and pWNVh-DJY) and pWNVCyt (also called 10 WNVCy and pWNVy-DJY). The first lane on the left contains molecular weight markers. The arrow indicates the position of the main capsid protein product. The proteins, which are fusions with polyhistidine C-terminal tags, were immunoprecipitated using an anti-His antibody.

Figure 4 presents the flow cytometry analysis of intracellular IFN- $\gamma$  expression in *in vitro* stimulated splenocytes from DNA immunized mice. Values presented are the percentage dual positive cells. In the upper panels, the cells were stained for INF- $\gamma$  and CD44; in the lower panels the cells were stained for CD4 and IFN- $\gamma$ . The labeling across the top indicates the vector used to immunize the mice plus the stimulus used for the *in vitro* restimulation of the splenocytes. The immunizing vectors were pcDNA3 (pcDNA3.1), pWNVh-DJY (pWNVCh), and pWNVy-DJY (pWNVCy). "No Ag" indicates that the splenocytes were incubated with an 15 *in vitro* translation control (described in Example 2), "protein" indicates that the splenocytes were incubated with *in vitro* translated Cp protein product from the pWNVy-DJY expression construct.

Figure 5 presents the MulFold predicted RNA secondary structure with free energy values based upon energy minimization for the first 200 nucleotides of the wild type mRNA for the 20 HIV-1 *pol* gene (polwt200m) and for the first 200 nucleotides of an mRNA for HIV-1 *pol* gene including a 5' sequence encoding the IgE leader sequence with codons less prevalently used in humans (yeast optimized) (Iggy+polwt). As shown, "T" represents "U" in the RNA strand.

Figure 6 presents the MulFold predicted secondary structure of the mRNA for the HIV-1 *pol* structural gene.

Figure 7 presents the MulFold predicted secondary structure for the mRNA for the HIV-1 *pol* structural gene after the 200 nucleotide region of the sequence from nucleotide 1738 through 30

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nucleotide 1938 has been altered to contain codons that are less prevalently utilized in humans (yeast optimized codons).

Figure 8 presents the MuFold predicted secondary structure and overall free energy value for the first 200 nucleotides of the mRNA for the HIV-1 *pol* gene containing human optimized codons (HIV-1 Pol hu), and for the mRNA for the HIV-1 *pol* gene containing codons less prevalently utilized in humans (yeast optimized codons) (HIV-1 Pol yt). As shown, "T" represents "U" in the RNA strands.

Figure 9 presents the MuFold predicted secondary structure and overall free energy value for the mRNA transcript for the HIV-1 *gag* structural gene.

Figure 10 presents the MuFold predicted secondary structure and overall free energy value for the mRNA transcript for the HIV-1 *gag* structural gene altered with codons that are utilized less prevalently in humans (yeast optimized).

Figure 11 presents the MuFold predicted secondary structures and overall free energy values for the first 200 nucleotides of the mRNA transcript for 1) the wild type West Nile Virus (WNV) envelope (*env*) gene (WNVwt200), 2) the WNV *env* gene optimized with the most prevalently used codons in humans (WNVhu200), and 3) the WNV *env* gene having codons that are utilized less prevalently in humans (yeast optimized, WNVyt200). As shown, "T" represents "U" in the RNA strands.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is based upon the discovery that enhancement of protein expression can be achieved by increasing the free energy of and destabilizing RNA secondary structure through changes at the nucleotide level. It has been discovered that an increase in the free energy (X kcal) of an RNA transcript will result in increased expression of the protein that it encodes. In preferred embodiments, an increase in the free energy (X kcal) within a 200 base segment of an RNA transcript will result in increased expression of the protein that it encodes. The segment is preferably at the 5' end, usually including the initiation codon. In some embodiments, the segment is preferably 200 bases, 150 bases, or 100 bases. The secondary structure of an RNA molecule is the collection of base pairs that occur in its three-dimensional structure. The secondary structure of a given RNA molecule can be predicted and such predicted secondary structure will have an assigned overall free energy value. It has been discovered that alterations to the primary sequence of an RNA transcript that result in an increase to the minimum predicted

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overall free energy for a predicted secondary structure for that RNA, or that increase the minimum predicted free energy for a predicted secondary structure for regions of that RNA, will promote increased expression of the protein encoded by that RNA transcript. This strategy for the optimization of protein expression applies to any situation where expression is desired, including, but not limited to: *in vivo*, including, but not limited to, DNA vaccines, live vaccines, gene therapeutics, and transgenes; *in vitro*, including, but not limited to, recombinant manufacturing procedures using such systems as prokaryotic and eukaryotic (mammal, insect, and yeast) cells in culture; *ex vivo*, including, but not limited to, systems where cells receive expression constructs and are implanted into recipient organisms; and any other expression system where it is desirable to express a gene of interest or increase the expression of a gene.

One aspect of the invention is to generate an RNA encoding a protein that promotes efficient expression of that protein or that leads to increased levels of expression of the protein. Alterations to the sequence of the DNA encoding the RNA that lead to an increase in the minimum overall free energy for the predicted secondary structure of that RNA, or that increase the minimum free energy for the predicted secondary structure of one or more regions of that RNA promote efficient and/or increased expression of the encoded protein.

Increases to the free energy of the secondary structure of an RNA can be monitored by analyzing various altered versions of a sequence with a program like MFOLD, which calculates and predicts the most stable structure for an input sequence based upon energy minimization. MFOLD is computer software designed by Zuker, Jaeger, and colleagues (*see* Zuker, 1989, On finding all suboptimal foldings of an RNA molecule, *Science*, 244:48-52, and Jaeger *et al.*, 1989, Improved predictions of secondary structures for RNA, *Proc. Natl. Acad. Sci. USA*, 86:7706-7710, each of which is incorporated herein by reference) that is used for the prediction of RNA secondary structure by free energy minimization, using energy rules developed by Turner and colleagues (*see* Freier *et al.*, 1986, *Proc. Natl. Acad. Sci. USA*, 83:9373-9377, which is incorporated herein by reference). MuFold is the Macintosh version of MFOLD. LoopDloop is a secondary structure drawing program. The most stable structure will be the one with a minimum overall free energy. The more negative the value of the free energy for the structure, the more stable. Alterations to the sequence of the RNA that are predicted to result in a secondary structure having an overall higher free energy value, are destabilizing alterations which result in less stable RNA secondary structure and which promote efficient translation of the RNA and an increase in protein expression.

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The practice of the present invention will employ, unless otherwise indicated, conventional methods of virology, immunology, microbiology, molecular biology, and recombinant DNA techniques within the skill of the art. Such techniques are explained fully in the literature. See, e.g., Sambrook *et al.*, eds., Molecular Cloning: A Laboratory Manual (3<sup>rd</sup> ed.)

5 Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY (2001); Ausubel *et al.*, eds., Current Protocols in Molecular Biology, John Wiley & Sons, New York, NY (2001); Glover & Hames, eds., DNA Cloning 3: A Practical Approach, Vols. I, II, & III, IRL Press, Oxford (1995); Colowick & Kaplan, eds., Methods in Enzymology, Academic Press; Weir *et al.*, eds., Handbook of Experimental Immunology, 5<sup>th</sup> ed., Blackwell Scientific Publications, Ltd., Edinburgh, (1997);  
10 Fields, Knipe, & Howley, eds., Fields Virology (3<sup>rd</sup> ed.) Vols. I & II, Lippincott Williams & Wilkins Pubs. (1996); Flint, *et al.*, eds., Principles of Virology: Molecular Biology, Pathogenesis, and Control, ASM Press, (1999); Coligan *et al.*, eds., Current Protocols in Immunology, John Wiley & Sons, New York, NY (2001), each of which is incorporated herein by reference.

15 Various definitions are made throughout this document. Most words have the meaning that would be attributed to those words by one skilled in the art. Words specifically defined either below or elsewhere in this document have the meaning provided in the context of the present invention as a whole and as typically understood by those skilled in the art.

20 As used herein, the term "recombinant expression system" refers to any nucleic acid based approach or system for the expression of a gene product or gene products of interest, that has been artificially organized (man made) of components directed toward the expression of the gene product or products. The components may be of naturally occurring genetic sources, synthetic or artificial, or some combination of natural and artificial genetic elements. Generally the gene product is a protein, polypeptide, or peptide. Examples of recombinant expression systems include, but are not limited to, a cell free *in vitro* transcription and translation system; an *in vitro* cell expression system; a DNA construct used in direct DNA injection; and a recombinant vector for delivery of DNA to an individual.

25 As used herein, the term "heterologous DNA sequences" refers to deoxyribonucleic acid based sequences that are in a non-natural context, for example, in a recombinant construct, plasmid, or virus, or inserted into a non-natural position in a chromosome, or introduced into a non-natural or foreign cell. "Heterologous DNA sequence" refers to any DNA sequence that is foreign or not naturally associated with the other DNA sequences to which it is associated or

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linked (operably or otherwise), or a DNA sequence that is not naturally associated with the cell or organism into which it is introduced. An example of a heterologous DNA sequence is one that is used for the expression of a foreign or heterologous protein gene product in a host cell or organism. A heterologous DNA sequence can also be a part of a vector or expression construct 5 having genetic material designed for directing the expression of a gene product, such as a protein, polypeptide, or peptide, in a host cell *in vivo* or *in vitro*, or in a cell free *in vitro* expression system.

As used herein, the term "native heterologous DNA sequence" refers to a heterologous DNA sequence that, although positioned in a non-natural context, has a nucleotide sequence that 10 is not modified or altered from the sequence it has in its natural context. For example, a viral gene may be inserted into a recombinant expression construct, such that the viral gene is a heterologous DNA sequence with respect to other sequences in the construct, but without introduction of any changes to the nucleotide sequence of the viral gene. In this example, as a native heterologous DNA sequence, the viral gene has the native nucleotide sequence as would 15 be found in its natural context within the genome of the virus, but the viral gene sequence is heterologous with respect to its new context. A native heterologous DNA sequence can also be any DNA sequence that is considered to be the reference or starting version of a DNA sequence, from which a modified (non-native) version of the DNA sequence, containing alterations to the 20 nucleic acid sequence may be prepared. A native heterologous DNA sequence can also be composed of multiple native DNA sequences that are unaltered in sequence from that which is found in nature, but that are not naturally found together. An example of such a native heterologous DNA sequence composed of multiple native DNA sequences is a fusion gene composed of native genetic sequence from two different genes.

As used herein, the term "modified heterologous DNA sequence" refers to a heterologous 25 DNA sequence that is not only positioned in a non-natural context, but also has a nucleotide sequence that is modified or altered from the sequence it has in its natural context. For example, a viral gene that is a modified heterologous DNA sequence will be inserted into a recombinant expression construct, such that the viral gene is heterologous with respect to other sequences in the construct, and further, will have a nucleotide sequence that is modified or altered and not the 30 native nucleotide sequence as found in its natural context within the genome of the virus.

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As used herein, the term "increased free energy" in reference to RNA secondary structure, refers to an increase in the free energy value for an RNA secondary structure. Free energy values that are more negative are lower than values that are less negative.

As used herein, the term "modified coding sequence" refers to a nucleic acid sequence (DNA- or RNA-based), that encodes a gene product, protein, polypeptide, or peptide, and that has been modified or altered from the native or naturally-occurring coding sequence for that gene product, protein, polypeptide, or peptide. The coding sequence may be comprised of sequences from more than one genetic source, for example, the coding sequence may be a fusion gene encoding a fusion protein having a leader sequence from a gene for one protein and the remaining sequence from a gene for another protein, brought together as one hybrid coding sequence, that is non-natural. In the case of such an example of a coding sequence comprised of sequences from more than one genetic source, "modified coding sequence" indicates that any modification is relative to the native or naturally-occurring coding sequence for the respective separate sequences.

As used herein, the term "native coding sequence" refers to a nucleic acid sequence (DNA- or RNA-based), that encodes a gene product, protein, polypeptide, or peptide, and that has not been modified or altered from the native or naturally-occurring coding sequence for that gene product, protein, polypeptide, or peptide. If the coding sequence encodes a fusion protein, the component parts have not been modified or altered from the native or naturally-occurring coding sequence for those component parts.

As used herein, the term "higher AT or AU content" refers to modifications to a coding sequence which render it a "modified coding sequence" such that if it is DNA-based it has a higher concentration of adenine and thymidine residues than the corresponding native coding sequence, and if it is RNA-based it has a higher concentration of adenine and uridine residues than the corresponding native coding sequence.

As used herein, the term "the first 200 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the first 200 contiguous nucleotide bases from the 5' end of the respective coding sequence.

As used herein, the term "the first 150 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the first 150 contiguous nucleotide bases from the 5' end of the respective coding sequence.

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As used herein, the term "the first 100 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the first 100 contiguous nucleotide bases from the 5' end of the respective coding sequence.

5 As used herein, the term "the last 200 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the last 200 contiguous nucleotide bases from the 3' end of the respective coding sequence.

As used herein, the term "the last 150 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the last 150 contiguous nucleotide bases from the 3' end of the respective coding sequence.

10 As used herein, the term "the last 100 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the last 100 contiguous nucleotide bases from the 3' end of the respective coding sequence.

As used herein, the term "region of up to 200 bases in length" in reference to a coding sequence, refers to a region of up to 200 contiguous nucleotide bases of the coding sequence.

15 The region may be anywhere within the coding sequence.

As used herein, the term "region of up to 150 bases in length" in reference to a coding sequence, refers to a region of up to 150 contiguous nucleotide bases of the coding sequence.

The region may be anywhere within the coding sequence.

20 As used herein, the term "region of up to 100 bases in length" in reference to a coding sequence, refers to a region of up to 100 contiguous nucleotide bases of the coding sequence.

The region may be anywhere within the coding sequence.

25 As used herein, the term "dispersed modifications" refers to any combination of at least two regions of contiguous nucleotide bases that are modified to have a higher AT or AU content relative to the native coding sequence in the respective regions, and that are dispersed throughout the sequence such that regions of modified coding sequences will alternate with regions of native coding sequence. By way of non-limiting example, a modified coding sequence may contain alternating regions of modifications, wherein the first 200 contiguous bases of the coding sequence have a higher AT or AU content relative to the native coding sequence, the next 200 bases of the coding sequence are non-modified relative to the native coding sequence, and the subsequent 200 contiguous base region is modified to have a higher AT or AU content relative to the native coding sequence. The size of the modified regions may be of any length, and is preferably 200, 150, or 100 bases in length. The size of non-modified regions will be of variable

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length depending on the positioning of the modified regions. In preferred embodiments the dispersed modifications comprise alternating regions of modified and native coding sequence over the entire coding sequence, where the size of each alternating region is preferably 200 or 150 or 100 bases in length.

5 As used herein, "injectable pharmaceutical composition" refers to pharmaceutically acceptable compositions for use in patients that are sterile, pyrogen-free, and essentially free of any particulates or particulate matter. See, *Remington's Pharmaceutical Sciences*, 18<sup>th</sup> Ed., Gennaro, ed., Mack Publishing Co., Easton, PA, 1990 and U.S.P., the standards of the U. S. Pharmacopeia, which is incorporated herein by reference.

10 As used herein, "pharmaceutically acceptable carrier" includes any carrier that does not itself induce a harmful effect to the individual receiving the composition. For example, a "pharmaceutically acceptable carrier" should not induce the production of antibodies harmful to the recipient. Suitable "pharmaceutically acceptable carriers" are known to those of skill in the art and are described in *Remington's Pharmaceutical Sciences, supra*.

15 As used herein the term "target protein" is meant to refer to peptides and proteins encoded by gene constructs of the present invention which act as target proteins for an immune response. The terms "target protein" and "immunogen" are used interchangeably and refer to a protein against which an immune response can be elicited. The target protein is an immunogenic protein which shares at least an epitope with a protein from the pathogen or undesirable cell-type such as a cancer cell or a cell involved in autoimmune disease against which an immune response is desired. The immune response directed against the target protein will protect the individual against and/or treat the individual for the specific infection or disease with which the target protein is associated.

20 As used herein the term "desired protein" is meant to refer to peptides and proteins encoded by gene constructs of the present invention which either act as target proteins for an immune response or as a therapeutic or compensating protein in gene therapy regimens.

As used herein, the phrase "immunogenic fragment thereof" in reference to an immunogen, refers to fragments of less than the full length of the immunogen against which an immune response can be induced.

25 As used herein, the term "cancer antigens" refers to any proteins, polypeptides, or peptides, and the like, that are associated with and/or serve as markers for cancer, tumors, or cancer cells.

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As used herein, the term "autoimmune disease associated proteins" refers to any proteins, polypeptides, or peptides, and the like, that are associated with and/or serve as ~~sus~~ for cells involved in and/or responsible for an autoimmune disease.

As used herein, the term "non-immunogenic therapeutic protein" refers to such proteins, 5 polypeptides, and peptides that are useful for therapeutic treatment of various diseases and disorders, and to which an immune response is not desired and/or not expected upon their introduction into the body of a recipient organism, patient, or individual in need of such therapy or treatment. Examples of "non-immunogenic therapeutic proteins" are proteins that are missing or in low concentration in an individual having a genetic defect in the endogenous gene encoding 10 the protein. Examples of "non-immunogenic therapeutic proteins" include, but are not limited to, cytokines, growth factors, blood products, and enzymes.

As used herein, the term "recombinant viral vector" refers to a construct, based upon the genome of a virus, that can be used as a vehicle for the delivery of nucleic acids encoding 15 proteins, polypeptides, or peptides of interest. Recombinant viral vectors are well known in the art and are widely reported. Recombinant viral vectors include, but are not limited to, retroviral vectors, adenovirus vectors, and adeno-associated virus vectors, which are prepared using routine methods and starting materials.

As used herein, the term "genetic construct" refers to the DNA or RNA molecules that 20 comprise a nucleotide sequence which encodes a target protein or immunomodulating protein. The coding sequence includes initiation and termination signals operably linked to regulatory elements including a promoter and polyadenylation signal capable of directing expression in the cells of the individual to whom the nucleic acid molecule is administered.

As used herein, the term "expressible form" refers to gene constructs which contain the 25 necessary regulatory elements operably linked to a coding sequence that encodes a target protein or an immunomodulating protein, such that when present in the cell of the individual, the coding sequence will be expressed.

As used herein, the term "sharing an epitope" refers to proteins which comprise at least one epitope that is identical to or substantially similar to an epitope of another protein.

As used herein, the term "substantially similar epitope" is meant to refer to an epitope 30 that has a structure which is not identical to an epitope of a protein but nonetheless invokes a cellular or humoral immune response which cross reacts to that protein.

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As used herein, the term "intracellular pathogen" is meant to refer to a virus or pathogenic organism that, during at least part of its reproductive or life cycle, exists within a host cell and therein produces or causes to be produced, pathogen proteins.

As used herein, the term "hyperproliferative diseases" is meant to refer to those diseases and disorders characterized by hyperproliferation of cells.

As used herein, the term "hyperproliferative-associated protein" is meant to refer to proteins that are associated with a hyperproliferative disease and/or hyperproliferative cells.

In some preferred embodiments, it is preferred that the alterations to the RNA do not alter the sequence of the protein. In some preferred embodiments, it is preferred that the 200 bases, within which the alterations are introduced, are at the 5' end of the RNA transcript. In some embodiments, it is preferred to increase the free energy in more than one segment of the RNA transcript. Optionally, a leader sequence may be added to increase the free energy of the secondary structure of the RNA.

A stable RNA secondary structure at the 5' end of open reading frame (orf) sequences may block efficient transcription by interfering with ribosome function. Many RNAs have highly stable secondary structural integrity, and these interactions can inhibit gene expression. Addition of a sequence encoding a leader, modified such that it was optimized with an AT-rich sequence, resulted in a higher free energy for the predicted RNA structure and allowed efficient initiation by the cellular ribosomes. The stable RNA secondary structure is removed by increasing the free energy.

Therefore, according to the present invention, increasing the AU content in a coding sequence optimizes the sequence by reducing the corresponding RNA secondary structure's integrity, and resulting in increased protein expression/translation, by melting of the inhibitory secondary structures (stem loops) in the RNA transcript. The disruption of secondary structure integrity is particularly important in the 5' portion of the RNA or coding sequence, particularly the first 100 to 200 nucleotides of the RNA. In some embodiments, the AU or AT content is increased in the first 100 to 200 nucleotides from the initiation of transcription, and in some embodiments the AU or AT content is increased in the first 100 to 200 nucleotides of the coding sequence or start of translation. In some embodiments, the disruption of secondary structure integrity of the RNA is achieved by full gene changes or alternating patterns within 100 to 200 nucleotide base stretches. Modification of the 3' end is also important.

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The strategy of adding a leader-encoding sequence and altering the codons of that sequence to be yeast optimized (less frequently used codons in humans) is applicable to any gene encoding any protein, for example genes encoding viral proteins, including, but not limited to, the HIV-1 *pol* gene. AU-rich content is preferred; human dominant codons/high GC content is not preferred. It has been discovered that lowering the stability of regions of secondary structure within mRNAs can be accomplished without prior knowledge of protein expression or structure. The resultant increased minimum free energy of the secondary structure that is predicted to form from the altered transcript renders the altered transcript capable of enhanced protein expression over the original.

10 Using standard techniques and readily available starting materials, a modified nucleic acid molecule may be prepared. The nucleic acid molecule may be incorporated into an expression vector which is then incorporated into a host cell. Host cells for use in well known recombinant expression systems for production of proteins are well known and readily available. Examples of host cells include bacteria cells such as *E. coli*, yeast cells such as *S. cerevisiae*, 15 insect cells such as *S. frugiperda*, non-human mammalian tissue culture cells Chinese hamster ovary (CHO) cells and human tissue culture cells such as HeLa cells.

In some embodiments, for example, one having ordinary skill in the art can, using well known techniques, insert DNA molecules into a commercially available expression vector for use in well known expression systems. For example, the commercially available plasmid 20 pSE420 (Invitrogen, San Diego, CA) may be used for production of immunomodulating proteins in *E. coli*. The commercially available plasmid pYES2 (Invitrogen, San Diego, CA) may, for example, be used for production in *S. cerevisiae* strains of yeast. The commercially available MAXBACT<sup>TM</sup> complete baculovirus expression system (Invitrogen, San Diego, CA) may, for example, be used for production in insect cells. The commercially available plasmid pcDNAI 25 or pcDNA3 (Invitrogen, San Diego, CA) may, for example, be used for production in mammalian cells such as CHO cells. One having ordinary skill in the art can use these commercial expression vectors and systems or others to produce immunomodulating proteins by routine techniques and readily available starting materials. (See e.g., Sambrook *et al.*, eds., 30 2001, *supra*) Thus, the desired proteins can be prepared in both prokaryotic and eukaryotic systems, resulting in a spectrum of processed forms of the protein.

One having ordinary skill in the art may use other commercially available expression vectors and systems or produce vectors using well known methods and readily available starting

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materials. Expression systems containing the requisite control sequences, such as promoters and polyadenylation signals, and preferably enhancers, are readily available and known in the art for a variety of hosts (*See e.g.*, Sambrook *et al.*, eds., 2001, *supra*).

The expression vector including the modified DNA is used to transform the compatible host which is then cultured and maintained under conditions wherein expression of the foreign DNA takes place. The protein of the present invention thus produced is recovered from the culture, either by lysing the cells or from the culture medium as appropriate and known to those in the art. One having ordinary skill in the art can, using well known techniques, isolate the protein that is produced using such expression systems. The methods of purifying proteins from natural sources using antibodies may be equally applied to purifying protein produced by recombinant DNA methodology.

The pharmaceutical compositions of the present invention may be administered by any means that enables the active agent to reach the agent's site of action in the body of a mammal. The pharmaceutical compositions of the present invention may be administered in a number of ways depending upon whether local or systemic treatment is desired and upon the area to be treated. Administration may be topical (including ophthalmic, vaginal, rectal, intranasal, transdermal), oral or parenteral. Parenteral administration includes intravenous drip, subcutaneous, intraperitoneal or intramuscular injection, pulmonary administration, *e.g.*, by inhalation or insufflation, or intrathecal or intraventricular administration.

The present invention further relates to injectable pharmaceutical compositions which comprise such nucleic acid molecules.

The injectable pharmaceutical compositions that comprise a modified nucleotide sequence operably linked to regulatory elements may be delivered using any of several well known technologies including DNA injection (also referred to as DNA vaccination), recombinant vectors such as recombinant adenovirus, recombinant adenovirus associated virus and recombinant vaccinia.

DNA vaccines are described in U.S. Patent Nos. 5,593,972, 5,739,118, 5,817,637, 5,830,876, 5,962,428, 5,981,505, 5,580,859, 5,703,055, 5,676,594, and the priority applications cited therein, which are each incorporated herein by reference. In addition to the delivery protocols described in those applications, alternative methods of delivering DNA are described in U.S. Patent Nos. 4,945,050 and 5,036,006, which are both incorporated herein by reference.

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Routes of administration include, but are not limited to, intramuscular, intranasally, intraperitoneal, intradermal, subcutaneous, intravenous, intraarterially, intraocularly and oral as well as topically, transdermally, by inhalation or suppository or to mucosal tissue such as by 5 lavage to vaginal, rectal, urethral, buccal and sublingual tissue. Preferred routes of administration include to mucosal tissue, intramuscular, intraperitoneal, intradermal and subcutaneous injection. Genetic constructs may be administered by means including, but not limited to, traditional syringes, needless injection devices, or "microparticle bombardment gene guns".

When taken up by a cell, the genetic construct(s) may remain present in the cell as a 10 functioning extrachromosomal molecule and/or integrate into the cell's chromosomal DNA. DNA may be introduced into cells where it remains as separate genetic material in the form of a plasmid or plasmids. Alternatively, linear DNA which can integrate into the chromosome may be introduced into the cell. When introducing DNA into the cell, reagents which promote DNA integration into chromosomes may be added. DNA sequences which are useful to promote 15 integration may also be included in the DNA molecule. Alternatively, RNA may be administered to the cell. It is also contemplated to provide the genetic construct as a linear minichromosome including a centromere, telomeres and an origin of replication. Gene constructs may remain part of the genetic material in attenuated live microorganisms or recombinant microbial vectors which live in cells. Gene constructs may be part of genomes of 20 recombinant viral vaccines where the genetic material either integrates into the chromosome of the cell or remains extrachromosomal.

Genetic constructs include regulatory elements necessary for gene expression of a nucleic acid molecule. The elements include: a promoter, an initiation codon, a stop codon, and a 25 polyadenylation signal. In addition, enhancers are often required for gene expression of the sequence that encodes the target protein. It is necessary that these elements be operably linked to the sequence that encodes the desired proteins and that the regulatory elements are operably in the individual to whom they are administered. Initiation codons and stop codon are generally considered to be part of a nucleotide sequence that encodes the desired protein. However, it is necessary that these elements are functional in the individual to whom the gene construct is 30 administered. The initiation and termination codons must be in frame with the coding sequence. Promoters and polyadenylation signals used must be functional within the cells of the individual. Examples of promoters useful to practice the present invention, especially in the production of

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a genetic vaccine for humans, include but are not limited to promoters from Simian Virus 40 (SV40), Mouse Mammary Tumor Virus (MMTV) promoter, Human Immunodeficiency Virus (HIV) such as the HIV Long Terminal Repeat (LTR) promoter, Moloney virus, ALV, Cytomegalovirus(CMV) such as the CMV immediate early promoter, Epstein Barr Virus (EBV),  
5 Rous Sarcoma Virus (RSV) as well as promoters from human genes such as human Actin, human Myosin, human Hemoglobin, human muscle creatine and human metallothionein. Examples of polyadenylation signals useful to practice the present invention, especially in the production of a genetic vaccine for humans, include but are not limited to SV40 polyadenylation signals and LTR polyadenylation signals. In particular, the SV40 polyadenylation signal which  
10 is in pCEP4 plasmid (Invitrogen, San Diego CA), referred to as the SV40 polyadenylation signal, is used. In addition to the regulatory elements required for DNA expression, other elements may also be included in the DNA molecule. Such additional elements include enhancers. The enhancer may be selected from the group including but not limited to: human Actin, human Myosin, human Hemoglobin, human muscle creatine and viral enhancers such as those from  
15 CMV, RSV and EBV. Genetic constructs can be provided with mammalian origin of replication in order to maintain the construct extrachromosomally and produce multiple copies of the construct in the cell. Plasmids pCEP4 and pREP4 from Invitrogen (San Diego, CA) contain the Epstein Barr virus origin of replication and nuclear antigen EBNA-1 coding region which produces high copy episomal replication without integration.

20 One method of the present invention comprises the steps of administering nucleic acid molecules intramuscularly, intranasally, intraperitoneally, subcutaneously, intradermally, or topically or by lavage to mucosal tissue selected from the group consisting of inhalation, vaginal, rectal, urethral, buccal and sublingual.

25 In some embodiments, the nucleic acid molecule is delivered to the cells in conjunction with administration of a polynucleotide function enhancer or a genetic vaccine facilitator agent. Polynucleotide function enhancers are described in U.S. Serial Number 08/008,342 filed January 26, 1993, U.S. Serial Number 08/029,336 filed March 11, 1993, U.S. Serial Number 08/125,012 filed September 21, 1993, and International Application Serial Number PCT/US94/00899 filed January 26, 1994, which are each incorporated herein by reference. Genetic vaccine facilitator  
30 (GVF) agents are described in U.S. Serial Number 08/221,579 filed April 1, 1994, which is incorporated herein by reference. The co-agents which are administered in conjunction with nucleic acid molecules may be administered as a mixture with the nucleic acid molecule or

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administered separately simultaneously, before or after administration of nucleic acid molecules. In addition, other agents which may function as transfecting agents and/or replicating agents and/or inflammatory agents and which may be co-administered with a GVF include growth factors, cytokines and lymphokines such as  $\alpha$ -interferon, gamma-interferon, platelet derived growth factor (PDGF), TNF, epidermal growth factor (EGF), IL-1, IL-2, IL-4, IL-6, IL-10 and IL-12 as well as fibroblast growth factor, surface active agents such as immune-stimulating complexes (ISCOMS), Freund's incomplete adjuvant, LPS analog including monophosphoryl Lipid A (MPL), muramyl peptides, quinone analogs and vesicles such as squalene and squalene, and hyaluronic acid may also be used administered in conjunction with the genetic construct.

In some embodiments, an immunomodulating protein may be used as a GVF.

The pharmaceutical compositions according to the present invention comprise about 1 nanogram to about 2000 micrograms of DNA. In some preferred embodiments, pharmaceutical compositions according to the present invention comprise about 5 nanogram to about 1000 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 10 nanograms to about 800 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 0.1 to about 500 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 1 to about 350 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 25 to about 250 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 100 to about 200 micrograms DNA.

The pharmaceutical compositions according to the present invention are formulated according to the mode of administration to be used. In cases where pharmaceutical compositions are injectable pharmaceutical compositions, they are sterile, pyrogen free and particulate free. An isotonic formulation is preferably used. Generally, additives for isotonicity can include sodium chloride, dextrose, mannitol, sorbitol and lactose. In some cases, isotonic solutions such as phosphate buffered saline are preferred. Stabilizers include gelatin and albumin. In some embodiments, a vaso-constriction agent is added to the formulation.

The present invention is useful to elicit broad immune responses against a target protein, *i.e.*, proteins specifically associated with pathogens, allergens or the individual's own "abnormal" cells. The present invention is useful to immunize individuals against pathogenic agents and organisms such that an immune response against a pathogen protein provides protective immunity against the pathogen. The present invention is useful to combat hyperproliferative

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diseases and disorders such as cancer by eliciting an immune response against a target protein that is specifically associated with the hyperproliferative cells. The present invention is useful to combat autoimmune diseases and disorders by eliciting an immune response against a target protein that is specifically associated with cells involved in the autoimmune condition.

5 The nucleic acid molecule(s) may be provided as plasmid DNA, the nucleic acid molecules of recombinant vectors or as part of the genetic material provided in an attenuated vaccine or cell vaccine. Alternatively, in some embodiments, the target protein and/or either or both immunomodulating proteins may be delivered as a protein in addition to the nucleic acid molecules that encode them or instead of the nucleic acid molecules that encode them.

10 The present invention may be used to immunize an individual against all pathogens such as viruses, prokaryotic and pathogenic eukaryotic organisms such as unicellular pathogenic organisms and multicellular parasites. The present invention is particularly useful to immunize an individual against those pathogens which infect cells and which are not encapsulated such as viruses, and prokaryotes such as gonorrhea, listeria and shigella. In addition, the present 15 invention is also useful to immunize an individual against protozoan pathogens which include a stage in the life cycle where they are intracellular pathogens.

In order to produce a genetic vaccine to protect against pathogen infection, genetic material which encodes immunogenic proteins against which a protective immune response can be mounted must be included in a genetic construct as the coding sequence for the target. 20 Whether the pathogen infects intracellularly, for which the present invention is particularly useful, or extracellularly, it is unlikely that all pathogen antigens will elicit a protective response. Because DNA and RNA are both relatively small and can be produced relatively easily, the present invention provides the additional advantage of allowing for vaccination with multiple pathogen antigens. The genetic construct used in the genetic vaccine can include genetic material which encodes many pathogen antigens. For example, several viral genes may be 25 included in a single construct thereby providing multiple targets.

Another aspect of the present invention provides a method of conferring a broad based protective immune response against hyperproliferating cells that are characteristic in hyperproliferative diseases and to a method of treating individuals suffering from 30 hyperproliferative diseases. Examples of hyperproliferative diseases include all forms of cancer and psoriasis.

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It has been discovered that introduction of a genetic construct that includes a nucleotide sequence which encodes an immunogenic "hyperproliferating cell"-associated protein into the cells of an individual results in the production of those proteins in the vaccinated cells of an individual. To immunize against hyperproliferative diseases, a genetic construct that includes 5 a nucleotide sequence which encodes a protein that is associated with a hyperproliferative disease is administered to an individual.

In order for the hyperproliferative-associated protein to be an effective immunogenic target, it must be a protein that is produced exclusively or at higher levels in hyperproliferative cells as compared to normal cells. Target antigens include such proteins, fragments thereof and 10 peptides which comprise at least an epitope found on such proteins. In some cases, a hyperproliferative-associated protein is the product of a mutation of a gene that encodes a protein. The mutated gene encodes a protein which is nearly identical to the normal protein except it has a slightly different amino acid sequence which results in a different epitope not found on the normal protein. Such target proteins include those which are proteins encoded by 15 oncogenes such as *myb*, *myc*, *fyn*, and the translocation gene *bcr/abl*, *ras*, *src*, *P53*, *neu*, *trk* and EGRF. In addition to oncogene products as target antigens, target proteins for anti-cancer treatments and protective regimens include variable regions of antibodies made by B cell 20 lymphomas and variable regions of T cell receptors of T cell lymphomas which, in some embodiments, are also used target antigens for autoimmune disease. Other tumor-associated proteins can be used as target proteins such as proteins which are found at higher levels in tumor cells including the protein recognized by monoclonal antibody 17-1A and folate binding proteins.

While the present invention may be used to immunize an individual against one or more 25 of several forms of cancer, the present invention is particularly useful to prophylactically immunize an individual who is predisposed to develop a particular cancer or who has had cancer and is therefore susceptible to a relapse. Developments in genetics and technology as well as epidemiology allow for the determination of probability and risk assessment for the development 30 of cancer in individual. Using genetic screening and/or family health histories, it is possible to predict the probability a particular individual has for developing any one of several types of cancer.

Similarly, those individuals who have already developed cancer and who have been treated to remove the cancer or are otherwise in remission are particularly susceptible to relapse

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and reoccurrence. As part of a treatment regimen, such individuals can be immunized against the cancer that they have been diagnosed as having had in order to combat a recurrence. Thus, once it is known that an individual has had a type of cancer and is at risk of a relapse, they can be immunized in order to prepare their immune system to combat any future appearance of the cancer.

The present invention provides a method of treating individuals suffering from hyperproliferative diseases. In such methods, the introduction of genetic constructs serves as an immunotherapeutic, directing and promoting the immune system of the individual to combat hyperproliferative cells that produce the target protein.

10 The present invention provides a method of treating individuals suffering from autoimmune diseases and disorders by conferring a broad based protective immune response against targets that are associated with autoimmunity including cell receptors and cells which produce "self"-directed antibodies.

15 T cell mediated autoimmune diseases include rheumatoid arthritis (RA), multiple sclerosis (MS), Sjogren's syndrome, sarcoidosis, insulin dependent diabetes mellitus (IDDM), autoimmune thyroiditis, reactive arthritis, ankylosing spondylitis, scleroderma, polymyositis, dermatomyositis, psoriasis, vasculitis, Wegener's granulomatosis, Crohn's disease, and ulcerative colitis. Each of these diseases is characterized by T cell receptors that bind to endogenous antigens and initiate the inflammatory cascade associated with autoimmune diseases.

20 Vaccination against the variable region of the T cells would elicit an immune response including CTLs to eliminate those T cells.

In RA, several specific variable regions of T cell receptors (TCRs) which are involved in the disease have been characterized. These TCRs include V $\beta$ -3, V $\beta$ -14, V $\beta$ -17 and V $\alpha$ -17. Thus, vaccination with a DNA construct that encodes at least one of these proteins will elicit an immune response that will target T cells involved in RA. *See:* Howell *et al.*, 1991, Proc. Natl. Acad. Sci. USA, 88:10921-10925; Paliard *et al.*, 1991, Science, 253:325-329; Williams *et al.*, 1992, J. Clin. Invest., 90:326-333; each of which is incorporated herein by reference.

25 In MS, several specific variable regions of TCRs which are involved in the disease have been characterized. These TCRs include V $\beta$ -7 and V $\alpha$ -10. Thus, vaccination with a DNA construct that encodes at least one of these proteins will elicit an immune response that will target T cells involved in MS. *See:* Wucherpfennig *et al.*, 1990, Science, 248:1016-1019; Oksenberg *et al.*, 1990, Nature, 345:344-346; each of which is incorporated herein by reference.

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In scleroderma, several specific variable regions of TCRs which are involved in the disease have been characterized. These TCRs include V $\beta$ -6, V $\beta$ -8, V $\beta$ -14 and V $\alpha$ -16, V $\alpha$ -3C, V $\alpha$ -7, V $\alpha$ -14, V $\alpha$ -15, V $\alpha$ -16, V $\alpha$ -28 and V $\alpha$ -12. Thus, vaccination with a DNA construct that encodes at least one of these proteins will elicit an immune response that will target T cells involved in scleroderma.

In order to treat patients suffering from a T cell mediated autoimmune disease, particularly those for which the variable region of the TCR has yet to be characterized, a synovial biopsy can be performed. Samples of the T cells present can be taken and the variable region of those TCRs identified using standard techniques. Genetic vaccines can be prepared using this information.

B cell mediated autoimmune diseases include systemic lupus erythematosus (SLE), Grave's disease, myasthenia gravis, autoimmune hemolytic anemia, autoimmune thrombocytopenia, asthma, cryoglobulinemia, primary biliary sclerosis, and pernicious anemia. Each of these diseases is characterized by antibodies which bind to endogenous antigens and initiate the inflammatory cascade associated with autoimmune diseases. Vaccination against the variable region of antibodies would elicit an immune response including CTLs to eliminate those B cells that produce the antibody.

In order to treat patients suffering from a B cell mediated autoimmune disease, the variable region of the antibodies involved in the autoimmune activity must be identified. A biopsy can be performed and samples of the antibodies present at a site of inflammation can be taken. The variable region of those antibodies can be identified using standard techniques. Genetic vaccines can be prepared using this information.

In the case of SLE, one antigen is believed to be DNA. Thus, in patients to be immunized against SLE, their sera can be screened for anti-DNA antibodies and a vaccine can be prepared which includes DNA constructs that encode the variable region of such anti-DNA antibodies found in the sera.

Common structural features among the variable regions of both TCRs and antibodies are well known. The DNA sequence encoding a particular TCR or antibody can generally be found following well known methods such as those described in Kabat *et al.*, 1987, *Sequence of Proteins of Immunological Interest*, U.S. Department of Health and Human Services, Bethesda MD, which is incorporated herein by reference. In addition, a general method for cloning

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functional variable regions from antibodies can be found in Chaudhary *et al.*, 1990, Proc. Natl. Acad. Sci. USA, 87:1066, which is incorporated herein by reference.

In some of the embodiments of the invention that relate to gene therapy, the gene constructs contain either compensating genes or genes that encode therapeutic proteins.

5 Examples of compensating genes include a gene which encodes dystrophin or a functional fragment, a gene to compensate for the defective gene in patients suffering from cystic fibrosis, an insulin, a gene to compensate for the defective gene in patients suffering from ADA, and a gene encoding Factor VIII. Examples of genes encoding therapeutic proteins include genes which encodes erythropoietin, interferon, LDL receptor, GM-CSF, IL-2, IL-4 and TNF.

10 Additionally, genetic constructs which encode single chain antibody components which specifically bind to toxic substances can be administered. In some preferred embodiments, the dystrophin gene is provided as part of a mini-gene and used to treat individuals suffering from muscular dystrophy. In some preferred embodiments, a mini-gene which contains coding sequence for a partial dystrophin protein is provided. Dystrophin abnormalities are responsible for both the milder Becker's Muscular Dystrophy (BMD) and the severe Duchenne's Muscular Dystrophy (DMD). In BMD dystrophin is made, but it is abnormal in either size and/or amount. The patient is mild to moderately weak. In DMD no protein is made and the patient is chair-bound by age 13 and usually dies by age 20. In some patients, particularly those suffering from BMD, partial dystrophin protein produced by expression of a mini-gene delivered according to 15 the present invention can provide improved muscle function.

In some preferred embodiments, genes encoding IL-2, IL-4, interferon, or TNF are delivered to tumor cells which are either present or removed and then reintroduced into an individual. In some embodiments, a gene encoding gamma interferon is administered to an individual suffering from multiple sclerosis.

25 In addition to using modified nucleic acid sequences to improve genetic vaccines, the present invention relates to improved attenuated live vaccines and improved vaccines which use recombinant vectors to deliver foreign genes that encode antigens. Examples of attenuated live vaccines and those using recombinant vectors to deliver foreign antigens are described in U.S. Patent Nos.: 4,722,848; 5,017,487; 5,077,044; 5,110,587; 5,112,749; 5,174,993; 5,223,424; 30 5,225,336; 5,240,703; 5,242,829; 5,294,441; 5,294,548; 5,310,668; 5,387,744; 5,389,368; 5,424,065; 5,451,499; 5,453,364; 5,462,734; 5,470,734; and 5,482,713, which are each incorporated herein by reference. Gene constructs are provided which include the modified

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nucleotide sequence operably linked to regulatory sequences that can function in the vaccinee to effect expression. The gene constructs are incorporated in the attenuated live vaccines and recombinant vaccines to produce improved vaccines according to the invention. Likewise modified nucleic acid sequences can be used in recombinant vectors useful to deliver gene therapeutics that encode desired proteins.

The present invention provides an improved method of immunizing individuals that comprises the step of delivering gene constructs to the cells of individuals as part of vaccine compositions which include are provided which include DNA vaccines, attenuated live vaccines and recombinant vaccines. The gene constructs comprise a nucleotide sequence that encodes an immunomodulating protein and that is operably linked to regulatory sequences that can function in the vaccinee to effect expression. The improved vaccines result in an enhanced cellular immune response.

The invention is further illustrated by way of the following examples, which are intended to elaborate several embodiments of the invention. These examples are not intended, nor are they to be construed, as limiting the scope of the invention. It will be clear that the invention may be practiced otherwise than as particularly described herein. Numerous modifications and variations of the present invention are possible in view of the teachings herein and, therefore, are within the scope of the invention.

#### EXAMPLES

20 **Example 1: Materials and Methods.**

##### **Prediction of mRNA secondary structure**

To enhance translation efficiency of transgenes, RNA secondary structure was predicted by using MuFold and viewed by LoopDloop software for the Macintosh computer.

##### **Immunoprecipitation of radiolabeled *in vitro* translated proteins**

25 <sup>35</sup>S-labeled protein products were prepared using the TNT-T7 coupled Transcription/Translation System (Promega). 10 ml of radiolabeled protein sample and 1 ml of anti-His (C-term) antibody (Invitrogen, CA) were added to 300 µl of RIPA buffer and mixed gently. After an incubation at 4°C for 90 minutes, Protein A-Sepharose beads (Amersham-Pharmacia Biotech, Piscataway, NJ) was added to the protein-antibody complexes at a final concentration of 5 mg per tube and the samples were then incubated at 4°C for 90 minutes in a 30 rotating shaker. The beads were washed three times with RIPA buffer and suspended in 2X SDS

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sample buffer. The immunoprecipitated protein complexes were eluted from the Sepharose beads by brief boiling and resolved in SDS/PAGE (15%) gels. The mobility of the protein samples was compared with that of commercially available <sup>14</sup>C-methylated molecular weight marker (Sigma-Aldrich Corp., St. Louis, MO). The gel was fixed, treated briefly with 1M sodium salicylate solution and dried in a gel drier (BioRad, Hercules, CA). The dried gel was exposed overnight to X-ray film (Kodak, Rochester, NY). The molecular size of the *in vitro* translated protein was 21.5kD.

5 ***In vitro* translated protein**

Non-radioactive, *in vitro* translated Cp protein was also generated as described above, 10 using the TNT-T7 coupled Transcription/Translation System (Promega, Madison, WI) with non-radioactive components. An *in vitro* translation control was generated using the *in vitro* translation kit with the pcDNA3.1 vector (Invitrogen, San Diego, CA), lacking an expressible insert.

15 **DNA inoculation of mice**

The quadriceps muscles of 6- to 8-week-old female BALB/c mice (Harlan Sprague Dawley, Inc., Indianapolis, IN) were injected with 100 µg of pWNVh-DJY, pWNVy-DJY, or pcDNA3.1 in phosphate buffered saline (PBS) and 0.25% bupivacaine-HCl (Sigma, St. Louis, MO). Mice were injected with two DNA immunizations (100 µg each) separated by two weeks. At thirteen days after the boost injection, the mice were sacrificed, the spleens were harvested, 20 and the lymphocytes were isolated and tested for cellular immune responses.

25 **Intracellular IFN- $\gamma$  detection by flow cytometry**

In each well of a round-bottom 96-well plate was placed 100 µl of RPMI-1640 (supplemented with 5 % FBS), containing 50 U/ml rHuIL-2 (Intergen, Purchase, NY), 10 µg/ml Brefeldin A (Pharmingen, San Diego, CA), 100 ng/ml PMA (Sigma, St. Louis, MO), and 1 µg/ml ionomycin (Sigma, St. Louis, MO). Either *in vitro* translated protein or an *in vitro* 30 translation control (generated using the *in vitro* translation kit with the vector backbone lacking an expressible insert), at 4 µg/ml was added in 50 µl of R5 medium. After adding the antigens (Ags), isolated splenocytes were added to each well at 1x10<sup>6</sup> cells in 50 µl of R5 medium. For the compensation in flow cytometry, splenocytes from naïve mice were set up with only IL-2 and Brefeldin A. The plates were incubated in 37°C, 5 % CO<sub>2</sub> in an incubator for 5 to 6 hours. As a control, cells were incubated without Ag. After incubation, the plate was spun at 1200 rpm for 5 minutes and the supernatants discarded. The cells were resuspended with 200 µl of PBS,

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supplemented with 1% BSA, and put on ice for 15 minutes, and then spun down and resuspended with anti-CD4-PE mAb (Pharmingen) at 0.1 µg/sample in 50 µl of PBS/1% BSA. After incubation for 30 minutes at 4°C, the cells were washed twice with PBS/1%. After the second wash, cell pellets were resuspended with 100 µl of Cytofix/Cytoperm solution (Pharmingen) and incubated for 20 minutes at 4°C. The cells were washed twice with 1 x Perm/Wash (Pharmingen) and resuspended with 50 µl of Perm/Wash solution containing anti-IFN- $\gamma$ -APC (Pharmingen) at 0.1 µg/sample concentration. After incubation for 30 minutes at 4°C, the cells were washed twice with 1x Perm/Wash solution and fixed with 2% paraformaldehyde, and then stored at 4°C until analyzed by flow cytometry.

10      **Example 2: Addition of Leader Sequence to West Nile Virus Capsid mRNA.**

The addition of a leader sequence to minimize free energy in the West Nile Virus Capsid mRNA resulted in enhanced protein expression and immune response.

To enhance the transcription and translation efficiency of transgenes, the human IgE leader sequence was added to the 5' upstream of open reading frame (orf) sequences (Fig.1).

15      The addition of a sequence encoding the human IgE leader sequence containing codons that are less prevalently utilized in humans (WNVy-DJY construct (yeast codon)) resulted in a predicted secondary structure for the mRNA having an increased free energy value, relative to the secondary structure for the mRNA without the leader sequence (WNVwt construct (wild type)), or relative to the secondary structure for the mRNA encoding a leader sequence optimized 20      with human codons (WNVh-DJY construct (human codon)) (Fig. 2).

Furthermore, the construct encoding the leader sequence containing codons that are less prevalently utilized in humans (yeast optimized) yielded a higher level of protein than did the construct encoding the leader sequence containing human optimized codons, as determined by immunoprecipitation of radiolabeled *in vitro* translated proteins (Fig. 3; Table 1, yeast codon usage). The codons more prevalently used by yeast are, in general, AU rich; the codons more prevalently used by *Homo sapiens* are, in general, more GC rich (see Kim *et al.*, 1997, Gene, *supra*).

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**Table 1. Yeast codon prevalent usage.**

Amino Acid	Yeast codon
A Ala	GCU
R Arg	AGA
N Asn	AAU
D Asp	GAU
C Cys	UGU
Q Gln	CAA
E Glu	GAA
G Gly	GGU
H His	CAU
I Ile	AUU
L Leu	UUA
K Lys	AAA
P Pro	CCA
F Phe	UUU
S Ser	UCU
T Thr	ACU
W Trp	UGG
Y Tyr	UAU
V Val	GUU

5 DNA plasmid injection into mouse muscle induced an antigen-specific, CD4<sup>+</sup> Th cell-dependent immune response, as determined by intracellular IFN- $\gamma$ /flow cytometry analysis. The CD4<sup>+</sup> Th cell-dependent, intracellular IFN- $\gamma$  production was quantitated by flow cytometry.  
 10 Splenocytes isolated from pWNVy-DJY (pWNVCy)-immunized mice, expressed higher levels of IFN- $\gamma$  upon stimulation with *in vitro* translated Cp protein, than did the splenocytes isolated from pWNVh-DJY (pWNVCh)-immunized mice (see Fig. 4)

25 **Example 3: Removal of RNA Secondary Structure in HIV-1 *pol* RNA by Increasing the Minimum Predicted Free Energy.**

30 The strategy of adding a leader encoding sequence and altering the codons to be yeast optimized (less frequently used in human) was applied to the HIV-1 *pol* gene. When nucleic acid sequence encoding the IgE leader sequence with codons less prevalently used in humans (yeast optimized) was added to the 5' end of HIV-1 *pol* gene, the predicted free energy of the energy minimized transcript was increased (Fig. 5).

35 In HIV-1 *pol* structural gene, several regions of stable secondary structure, located between nucleotide (nt) 1738 and nt 1938, were predicted by MulFold analysis (Fig. 6). Alteration of the codons in the region from nt 1738 to nt 1938 to codons less prevalently utilized

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in humans (yeast optimized codons) resulted in a weakening of the secondary structure in that region. The predicted secondary structure for the region with the modified codons had a higher free energy than the predicted secondary structure for the original sequence (Fig. 7). In addition, the formation of mRNA secondary structure in the first 200 nucleotides of the *pol* gene was minimized by using codons less prevalently utilized in humans (yeast optimized codons) (HIV-1 Pol yt), as compared to a transcript containing the most prevalently utilized codons in humans (human optimized codons) (HIV-1 Pol hu) (Fig. 8). The minimum free energy was dramatically increased from -53.0 kcal to -26.4 kcal.

5 **Example 4: Removal of RNA Secondary Structure in HIV-1 *gag* RNA by Increasing the Minimum Predicted Free Energy.**

10 Several regions of regions of stable secondary structure were predicted by MulFold analysis for the transcript for the HIV-1 *gag* structural gene (Fig. 9), and the minimum free energy was increased (from -351.07 kcal to -283.11 kcal) by using codons that are utilized less prevalently in humans (yeast optimized) (Fig. 10).

15 **Example 5: Removal of RNA Secondary Structure in WNV *env* RNA by Increasing the Minimum Predicted Free Energy.**

20 In the West Nile Virus envelope (*env*) gene, application of the strategy of mRNA energy minimization in the first 200 base pairs (bp) of the gene with codons that are utilized less prevalently in humans (yeast optimized, WNVtbl200) increased the minimum free energy of the cognate transcript as compared to the transcript for the wild type WNV *env* gene (WNVwt200) or as compared to a transcript optimized with the most prevalently used codons in humans (WNVhu200) (Fig. 11).

25 The foregoing examples are meant to illustrate the invention and are not to be construed to limit the invention in any way. Those skilled in the art will recognize modifications that are within the spirit and scope of the invention.

All references cited herein are hereby incorporated by reference in their entirety.

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**What is claimed is:**

1. A method of producing a protein in a recombinant expression system that comprises translation of mRNA transcribed from a heterologous DNA sequence in the expression system, said method comprising the steps of:

- 5        a) predicting the secondary structure of mRNA transcribed from a native heterologous DNA sequence;
- b) modifying the native heterologous DNA sequence to produce a modified heterologous DNA sequence wherein mRNA transcribed from the modified heterologous DNA sequence has a secondary structure having increased free energy compared to that of the secondary structure  
10      of the mRNA transcribed from the native heterologous DNA sequence; and
- c) using the modified heterologous DNA sequence in the recombinant expression system for protein production.

2. The method of claim 1, wherein the recombinant expression system is selected from the group consisting of: a cell free *in vitro* transcription and translation system; an *in vitro* cell  
15      expression system; a DNA construct used in direct DNA injection; and a recombinant vector for delivery of DNA to an individual.

3. The method of claim 1, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is predicted using a computer and computer program.

4. The method of claim 1, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence.  
20

5. The method of claim 4, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence at the 5' end of the coding sequence such that mRNA transcribed therefrom has an  
25      increased AU content.

6. The method of claim 5, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding

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sequence at the 5' end of the coding sequence within 200 nucleotides from the initiation codon such that mRNA transcribed therefrom has an increased AU content.

7. The method of claim 6 wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence at the 5' end of the coding sequence within 150 nucleotides from the initiation codon such that mRNA transcribed therefrom has an increased AU content.

8. The method of claim 6 wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence at the 5' end of the coding sequence within 100 nucleotides from the initiation codon such that mRNA transcribed therefrom has an increased AU content.

9. An injectable pharmaceutical composition comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence, and further comprising a pharmaceutically acceptable carrier.

10. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the first 200 bases relative to the AT or AU content of the native nucleic acid sequence.

11. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the first 150 bases relative to the AT or AU content of the native nucleic acid sequence.

12. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the first 100 bases relative to the AT or AU content of the native nucleic acid sequence.

25 13. The injectable pharmaceutical composition of claim 9, wherein said modified coding

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sequence comprises a higher AT or AU content in at least one region of up to 200 bases in length relative to the AT or AU content of the native nucleic acid sequence.

14. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 150 bases in length relative to the AT or AU content of the native nucleic acid sequence.

15. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 100 bases in length relative to the AT or AU content of the native nucleic acid sequence.

16. The injectable pharmaceutical composition of claim 9, wherein the modified coding sequence encodes an immunogen.

17. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a pathogen derived protein or immunogenic fragment thereof.

18. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a fusion protein that includes a pathogen derived protein or immunogenic fragment thereof.

19. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a cancer antigen or immunogenic fragment thereof.

20. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a fusion protein that includes a cancer antigen or immunogenic fragment thereof.

21. The injectable pharmaceutical composition of claim 16, wherein the immunogen is an autoimmune disease associated protein or immunogenic fragment thereof.

22. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a fusion protein that includes an autoimmune disease associated protein or immunogenic fragment thereof.

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23. The injectable pharmaceutical composition of claim 9, wherein the modified coding sequence encodes a non-immunogenic therapeutic protein.
24. The injectable pharmaceutical composition of claim 23, wherein the non-immunogenic therapeutic protein is selected from the group consisting of cytokines, growth factors, blood products, and enzymes.
- 5
25. The injectable pharmaceutical composition of claim 9, wherein the modified coding sequence comprises dispersed modifications.
26. The injectable pharmaceutical composition of claim 25, wherein the dispersed modifications are at least two modified coding sequences of up to 200 bases in length alternating with regions of native coding sequence.
- 10
27. The injectable pharmaceutical composition of claim 25, wherein the dispersed modifications are at least two modified coding sequences of up to 150 bases in length alternating with regions of native coding sequence.
28. The injectable pharmaceutical composition of claim 25, wherein the dispersed modifications are at least two modified coding sequences of up to 100 bases in length alternating with regions of native coding sequence.
- 15
29. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the last 200 bases relative to the AT or AU content of the native nucleic acid sequence.
- 20
30. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the last 150 bases relative to the AT or AU content of the native nucleic acid sequence.
31. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the last 100 bases relative to the AT or AU

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content of the native nucleic acid sequence.

32. A recombinant viral vector comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence.

33. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the first 200 bases relative to the AT or AU content of the native nucleic acid sequence.

34. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the first 150 bases relative to the AT or AU content of the native nucleic acid sequence.

35. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the first 100 bases relative to the AT or AU content of the native nucleic acid sequence.

36. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 200 bases in length relative to the AT or AU content of the native nucleic acid sequence.

37. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 150 bases in length relative to the AT or AU content of the native nucleic acid sequence.

38. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 100 bases in length relative to the AT or AU content of the native nucleic acid sequence.

39. The recombinant viral vector of claim 32, wherein the modified coding sequence encodes

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an immunogen.

40. The recombinant viral vector of claim 39, wherein the immunogen is a pathogen derived proteins or immunogenic fragment thereof.

5 41. The recombinant viral vector of claim 39, wherein the immunogen is a fusion protein that includes a pathogen derived protein or immunogenic fragment thereof.

42. The recombinant viral vector of claim 39, wherein the immunogen is a cancer antigen or immunogenic fragment thereof.

43. The recombinant viral vector of claim 32, wherein the immunogen is a fusion protein that includes a cancer antigen or immunogenic fragment thereof.

10 44. The recombinant viral vector of claim 39, wherein the immunogen is an autoimmune disease associated protein or immunogenic fragment thereof.

45. The recombinant viral vector of claim 39, wherein the immunogen is a fusion protein that includes an autoimmune disease associated protein or immunogenic fragment thereof.

15 46. The recombinant viral vector of claim 32, wherein the modified coding sequence encodes a non-immunogenic therapeutic protein.

47. The recombinant viral vector of claim 46, wherein the non-immunogenic therapeutic protein is selected from the group consisting of cytokines, growth factors, blood products, and enzymes.

20 48. The recombinant viral vector of claim 32, wherein the modified coding sequence comprises dispersed modifications.

49. The recombinant viral vector of claim 48, wherein the dispersed modifications are at least two modified coding sequences of 200 bases in length alternating with regions of native coding

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sequence.

50. The recombinant viral vector of claim 48, wherein the dispersed modifications are at least two modified coding sequences of 150 bases in length alternating with regions of native coding sequence.

5 51. The recombinant viral vector of claim 48, wherein the dispersed modifications are at least two modified coding sequences of 100 bases in length alternating with regions of native coding sequence.

10 52. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the last 200 bases relative to the AT or AU content of the native nucleic acid sequence.

53. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the last 150 bases relative to the AT or AU content of the native nucleic acid sequence.

15 54. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the last 100 bases relative to the AT or AU content of the native nucleic acid sequence.

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Fig.1.

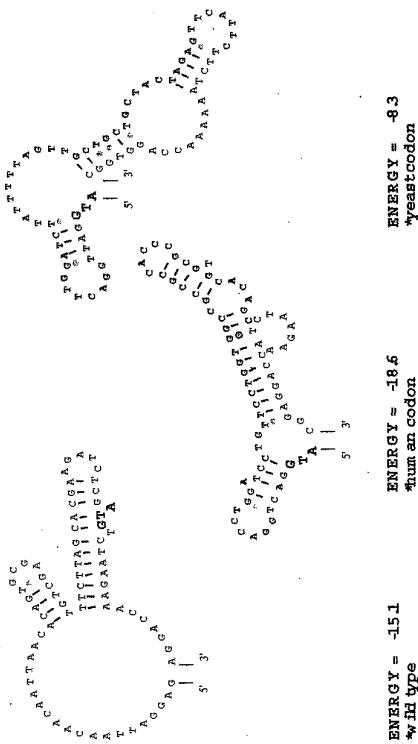
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## mRNA Energy Minimization in 1st 73 Bases

WNV-DJY

WNWh-DJY



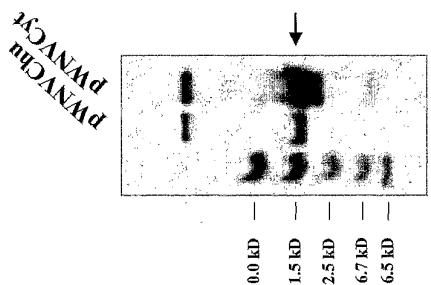
ENERGY = -8.3  
\*yeastcodon

$$\text{ENERGY} = -18.5 \text{ kJ/mmol codon}$$

ENERGY = -15.1  
\*w iH type

Fig. 2

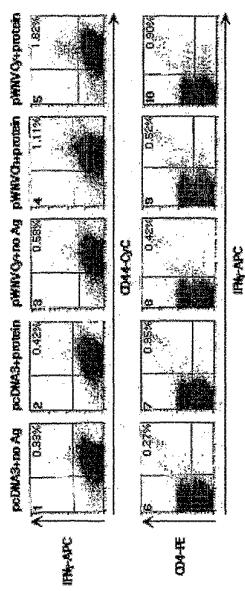
**Fig. 3 Immunoprecipitation of Radiolabeled  
*In Vitro* Translated Proteins**



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Fig. 4

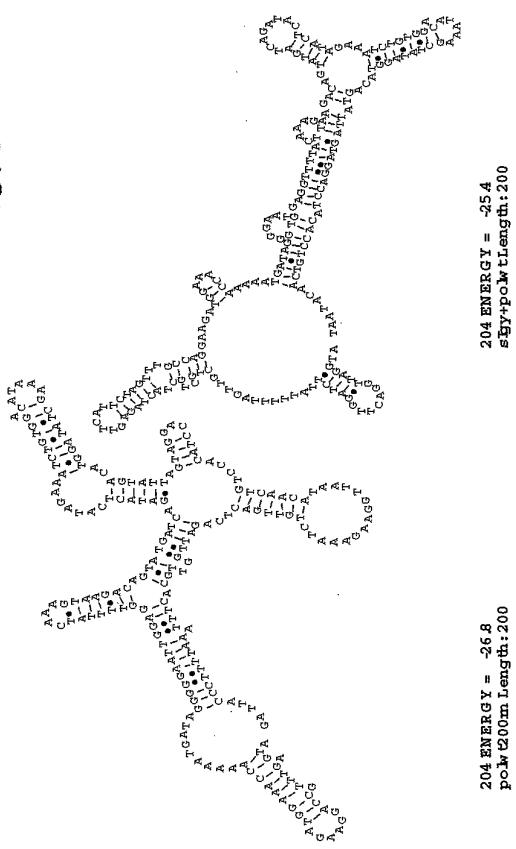


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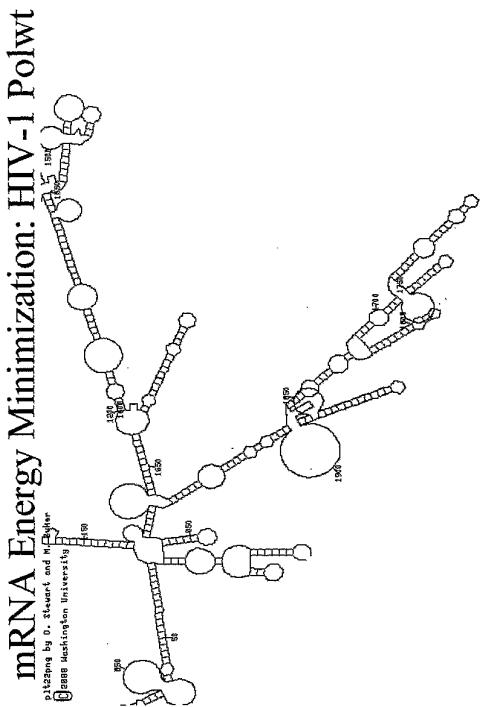
## mRNA Energy Minimization: Addition of Leader Sequence

Fig. 5



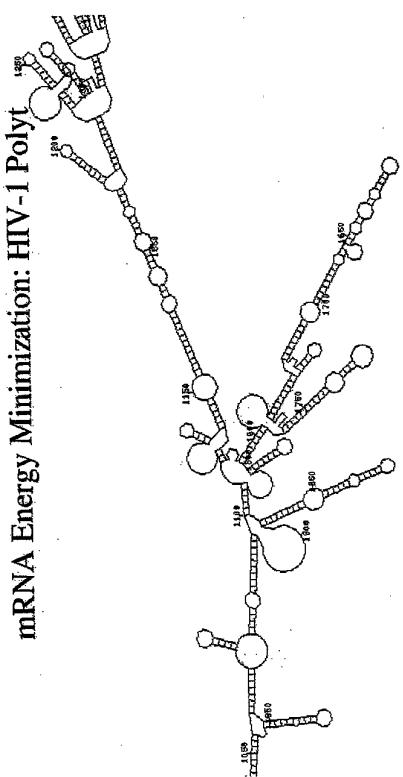
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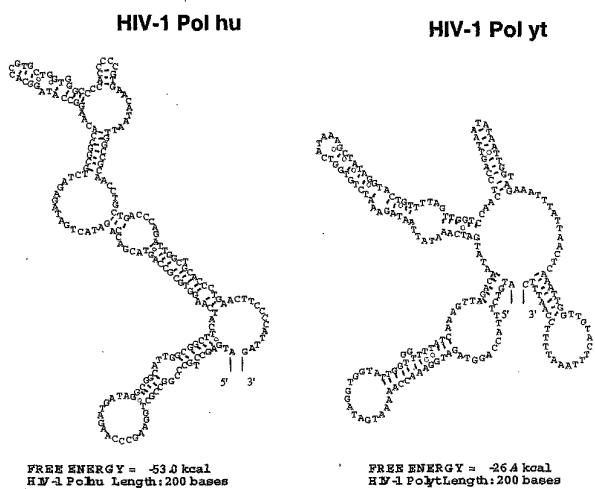
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Fig

FIG 8

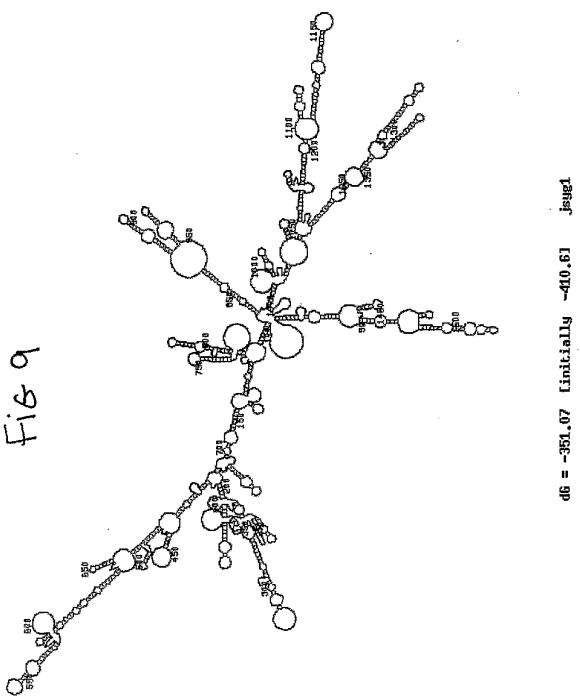
**mRNA Energy Minimization with 1<sup>st</sup> 200 bases**

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## mRNA Energy Minimization: HIV-1 Gag-wt

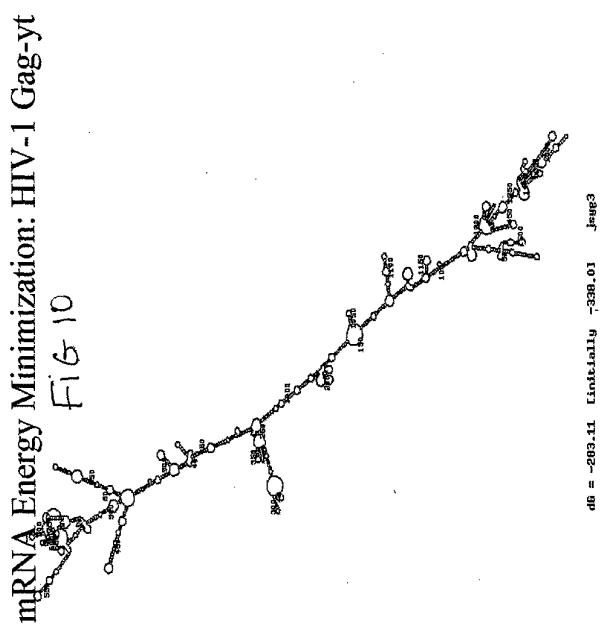
Fig. 9



d6 = -351.07 [initially -410.61 jsygl]

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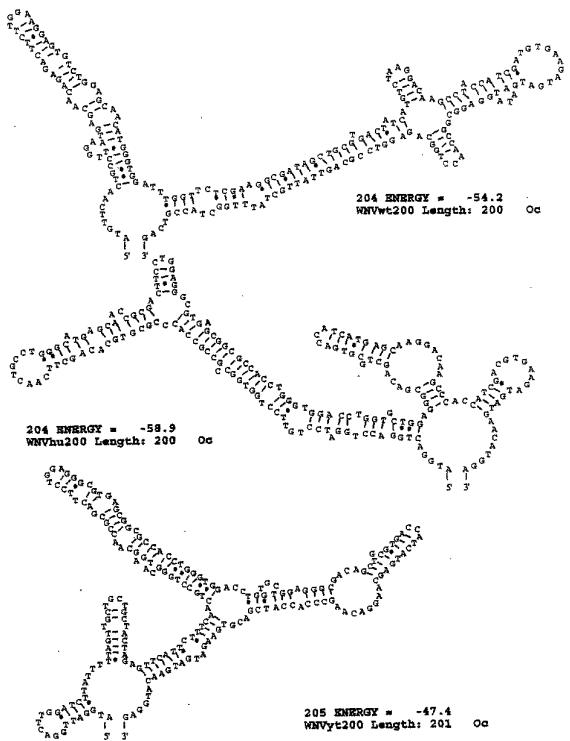
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**Fig 11**  
**WNV Env RNA Optimization**



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(54) Title: HIGHLY EXPRESSIBLE GENES

(57) Abstract: The present invention provides methods of producing protein in a recombinant expression system that comprises translation of mRNA transcribed from a heterologous DNA sequence in the expression system, said method comprising the steps of predicting the secondary structure of mRNA transcribed from a native heterologous DNA sequence wherein mRNA transcribed from the modified heterologous DNA sequence has a secondary structure having increased free energy compared to that of the secondary structure of the mRNA transcribed from the native heterologous DNA sequence; and using the modified heterologous DNA sequence in the recombinant expression system for protein production. The invention also provides injectable pharmaceutical compositions comprising a nucleic acid molecule that includes a modified coding sequence. The invention also provides coding sequence.

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WO 02/029088 A3

(54) Title: HIGHLY EXPRESSIBLE GENES

(57) Abstract: The present invention provides methods of producing protein in a recombinant expression system that comprises translation of mRNA transcribed from a heterologous DNA sequence in the expression system, said method comprising the steps of predicting the secondary structure of mRNA transcribed from a native heterologous DNA sequence wherein mRNA transcribed from the modified heterologous DNA sequence has a secondary structure having increased free energy compared to that of the secondary structure of the mRNA transcribed from the native heterologous DNA sequence; and using the modified heterologous DNA sequence in the recombinant expression system for protein production. The invention also provides injectable pharmaceutical compositions comprising a nucleic acid molecule that includes a modified coding sequence. The invention also provides coding sequence.

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**HIGHLY EXPRESSIBLE GENES****CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims benefit under 35 U.S.C. §119(e) of U.S. Provisional Patent Application Serial No. 60/237,885, filed October 4, 2000, incorporated herein by reference.

5   **FIELD OF THE INVENTION**

The present invention relates generally to the fields of gene expression, gene therapy, and genetic immunization.

**BACKGROUND OF THE INVENTION**

The expression of a protein gene product is influenced by many factors, including gene copy number, gene integration site or gene location in the genome, transcription factors, mRNA stability, and translation efficiency. For example, the expression of the human immunodeficiency virus-1 (HIV-1) structural genes *gag*, *pol*, and *env* is dependent on the Rev/Rev-responsive element (RRE) at a posttranscriptional level. This dependency on Rev is a limiting factor for gene expression. In addition, highly stable RNA secondary structures that form in various regions of the HIV RNA transcript can block or otherwise interfere with ribosome movement, and thus effectively limit translation. Formation of stable RNA secondary structures in gene transcripts is a general phenomenon that can limit the translational yield of many protein gene products for a wide variety of genes.

Kim *et al.*, 1997, Gene, 199:293-301, which is incorporated herein by reference, optimized expression of human erythropoietin (EPO) in mammalian cells by altering the codons encoding the leader sequence and the first 6 amino acids of the mature EPO protein for the most

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prevalently used yeast codons, and changing the codons encoding the rest of the EPO protein for the most prevalently used human codons.

U.S. patents US 5,972,596 and 5,965,726 (Pavlakis *et al.*), which are incorporated herein by reference, describe methods of locating an inhibitory/instability sequence or sequences (INS: sequences that render an mRNA unstable or poorly utilized/translated) within the coding region of an mRNA and modifying the gene encoding the mRNA to remove the inhibitory/instability sequences with clustered nucleotide substitutions.

There is a need for new methods of expressing proteins and methods of increasing the level of protein expression of therapeutic and immunogenic transgenes. There is a need for methods of increasing the translational yields of any protein gene product. There is a need for methods of overcoming the limitations imposed by RNA secondary structure in RNA transcripts upon the ultimate level of protein expression of any gene. The present invention is directed to addressing these and other needs.

#### SUMMARY OF THE INVENTION

The present invention provides methods of producing protein in a recombinant expression system that comprises translation of mRNA transcribed from a heterologous DNA sequence in the expression system, said method comprising the steps of predicting the secondary structure of mRNA transcribed from a native heterologous DNA sequence; modifying the native heterologous DNA sequence to produce a modified heterologous DNA sequence wherein mRNA transcribed from the modified heterologous DNA sequence has a secondary structure having increased free energy compared to that of the secondary structure of the mRNA transcribed from the native heterologous DNA sequence; and using the modified heterologous DNA sequence in the recombinant expression system for protein production. The recombinant expression system may be a cell free *in vitro* transcription and translation system, an *in vitro* cell expression system, a DNA construct used in direct DNA injection, or a recombinant vector for delivery of DNA to an individual. The secondary structure of the mRNA transcribed from a native heterologous DNA sequence may be predicted using a computer and computer program. The native heterologous DNA sequence may be modified by increasing the AT content of the coding sequence, in particular, at the 5' end of the coding sequence, or at the 5' end of the coding sequence within 200, 150, or 100 nucleotides from the initiation codon.

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The present invention also provides injectable pharmaceutical compositions comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence, and further comprising a pharmaceutically acceptable carrier. The encoded proteins may be immunogens or non-immunogenic therapeutic proteins. The modifications may be within the first 100 to 200 bases of the coding sequence, within stretches of sequences dispersed throughout the coding sequence, or within in the last 100 to 200 bases.

The present invention also provides recombinant viral vectors comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 presents the nucleotide and amino acid sequence of the West Nile Virus (WNV) wild type capsid (Cp) protein (WNVC) with constructs (WNVChu and WNVCy\*) modified on the basis of RNA secondary structure. A secretory IgE signal leader sequence was fused to the WNVC protein. To avoid varied expression levels due to the linear sequence between the promoter and 5'-proximal region of the WNVC, the leader sequences and the codons for amino acids 2 - 6 of the WNVC were modified with yeast (WNVCy) or human (WNVChu) optimized codons. However, the remaining portion of the coding sequence for the WNV capsid protein, in both constructs, was modified with human optimized codons. Presented are 1) the wild type nucleotide sequence encoding the sIgE leader sequence (4. sIgEori) (SEQ ID NO:1), 2) the amino acid sequence of the sIgE leader sequence (appearing above the nucleotide sequence) (SEQ ID NO:2), 3) the amino acid sequence for the WNV capsid protein (minus the initial methionine) (SEQ ID NO:3), and 4) the nucleotide sequence of the sIgEh-WNV capsid protein encoding sequence of the WNVChu construct (1. sIgEh-WNVChu) (SEQ ID NO:4). Differences in the coding sequence for sIgEh-WNV capsid protein in the WNVCy construct (2. sIgEh-WNVCy\*) and in the wild type WNV capsid encoding sequence (3. WNVCwt) are indicated below the nucleotide sequence of the WNVChu construct.

Figure 2 presents the MulFold predicted RNA secondary structures with free energy values for the first 73 nucleotides of 1) the wild type mRNA encoding WNV capsid protein

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(WNVwt), 2) an mRNA encoding the sIgE leader/WNV capsid protein containing human optimized codons (WNVh-DJY), and 3) an mRNA encoding the sIgE leader/WNV capsid protein containing yeast optimized codons (WNVy-DJY). The last codon (GGC for glycine) shown for the WNVy-DJY sequence is human optimized. As shown, "T" represents "U" in the RNA strands. The nucleotides of the mRNA strands that encode the sIgE leader portion of the fusions in WNVh-DJY and WNVy-DJY are shown in bold.

Figure 3 presents an autoradiograph of electrophoretically separated, immunoprecipitated, radiolabeled *in vitro* transcription/translation products from two different WNV capsid protein constructs: pWNVChu (also called WNVChu and pWNVh-DJY) and pWNVCyt (also called WNVCyt and pWNVy-DJY). The first lane on the left contains molecular weight markers. The arrow indicates the position of the main capsid protein product. The proteins, which are fusions with polyhistidine C-terminal tags, were immunoprecipitated using an anti-His antibody.

Figure 4 presents the flow cytometry analysis of intracellular IFN- $\gamma$  expression in *in vitro* stimulated splenocytes from DNA immunized mice. Values presented are the percentage dual positive cells. In the upper panels, the cells were stained for INF- $\gamma$  and CD44; in the lower panels the cells were stained for CD4 and IFN- $\gamma$ . The labeling across the top indicates the vector used to immunize the mice plus the stimulus used for the *in vitro* restimulation of the splenocytes. The immunizing vectors were pcDNA3 (pcDNA3.1), pWNVh-DJY (pWNVCh), and pWNVy-DJY (pWNVCyt). "No Ag" indicates that the splenocytes were incubated with an *in vitro* translation control (described in Example 2), "protein" indicates that the splenocytes were incubated with *in vitro* translated Cp protein product from the pWNVy-DJY expression construct.

Figure 5 presents the MuFold predicted RNA secondary structure with free energy values based upon energy minimization for the first 200 nucleotides of the wild type mRNA for to the HIV-1 *pol* gene (polwt200m) and for the first 200 nucleotides of an mRNA for HIV-1 *pol* gene including a 5' sequence encoding the IgE leader sequence with codons less prevalently used in humans (yeast optimized) (slgy+polwt). As shown, "T" represents "U" in the RNA strand.

Figure 6 presents the MuFold predicted secondary structure of the mRNA for the HIV-1 *pol* structural gene.

Figure 7 presents the MuFold predicted secondary structure for the mRNA for the HIV-1 *pol* structural gene after the 200 nucleotide region of the sequence from nucleotide 1738 through

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nucleotide 1938 has been altered to contain codons that are less prevalently utilized in humans (yeast optimized codons).

Figure 8 presents the MuFold predicted secondary structure and overall free energy value for the first 200 nucleotides of the mRNA for the HIV-1 *pol* gene containing human optimized codons (HIV-1 Pol hu), and for the mRNA for the HIV-1 *pol* gene containing codons less prevalently utilized in humans (yeast optimized codons) (HIV-1 Pol yt). As shown, "T" represents "U" in the RNA strands.

Figure 9 presents the MuFold predicted secondary structure and overall free energy value for the mRNA transcript for the HIV-1 *gag* structural gene.

Figure 10 presents the MuFold predicted secondary structure and overall free energy value for the mRNA transcript for the HIV-1 *gag* structural gene altered with codons that are utilized less prevalently in humans (yeast optimized).

Figure 11 presents the MuFold predicted secondary structures and overall free energy values for the first 200 nucleotides of the mRNA transcript for 1) the wild type West Nile Virus (WNV) envelope (*env*) gene (WNVwt200), 2) the WNV *env* gene optimized with the most prevalently used codons in humans (WNVhu200), and 3) the WNV *env* gene having codons that are utilized less prevalently in humans (yeast optimized, WNVyt200). As shown, "T" represents "U" in the RNA strands.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is based upon the discovery that enhancement of protein expression can be achieved by increasing the free energy of and destabilizing RNA secondary structure through changes at the nucleotide level. It has been discovered that an increase in the free energy (X kcal) of an RNA transcript will result in increased expression of the protein that it encodes. In preferred embodiments, an increase in the free energy (X kcal) within a 200 base segment of an RNA transcript will result in increased expression of the protein that it encodes. The segment is preferably at the 5' end, usually including the initiation codon. In some embodiments, the segment is preferably 200 bases, 150 bases, or 100 bases. The secondary structure of an RNA molecule is the collection of base pairs that occur in its three-dimensional structure. The secondary structure of a given RNA molecule can be predicted and such predicted secondary structure will have an assigned overall free energy value. It has been discovered that alterations to the primary sequence of an RNA transcript that result in an increase to the minimum predicted

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overall free energy for a predicted secondary structure for that RNA, or that increase the minimum predicted free energy for a predicted secondary structure for regions of that RNA, will promote increased expression of the protein encoded by that RNA transcript. This strategy for the optimization of protein expression applies to any situation where expression is desired, 5 including, but not limited to: *in vivo*, including, but not limited to, DNA vaccines, live vaccines, gene therapeutics, and transgenes; *in vitro*, including, but not limited to, recombinant manufacturing procedures using such systems as prokaryotic and eukaryotic (mammal, insect, and yeast) cells in culture; *ex vivo*, including, but not limited to, systems where cells receive expression constructs and are implanted into recipient organisms; and any other expression 10 system where it is desirable to express a gene of interest or increase the expression of a gene.

One aspect of the invention is to generate an RNA encoding a protein that promotes efficient expression of that protein or that leads to increased levels of expression of the protein. Alterations to the sequence of the DNA encoding the RNA that lead to an increase in the 15 minimum overall free energy for the predicted secondary structure of that RNA, or that increase the minimum free energy for the predicted secondary structure of one or more regions of that RNA promote efficient and/or increased expression of the encoded protein.

Increases to the free energy of the secondary structure of an RNA can be monitored by analyzing various altered versions of a sequence with a program like MFOLD, which calculates and predicts the most stable structure for an input sequence based upon energy minimization. 20 MFOLD is computer software designed by Zuker, Jaeger, and colleagues (*see* Zuker, 1989, On finding all suboptimal foldings of an RNA molecule, *Science*, 244:48-52, and Jaeger *et al.*, 1989, Improved predictions of secondary structures for RNA, *Proc. Natl. Acad. Sci. USA*, 86:7706-7710, each of which is incorporated herein by reference) that is used for the prediction of RNA secondary structure by free energy minimization, using energy rules developed by Turner and colleagues (*see* Freier *et al.*, 1986, *Proc. Natl. Acad. Sci. USA*, 83:9373-9377, which is incorporated herein by reference). MulFold is the Macintosh version of MFOLD. LoopDloop 25 is a secondary structure drawing program. The most stable structure will be the one with a minimum overall free energy. The more negative the value of the free energy for the structure, the more stable. Alterations to the sequence of the RNA that are predicted to result in a secondary structure having an overall higher free energy value, are destabilizing alterations 30 which result in less stable RNA secondary structure and which promote efficient translation of the RNA and an increase in protein expression.

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The practice of the present invention will employ, unless otherwise indicated, conventional methods of virology, immunology, microbiology, molecular biology, and recombinant DNA techniques within the skill of the art. Such techniques are explained fully in the literature. See, e.g., Sambrook *et al.*, eds., Molecular Cloning: A Laboratory Manual (3<sup>rd</sup> ed.)  
5 Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY (2001); Ausubel *et al.*, eds., Current Protocols in Molecular Biology, John Wiley & Sons, New York, NY (2001); Glover & Hames, eds., DNA Cloning 3: A Practical Approach, Vols. I, II, & III, IRL Press, Oxford (1995); Colowick & Kaplan, eds., Methods in Enzymology, Academic Press; Weir *et al.*, eds., Handbook of Experimental Immunology, 5<sup>th</sup> ed., Blackwell Scientific Publications, Ltd., Edinburgh, (1997);  
10 Fields, Knipe, & Howley, eds., Fields Virology (3<sup>rd</sup> ed.) Vols. I & II, Lippincott Williams & Wilkins Pubs. (1996); Flint, *et al.*, eds., Principles of Virology: Molecular Biology, Pathogenesis, and Control, ASM Press, (1999); Coligan *et al.*, eds., Current Protocols in Immunology, John Wiley & Sons, New York, NY (2001), each of which is incorporated herein by reference.

15 Various definitions are made throughout this document. Most words have the meaning that would be attributed to those words by one skilled in the art. Words specifically defined either below or elsewhere in this document have the meaning provided in the context of the present invention as a whole and as typically understood by those skilled in the art.

As used herein, the term "recombinant expression system" refers to any nucleic acid  
20 based approach or system for the expression of a gene product or gene products of interest, that has been artificially organized (man made) of components directed toward the expression of the gene product or products. The components may be of naturally occurring genetic sources, synthetic or artificial, or some combination of natural and artificial genetic elements. Generally the gene product is a protein, polypeptide, or peptide. Examples of recombinant expression systems include, but are not limited to, a cell free *in vitro* transcription and translation system;  
25 an *in vitro* cell expression system; a DNA construct used in direct DNA injection; and a recombinant vector for delivery of DNA to an individual.

As used herein, the term "heterologous DNA sequences" refers to deoxyribonucleic acid  
30 based sequences that are in a non-natural context, for example, in a recombinant construct, plasmid, or virus, or inserted into a non-natural position in a chromosome, or introduced into a non-natural or foreign cell. "Heterologous DNA sequence" refers to any DNA sequence that is foreign or not naturally associated with the other DNA sequences to which it is associated or

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linked (operably or otherwise), or a DNA sequence that is not naturally associated with the cell or organism into which it is introduced. An example of a heterologous DNA sequence is one that is used for the expression of a foreign or heterologous protein gene product in a host cell or organism. A heterologous DNA sequence can also be a part of a vector or expression construct 5 having genetic material designed for directing the expression of a gene product, such as a protein, polypeptide, or peptide, in a host cell *in vivo* or *in vitro*, or in a cell free *in vitro* expression system.

As used herein, the term "native heterologous DNA sequence" refers to a heterologous DNA sequence that, although positioned in a non-natural context, has a nucleotide sequence that 10 is not modified or altered from the sequence it has in its natural context. For example, a viral gene may be inserted into a recombinant expression construct, such that the viral gene is a heterologous DNA sequence with respect to other sequences in the construct, but without introduction of any changes to the nucleotide sequence of the viral gene. In this example, as a native heterologous DNA sequence, the viral gene has the native nucleotide sequence as would 15 be found in its natural context within the genome of the virus, but the viral gene sequence is heterologous with respect to its new context. A native heterologous DNA sequence can also be any DNA sequence that is considered to be the reference or starting version of a DNA sequence, from which a modified (non-native) version of the DNA sequence, containing alterations to the nucleic acid sequence may be prepared. A native heterologous DNA sequence can also be composed of multiple native DNA sequences that are unaltered in sequence from that which is 20 found in nature, but that are not naturally found together. An example of such a native heterologous DNA sequence composed of multiple native DNA sequences is a fusion gene composed of native genetic sequence from two different genes.

As used herein, the term "modified heterologous DNA sequence" refers to a heterologous DNA sequence that is not only positioned in a non-natural context, but also has a nucleotide 25 sequence that is modified or altered from the sequence it has in its natural context. For example, a viral gene that is a modified heterologous DNA sequence will be inserted into a recombinant expression construct, such that the viral gene is heterologous with respect to other sequences in the construct, and further, will have a nucleotide sequence that is modified or altered and not the native nucleotide sequence as found in its natural context within the genome of the virus.

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As used herein, the term "increased free energy" in reference to RNA secondary structure, refers to an increase in the free energy value for an RNA secondary structure. Free energy values that are more negative are lower than values that are less negative.

As used herein, the term "modified coding sequence" refers to a nucleic acid sequence

5 (DNA- or RNA-based), that encodes a gene product, protein, polypeptide, or peptide, and that has been modified or altered from the native or naturally-occurring coding sequence for that gene product, protein, polypeptide, or peptide. The coding sequence may be comprised of sequences from more than one genetic source, for example, the coding sequence may be a fusion gene encoding a fusion protein having a leader sequence from a gene for one protein and the  
10 remaining sequence from a gene for another protein, brought together as one hybrid coding sequence, that is non-natural. In the case of such an example of a coding sequence comprised of sequences from more than one genetic source, "modified coding sequence" indicates that any modification is relative to the native or naturally-occurring coding sequence for the respective separate sequences.

15 As used herein, the term "native coding sequence" refers to a nucleic acid sequence (DNA- or RNA-based), that encodes a gene product, protein, polypeptide, or peptide, and that has not been modified or altered from the native or naturally-occurring coding sequence for that gene product, protein, polypeptide, or peptide. If the coding sequence encodes a fusion protein, the component parts have not been modified or altered from the native or naturally-occurring  
20 coding sequence for those component parts.

25 As used herein, the term "higher AT or AU content" refers to modifications to a coding sequence which render it a "modified coding sequence" such that if it is DNA-based it has a higher concentration of adenine and thymidine residues than the corresponding native coding sequence, and if it is RNA-based it has a higher concentration of adenine and uridine residues than the corresponding native coding sequence.

As used herein, the term "the first 200 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the first 200 contiguous nucleotide bases from the 5' end of the respective coding sequence.

30 As used herein, the term "the first 150 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the first 150 contiguous nucleotide bases from the 5' end of the respective coding sequence.

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As used herein, the term "the first 100 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the first 100 contiguous nucleotide bases from the 5' end of the respective coding sequence.

5 As used herein, the term "the last 200 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the last 200 contiguous nucleotide bases from the 3' end of the respective coding sequence.

As used herein, the term "the last 150 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the last 150 contiguous nucleotide bases from the 3' end of the respective coding sequence.

10 As used herein, the term "the last 100 bases" in reference to a modified coding sequence that has been modified relative to the native coding sequence, refers to the last 100 contiguous nucleotide bases from the 3' end of the respective coding sequence.

As used herein, the term "region of up to 200 bases in length" in reference to a coding sequence, refers to a region of up to 200 contiguous nucleotide bases of the coding sequence.

15 The region may be anywhere within the coding sequence.

As used herein, the term "region of up to 150 bases in length" in reference to a coding sequence, refers to a region of up to 150 contiguous nucleotide bases of the coding sequence.

The region may be anywhere within the coding sequence.

As used herein, the term "region of up to 100 bases in length" in reference to a coding sequence, refers to a region of up to 100 contiguous nucleotide bases of the coding sequence.

The region may be anywhere within the coding sequence.

20 As used herein, the term "dispersed modifications" refers to any combination of at least two regions of contiguous nucleotide bases that are modified to have a higher AT or AU content relative to the native coding sequence in the respective regions, and that are dispersed throughout the sequence such that regions of modified coding sequences will alternate with regions of native coding sequence. By way of non-limiting example, a modified coding sequence may contain alternating regions of modifications, wherein the first 200 contiguous bases of the coding sequence have a higher AT or AU content relative to the native coding sequence, the next 200 bases of the coding sequence are non-modified relative to the native coding sequence, and the subsequent 200 contiguous base region is modified to have a higher AT or AU content relative to the native coding sequence. The size of the modified regions may be of any length, and is preferably 200, 150, or 100 bases in length. The size of non-modified regions will be of variable

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length depending on the positioning of the modified regions. In preferred embodiments the dispersed modifications comprise alternating regions of modified and native coding sequence over the entire coding sequence, where the size of each alternating region is preferably 200 or 150 or 100 bases in length.

5 As used herein, "injectable pharmaceutical composition" refers to pharmaceutically acceptable compositions for use in patients that are sterile, pyrogen-free, and essentially free of any particulates or particulate matter. *See, Remington's Pharmaceutical Sciences*, 18<sup>th</sup> Ed., Gennaro, ed., Mack Publishing Co., Easton, PA, 1990 and U.S.P., the standards of the U. S. Pharmacopeia, which is incorporated herein by reference.

10 As used herein, "pharmaceutically acceptable carrier" includes any carrier that does not itself induce a harmful effect to the individual receiving the composition. For example, a "pharmaceutically acceptable carrier" should not induce the production of antibodies harmful to the recipient. Suitable "pharmaceutically acceptable carriers" are known to those of skill in the art and are described in *Remington's Pharmaceutical Sciences, supra*.

15 As used herein the term "target protein" is meant to refer to peptides and proteins encoded by gene constructs of the present invention which act as target proteins for an immune response. The terms "target protein" and "immunogen" are used interchangeably and refer to a protein against which an immune response can be elicited. The target protein is an immunogenic protein which shares at least an epitope with a protein from the pathogen or undesirable cell-type such as a cancer cell or a cell involved in autoimmune disease against which an immune response is desired. The immune response directed against the target protein will protect the individual against and/or treat the individual for the specific infection or disease with which the target protein is associated.

20 As used herein the term "desired protein" is meant to refer to peptides and proteins encoded by gene constructs of the present invention which either act as target proteins for an immune response or as a therapeutic or compensating protein in gene therapy regimens.

25 As used herein, the phrase "immunogenic fragment thereof" in reference to an immunogen, refers to fragments of less than the full length of the immunogen against which an immune response can be induced.

30 As used herein, the term "cancer antigens" refers to any proteins, polypeptides, or peptides, and the like, that are associated with and/or serve as markers for cancer, tumors, or cancer cells.

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As used herein, the term "autoimmune disease associated proteins" refers to any proteins, polypeptides, or peptides, and the like, that are associated with and/or serve as markers for cells involved in and/or responsible for an autoimmune disease.

As used herein, the term "non-immunogenic therapeutic protein" refers to such proteins, polypeptides, and peptides that are useful for therapeutic treatment of various diseases and disorders, and to which an immune response is not desired and/or not expected upon their introduction into the body of a recipient organism, patient, or individual in need of such therapy or treatment. Examples of "non-immunogenic therapeutic proteins" are proteins that are missing or in low concentration in an individual having a genetic defect in the endogenous gene encoding the protein. Examples of "non-immunogenic therapeutic proteins" include, but are not limited to, cytokines, growth factors, blood products, and enzymes.

As used herein, the term "recombinant viral vector" refers to a construct, based upon the genome of a virus, that can be used as a vehicle for the delivery of nucleic acids encoding proteins, polypeptides, or peptides of interest. Recombinant viral vectors are well known in the art and are widely reported. Recombinant viral vectors include, but are not limited to, retroviral vectors, adenovirus vectors, and adeno-associated virus vectors, which are prepared using routine methods and starting materials.

As used herein, the term "genetic construct" refers to the DNA or RNA molecules that comprise a nucleotide sequence which encodes a target protein or immunomodulating protein. The coding sequence includes initiation and termination signals operably linked to regulatory elements including a promoter and polyadenylation signal capable of directing expression in the cells of the individual to whom the nucleic acid molecule is administered.

As used herein, the term "expressible form" refers to gene constructs which contain the necessary regulatory elements operably linked to a coding sequence that encodes a target protein or an immunomodulating protein, such that when present in the cell of the individual, the coding sequence will be expressed.

As used herein, the term "sharing an epitope" refers to proteins which comprise at least one epitope that is identical to or substantially similar to an epitope of another protein.

As used herein, the term "substantially similar epitope" is meant to refer to an epitope that has a structure which is not identical to an epitope of a protein but nonetheless invokes a cellular or humoral immune response which cross reacts to that protein.

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As used herein, the term "intracellular pathogen" is meant to refer to a virus or pathogenic organism that, during at least part of its reproductive or life cycle, exists within a host cell and therein produces or causes to be produced, pathogen proteins.

As used herein, the term "hyperproliferative diseases" is meant to refer to those diseases and disorders characterized by hyperproliferation of cells.

As used herein, the term "hyperproliferative-associated protein" is meant to refer to proteins that are associated with a hyperproliferative disease and/or hyperproliferative cells.

In some preferred embodiments, it is preferred that the alterations to the RNA do not alter the sequence of the protein. In some preferred embodiments, it is preferred that the 200 bases, within which the alterations are introduced, are at the 5' end of the RNA transcript. In some embodiments, it is preferred to increase the free energy in more than one segment of the RNA transcript. Optionally, a leader sequence may be added to increase the free energy of the secondary structure of the RNA.

A stable RNA secondary structure at the 5' end of open reading frame (orf) sequences may block efficient transcription by interfering with ribosome function. Many RNAs have highly stable secondary structural integrity, and these interactions can inhibit gene expression. Addition of a sequence encoding a leader, modified such that it was optimized with an AT-rich sequence, resulted in a higher free energy for the predicted RNA structure and allowed efficient initiation by the cellular ribosomes. The stable RNA secondary structure is removed by increasing the free energy.

Therefore, according to the present invention, increasing the AU content in a coding sequence optimizes the sequence by reducing the corresponding RNA secondary structure's integrity, and resulting in increased protein expression/translation, by melting of the inhibitory secondary structures (stem loops) in the RNA transcript. The disruption of secondary structure integrity is particularly important in the 5' portion of the RNA or coding sequence, particularly the first 100 to 200 nucleotides of the RNA. In some embodiments, the AU or AT content is increased in the first 100 to 200 nucleotides from the initiation of transcription, and in some embodiments the AU or AT content is increased in the first 100 to 200 nucleotides of the coding sequence or start of translation. In some embodiments, the disruption of secondary structure integrity of the RNA is achieved by full gene changes or alternating patterns within 100 to 200 nucleotide base stretches. Modification of the 3' end is also important.

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The strategy of adding a leader-encoding sequence and altering the codons of that sequence to be yeast optimized (less frequently used codons in humans) is applicable to any gene encoding any protein, for example genes encoding viral proteins, including, but not limited to, the HIV-1 *pol* gene. AU-rich content is preferred; human dominant codons/high GC content is not preferred. It has been discovered that lowering the stability of regions of secondary structure within mRNAs can be accomplished without prior knowledge of protein expression or structure. The resultant increased minimum free energy of the secondary structure that is predicted to form from the altered transcript renders the altered transcript capable of enhanced protein expression over the original.

Using standard techniques and readily available starting materials, a modified nucleic acid molecule may be prepared. The nucleic acid molecule may be incorporated into an expression vector which is then incorporated into a host cell. Host cells for use in well known recombinant expression systems for production of proteins are well known and readily available. Examples of host cells include bacteria cells such as *E. coli*, yeast cells such as *S. cerevisiae*, insect cells such as *S. frugiperda*, non-human mammalian tissue culture cells Chinese hamster ovary (CHO) cells and human tissue culture cells such as HeLa cells.

In some embodiments, for example, one having ordinary skill in the art can, using well known techniques, insert DNA molecules into a commercially available expression vector for use in well known expression systems. For example, the commercially available plasmid pSE420 (Invitrogen, San Diego, CA) may be used for production of immunomodulating proteins in *E. coli*. The commercially available plasmid pYES2 (Invitrogen, San Diego, CA) may, for example, be used for production in *S. cerevisiae* strains of yeast. The commercially available MAXBACT<sup>TM</sup> complete baculovirus expression system (Invitrogen, San Diego, CA) may, for example, be used for production in insect cells. The commercially available plasmid pcDNAI or pcDNA3 (Invitrogen, San Diego, CA) may, for example, be used for production in mammalian cells such as CHO cells. One having ordinary skill in the art can use these commercial expression vectors and systems or others to produce immunomodulating proteins by routine techniques and readily available starting materials. (See e.g., Sambrook *et al.*, eds., 2001, *supra*) Thus, the desired proteins can be prepared in both prokaryotic and eukaryotic systems, resulting in a spectrum of processed forms of the protein.

One having ordinary skill in the art may use other commercially available expression vectors and systems or produce vectors using well known methods and readily available starting

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materials. Expression systems containing the requisite control sequences, such as promoters and polyadenylation signals, and preferably enhancers, are readily available and known in the art for a variety of hosts (*See e.g.*, Sambrook *et al.*, eds., 2001, *supra*).

5 The expression vector including the modified DNA is used to transform the compatible host which is then cultured and maintained under conditions wherein expression of the foreign DNA takes place. The protein of the present invention thus produced is recovered from the culture, either by lysing the cells or from the culture medium as appropriate and known to those in the art. One having ordinary skill in the art can, using well known techniques, isolate the protein that is produced using such expression systems. The methods of purifying proteins from 10 natural sources using antibodies may be equally applied to purifying protein produced by recombinant DNA methodology.

The pharmaceutical compositions of the present invention may be administered by any means that enables the active agent to reach the agent's site of action in the body of a mammal. 15 The pharmaceutical compositions of the present invention may be administered in a number of ways depending upon whether local or systemic treatment is desired and upon the area to be treated. Administration may be topical (including ophthalmic, vaginal, rectal, intranasal, transdermal), oral or parenteral. Parenteral administration includes intravenous drip, subcutaneous, intraperitoneal or intramuscular injection, pulmonary administration, *e.g.*, by inhalation or insufflation, or intrathecal or intraventricular administration.

20 The present invention further relates to injectable pharmaceutical compositions which comprise such nucleic acid molecules.

The injectable pharmaceutical compositions that comprise a modified nucleotide sequence operably linked to regulatory elements may be delivered using any of several well known technologies including DNA injection (also referred to as DNA vaccination), 25 recombinant vectors such as recombinant adenovirus, recombinant adenovirus associated virus and recombinant vaccinia.

DNA vaccines are described in U.S. Patent Nos. 5,593,972, 5,739,118, 5,817,637, 5,830,876, 5,962,428, 5,981,505, 5,580,859, 5,703,055, 5,676,594, and the priority applications cited therein, which are each incorporated herein by reference. In addition to the delivery 30 protocols described in those applications, alternative methods of delivering DNA are described in U.S. Patent Nos. 4,945,050 and 5,036,006, which are both incorporated herein by reference.

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Routes of administration include, but are not limited to, intramuscular, intranasally, intraperitoneal, intradermal, subcutaneous, intravenous, intraarterially, intraocularly and oral as well as topically, transdermally, by inhalation or suppository or to mucosal tissue such as by 5 lavage to vaginal, rectal, urethral, buccal and sublingual tissue. Preferred routes of administration include to mucosal tissue, intramuscular, intraperitoneal, intradermal and subcutaneous injection. Genetic constructs may be administered by means including, but not limited to, traditional syringes, needless injection devices, or "microparticle bombardment gene guns".

When taken up by a cell, the genetic construct(s) may remain present in the cell as a 10 functioning extrachromosomal molecule and/or integrate into the cell's chromosomal DNA. DNA may be introduced into cells where it remains as separate genetic material in the form of a plasmid or plasmids. Alternatively, linear DNA which can integrate into the chromosome may be introduced into the cell. When introducing DNA into the cell, reagents which promote DNA integration into chromosomes may be added. DNA sequences which are useful to promote 15 integration may also be included in the DNA molecule. Alternatively, RNA may be administered to the cell. It is also contemplated to provide the genetic construct as a linear minichromosome including a centromere, telomeres and an origin of replication. Gene constructs may remain part of the genetic material in attenuated live microorganisms or recombinant microbial vectors which live in cells. Gene constructs may be part of genomes of 20 recombinant viral vaccines where the genetic material either integrates into the chromosome of the cell or remains extrachromosomal.

Genetic constructs include regulatory elements necessary for gene expression of a nucleic 25 acid molecule. The elements include: a promoter, an initiation codon, a stop codon, and a polyadenylation signal. In addition, enhancers are often required for gene expression of the sequence that encodes the target protein. It is necessary that these elements be operably linked to the sequence that encodes the desired proteins and that the regulatory elements are operably in the individual to whom they are administered. Initiation codons and stop codon are generally considered to be part of a nucleotide sequence that encodes the desired protein. However, it is necessary that these elements are functional in the individual to whom the gene construct is administered. The initiation and termination codons must be in frame with the coding sequence. Promoters and polyadenylation signals used must be functional within the cells of the individual. Examples of promoters useful to practice the present invention, especially in the production of 30

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a genetic vaccine for humans, include but are not limited to promoters from Simian Virus 40 (SV40), Mouse Mammary Tumor Virus (MMTV) promoter, Human Immunodeficiency Virus (HIV) such as the HIV Long Terminal Repeat (LTR) promoter, Moloney virus, ALV, Cytomegalovirus (CMV) such as the CMV immediate early promoter, Epstein Barr Virus (EBV), Rous Sarcoma Virus (RSV) as well as promoters from human genes such as human Actin, human Myosin, human Hemoglobin, human muscle creatine and human metallothionein. Examples of polyadenylation signals useful to practice the present invention, especially in the production of a genetic vaccine for humans, include but are not limited to SV40 polyadenylation signals and LTR polyadenylation signals. In particular, the SV40 polyadenylation signal which is in pCEP4 plasmid (Invitrogen, San Diego CA), referred to as the SV40 polyadenylation signal, is used. In addition to the regulatory elements required for DNA expression, other elements may also be included in the DNA molecule. Such additional elements include enhancers. The enhancer may be selected from the group including but not limited to: human Actin, human Myosin, human Hemoglobin, human muscle creatine and viral enhancers such as those from CMV, RSV and EBV. Genetic constructs can be provided with mammalian origin of replication in order to maintain the construct extrachromosomally and produce multiple copies of the construct in the cell. Plasmids pCEP4 and pREP4 from Invitrogen (San Diego, CA) contain the Epstein Barr virus origin of replication and nuclear antigen EBNA-1 coding region which produces high copy episomal replication without integration.

One method of the present invention comprises the steps of administering nucleic acid molecules intramuscularly, intranasally, intraperitoneally, subcutaneously, intradermally, or topically or by lavage to mucosal tissue selected from the group consisting of inhalation, vaginal, rectal, urethral, buccal and sublingual.

In some embodiments, the nucleic acid molecule is delivered to the cells in conjunction with administration of a polynucleotide function enhancer or a genetic vaccine facilitator agent. Polynucleotide function enhancers are described in U.S. Serial Number 08/008,342 filed January 26, 1993, U.S. Serial Number 08/029,336 filed March 11, 1993, U.S. Serial Number 08/125,012 filed September 21, 1993, and International Application Serial Number PCT/US94/00899 filed January 26, 1994, which are each incorporated herein by reference. Genetic vaccine facilitator (GVF) agents are described in U.S. Serial Number 08/221,579 filed April 1, 1994, which is incorporated herein by reference. The co-agents which are administered in conjunction with nucleic acid molecules may be administered as a mixture with the nucleic acid molecule or

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administered separately simultaneously, before or after administration of nucleic acid molecules. In addition, other agents which may function as transfecting agents and/or replicating agents and/or inflammatory agents and which may be co-administered with a GVF include growth factors, cytokines and lymphokines such as  $\alpha$ -interferon, gamma-interferon, platelet derived growth factor (PDGF), TNF, epidermal growth factor (EGF), IL-1, IL-2, IL-4, IL-6, IL-10 and IL-12 as well as fibroblast growth factor, surface active agents such as immune-stimulating complexes (ISCOMS), Freund's incomplete adjuvant, LPS analog including monophosphoryl Lipid A (MPL), muramyl peptides, quinone analogs and vesicles such as squalene and squalene, and hyaluronic acid may also be used administered in conjunction with the genetic construct.

In some embodiments, an immunomodulating protein may be used as a GVF.

The pharmaceutical compositions according to the present invention comprise about 1 nanogram to about 2000 micrograms of DNA. In some preferred embodiments, pharmaceutical compositions according to the present invention comprise about 5 nanogram to about 1000 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 10 nanograms to about 800 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 0.1 to about 500 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 1 to about 350 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 25 to about 250 micrograms of DNA. In some preferred embodiments, the pharmaceutical compositions contain about 100 to about 200 micrograms DNA.

The pharmaceutical compositions according to the present invention are formulated according to the mode of administration to be used. In cases where pharmaceutical compositions are injectable pharmaceutical compositions, they are sterile, pyrogen free and particulate free. An isotonic formulation is preferably used. Generally, additives for isotonicity can include sodium chloride, dextrose, mannitol, sorbitol and lactose. In some cases, isotonic solutions such as phosphate buffered saline are preferred. Stabilizers include gelatin and albumin. In some embodiments, a vaso-constriction agent is added to the formulation.

The present invention is useful to elicit broad immune responses against a target protein, *i.e.*, proteins specifically associated with pathogens, allergens or the individual's own "abnormal" cells. The present invention is useful to immunize individuals against pathogenic agents and organisms such that an immune response against a pathogen protein provides protective immunity against the pathogen. The present invention is useful to combat hyperproliferative

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diseases and disorders such as cancer by eliciting an immune response against a target protein that is specifically associated with the hyperproliferative cells. The present invention is useful to combat autoimmune diseases and disorders by eliciting an immune response against a target protein that is specifically associated with cells involved in the autoimmune condition.

5 The nucleic acid molecule(s) may be provided as plasmid DNA, the nucleic acid molecules of recombinant vectors or as part of the genetic material provided in an attenuated vaccine or cell vaccine. Alternatively, in some embodiments, the target protein and/or either or both immunomodulating proteins may be delivered as a protein in addition to the nucleic acid molecules that encode them or instead of the nucleic acid molecules that encode them.

10 The present invention may be used to immunize an individual against all pathogens such as viruses, prokaryotic and pathogenic eukaryotic organisms such as unicellular pathogenic organisms and multicellular parasites. The present invention is particularly useful to immunize an individual against those pathogens which infect cells and which are not encapsulated such as viruses, and prokaryotes such as gonorrhea, listeria and shigella. In addition, the present 15 invention is also useful to immunize an individual against protozoan pathogens which include a stage in the life cycle where they are intracellular pathogens.

In order to produce a genetic vaccine to protect against pathogen infection, genetic material which encodes immunogenic proteins against which a protective immune response can be mounted must be included in a genetic construct as the coding sequence for the target. 20 Whether the pathogen infects intracellularly, for which the present invention is particularly useful, or extracellularly, it is unlikely that all pathogen antigens will elicit a protective response. Because DNA and RNA are both relatively small and can be produced relatively easily, the present invention provides the additional advantage of allowing for vaccination with multiple pathogen antigens. The genetic construct used in the genetic vaccine can include genetic 25 material which encodes many pathogen antigens. For example, several viral genes may be included in a single construct thereby providing multiple targets.

Another aspect of the present invention provides a method of conferring a broad based protective immune response against hyperproliferating cells that are characteristic in hyperproliferative diseases and to a method of treating individuals suffering from 30 hyperproliferative diseases. Examples of hyperproliferative diseases include all forms of cancer and psoriasis.

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It has been discovered that introduction of a genetic construct that includes a nucleotide sequence which encodes an immunogenic "hyperproliferating cell"-associated protein into the cells of an individual results in the production of those proteins in the vaccinated cells of an individual. To immunize against hyperproliferative diseases, a genetic construct that includes a nucleotide sequence which encodes a protein that is associated with a hyperproliferative disease is administered to an individual.

In order for the hyperproliferative-associated protein to be an effective immunogenic target, it must be a protein that is produced exclusively or at higher levels in hyperproliferative cells as compared to normal cells. Target antigens include such proteins, fragments thereof and peptides which comprise at least an epitope found on such proteins. In some cases, a hyperproliferative-associated protein is the product of a mutation of a gene that encodes a protein. The mutated gene encodes a protein which is nearly identical to the normal protein except it has a slightly different amino acid sequence which results in a different epitope not found on the normal protein. Such target proteins include those which are proteins encoded by oncogenes such as *myb*, *myc*, *fyn*, and the translocation gene *bcr/abl*, *ras*, *src*, *P53*, *neu*, *trk* and EGRF. In addition to oncogene products as target antigens, target proteins for anti-cancer treatments and protective regimens include variable regions of antibodies made by B cell lymphomas and variable regions of T cell receptors of T cell lymphomas which, in some embodiments, are also used target antigens for autoimmune disease. Other tumor-associated proteins can be used as target proteins such as proteins which are found at higher levels in tumor cells including the protein recognized by monoclonal antibody 17-1A and folate binding proteins.

While the present invention may be used to immunize an individual against one or more of several forms of cancer, the present invention is particularly useful to prophylactically immunize an individual who is predisposed to develop a particular cancer or who has had cancer and is therefore susceptible to a relapse. Developments in genetics and technology as well as epidemiology allow for the determination of probability and risk assessment for the development of cancer in individual. Using genetic screening and/or family health histories, it is possible to predict the probability a particular individual has for developing any one of several types of cancer.

Similarly, those individuals who have already developed cancer and who have been treated to remove the cancer or are otherwise in remission are particularly susceptible to relapse

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and reoccurrence. As part of a treatment regimen, such individuals can be immunized against the cancer that they have been diagnosed as having had in order to combat a recurrence. Thus, once it is known that an individual has had a type of cancer and is at risk of a relapse, they can be immunized in order to prepare their immune system to combat any future appearance of the cancer.

The present invention provides a method of treating individuals suffering from hyperproliferative diseases. In such methods, the introduction of genetic constructs serves as an immunotherapeutic, directing and promoting the immune system of the individual to combat hyperproliferative cells that produce the target protein.

The present invention provides a method of treating individuals suffering from autoimmune diseases and disorders by conferring a broad based protective immune response against targets that are associated with autoimmunity including cell receptors and cells which produce "self"-directed antibodies.

T cell mediated autoimmune diseases include rheumatoid arthritis (RA), multiple sclerosis (MS), Sjogren's syndrome, sarcoidosis, insulin dependent diabetes mellitus (IDDM), autoimmune thyroiditis, reactive arthritis, ankylosing spondylitis, scleroderma, polymyositis, dermatomyositis, psoriasis, vasculitis, Wegener's granulomatosis, Crohn's disease, and ulcerative colitis. Each of these diseases is characterized by T cell receptors that bind to endogenous antigens and initiate the inflammatory cascade associated with autoimmune diseases. Vaccination against the variable region of the T cells would elicit an immune response including CTLs to eliminate those T cells.

In RA, several specific variable regions of T cell receptors (TCRs) which are involved in the disease have been characterized. These TCRs include V $\beta$ -3, V $\beta$ -14, V $\beta$ -17 and V $\alpha$ -17. Thus, vaccination with a DNA construct that encodes at least one of these proteins will elicit an immune response that will target T cells involved in RA. See: Howell *et al.*, 1991, Proc. Natl. Acad. Sci. USA, 88:10921-10925; Paliard *et al.*, 1991, Science, 253:325-329; Williams *et al.*, 1992, J. Clin. Invest., 90:326-333; each of which is incorporated herein by reference.

In MS, several specific variable regions of TCRs which are involved in the disease have been characterized. These TCRs include V $\beta$ -7 and V $\alpha$ -10. Thus, vaccination with a DNA construct that encodes at least one of these proteins will elicit an immune response that will target T cells involved in MS. See: Wucherpfennig *et al.*, 1990, Science, 248:1016-1019; Oksenberg *et al.*, 1990, Nature, 345:344-346; each of which is incorporated herein by reference.

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In scleroderma, several specific variable regions of TCRs which are involved in the disease have been characterized. These TCRs include V $\beta$ -6, V $\beta$ -8, V $\beta$ -14 and V $\alpha$ -16, V $\alpha$ -3C, V $\alpha$ -7, V $\alpha$ -14, V $\alpha$ -15, V $\alpha$ -16, V $\alpha$ -28 and V $\alpha$ -12. Thus, vaccination with a DNA construct that encodes at least one of these proteins will elicit an immune response that will target T cells involved in scleroderma.

In order to treat patients suffering from a T cell mediated autoimmune disease, particularly those for which the variable region of the TCR has yet to be characterized, a synovial biopsy can be performed. Samples of the T cells present can be taken and the variable region of those TCRs identified using standard techniques. Genetic vaccines can be prepared using this information.

B cell mediated autoimmune diseases include systemic lupus erythematosus (SLE), Grave's disease, myasthenia gravis, autoimmune hemolytic anemia, autoimmune thrombocytopenia, asthma, cryoglobulinemia, primary biliary sclerosis, and pernicious anemia. Each of these diseases is characterized by antibodies which bind to endogenous antigens and initiate the inflammatory cascade associated with autoimmune diseases. Vaccination against the variable region of antibodies would elicit an immune response including CTLs to eliminate those B cells that produce the antibody.

In order to treat patients suffering from a B cell mediated autoimmune disease, the variable region of the antibodies involved in the autoimmune activity must be identified. A biopsy can be performed and samples of the antibodies present at a site of inflammation can be taken. The variable region of those antibodies can be identified using standard techniques. Genetic vaccines can be prepared using this information.

In the case of SLE, one antigen is believed to be DNA. Thus, in patients to be immunized against SLE, their sera can be screened for anti-DNA antibodies and a vaccine can be prepared which includes DNA constructs that encode the variable region of such anti-DNA antibodies found in the sera.

Common structural features among the variable regions of both TCRs and antibodies are well known. The DNA sequence encoding a particular TCR or antibody can generally be found following well known methods such as those described in Kabat *et al.*, 1987, *Sequence of Proteins of Immunological Interest*, U.S. Department of Health and Human Services, Bethesda MD, which is incorporated herein by reference. In addition, a general method for cloning

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functional variable regions from antibodies can be found in Chaudhary *et al.*, 1990, Proc. Natl. Acad. Sci. USA, 87:1066, which is incorporated herein by reference.

In some of the embodiments of the invention that relate to gene therapy, the gene constructs contain either compensating genes or genes that encode therapeutic proteins.

5 Examples of compensating genes include a gene which encodes dystrophin or a functional fragment, a gene to compensate for the defective gene in patients suffering from cystic fibrosis, an insulin, a gene to compensate for the defective gene in patients suffering from ADA, and a gene encoding Factor VIII. Examples of genes encoding therapeutic proteins include genes which encode erythropoietin, interferon, LDL receptor, GM-CSF, IL-2, IL-4 and TNF.

10 Additionally, genetic constructs which encode single chain antibody components which specifically bind to toxic substances can be administered. In some preferred embodiments, the dystrophin gene is provided as part of a mini-gene and used to treat individuals suffering from muscular dystrophy. In some preferred embodiments, a mini-gene which contains coding sequence for a partial dystrophin protein is provided. Dystrophin abnormalities are responsible

15 for both the milder Becker's Muscular Dystrophy (BMD) and the severe Duchenne's Muscular Dystrophy (DMD). In BMD dystrophin is made, but it is abnormal in either size and/or amount. The patient is mild to moderately weak. In DMD no protein is made and the patient is chair-bound by age 13 and usually dies by age 20. In some patients, particularly those suffering from BMD, partial dystrophin protein produced by expression of a mini-gene delivered according to

20 the present invention can provide improved muscle function.

In some preferred embodiments, genes encoding IL-2, IL-4, interferon, or TNF are delivered to tumor cells which are either present or removed and then reintroduced into an individual. In some embodiments, a gene encoding gamma interferon is administered to an individual suffering from multiple sclerosis.

25 In addition to using modified nucleic acid sequences to improve genetic vaccines, the present invention relates to improved attenuated live vaccines and improved vaccines which use recombinant vectors to deliver foreign genes that encode antigens. Examples of attenuated live vaccines and those using recombinant vectors to deliver foreign antigens are described in U.S. Patent Nos.: 4,722,848; 5,017,487; 5,077,044; 5,110,587; 5,112,749; 5,174,993; 5,223,424; 5,225,336; 5,240,703; 5,242,829; 5,294,441; 5,294,548; 5,310,668; 5,387,744; 5,389,368; 5,424,065; 5,451,499; 5,453,364; 5,462,734; 5,470,734; and 5,482,713, which are each incorporated herein by reference. Gene constructs are provided which include the modified

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nucleotide sequence operably linked to regulatory sequences that can function in the vaccinee to effect expression. The gene constructs are incorporated in the attenuated live vaccines and recombinant vaccines to produce improved vaccines according to the invention. Likewise modified nucleic acid sequences can be used in recombinant vectors useful to deliver gene therapeutics that encode desired proteins.

The present invention provides an improved method of immunizing individuals that comprises the step of delivering gene constructs to the cells of individuals as part of vaccine compositions which include are provided which include DNA vaccines, attenuated live vaccines and recombinant vaccines. The gene constructs comprise a nucleotide sequence that encodes an immunomodulating protein and that is operably linked to regulatory sequences that can function in the vaccinee to effect expression. The improved vaccines result in an enhanced cellular immune response.

The invention is further illustrated by way of the following examples, which are intended to elaborate several embodiments of the invention. These examples are not intended, nor are they to be construed, as limiting the scope of the invention. It will be clear that the invention may be practiced otherwise than as particularly described herein. Numerous modifications and variations of the present invention are possible in view of the teachings herein and, therefore, are within the scope of the invention.

#### EXAMPLES

20 **Example 1: Materials and Methods.**

##### **Prediction of mRNA secondary structure**

To enhance translation efficiency of transgenes, RNA secondary structure was predicted by using MulFold and viewed by LoopDloop software for the Macintosh computer.

##### **Immunoprecipitation of radiolabeled *in vitro* translated proteins**

25 <sup>35</sup>S-labeled protein products were prepared using the TNT-T7 coupled Transcription/Translation System (Promega). 10 ml of radiolabeled protein sample and 1 ml of anti-His (C-term) antibody (Invitrogen, CA) were added to 300 µl of RIPA buffer and mixed gently. After an incubation at 4°C for 90 minutes, Protein A-Sepharose beads (Amersham-Pharmacia Biotech, Piscataway, NJ) was added to the protein-antibody complexes at a final concentration of 5 mg per tube and the samples were then incubated at 4°C for 90 minutes in a rotating shaker. The beads were washed three times with RIPA buffer and suspended in 2X SDS

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sample buffer. The immunoprecipitated protein complexes were eluted from the Sepharose beads by brief boiling and resolved in SDS/PAGE (15%) gels. The mobility of the protein samples was compared with that of commercially available <sup>14</sup>C-methylated molecular weight marker (Sigma-Aldrich Corp., St. Louis, MO). The gel was fixed, treated briefly with 1M sodium salicylate solution and dried in a gel drier (BioRad, Hercules, CA). The dried gel was exposed overnight to X-ray film (Kodak, Rochester, NY). The molecular size of the *in vitro* translated protein was 21.5kD.

#### 5 *In vitro* translated protein

Non-radioactive, *in vitro* translated Cp protein was also generated as described above, 10 using the TNT-T7 coupled Transcription/Translation System (Promega, Madison, WI) with non-radioactive components. An *in vitro* translation control was generated using the *in vitro* 15 translation kit with the pcDNA3.1 vector (Invitrogen, San Diego, CA), lacking an expressible insert.

#### DNA inoculation of mice

15 The quadriceps muscles of 6- to 8-week-old female BALB/c mice (Harlan Sprague Dawley, Inc., Indianapolis, IN) were injected with 100 µg of pWNVh-DJY, pWNVy-DJY, or pcDNA3.1 in phosphate buffered saline (PBS) and 0.25% bupivacaine-HCl (Sigma, St. Louis, MO). Mice were injected with two DNA immunizations (100 µg each) separated by two weeks. At thirteen days after the boost injection, the mice were sacrificed, the spleens were harvested, 20 and the lymphocytes were isolated and tested for cellular immune responses.

#### Intracellular IFN- $\gamma$ detection by flow cytometry

25 In each well of a round-bottom 96-well plate was placed 100 µl of RPMI-1640 (supplemented with 5 % FBS), containing 50 U/ml rHuIL-2 (Intergen, Purchase, NY), 10 µg/ml Brefeldin A (Pharmingen, San Diego, CA), 100 ng/ml PMA (Sigma, St. Louis, MO), and 1 µg/ml ionomycin (Sigma, St. Louis, MO). Either *in vitro* translated protein or an *in vitro* 30 translation control (generated using the *in vitro* translation kit with the vector backbone lacking an expressible insert), at 4 µg/ml was added in 50 µl of R5 medium. After adding the antigens (Ags), isolated splenocytes were added to each well at 1x10<sup>6</sup> cells in 50 µl of R5 medium. For the compensation in flow cytometry, splenocytes from naïve mice were set up with only IL-2 and Brefeldin A. The plates were incubated in 37°C, 5 % CO<sub>2</sub> in an incubator for 5 to 6 hours. As a control, cells were incubated without Ag. After incubation, the plate was spun at 1200 rpm for 5 minutes and the supernatants discarded. The cells were resuspended with 200 µl of PBS,

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supplemented with 1% BSA, and put on ice for 15 minutes, and then spun down and resuspended with anti-CD4-PE mAb (Pharmingen) at 0.1 µg/sample in 50 µl of PBS/1% BSA. After incubation for 30 minutes at 4°C, the cells were washed twice with PBS/1%. After the second wash, cell pellets were resuspended with 100 µl of Cytofix/Cytoperm solution (Pharmingen) and incubated for 20 minutes at 4°C. The cells were washed twice with 1 x Perm/Wash (Pharmingen) and resuspended with 50 µl of Perm/Wash solution containing anti-IFN- $\gamma$ -APC (Pharmingen) at 0.1 µg/sample concentration. After incubation for 30 minutes at 4°C, the cells were washed twice with 1x Perm/Wash solution and fixed with 2% paraformaldehyde, and then stored at 4°C until analyzed by flow cytometry.

10 **Example 2: Addition of Leader Sequence to West Nile Virus Capsid mRNA.**

The addition of a leader sequence to minimize free energy in the West Nile Virus Capsid mRNA resulted in enhanced protein expression and immune response.

To enhance the transcription and translation efficiency of transgenes, the human IgE leader sequence was added to the 5' upstream of open reading frame (orf) sequences (Fig.1).

15 The addition of a sequence encoding the human IgE leader sequence containing codons that are less prevalently utilized in humans (WNVy-DJY construct (yeast codon)) resulted in a predicted secondary structure for the mRNA having an increased free energy value, relative to the secondary structure for the mRNA without the leader sequence (WNVwt construct (wild type)), or relative to the secondary structure for the mRNA encoding a leader sequence optimized with human codons (WNVh-DJY construct (human codon)) (Fig. 2).

20 Furthermore, the construct encoding the leader sequence containing codons that are less prevalently utilized in humans (yeast optimized) yielded a higher level of protein than did the construct encoding the leader sequence containing human optimized codons, as determined by immunoprecipitation of radiolabeled *in vitro* translated proteins (Fig. 3; Table 1, yeast codon usage). The codons more prevalently used by yeast are, in general, AU rich; the codons more prevalently used by *Homo sapiens* are, in general, more GC rich (see Kim *et al.*, 1997, *Gene, supra*).

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**Table 1. Yeast codon prevalent usage.**

Amino Acid	Yeast codon
A Ala	GCU
R Arg	AGA
N Asn	AAU
D Asp	GAU
C Cys	UGU
Q Gln	CAA
E Glu	GAA
G Gly	GGU
H His	CAU
I Ile	AUU
L Leu	UUA
K Lys	AAA
P Pro	CCA
F Phe	UUU
S Ser	UCU
T Thr	ACU
W Trp	UGG
Y Tyr	UAU
V Val	GUU

DNA plasmid injection into mouse muscle induced an antigen-specific, CD4<sup>+</sup> Th cell-dependent immune response, as determined by intracellular IFN- $\gamma$ /flow cytometry analysis. The CD4<sup>+</sup> Th cell-dependent, intracellular IFN- $\gamma$  production was quantitated by flow cytometry. Splenocytes isolated from pWNVy-DJY (pWNVCy)-immunized mice, expressed higher levels of IFN- $\gamma$  upon stimulation with *in vitro* translated Cp protein, than did the splenocytes isolated from pWNVh-DJY (pWNVCh)-immunized mice (see Fig. 4)

**Example 3: Removal of RNA Secondary Structure in HIV-1 *pol* RNA by Increasing the Minimum Predicted Free Energy.**

The strategy of adding a leader encoding sequence and altering the codons to be yeast optimized (less frequently used in human) was applied to the HIV-1 *pol* gene. When nucleic acid sequence encoding the IgE leader sequence with codons less prevalently used in humans (yeast optimized) was added to the 5' end of HIV-1 *pol* gene, the predicted free energy of the energy minimized transcript was increased (Fig. 5).

In HIV-1 *pol* structural gene, several regions of stable secondary structure, located between nucleotide (nt) 1738 and nt 1938, were predicted by MulFold analysis (Fig. 6). Alteration of the codons in the region from nt 1738 to nt 1938 to codons less prevalently utilized

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in humans (yeast optimized codons) resulted in a weakening of the secondary structure in that region. The predicted secondary structure for the region with the modified codons had a higher free energy than the predicted secondary structure for the original sequence (Fig. 7). In addition, the formation of mRNA secondary structure in the first 200 nucleotides of the *pol* gene was minimized by using codons less prevalently utilized in humans (yeast optimized codons) (HIV-1 Pol yt), as compared to a transcript containing the most prevalently utilized codons in humans (human optimized codons) (HIV-1 Pol hu) (Fig. 8). The minimum free energy was dramatically increased from -53.0 kcal to -26.4 kcal.

5 **Example 4: Removal of RNA Secondary Structure in HIV-1 *gag* RNA by Increasing the Minimum Predicted Free Energy.**

10 Several regions of regions of stable secondary structure were predicted by MulFold analysis for the transcript for the HIV-1 *gag* structural gene (Fig. 9), and the minimum free energy was increased (from -351.07 kcal to -283.11 kcal) by using codons that are utilized less prevalently in humans (yeast optimized) (Fig. 10).

15 **Example 5: Removal of RNA Secondary Structure in WNV *env* RNA by Increasing the Minimum Predicted Free Energy.**

20 In the West Nile Virus envelope (*env*) gene, application of the strategy of mRNA energy minimization in the first 200 base pairs (bp) of the gene with codons that are utilized less prevalently in humans (yeast optimized, WNVyt200) increased the minimum free energy of the cognate transcript as compared to the transcript for the wild type WNV *env* gene (WNVwt200) or as compared to a transcript optimized with the most prevalently used codons in humans (WNVhu200) (Fig. 11).

25 The foregoing examples are meant to illustrate the invention and are not to be construed to limit the invention in any way. Those skilled in the art will recognize modifications that are within the spirit and scope of the invention.

All references cited herein are hereby incorporated by reference in their entirety.

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**What is claimed is:**

1. A method of producing a protein in a recombinant expression system that comprises translation of mRNA transcribed from a heterologous DNA sequence in the expression system, said method comprising the steps of:
  - 5 a) predicting the secondary structure of mRNA transcribed from a native heterologous DNA sequence;
  - b) modifying the native heterologous DNA sequence to produce a modified heterologous DNA sequence wherein mRNA transcribed from the modified heterologous DNA sequence has a secondary structure having increased free energy compared to that of the secondary structure of the mRNA transcribed from the native heterologous DNA sequence; and
  - 10 c) using the modified heterologous DNA sequence in the recombinant expression system for protein production.
2. The method of claim 1, wherein the recombinant expression system is selected from the group consisting of: a cell free *in vitro* transcription and translation system; an *in vitro* cell expression system; a DNA construct used in direct DNA injection; and a recombinant vector for delivery of DNA to an individual.
  - 15 3. The method of claim 1, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is predicted using a computer and computer program.
  4. The method of claim 1, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence.
    - 20 5. The method of claim 4, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence at the 5' end of the coding sequence such that mRNA transcribed therefrom has an increased AU content.
    6. The method of claim 5, wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding

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sequence at the 5' end of the coding sequence within 200 nucleotides from the initiation codon such that mRNA transcribed therefrom has an increased AU content.

7. The method of claim 6 wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence at the 5' end of the coding sequence within 150 nucleotides from the initiation codon such that mRNA transcribed therefrom has an increased AU content.

8. The method of claim 6 wherein the secondary structure of the mRNA transcribed from a native heterologous DNA sequence is modified by increasing the AT content of the coding sequence at the 5' end of the coding sequence within 100 nucleotides from the initiation codon such that mRNA transcribed therefrom has an increased AU content.

9. An injectable pharmaceutical composition comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence, and further comprising a pharmaceutically acceptable carrier.

10. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the first 200 bases relative to the AT or AU content of the native nucleic acid sequence.

11. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the first 150 bases relative to the AT or AU content of the native nucleic acid sequence.

12. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the first 100 bases relative to the AT or AU content of the native nucleic acid sequence.

13. The injectable pharmaceutical composition of claim 9, wherein said modified coding

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sequence comprises a higher AT or AU content in at least one region of up to 200 bases in length relative to the AT or AU content of the native nucleic acid sequence.

14. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 150 bases in length relative to the AT or AU content of the native nucleic acid sequence.

5 15. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 100 bases in length relative to the AT or AU content of the native nucleic acid sequence.

10 16. The injectable pharmaceutical composition of claim 9, wherein the modified coding sequence encodes an immunogen.

17. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a pathogen derived protein or immunogenic fragment thereof.

18. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a fusion protein that includes a pathogen derived protein or immunogenic fragment thereof.

15 19. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a cancer antigen or immunogenic fragment thereof.

20. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a fusion protein that includes a cancer antigen or immunogenic fragment thereof.

21. The injectable pharmaceutical composition of claim 16, wherein the immunogen is an autoimmune disease associated protein or immunogenic fragment thereof.

22. The injectable pharmaceutical composition of claim 16, wherein the immunogen is a fusion protein that includes an autoimmune disease associated protein or immunogenic fragment thereof.

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23. The injectable pharmaceutical composition of claim 9, wherein the modified coding sequence encodes a non-immunogenic therapeutic protein.

24. The injectable pharmaceutical composition of claim 23, wherein the non-immunogenic therapeutic protein is selected from the group consisting of cytokines, growth factors, blood products, and enzymes.

25. The injectable pharmaceutical composition of claim 9, wherein the modified coding sequence comprises dispersed modifications.

26. The injectable pharmaceutical composition of claim 25, wherein the dispersed modifications are at least two modified coding sequences of up to 200 bases in length alternating with regions of native coding sequence.

27. The injectable pharmaceutical composition of claim 25, wherein the dispersed modifications are at least two modified coding sequences of up to 150 bases in length alternating with regions of native coding sequence.

28. The injectable pharmaceutical composition of claim 25, wherein the dispersed modifications are at least two modified coding sequences of up to 100 bases in length alternating with regions of native coding sequence.

29. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the last 200 bases relative to the AT or AU content of the native nucleic acid sequence.

30. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the last 150 bases relative to the AT or AU content of the native nucleic acid sequence.

31. The injectable pharmaceutical composition of claim 9, wherein said modified coding sequence comprises a higher AT or AU content in the last 100 bases relative to the AT or AU

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content of the native nucleic acid sequence.

32. A recombinant viral vector comprising a nucleic acid molecule that includes a modified coding sequence encoding a protein operably linked to regulatory elements, wherein the modified coding sequence comprises a higher AT or AU content relative to the AT or AU content of the native coding sequence.

33. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the first 200 bases relative to the AT or AU content of the native nucleic acid sequence.

34. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the first 150 bases relative to the AT or AU content of the native nucleic acid sequence.

35. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the first 100 bases relative to the AT or AU content of the native nucleic acid sequence.

36. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 200 bases in length relative to the AT or AU content of the native nucleic acid sequence.

37. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 150 bases in length relative to the AT or AU content of the native nucleic acid sequence.

38. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in at least one region of up to 100 bases in length relative to the AT or AU content of the native nucleic acid sequence.

39. The recombinant viral vector of claim 32, wherein the modified coding sequence encodes

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an immunogen.

40. The recombinant viral vector of claim 39, wherein the immunogen is a pathogen derived proteins or immunogenic fragment thereof.

41. The recombinant viral vector of claim 39, wherein the immunogen is a fusion protein that includes a pathogen derived protein or immunogenic fragment thereof.

5 42. The recombinant viral vector of claim 39, wherein the immunogen is a cancer antigen or immunogenic fragment thereof.

43. The recombinant viral vector of claim 32, wherein the immunogen is a fusion protein that includes a cancer antigen or immunogenic fragment thereof.

10 44. The recombinant viral vector of claim 39, wherein the immunogen is an autoimmune disease associated protein or immunogenic fragment thereof.

45. The recombinant viral vector of claim 39, wherein the immunogen is a fusion protein that includes an autoimmune disease associated protein or immunogenic fragment thereof.

15 46. The recombinant viral vector of claim 32, wherein the modified coding sequence encodes a non-immunogenic therapeutic protein.

47. The recombinant viral vector of claim 46, wherein the non-immunogenic therapeutic protein is selected from the group consisting of cytokines, growth factors, blood products, and enzymes.

20 48. The recombinant viral vector of claim 32, wherein the modified coding sequence comprises dispersed modifications.

49. The recombinant viral vector of claim 48, wherein the dispersed modifications are at least two modified coding sequences of 200 bases in length alternating with regions of native coding

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sequence.

50. The recombinant viral vector of claim 48, wherein the dispersed modifications are at least two modified coding sequences of 150 bases in length alternating with regions of native coding sequence.

5 51. The recombinant viral vector of claim 48, wherein the dispersed modifications are at least two modified coding sequences of 100 bases in length alternating with regions of native coding sequence.

10 52. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the last 200 bases relative to the AT or AU content of the native nucleic acid sequence.

53. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the last 150 bases relative to the AT or AU content of the native nucleic acid sequence.

15 54. The recombinant viral vector of claim 32, wherein said modified coding sequence comprises a higher AT or AU content in the last 100 bases relative to the AT or AU content of the native nucleic acid sequence.

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HindIII linker	20	GAC TGG ACC TGG ATC CTG TWC CTG GCC GCC ACC	60
1.sigEH-WNVChu	CTT GCC ACC	40	
2.sigEH-WNVChu*	... . . . .	.t ... .t.a ..t.t.a ..t.t ..	
4.sigEori	M D W T	K S R A V N M L	120
	ATG GAC TGG ACC TGG ATC CTC TIC TIG GCA GCA GCC AGC	T	
WNVChu orf	80	G P G P G	100
	S K P G M D	W I L F V A A	L
	T CCA GGA CGC CCC GGC	AAG AGC CGC CGC	ATG CTG
	TCT AAA ... .a .. .a .. .a .. .a .. .a .. .a ..	... .g ..	.. .a ..
4.sigEori	R V H S		180
	CGa GTC CAC tcc		
sign leader sequence			240
1.sigEH-WNVChu	K R G M P R V	L I G I K R A M L	
2.sigEH-WNVChu*	... .a ..	... .t ..	
3.WNVChu			
4.sigEori	I D G K G P	V L A E F R F	
	ATC GAC GGC AAG CGC CCC ATTA CGC TTC GTG CTG GCC	CCTG GCA ATG CTG AGC ATG AGC	
1.sigEH-WNVChu	... .a ..	... .a ..	
2.sigEH-WNVChu*			
3.WNVChu			

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FIG. 1a

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1.SIGEH-WNVChu	A	I	A	P	T	R	A	V	L	D	R	W	R	G	V	N	K	Q	T	A	300		
2.SIGEY-WNVChu*	GCC	ATG	GCC	CCC	ACC	GCG	GCC	GTC	GAC	GCG	TGG	GAC	GCG	TGG	GCG	GTC	AAC	AAG	CAG	ACC	GCC	300	
3.WNVwt	..a	...	..t	..g	...	a	..a	..t	..a	..t	..a	..a	..t	..a	..t	..a							
1.SIGEH-WNVChu	M	K	H	L	L	S	F	K	K	E	L	G	T	L	P	S	A	T	N	R	360		
2.SIGEY-WNVChu*	ATG	AAG	CAC	CAC	CNG	CNG	CTG	AGC	PTC	AAG	AAG	GAG	CTG	GCG	ACC	CTG	ACC	AGC	ATC	AAC	CGC	360	
3.WNVwt	..g	...	..tca	..a	..c	..c	..c	..c															
1.SIGEH-WNVChu	R	S	S	K	Q	K	K	R	G	G	K	T	G	T	A	V	M	T	G	L	420		
2.SIGEY-WNVChu*	CGC	AGC	AGC	AGC	CAG	CAG	AAG	AAG	CGC	GGC	GGC	AAG	CGC	ATT	GCC	GTG	ATG	ATT	GCG	CTG	420		
3.WNVwt	..g	...	..tca	..a	..c	..c	..c																

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**NoLL linker**

1.SIGEH-WNVChu	I	A	S	V	G	A	400
2.SIGEY-WNVChu*	ATG	GCC	AGC	GNC	GCG	<u>GCG</u>	400
3.WNVwt	..g	...	..a	..a	..a	..a	..a

**FIG. 1b**



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## mRNA Energy Minimization in 1st 73 Bases

WNVy-DJY

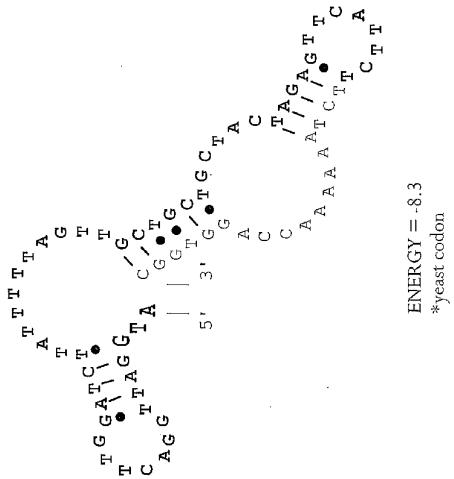


FIG. 2b

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### Radioimmunoprecipitation with *In Vitro* Translated Proteins

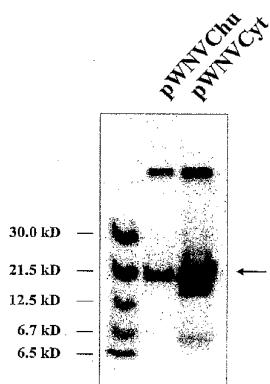


FIG. 3

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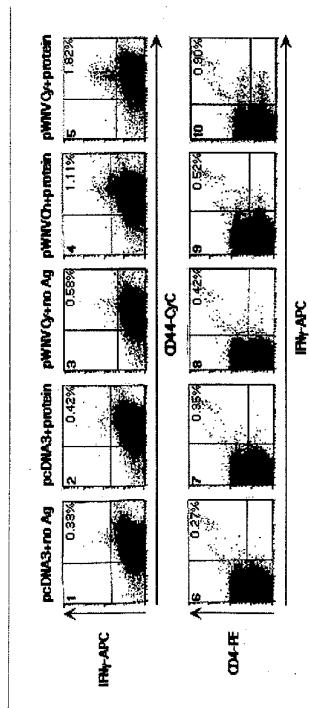


FIG. 4

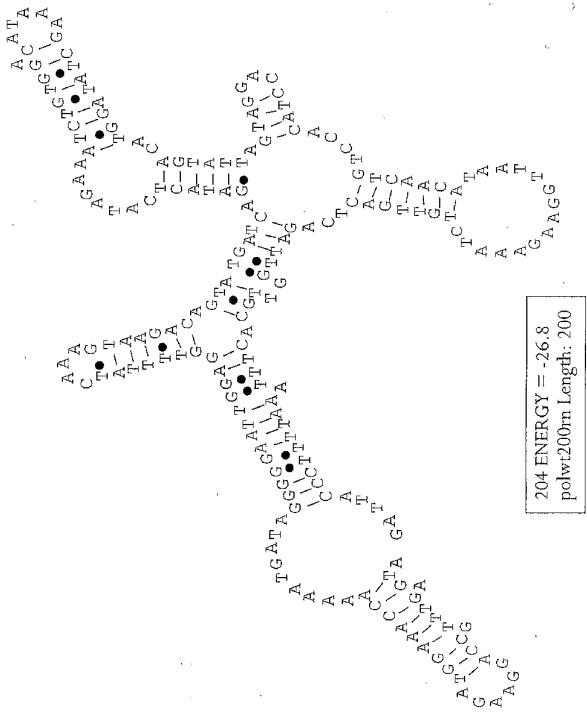
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## mRNA Energy Minimization: Addition of Leader Sequence



204 ENERGY = -26.8  
polyw200n Length: 200

FIG. 5a

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### mRNA Energy Minimization: Addition of Leader Sequence

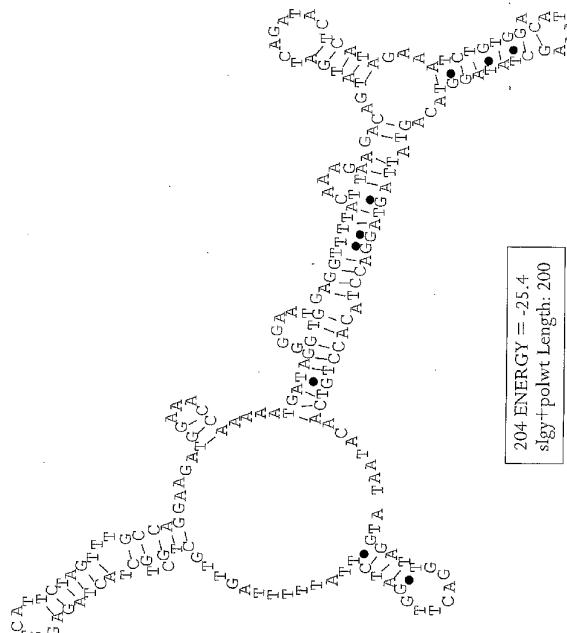


FIG. 5b

204 ENERGY = -25.4  
slgy+polwt Length: 200

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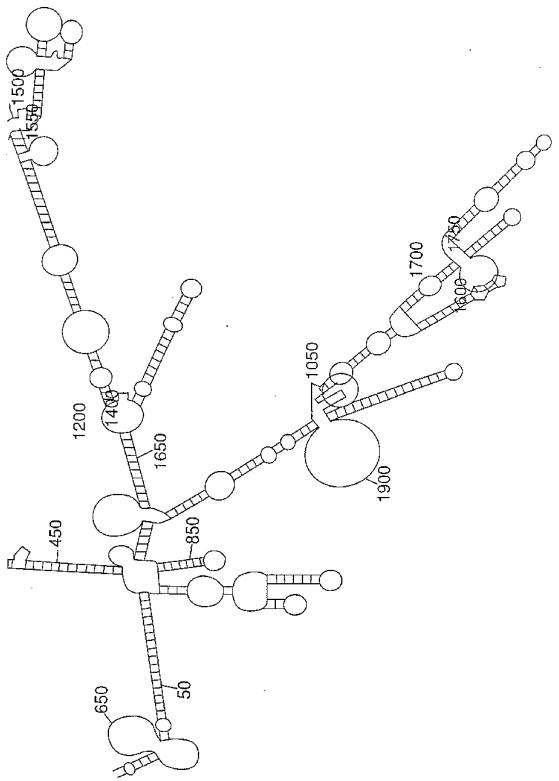
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FIG. 6

## mRNA Energy Minimization: HIV-1 Polwt



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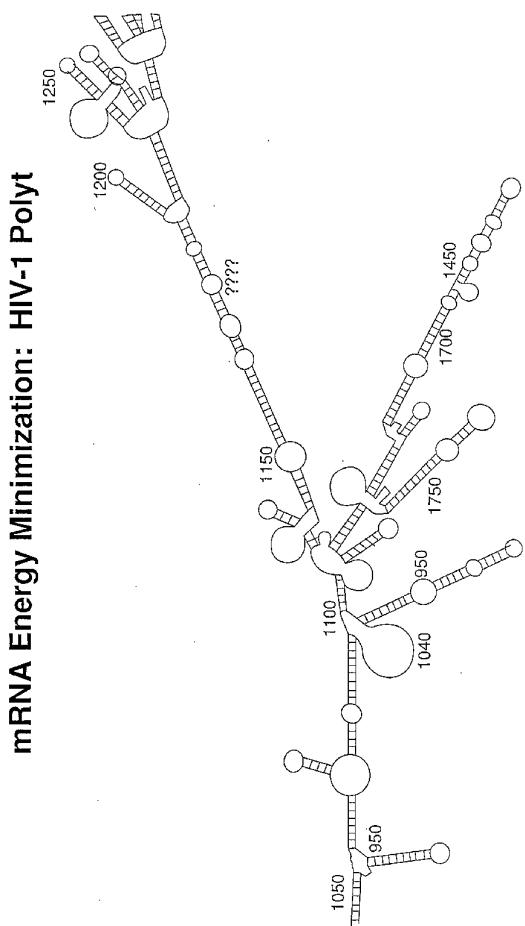


FIG. 7

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mRNA Energy Minimization in 1st 73 Bases

## HIV-1 Pol hu

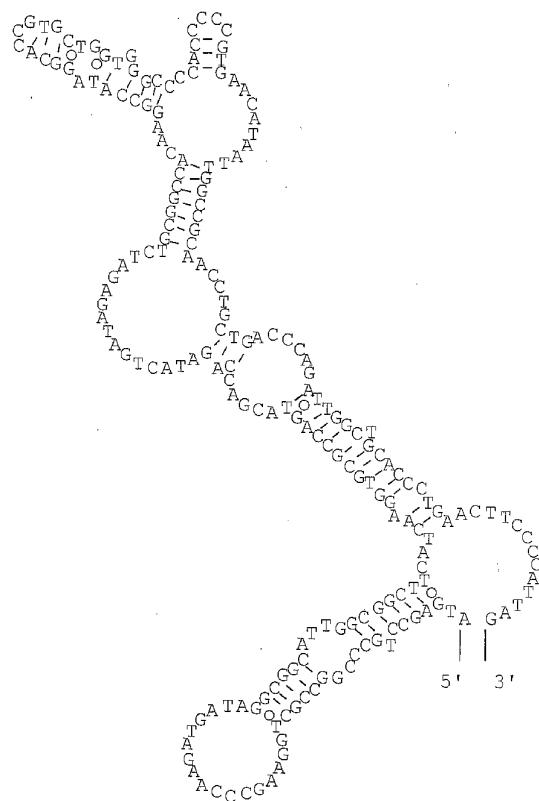


FIG. 8a

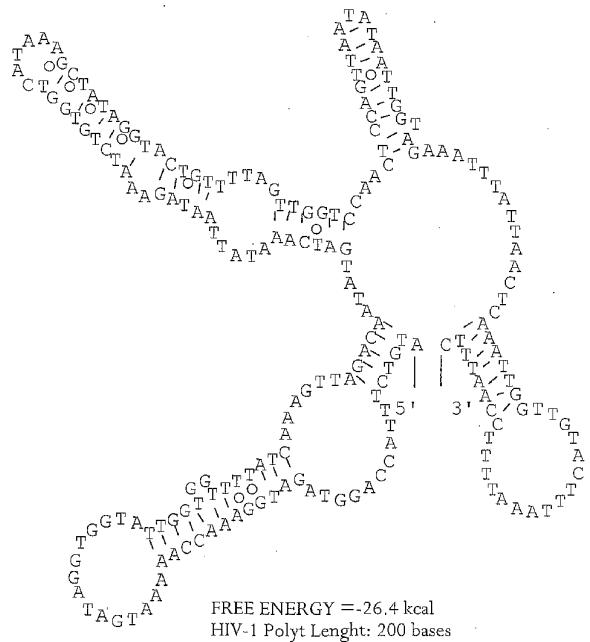
FREE ENERGY = -53.0 kcal  
HIV-1 Polh Lenght: 200 bases

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**mRNA Energy Minimization in 1st 73 Bases****HIV-1 Poly t****FIG. 8b****SUBSTITUTE SHEET (RULE 26)**

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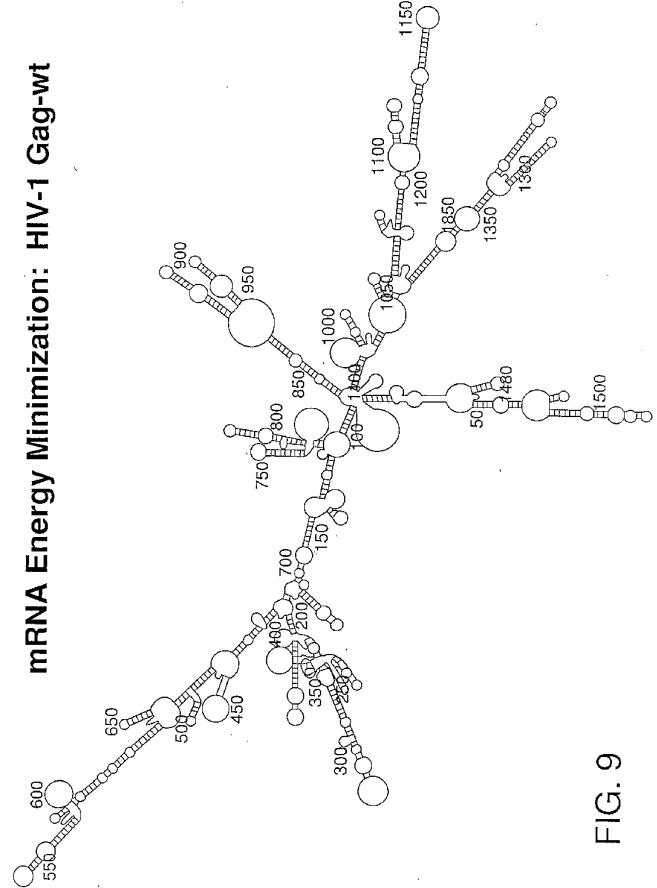


FIG. 9

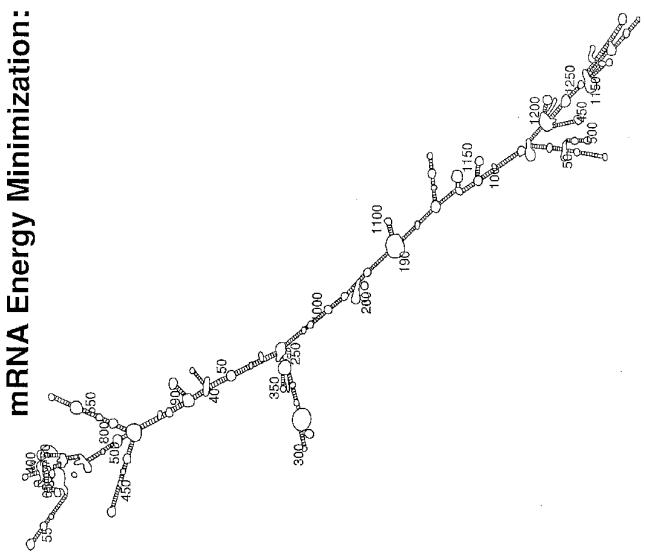
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FIG. 10



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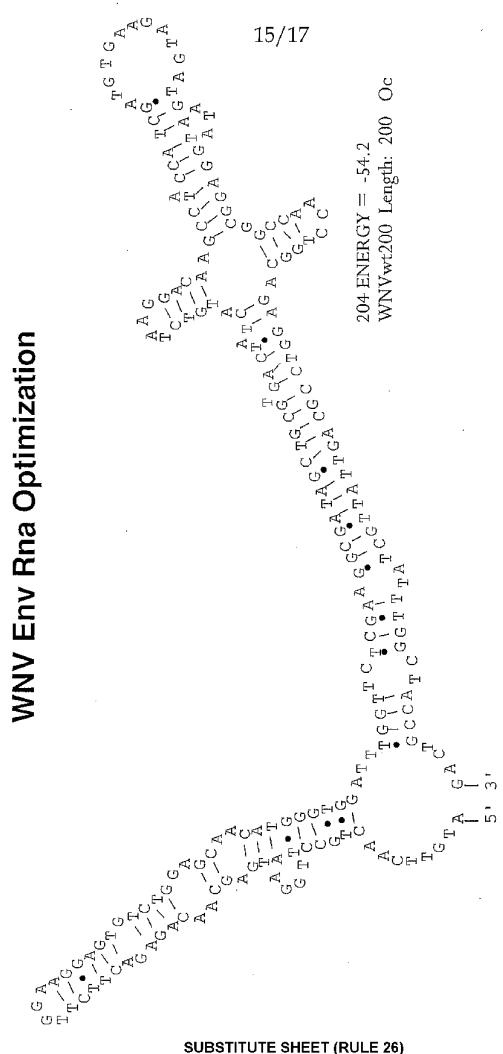


FIG. 11a

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## WNV Env Rna Optimization

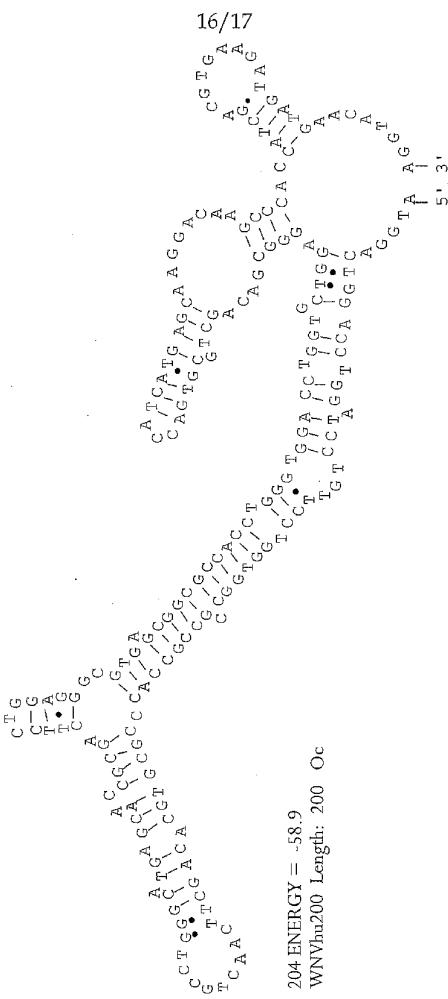


FIG. 11b

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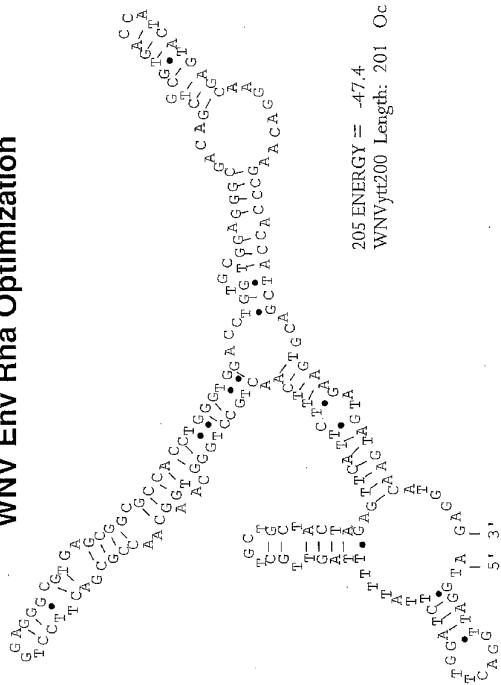
**WNV Env Rna Optimization**

FIG. 11C

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## 【国際調査報告】

INTERNATIONAL SEARCH REPORT		International application No. PCT/US01/31451
<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
IPC(7) : C12P 21/00, 21/02; A01N 43/04; C12N 15/00, 15/09, 15/11, 15/63 US CL : 435/69.1, 320.1; 514/44; 536/23.1 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) U.S. : 435/69.1, 320.1; 514/44; 536/23.1		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Please See Continuation Sheet		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	de SMIT et al, Control of translation by mRNA secondary structure in Escherichia coli, Journal of Molecular Biology, 1994, Vol. 244, pages 144-150, see entire document.	1-8 ----- 9-54
X	MUKUND et al, Effect of mRNA secondary structure in the regulation of gene expression: Unfolding of stable loop causes the expression of Tag polymerase in E. coli, Current Science, 10 June 1999, Vol. 76, No. 11, pages 1486-1490, see entire document.	1-8 ----- 9-54
X	SUO et al, RNA secondary structure switching during DNA synthesis catalyzed by HIV-1 reverse transcriptase, Biochemistry, 1997, Vol. 36, pages 14778-14785, see entire document	1-8 ----- 9-54
X	US 5,489,529 A (de BOER et al) 6 February 1996 (06.02.1996), see entire document.	1-8 ----- 9-54
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex		
* Special categories of cited documents: *A* document defining the general state of the art which is not considered to be of particular relevance *B* earlier application or patent published on or after the international filing date *L* document which may throw doubt on priority claims or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed		
Date of the actual completion of the international search 22 March 2002 (22.03.2002)	Date of mailing of the international search report <b>24 APR 2002</b>	
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703)305-3230	Authorized officer James Martinek  Telephone No. (703) 308-0196	

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INTERNATIONAL SEARCH REPORT		International application No. PCT/US01/31451
C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,254,463 A (de BOER et al) 19 October 1993 (19.10.1993), see entire document.	1-8 ----- 9-54
---		
Y	US 5,593,972 A (WEINER et al) 14 January 1997 (14.01.1997), see entire document.	9-54
Y	US 5,482,713 A (PAOLETTI) 09 January 1996 (09.01.1996), see entire document.	9-54

Form PCT/ISA/210 (second sheet) (July 1998)

INTERNATIONAL SEARCH REPORT	International application No. PCT/US01/31451

**Continuation of B. FIELDS SEARCHED Item 3:**  
STN: CAPLUS, secondary, structure, messenger#, rna#, mriia#, free energ?, thermodynamic#  
WEST: secondary, structure, messenger, rna, mriia, free energ\$, thermodynamic

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## フロントページの続き

(51) Int.Cl. <sup>7</sup>	F I	テーマコード(参考)
A 6 1 P 9/00	A 6 1 P 9/00	
A 6 1 P 17/00	A 6 1 P 17/00	
A 6 1 P 17/06	A 6 1 P 17/06	
A 6 1 P 19/02	A 6 1 P 19/02	
A 6 1 P 21/00	A 6 1 P 21/00	
A 6 1 P 25/00	A 6 1 P 25/00	
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A 6 1 K 38/22	A 6 1 K 37/48	
A 6 1 K 38/43	A 6 1 K 35/76	
A 6 1 K 39/00	A 6 1 K 39/00	H

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**摘要(译)**

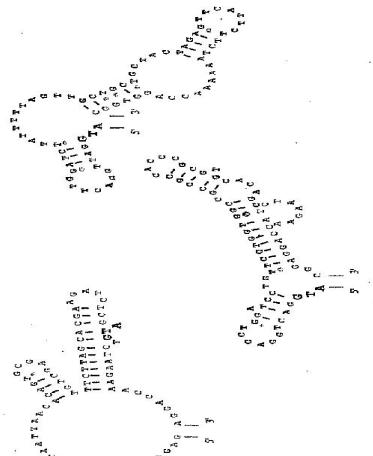
种类代码 : A1本发明涉及在重组表达系统中产生蛋白质的方法，该方法在表达系统中包括翻译从异源DNA序列转录的mRNA的方法。该方法包括预测从野生型异源DNA序列转录的mRNA的二级结构的步骤。与由野生型异源DNA序列转录的mRNA的二级结构相比，由该修饰的异源DNA序列转录的mRNA的二级结构具有增加的自由能。此外，该方法包括使用修饰的异源DNA序列在重组表达系统中产生蛋白质的步骤。本发明还提供了可注射的药物组合物，其由包含修饰的编码序列的核酸分子组成。本发明还提供了编码序列。

## mRNA Energy Minimization in 1st 73 Bases

WWwt

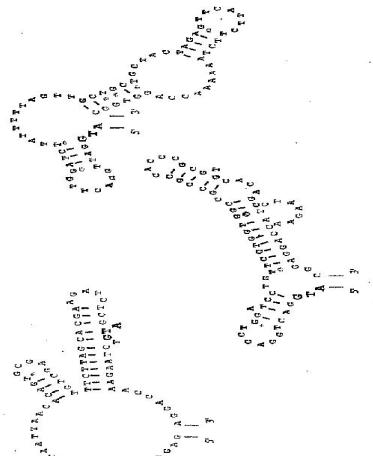
WWy-DY

WWy-DY



ENERGY = -15.1  
wt type

ENERGY = -19.5  
human codon



ENERGY = -43  
yeast codon