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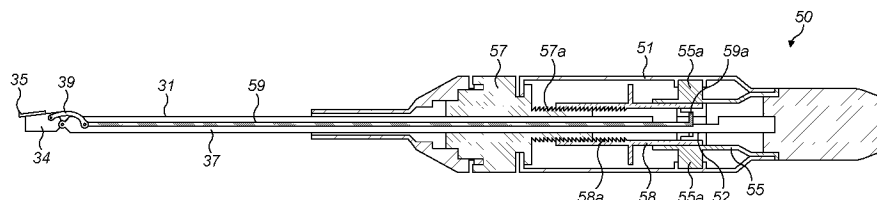
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(54) **Title:** SURGICAL INSTRUMENT**FIG. 14**

(57) **Abstract:** A surgical instrument for minimally-invasive arthroscopic use in a constrained joint space, the surgical instrument comprising: a handle assembly; a shaft assembly including: a shaft having a longitudinal shaft axis and a lumen therethrough and being connected to the handle assembly at a proximal end of the shaft; and an operative portion including a working tip with a tool mounted thereon at a distal end of the shaft, wherein, in use, the operative portion is selectively moveable between a first configuration in which the operative portion is substantially aligned with the longitudinal shaft axis, and a second, deployed, configuration in which at least part of the operative portion has: a selectible working direction defined by the angle between the tool and the longitudinal shaft axis; and a selectible lateral displacement defined by the linear distance between the working tip and the longitudinal shaft axis, measured at a central location point at which the tool is mounted thereon and perpendicular to the longitudinal shaft axis, the working direction and lateral displacement being selectable independently of one another.



WO 2017/158364 A1

## SURGICAL INSTRUMENT

[0001] The present invention relates to a surgical instrument for minimally invasive arthroscopic use in a constrained joint space. Particularly, but not exclusively, the invention  
5 relates to a surgical instrument for removing the osteoarthritic lesion and for delivering a regenerative medicine product (RMP) to a target region.

## BACKGROUND

[0002] Osteoarthritis (OA) is a condition characterised by the degeneration of articular  
10 cartilage and underlying bone. OA causes pain and stiffness in the affected joint or joints, which can make it difficult to move the affected joints. OA commonly occurs in the hip, knee, and small joints of the hands. Treatment for osteoarthritis includes lifestyle changes, medication, supportive treatments, surgery and complementary or alternative therapy.

[0003] WO2010148125 A1 discloses a microfracture method and device for articular  
15 cartilage repair. At least two discrete channels, spaced a predetermined distance apart from one another are created through the cartilage and underlying subchondral bone, down into the marrow cavity of the bone. Blood and marrow elements, including mesenchymal stem cells, growth factors, and other healing factors and proteins, are released from the subchondral marrow space into the defect through the perforations to  
20 form a "super clot." The "super clot" provides an enriched environment for the formation of new chondral tissue in the defect. After channel formation, higher levels of negative pressure may be applied to draw out marrow components, stem cells, and blood from the marrow cavity into the chondral defect to accelerate formation of a super clot. Whilst microfracture has been shown to provide successful short-term results, techniques with  
25 longer-term results that restore, rather than repair cartilage are preferable.

[0004] In earlier stages of OA, lesions are typically small and grow outwards as the disease progresses. Whilst bone is a good healing reserve due to the presence of mesenchymal stem cells in the bone marrow, cartilage has a comparatively reduced healing capability. Consequently, damage occurring whilst removing an osteoarthritic  
30 lesion must be minimised. Studies have shown that the quality of the cutting edge when osteoarthritic lesions are removed is important for cell survival at the wound site of the remaining cartilage. When blunt cutters, such as a curette are used, there is a notable increase in cell death around the proximity of the cut edge, as compared to cartilage cut with a sharp scalpel. Increased precision and reduced surface roughness promote cell  
35 survival at the wound site. Cutting techniques known in the art for cutting cartilage include

lasers, drills, burrs, radiofrequency ablation, thermal ablation and curettes. Curettes are relatively blunt due to their curved shape and consequently put a region of stress on the cartilage in use. Indeed, none of the aforementioned known cutting techniques give a controlled edge or desired precision.

5 [0005] Other treatments for OA include hip replacement surgery or hip resurfacing surgeries. However, these treatments are generally reserved for end stage treatment of OA. These techniques are performed in open surgery procedures, which are highly invasive and require hip distraction. Addressing minor, early stage osteoarthritic lesions with open surgery is undesirable, because open surgery generally inflicts a lot of stress on  
10 the body.

[0006] Minimally invasive methods of performing surgery in joints of the human or animal body are becoming increasingly popular. Arthroscopy is typically used for joints having a straight line of access, such as the knee. In arthroscopic surgery, at least one incision is made and often, a corresponding arthroscopy portal may be placed into at least one  
15 incision. Various tools may then be interchangeably used throughout the procedure. In the knee, arthroscopic surgery can be performed in a direction (the working direction) that is the same as the direction in which the target region of the joint is accessed (the access direction). In the example shown in Figure 1, an arthroscope 2 passes, via an incision, through an arthroscopy portal (not shown) through skin 4 and ligaments 6 of the knee 100,  
20 below the patella 8 to contact a target region 10 in the head 12 of the femur 14. A medical professional can then operate on the target region 10 without the arthroscope 2 being required to depart from the axis 16 of the direction of access; the axis 16 of the access direction is collinear with the axis of the working direction. The skilled person will appreciate that both the access and working direction can be easily made collinear for any  
25 target region located in a variety of locations on the articulating surfaces of the knee joints simply by moving the lower leg through the natural range of motion of the knee 100. For example, should the target region 10 be located elsewhere on the articulating surfaces of the knee joint, a medical professional can readily move the lower leg to move the tibia 18 and fibula 20 relative to the femur 14, so as to expose the target region of the femoral  
30 condyles 12 and tibial plateau 22, without having to re-engineer the arthroscope 2.

[0007] In contrast, arthroscopic procedures for constrained joint spaces, such as the hip and shoulder, are less established. A constrained joint space typically has complex geometry that means that the axes of the access direction and the working direction are not always collinear for every given target region. The target region is usually on an  
35 articulating surface. Some target regions may require an arthroscope to be able to work over or around a curve, or around a corner, due to anatomical obstructions, however in

any joint, there is little space to work in between articulating surfaces. For example, the skilled person will appreciate that in the case of the hip joint, some locations on the articulating surface cannot be operated on with the axes of the access direction and working direction collinear. For some possible locations of target region, simply moving the  
5 upper leg of the patient through its natural range of motion will not sufficiently expose the target region. Indeed, ball and socket joint spaces usually fall within the meaning of “constrained joint space” as used herein.

**[0008]** Medical devices with articulating end portions are known, for example that disclosed in US2010280526. An articulating shaft portion is illustrated in Figures 5 and 6  
10 thereof in which a slat assembly “provides the necessary support for articulation as well as for the other forces generated during cutting and/or manipulation” (paragraph [0049]). However, the example end effectors listed in paragraph [0054] and the only specific procedure described (meniscectomy at paragraph [0066]) do not indicate suitability for this device for the specific needs of arthroscopy in confined joint spaces.

15 **[0009]** Some known devices with articulating shaft portions are, by definition, flexible and therefore not able to reliably withstand the working load involved in arthroscopic procedures.

**[0010]** Access to the central part of the hip joint requires distraction of the joint. Hip distraction is invasive, puts stress on the body and causes the patient pain during post-  
20 operative recovery. Additionally, the use of hip distraction imposes a time limit on the surgery, meaning surgery might be rushed, or steps omitted. Arthroscopic surgery is occasionally used to treat femoral acetabular impingement (FAI), a condition wherein the head of the femur does not have full range of motion within the acetabulum. The condition also results in damage to the surrounding cartilaginous tissue. However, due to the time  
25 limit on the surgery, repair to damaged cartilage is usually prioritised last and is rushed or even omitted if there is not sufficient time remaining once joint impingement has been addressed.

**[0011]** There is a need for surgical instrumentation for treating an osteoarthritic joint surface in a minimally invasive manner, particularly for joints with complex geometrical  
30 constraints, such as the hip, shoulder or ankle.

## BRIEF SUMMARY OF THE DISCLOSURE

**[0012]** Aspects and embodiments of the invention relate to a surgical instrument, a  
cartridge for use as a reservoir of implantable material for use in a surgical instrument, a  
35 surgical apparatus, a minimally invasive arthroscopic method of preparing a target region

of a constrained joint space and a minimally invasive arthroscopic method of delivering implantable material to a target region of a constrained joint space, as claimed in the appended claims.

**[0013]** In accordance with an aspect of the present invention there is provided a surgical instrument for minimally-invasive arthroscopic use in a constrained joint space, the surgical instrument comprising:

a handle assembly;

a shaft assembly including:

a shaft having a longitudinal shaft axis and a lumen therethrough and being connected to the handle assembly at a proximal end of the shaft; and

an operative portion including a working tip with a tool mounted thereon at a distal end of the shaft,

wherein, in use, the operative portion is selectively moveable between a first configuration in which the operative portion is substantially aligned with the longitudinal shaft axis, and a second, deployed, configuration in which at least part of the operative portion has:

a selectable working direction defined by the angle between the tool and the longitudinal shaft axis; and

a selectable lateral displacement defined by the linear distance between the working tip and the longitudinal shaft axis, measured at a central location point at which the tool is mounted thereon and perpendicular to the longitudinal shaft axis,

the working direction and lateral displacement being selectable independently of one another.

**[0014]** Independent selection of the working direction and the lateral displacement enables improved access for the surgeon to a constrained joint space. The instrument can advantageously be used to treat lesions within a constrained joint space, such as the hip joint.

**[0015]** In some embodiments, the operative portion includes an articulation segment which is said working tip or is intermediate said working tip and said shaft. The articulation segment may have actuation means capable of moving the operative portion between the first configuration and the deployed configuration, as desired.

**[0016]** The actuation means may comprise one or more rods, cables, pneumatic or hydraulic means located within the shaft lumen and actuatable via said handle.

**[0017]** In an embodiment, the actuation means comprises an actuation rod and there may be a rod link at a distal end of and pivotable with respect to said actuation rod. Use of a rod is advantageous as its rigidity enables it to be both pushed and pulled (unlike a cable). A rod is also less likely to change its properties over time (a cable may become  
5 slacker through use, for example).

**[0018]** The actuation rod may have a radially extending formation or rod link end at or near a proximal end thereof, the formation being axially fixed with respect to a rod link end housing and axially moveable therewith so as to operate said articulating element.

**[0019]** The surgical instrument may further comprise a locator tube which is selectively  
10 axially translatable along the longitudinal shaft axis, the locator tube being capable of moving the rod link end housing axially. The locator tube may be selectively axially translatable along the longitudinal shaft axis by virtue of a screw thread at one end thereof.

**[0020]** Optionally, the locator tube screw thread engages a screw thread on a rotatable position selector, rotation of which position selector causes the axial translation of the  
15 locator tube.

**[0021]** Optionally, the surgical instrument further comprises locking means which, in said deployed configuration, lock the operative portion in the deployed configuration with respect to the shaft in order that a working load can be applied in the working direction. In an embodiment, the locking means comprises the selection of a sufficiently narrow screw  
20 thread pitch on the locator tube and/or position selector. The working load may be in the range 3N to 10N. Locking means advantageously enables the surgeon to retain the operative portion in a specifically selected position during a step of minimally invasive arthroscopic surgery, for as long as is required. The selected lateral displacement and working direction may also be retained even if the shaft assembly is removed from and  
25 replaced in the handle assembly.

**[0022]** The lateral displacement may be selectable by selecting an angle of articulation of the articulation segment with respect to the longitudinal shaft axis.

**[0023]** The working direction may be selectable by selecting the angle at which the tool is mounted on the working tip. The working direction may also be selectable by adjusting the  
30 angle at which the tool is mounted on the working tip.

**[0024]** In an embodiment, the shaft assembly is removable and replaceable via the proximal end of the handle assembly. This allows a user to switch between one or more different shaft assemblies during an arthroscopic procedure. A subsequent shaft assembly may have a same type of working tip at its operative portion as a previous shaft assembly,  
35 or it may have a different type of working tip at its operative portion. The ability to change

working tip type by exchanging just the shaft assembly may advantageously reduce operating time and complexity.

5 [0025] The surgical instrument may additionally comprise locator means for recording positional information relating to the shaft assembly so that said shaft assembly or another shaft assembly can be replaced in a repeatable position after removal from the handle assembly. This advantageously reduces operating time, as the user will not have to spend time adjusting the positioning of a subsequent shaft assembly to position it with the same working direction and lateral displacement as a previous shaft assembly.

10 [0026] It may be that in use, the shaft, aligned with the access direction, has no direct line of sight to the target region.

[0027] In an embodiment, the locator means comprises the locator tube whose axial position remains unchanged while the shaft assembly is being removed and replaced.

15 [0028] In some embodiments, the tool is moveable in a closed loop path, for example a circle, with respect to a central location point fixed with respect to the shaft. This provides ample range of motion for the operative portion and enables a working tip to contact a large range of areas within a constrained joint space. It may be that said central location point is on an axis aligned with said working direction.

[0029] In an embodiment, said tool comprises a cutting element capable of producing a cut surface at said target region, the cut surface having one or more selectable  
20 characteristics, for example size, depth, orientation, shape and surface topography. This advantageously enables the surgeon to prepare a target region and to tailor the characteristics of the prepared target region. The cutting element may be a blade, a laser cutter, ultrasonic cutter or a fluid jet cutter. The cutting element may be moveable in a closed loop path with respect to the central location point fixed with respect to the shaft, at  
25 which the tool is mounted on the working tip so as to make a perimeter cut along said closed loop path at said target region. This advantageously provides a continuous perimeter cut, which is associated with lower cell mortality rates at the site of the cut. In some embodiments the cutting element is capable of cutting to remove material from an area within said perimeter cut.

30 [0030] In some embodiments, said tool comprises delivery means capable of delivering implantable material to a target region. This advantageously mitigates the need for a separate surgical instrument for delivering an implantable material. Optionally, the working tip includes a wiper tool moveable in said closed loop path to wipe excess implantable material from the target region. This ensures that implantable material is accurately

placed. It may be that the wiper tool or an additional component of the working tip can compress the implantable material within the target region.

**[0031]** Optionally, the operative portion includes a curing tool for curing implantable material.

5 **[0032]** In some embodiments, said delivery means comprises a nozzle connectable to a reservoir containing said implantable material. This advantageously reduces the amount of storage space needed to store said implantable material and surgical instruments. Said reservoir may be located within said handle assembly or within the lumen of the shaft. Optionally, said reservoir is a removeable and replaceable cartridge. The handle  
10 assembly may include an outer housing having an aperture at a proximal end through which said reservoir can be installed, removed and replaced.

**[0033]** In some embodiments, said reservoir may contain a plunger capable of expelling implantable material from said reservoir, the plunger being actuable via said handle assembly. The nozzle may be in fluid connection with said reservoir via the lumen of the  
15 shaft.

**[0034]** According to another aspect of the invention there is provided a cartridge for use as a reservoir of implantable material suitable for use in a surgical instrument as described in any of the preceding paragraphs, the cartridge comprising a chamber containing implantable material and an outlet through which the implantable material can exit the  
20 chamber. The implantable material may be in the form of a powder, an injectable liquid, a morcellated solid, a gel, or any combination thereof.

**[0035]** The implantable material may comprise two components, stored in separate chambers until mixing thereof is desired, optionally further comprising a frangible membrane between the separate chambers. The cartridge may include a plunger for  
25 expelling said implantable material through said outlet.

**[0036]** The cartridge may include one or more end caps. In some embodiments, said outlet is connectable to said nozzle of the delivery means. Optionally, said chamber is refillable with implantable material.

**[0037]** According to another aspect of the invention there is provided surgical apparatus  
30 for minimally-invasive arthroscopy comprising:

**[0038]** a surgical instrument as described in any of the preceding paragraphs;

**[0039]** clamping means for clamping said handle assembly in a fixed position with respect to the target region so that said shaft assembly can be replaced in a repeatable position after removal from the handle assembly.



**[0040]** Optionally, said clamping means comprises an operating table clamp and/or an adjustable arm clamp. The surgical apparatus may comprise a cartridge as described in any of the paragraphs above.

**[0041]** According to another aspect of the invention there is provided a minimally-invasive arthroscopic method of preparing a target region of a constrained joint space using surgical apparatus as described in any of the paragraphs above comprising the steps of:

inserting a shaft assembly into said housing assembly;

moving said shaft assembly along an access direction towards the target region with the operative portion in its first configuration;

actuating said instrument to move the operative portion into its second, deployed configuration having a first working direction and a first lateral displacement;

clamping the housing assembly with respect to the target region; and

actuating said working tip in the first working direction to prepare the target region.

**[0042]** The method may further comprise the steps of:

actuating said instrument to move the operative portion back into its first configuration;

removing the shaft assembly from the instrument and replacing it with a second shaft assembly;

moving said second shaft assembly along the access direction towards the target region with the operative portion its first configuration;

actuating said instrument to move the operative portion into its second, deployed configuration in a second working direction and/or a second lateral displacement; and

actuating said working tip in the second working direction to prepare the target region.

**[0043]** Optionally, the first working direction and the second working direction are the same. Optionally, the first lateral displacement and the second lateral displacement are the same.

**[0044]** The method steps may be repeated for one or more additional target regions.

**[0045]** According to another aspect of the invention there is provided a minimally-invasive arthroscopic method of delivering implantable material to a target region of a

constrained joint space using surgical apparatus as described in any of the paragraphs above comprising the steps of:

inserting a shaft assembly whose working tip comprises delivery means capable of delivering implantable material to said target region into said housing assembly;

5 moving said shaft assembly along an access direction towards the target region with the operative portion in its first configuration;

actuating said instrument to move the operative portion into its second, deployed configuration; and

actuating said delivery means to deliver implantable material to the target region.

10 **[0046]** The method may further comprise the steps of:

inserting a shaft assembly whose working tip comprises wiping means capable of wiping excess implantable material from said target region into said housing assembly;

moving said shaft assembly along an access direction towards the target region with the operative portion in its first configuration;

15 actuating said instrument to move the operative portion into its second, deployed configuration; and

actuating said wiping means to wipe excess implantable material from said target region.

**[0047]** The methods may additionally include the step of curing the implantable material  
20 delivered to the target region.

## BRIEF DESCRIPTION OF THE DRAWINGS

**[0048]** Embodiments of the invention are herein, by way of example only, with reference to the accompanying drawings, in which:

25 Figure 1 (PRIOR ART) is a schematic representation of an arthroscopic procedure on a knee joint;

Figure 2 is a schematic representation of a hip joint showing a surgical instrument approaching along an access direction;

Figure 3 is a schematic representation of a hip joint showing two target regions,  
30 each having a working direction;

Figure 4A is a schematic representation of a lesion requiring treatment;

Figure 4B shows the lesion after preparation of its wall edge;

Figure 4C shows the lesion after filling with a regenerative medicine product;

Figures 5 and 6 (PRIOR ART) are reproduced from US2010280526 and have been annotated to illustrate the working direction and lateral displacement of this prior art instrument. Reference numerals in these figures relate to features of US2010280526;

Figures 7A and 7B show the distal end of a surgical instrument in the first and the second, deployed, configurations respectively;

Figure 7C is a side view of the surgical instrument of Figure 7B, partly in section;

Figure 8 indicates how the cutting element can make a perimeter cut by moving in a closed loop path indicated by the arrows;

Figures 9A and 9B show the distal end of a surgical instrument in the first and the second, deployed, configurations respectively, having a different working tip to that of Figures 7A and 7B;

Figures 10A – 10D show the distal end of a surgical instrument in the deployed configuration, having a cutting tool which can be independently articulated;

Figure 11 indicates how the cutting element can remove material from an area within the perimeter cut;

Figure 12A is a side view of a surgical instrument in the second configuration in which the operative portion is orientated so as to be capable of operating on a target region in a working direction;

Figure 12B indicates how the delivery means can deliver implantable material to a target region;

Figures 13A – 13H show different embodiments of the surgical instrument, having different tools at the operative portion;

Figure 14 is a cross-sectional view of an embodiment of the instrument with the distal end at the left of the Figure, the operative portion being in the first, undeployed position;

Figure 15 shows the instrument of Figure 14 in the second, deployed, position;

Figure 16 shows the instrument of Figure 14 with the shaft assembly temporarily removed;

Figure 17 shows the instrument of Figure 14 with the shaft assembly replaced with one having a different working tip and a different working direction;

Figure 18 is a perspective view of another handle assembly;

Figure 19A is a perspective view of another handle assembly;

Figure 19B is a perspective view of a shaft assembly;

Figure 20 is a side view of a handle assembly and shaft assembly assembled  
5 together and mounted on a table clamp;

Figure 21 shows how the instrument is used to access a lesion site in the hip joint;

Figure 22 shows a surgical table arm clamp with adjustable instrument support;

Figure 23 shows a reservoir for implantable material, connectable to delivery  
means;

10 Figure 24 shows a cartridge with end caps in place;

Figure 25 shows the cartridge of Figure 24 with the end caps removed;

Figure 26 shows how a cartridge can be loaded into the surgical instrument; and

Figure 27 shows how two cartridges can be loaded into the surgical instrument.

## 15 DETAILED DESCRIPTION

[0049] In the present disclosure, the following terms may be understood with reference to the following:

[0050] The term “**target region**” may refer to a surgical site on which it is desired to operate;

20 [0051] The term “**access direction**” may refer to a direction in which a surgical tool approaches a target region;

[0052] The term “**working direction**” may refer to a direction in which a surgical tool operates on a target region, for example by applying a working load in the working direction. The working direction may be the angular deviation of the working tip (see  
25 below) from the access direction or from the longitudinal axis of the shaft;

[0053] The term “**constrained joint space**” may refer to a joint space in which the axes of the access direction and the working direction are not always collinear for every given target region;

[0054] The term “**proximal end**” may refer to the end of the instrument furthest from the  
30 target region, in use;

[0055] The term “**distal end**” may refer to the end of the instrument nearest to the target region, in use;

[0056] The term “**working tip**” may refer to a tool, end effector or other component at the distal end of the instrument, the working tip being used to operate on the target region. A  
5 non-exhaustive list of example working tips includes: perimeter cutters having a single blade, perimeter cutters having a circular blade, scrapers, burr removers, ablation tools including RF or acoustics (e.g. ultrasound), water jets, lasers, any combination of the above;

[0057] The term “**operative portion**” may refer to a distal portion of the instrument  
10 including at least one articulating portion and the working tip;

[0058] The term “**lateral displacement of the working tip**” may refer to the linear distance of the working tip from the access direction or from the longitudinal axis of the shaft, measured at a central location point at which the tool is mounted thereon and perpendicular to the longitudinal shaft axis;

[0059] The term “**central location point**” may refer to the point at which the tool is  
15 mounted on the working tip. The central location point may be located on the distal end of the working tip (in the case of an end-mounted tool an example of which is in Figure 13A) or on a side surface near the distal end of the working tip (in the case of a side-mounted tool an example of which is in Figure 13B).

[0060] As described above, arthroscopic procedures for constrained joint spaces, such  
20 as the hip, are difficult using known techniques because of the difficulty in accessing the lesion to be treated.

[0061] Figure 2 shows a hip joint including an acetabulum 25 and femoral head 26. A surgical instrument 30 approaches the joint along an access direction AD. Lesions 27 to  
25 be treated are shown in Figure 3. Each lesion 27 needs to be treated along a working direction WD. It can be seen that neither of the working directions WD indicated in Figure 3 are collinear with the access direction of Figure 2, there being no line of sight between the possible access directions and the required working directions. This is a problem addressed by embodiments of the claimed invention, as will be described in further detail  
30 below.

[0062] The aim of the arthroscopic procedure is illustrated in general terms in Figures 4A – 4C. Figure 4A shows a typical cartilage lesion whose edges 28 are frayed and uneven in terms of profile, mechanical and surface quality. The cartilage in the region of the lesion edge 28 will be degenerate and represents an unsuitable region around which to base a  
35 repair. This degenerate region may extend some distance (typically mm) away from the

lesion edge 28, thus, if the repair is to be based around entirely undamaged tissue then the damaged region needs to be removed, despite the likelihood that it may appear healthy.

5 [0063] Figure 4B shows the lesion 27 after the lesion edge or wall 28' has been prepared. Degenerate cartilage has been removed to leave a prepared wall 28' whose surface quality and geometry should optimise integration with a Regenerative Medicine Product (RMP). Figure 4C shows the lesion 27 after delivery of the RMP 29.

10 [0064] The claimed invention can equally be used to treat lesions on the acetabulum, the femoral head or in any other constrained joint spaces such as the shoulder or ankle. In a constrained joint space, it is highly unlikely that the working direction will be collinear with the access direction. The claimed invention can also be used to treat lesions where there is a direct line of sight between access direction and target region such that the working direction is collinear with the access direction (for example in a knee joint).

15 [0065] Figures 5 and 6 (PRIOR ART) are reproduced from US2010280526. The working direction is the angular deviation of the working tip from the longitudinal axis of the shaft, this being selectable by the surgeon. Two different working directions are indicated in Figures 5 and 6, indicated as angles  $\Phi$  and  $\theta$  respectively.

20 [0066] The lateral displacement of the working tip is the linear displacement of the working tip from the longitudinal axis of the shaft, this being dependent upon the selected working direction. The lateral displacement is indicated in Figures 5 and 6 by letters y and x respectively.

[0067] In the prior art, the working direction and lateral displacement are dependent upon one another. Therefore, for a working direction of  $\theta$ , the only possible lateral displacement is x. Similarly, for a lateral displacement of y, the only possible working direction is  $\Phi$ .

25 [0068] The claimed invention improves the functionality of the instrument by permitting the working direction and lateral displacement to be selectable independently of one another, thus allowing the surgeon much greater freedom to select the optimum lateral displacement for any given working direction and vice versa.

30 [0069] The surgical instrument 30 according to an embodiment of the invention comprises two assemblies: a handle assembly and a shaft assembly. Figures 7A and 7B show the distal end of the shaft assembly which includes a shaft 31 having a longitudinal shaft axis L and an operative portion 32. The shaft axis L is alignable with an access direction AD along which a target region of a joint (not illustrated) can be accessed, in use. In use, the shaft assembly is inserted into the handle assembly in a manner that will be  
35 described in more detail later.

[0070] At the distal end of the shaft is the operative portion 32 which, as shown in Figure 87, is aligned with the longitudinal axis L of the instrument (and hence with the access direction AD).

[0071] The operative portion 32 includes at least one articulation segment 33 and a working tip 34. In some embodiments, the working tip and articulation segment are separate segments which articulate with respect to one another. However, it is possible for the articulation segment and the working tip to be fixed with respect to one another (e.g. as a unitary segment), so long as the operative portion as a whole can articulate with respect to the shaft. In the embodiment shown in Figure 7A, the working tip includes a tool in the form of a cutting element 35 for preparing (by cutting) the target region. Other working tips and tools will be described below which have different functions, for example the delivery of RMP to the target region.

[0072] The operative portion 32 is selectively moveable between a first configuration shown in Figure 7A and a second, deployed, configuration shown in Figure 7B. Movement between the two configurations is by means of the articulation segment 33. More than one articulation segment may be present. The articulation segment 33 is caused to pivot with respect to the distal end of the shaft by means of, for example, a rod contained within the lumen of the shaft which is moveable axially by an actuator located at the proximal end of the shaft. In the aligned configuration, shown generally in Figure 7A, it is preferable that none of the surgical instrument 30 is outside the maximum cross section of the shaft 31. That is, the operative portion 32, including the at least one articulation segment 33, the working tip 34 and the cutting element 35 (or indeed any other tool) do not extend beyond the widest point of the shaft 31.

[0073] In the deployed configuration shown in Figure 7B, the operative portion 32 is no longer aligned with the longitudinal axis L of the instrument. A working direction WD has a direct line of sight to the target region and is the direction in which the tool acts upon the target region. The working direction is defined by the angle of articulation  $\theta_1$  with respect to the access direction and the longitudinal axis L which could, potentially, be zero (if both access and working directions are aligned). The lateral displacement z of the working tip is the linear displacement of the working tip 34 from the longitudinal axis L of the shaft. The working direction and the lateral displacement are independently selectable by the surgeon.

[0074] Locking means (not shown in Figure 7B) may be used to lock the operative portion 32 in the deployed configuration with respect to the shaft 31 sufficiently firmly to handle a typical working load applied in the working direction when cutting at the target

region. Alternatively, no locking means may be required, as is the case in one of the embodiments described in further detail below.

5     **[0075]** The cutting element 35 is moveable in a closed loop path centred on the central location point 36 so as to describe a circle around the location point 36. Example drive means 80 are indicated in Figure 7C. As shown schematically in Figure 8, the cutting element 35 is used to make a perimeter cut at the target region (in this case on the femoral head).

10     **[0076]** One way in which the working direction may be selectable independent of the lateral displacement is by removing the shaft assembly from the handle assembly and replacing with another shaft assembly that has a different working tip. This requires the presence of locator means for recording positional information relating to the shaft assembly so that said shaft assembly can be replaced in a repeatable position after removal from the handle assembly (for example using the embodiment illustrated in Figures 14-17). Figures 9A and 9B show a shaft 31 having a working tip 34' which the  
15     cutting element 35 mounted on a differently-angled face (as compared with that of Figures 7A and 7B. This results in a different working direction, defined by angle  $\theta_2$ , for the same lateral displacement  $z$ .

20     **[0077]** Another way in which the working direction may be selectable independent of the lateral displacement is shown in Figures 10A-10D in which, for a given lateral displacement  $z$ , the working direction can be varied by independently articulating the working tip 34'' and/or the cutting element 35 mounted thereon. In this way, a range of working directions  $WD_1$ ,  $WD_2$ ,  $WD_3$  are available.

25     **[0078]** The cutting element 35 at the working tip is replaceable with other tools according to need. The diameter of the circle and hence the size of the perimeter cut is determined by the size of the cutting element 35 and is selected to cut well outside the lesion edges. A range of sizes of cutting element 35 would be available to the surgeon. The peripheral cutting element 35 can be replaced by an alternative type of cutting element 38 which has an abrading function shown in Figure 11. This cutting element 38 is used to remove material from the area within the perimeter cut.

30     **[0079]** The cutting element described above is a blade, but other types of cutting element e.g. laser cutters or fluid jet cutters could equally be used. After the cutting is complete, the lesion wall has been prepared as previously shown in Figure 4B.

35     **[0080]** Figures 12A and 12B show a surgical instrument 30 in which the working tip comprises a nozzle 40 for the delivery of implantable material, such as RMP, to the target region. As before, the operative portion of the instrument is selectively moveable between



a first configuration wherein the operative portion is aligned with the longitudinal shaft axis and a second, deployed, configuration (Figure 12A). Figure 12B shows the nozzle delivering RMP to a prepared target region on the femoral head 26.

5 [0081] The implantable material may be, but is not limited to being regenerative medicine product (RMP). The implantable material may be a powder, an injectable liquid, a morcellated solid, a gel, or any combination thereof, for example.

[0082] Figures 13A – 13H show a selection of exemplary working tips that can be used in the claimed invention. These are:

10 [0083] Figure 13A: a tripod for locating the centrepoint of the target region, mounted on the end of the working tip;

[0084] Figure 13B: a tripod for locating the centrepoint of the target region, mounted on the side of the working tip;

[0085] Figure 13C: cutting element for making a perimeter cut, mounted on the end of the working tip;

15 [0086] Figure 13D: cutting element for making a perimeter cut, mounted on the side of the working tip;

[0087] Figure 13E: cutting element for removing material from within the perimeter cut, mounted on the end of the working tip;

20 [0088] Figure 13F: cutting element for removing material from within the perimeter cut, mounted on the side of the working tip;

[0089] Figure 13G: delivery means for delivering implantable material to the target region, mounted on the end of the working tip; and

[0090] Figure 13H: delivery means for delivering implantable material to the target region, mounted on the side of the working tip.

25 [0091] An embodiment of the instrument 30 will now be described in more detail in relation to Figures 14 – 17. Figures 14-17 show the instrument with its distal end at the left of the Figures and its proximal end at the right of the Figures.

30 [0092] Figure 14 shows the instrument 30 in its first, non-deployed, position. The instrument comprises a housing assembly 50 and a shaft assembly including an elongate shaft 31 having a longitudinal axis and lumen 37 therethrough. An elongate actuation rod 59 is located in the lumen 37, the rod 59 serving as actuation means for the working tip 34. A pivotable distal rod link 39 connects the distal end of the rod 59 to the working tip 34. In

this embodiment, there is only one articulating segment, this being the working tip 34 itself. A cutting element 35 is mounted on the working tip 34.

5     **[0093]** At or near the proximal end of the rod 59 is a rod link end 59a comprising a lug, boss, pin, or other formation extending radially from the rod 59. The rod link end 59a is captured within and is axially fixed with respect to a generally cylindrical rod link end housing 52. The rod link end housing 52 is located within a loading funnel 55 and is able to move axially with respect to the funnel 55. The funnel is provided with two orthogonally placed lugs 55a or other formations which engage with an interior surface of the housing 51 in order to lock the funnel 55 axially in the housing 51.

10    **[0094]** The rod link end housing 52 is moveable axially because of its engagement with an axially moveable locator tube 58. The engagement may be by virtue of a screw-thread (not illustrated), or other engagement means. The locator tube 58 is axially translatable along the longitudinal axis of the shaft. In the illustrated embodiment, the axial translation is possible because the locator tube 58 has an internal screw thread 58a at the distal end  
15    thereof. The internal screw thread 58a engages with an external screw thread 57a on the proximal end of a working tip position selector 57.

20    **[0095]** The working tip position selector 57 is rotatable about the longitudinal axis by the surgeon when the instrument is in use. Referring now to Figure 15, rotation of the position selector 57, which is axially locked with respect to the housing 51, causes the locator tube 58 to travel axially in a proximal direction as indicated by the arrow in Figure 15. The locator tube is rotationally locked with respect to the housing 51 by radial lugs or other formations 58b. These lugs 58b also serve as an axial endstop for the locator tube 58 as it reaches the distal end of the loading funnel 55, as shown in Figure 15.

25    **[0096]** As the locator rod 58 moves axially, it brings with it the rod link end housing 52 and therefore the rod link end 59a and rod 59. Axial movement of the rod 59 in the proximal direction pulls the distal rod link 39 in order to effect articulation of the working tip 34 to a deployed position shown in Figure 15 having a desired lateral displacement  $z$  and working direction WD.

30    **[0097]** Rotation of the position selector 57 in the opposite direction causes the locator tube 58 to travel in a distal direction, moving the rod 59 in a distal direction back towards the position shown in Figure 14. Further rotation of the position selector 57 causes the rod link 39 to pivot downwardly (not illustrated) offering further range of movement of the working tip to select a desired lateral displacement. The position selector 57 itself may serve as an endstop for axial movement of the locator tube 58 in the distal direction, when  
35    it reaches the distal end of screw thread 57a.

**[0098]** When the desired lateral displacement and working direction are obtained, the cutting element 35 can be actuated by the surgeon operating a working tip actuator dial 81 at the proximal end of the instrument. The actuator dial 81 operates drive means 80 (not shown) which are in communication with the cutting element via the lumen 37 of the shaft 31. Additional locking means (not shown) could be provided to hold the selected lateral displacement and working direction against the working load, although additional locking means are generally not necessary as the pitch of the screw threads 57a, 58a is narrow enough to prevent undesired relative movement thereof.

**[0099]** When it is desired to provide a different working tip, in order to provide a different tool or a different working direction, the working tip actuator dial 81 can be removed so that the entire shaft assembly can be removed via the proximal end of the handle assembly 50. This condition is illustrated in Figure 16. Whilst the shaft assembly is removed, the relative axial positions of the position selector 57, locator tube 58 and loading funnel 55 are retained and unchanged. Therefore, when the same or another shaft assembly is loaded into the handle assembly, as in Figure 17, the rod link end housing 52 thereof abuts the unmoved locator tube 58, allowing the previous positioning of the shaft assembly to be restored. Figure 17 shows the condition in which the working direction WD is different compared with Figure 15 because of the differently-angled working tip 34' that has been selected. The lateral displacement  $z'$  may also be different compared with Figure 15.

**[00100]** Alternative embodiments of the housing are shown in Figures 18 – 22. In Figures 18 and 19, the handle assembly 50 comprises a housing 51 having a pistol-type grip 56 and a trigger-type actuator 82. An axially-extending shaft locator 53 is a cylindrical tube of greater diameter than the shaft 31 and through which the shaft can be inserted via a loading funnel 55 located at the rear of the housing 51. A portal bridge 54 is provided which, in use, mates with an arthroscopy portal. This ensures that the instrument 30 is firmly seated against the portal and provides further stability during operation. The housing assembly can be clamped to an operating table clamp 60 or the like.

**[00101]** The shaft 31 and its associated operative portion 32 (in the first, undeployed, configuration) is insertable into the housing assembly via loading funnel 55. A drive dial (working tip position selector) 57 locates against the proximal end of the housing when the shaft assembly is fully inserted. Typically the first-selected operative portion is a tripod of the type shown in Figure 13A or 13B which can be used to pinpoint the centre of the target region.

**[00102]** The shaft assembly advances towards the target region along an access direction. As the operative portion approaches the target region, the surgeon actuates the instrument to move the operative portion into the deployed position so that the operative

portion is now aligned with a suitable working direction for the target region concerned. As shown in Figure 20, the surgeon can adjust the position of the whole surgical instrument by moving the adjustable arm clamp 61 and/or table clamp 60 until the desired position of the operative portion at the target region (which may not have a direct line of sight with the access direction) is reached. Then the clamps are tightened so that the position of the handle assembly is fixed with respect to the operating table and the patient.

**[00103]** Once the handle assembly position is fixed, the whole shaft assembly including the operative portion can be removed from the target region by withdrawing it through the handle assembly, exiting through the loading funnel 55.

**[00104]** The first shaft assembly can then be switched for a second shaft assembly having a cutting element at its working tip. Other than the different working tip, the dimensions of the second shaft assembly are the same as those of the first shaft assembly, in particular the length of the shaft assembly along its longitudinal axis is the same. Therefore, when the second shaft assembly is inserted into the housing assembly, the operative portion of the second shaft assembly will arrive at the same, defined, position at the target region that is desired. The interaction between the drive dial 57 and the loading funnel 55 and the interaction between the shaft locator 53 and the shaft 31 serve as locator means for recording positional information relating to the shaft assembly so that it can be repeatably removed and replaced in the housing assembly.

**[00105]** The second shaft assembly includes cutting element of the type shown in Figures 13C or 13F which can make a perimeter cut at the target region.

**[00106]** Next, with the handle assembly still clamped in place, the second shaft assembly can be removed and replaced with a third shaft assembly, this time having a cutting element of the type shown in Figures 13E or Fig 13F which can remove material from an area within the perimeter cut. The target region is now prepared to receive implantable material such as RMP.

**[00107]** Next, with the handle assembly still clamped in place, the third shaft assembly can be removed and replaced with a fourth shaft assembly, this time having delivery means of the type shown in Figures 13G or 13H. This shaft assembly can deliver RMP to the target region. Still further shaft assemblies may be used after, for example having a wiper tool which can wipe excess RMP from the target region and/or to compress the RMP within the target region. A separate compressor tool may be used before or after the wiper tool to compress the RMP within the target region. A curing tool which can cure implantable material delivered to the target region may be used after delivery of the RMP to the target region. In every case, the positioning of the operative portion of the shaft

assembly is accurately repeatable in view of the positional information recorded by the locator means components.

[00108] In a further embodiment illustrated in Figure 22, the positional information could be recorded by an adjustable instrument support 62 attached to an adjustable arm clamp 61. The position of the instrument support 62 can be set up by adjusting in any of the directions indicated by arrows in Figure 22 and then locked in place with respect to the arm clamp 61. The handle assembly can optionally be clamped to the instrument support 62. Then the shaft assembly and/or entire surgical instrument 30 (i.e. handle assembly and shaft assembly) can be removed and repeatably replaced.

[00109] Exemplary details of the delivery of RMP to the target region will now be described with reference to Figures 12A-12B and 23-27.

[00110] The nozzle 40 of the delivery means is connected to a reservoir 70 containing implantable material, e.g. RMP by means of a tube 71 which passes through the lumen of the shaft 31. The reservoir 70 is typically located within the handle assembly but could possibly be located within the lumen of the shaft 31 itself or located near the distal end of the shaft.

[00111] The reservoir 70 is similar to the barrel of a typical syringe and includes a plunger 72 which, when moved axially into the chamber of the reservoir, causes a piston 73 to expel RMP from the reservoir through an outlet 74. The chamber may have more than one compartment, separated by a frangible membrane so that different components of medicament can be stored separately and then mixed shortly before, or upon delivery.

[00112] The reservoir 70 may form part of a medicament cartridge (illustrated in Figures 24 and 5) which is supplied as shown in Figure 24, having end caps 75, 76 to seal the RMP within the reservoir. The cartridge may be single-use or refillable and one or more cartridges can be loaded into the handle assembly via the loading funnel 55 as shown in Figures 26 and 27.

[00113] Throughout the description and claims of this specification, the words “comprise” and “contain” and variations of them mean “including but not limited to”, and they are not intended to (and do not) exclude other moieties, additives, components, integers or steps. Throughout the description and claims of this specification, the singular encompasses the plural unless the context otherwise requires. In particular, where the indefinite article is used, the specification is to be understood as contemplating plurality as well as singularity, unless the context requires otherwise.

[00114] Features, integers, characteristics, compounds, chemical moieties or groups described in conjunction with a particular aspect, embodiment or example of the invention

are to be understood to be applicable to any other aspect, embodiment or example described herein unless incompatible therewith. All of the features disclosed in this specification (including any accompanying claims, abstract and drawings), and/or all of the steps of any method or process so disclosed, may be combined in any combination, except combinations where at least some of such features and/or steps are mutually exclusive. The invention is not restricted to the details of any foregoing embodiments. The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any accompanying claims, abstract and drawings), or to any novel one, or any novel combination, of the steps of any method or process so disclosed.

**[00115]** The reader's attention is directed to all papers and documents which are filed concurrently with or previous to this specification in connection with this application and which are open to public inspection with this specification, and the contents of all such papers and documents are incorporated herein by reference.

**[00116]** Reference numerals

Prior art

2	arthroscope
4	skin
6	ligaments
20 8	patella
100	knee
10	target region
12	femoral condyles
14	femur
25 16	axis of access direction
18	tibia
20	fibula
22	tibial plateau
$\Phi$	working direction in prior art
30 $\Theta$	working direction in prior art

Distal end/working tip structure

- |    |               |                                      |
|----|---------------|--------------------------------------|
|    | 25            | acetabulum                           |
|    | 26            | femoral head                         |
|    | 27            | lesions                              |
| 5  | 28            | edges of lesion                      |
|    | 28'           | prepared lesion edge or wall         |
|    | 29            | RMP                                  |
|    | 30            | surgical instrument                  |
|    | 31            | shaft                                |
| 10 | L             | longitudinal shaft axis              |
|    | 32            | operative portion                    |
|    | 33            | articulation segment                 |
|    | 34, 34', 34'' | working tips                         |
|    | 35            | cutting element                      |
| 15 | 36            | central location point               |
|    | 37            | lumen                                |
|    | 38            | alternative abrading cutting element |
|    | 40            | nozzle                               |
|    | AD            | access direction                     |
| 20 | WD            | working direction                    |

Proximal end/working tip positioning

- |    |    |                                       |
|----|----|---------------------------------------|
|    | 50 | handle assembly                       |
|    | 51 | housing                               |
| 25 | 52 | rod link end housing                  |
|    | 53 | shaft locator – front housing/cannula |
|    | 54 | portal bridge – front housing/cannula |
|    | 55 | loading funnel                        |

- 55a loading funnel lugs
- 56 pistol grip
- 57 working tip position selector
- 57a position selector screw thread
- 5 58 locator tube
- 58a locator tube distal screw thread
- 58b locator tube lugs
- 59 actuation rod
- 59a proximal rod link end
- 10 39 distal rod link
- 60 operating table clamp
- 61 adjustable arm clamp
- 62 instrument support

15

Working tip actuation

- 80 drive means
- 81 drive dial/working tip actuator
- 82 trigger-type actuator

20

Delivery of RMP

- 70 reservoir
- 72 plunger
- 73 piston
- 25 74 outlet
- 75,76 end caps



## CLAIMS

1. A surgical instrument for minimally-invasive arthroscopic use in a constrained joint space, the surgical instrument comprising:
- 5 a handle assembly;
- a shaft assembly including:
- a shaft having a longitudinal shaft axis and a lumen therethrough and being connected to the handle assembly at a proximal end of the shaft; and
- 10 an operative portion including a working tip with a tool mounted thereon at a distal end of the shaft,
- wherein, in use, the operative portion is selectively moveable between a first configuration in which the operative portion is substantially aligned with the longitudinal shaft axis, and a second, deployed, configuration in which at least part
- 15 of the operative portion has:
- a selectable working direction defined by the angle between the tool and the longitudinal shaft axis; and
- a selectable lateral displacement defined by the linear distance between the working tip and the longitudinal shaft axis, measured at a
- 20 central location point at which the tool is mounted thereon and perpendicular to the longitudinal shaft axis,
- the working direction and lateral displacement being selectable independently of one another.
2. Surgical instrument as claimed in claim 1 wherein the operative portion includes an
- 25 articulation segment which is said working tip or is intermediate said working tip and said shaft, the articulation segment having actuation means capable of moving the operative portion between the first configuration and the deployed configuration.
3. Surgical instrument as claimed in claim 2 wherein the actuation means comprises
- 30 one or more rods, cables, pneumatic or hydraulic means located within the shaft lumen and actuable via said handle.
4. Surgical instrument as claimed in claim 3 wherein the actuation means comprises an actuation rod.

5. Surgical instrument as claimed in claim 4 wherein the actuation means includes a rod link at a distal end of and pivotable with respect to said actuation rod.
- 5 6. Surgical instrument as claimed in claim 4 or claim 5 wherein said actuation rod has a radially extending formation or rod link end at or near a proximal end thereof, the formation being axially fixed with respect to a rod link end housing and axially moveable therewith so as to operate said articulating element.
- 10 7. Surgical instrument as claimed in claim 6 further comprising a locator tube which is selectively axially translatable along the longitudinal shaft axis, the locator tube being capable of moving the rod link end housing axially.
8. Surgical instrument as claimed in claim 7 wherein the locator tube is selectively axially translatable along the longitudinal shaft axis by virtue of a screw thread at one end thereof.
- 15 9. Surgical instrument as claimed in claim 8 wherein the locator tube screw thread engages a screw thread on a rotatable position selector, rotation of which position selector causes the axial translation of the locator tube.
- 20 10. Surgical instrument as claimed in any of the preceding claims further comprising locking means which, in said deployed configuration, lock the operative portion in the deployed configuration with respect to the shaft in order that a working load can be applied in the working direction.
11. Surgical instrument as claimed in claim 7 wherein said working load is in the range 3N to 10N.
- 25 12. Surgical instrument as claimed in any of the preceding claims wherein the lateral displacement is selectable by selecting an angle of articulation of the articulation segment with respect to the longitudinal shaft axis.
13. Surgical instrument as claimed in any of the preceding claims wherein the working direction is selectable by selecting the angle at which the tool is mounted on the working tip.
- 30 14. Surgical instrument as claimed in any of claims 1 – 12 wherein the working direction is selectable by adjusting the angle at which the tool is mounted on the working tip.

15. Surgical instrument as claimed in any of the preceding claims wherein the shaft assembly is removable and replaceable via the proximal end of the handle assembly.
- 5 16. Surgical instrument as claimed in claim 15 further comprising locator means for recording positional information relating to the shaft assembly so that said shaft assembly or another shaft assembly can be replaced in a repeatable position after removal from the handle assembly.
- 10 17. Surgical instrument as claimed in claim 16 wherein the locator means comprises the locator tube whose axial position remains unchanged while the shaft assembly is being removed and replaced.
18. Surgical instrument as claimed in any of the preceding claims wherein the constrained joint space is a hip joint space.
- 15 19. Surgical instrument as claimed in any of the preceding claims wherein the tool is moveable in a closed loop path, for example a circle, with respect to the central location point fixed with respect to the shaft.
- 20 20. Surgical instrument as claimed in claim 19 wherein said central location point is on an axis aligned with said working direction.
21. Surgical instrument as claimed in any of the preceding claims wherein said tool comprises a cutting element capable of producing a cut surface at said target region, the cut surface having one or more selectable characteristics, for example size, depth, orientation, shape and surface topography.
- 25 22. Surgical instrument as claimed in claim 21 wherein the cutting element is a blade, a laser cutter, ultrasonic cutter or a fluid jet cutter.
23. Surgical instrument as claimed in claim 21 or claim 22 wherein the cutting element is moveable in a closed loop path with respect to a central location point fixed with respect to the shaft, so as to make a perimeter cut along said closed loop path at said target region.
- 30 24. Surgical instrument as claimed in claim 23 wherein the cutting element is capable of cutting to remove material from an area within said perimeter cut.
25. Surgical instrument as claimed in any of claims 1-20 wherein said tool comprises delivery means capable of delivering implantable material to a target region.

26. Surgical instrument as claimed in claim 25 when dependent on any of claims 19-20 wherein the working tip includes a wiper tool moveable in said closed loop path to wipe excess implantable material from the target region.
- 5 27. Surgical instrument as claimed in claim 25 wherein the operative portion includes a curing tool for curing implantable material.
28. Surgical instrument as claimed in claim 25 wherein said delivery means comprises a nozzle connectable to a reservoir containing said implantable material.
- 10 29. Surgical instrument as claimed in claim 28 wherein said reservoir is located within said handle assembly or within the lumen of the shaft.
30. Surgical instrument as claimed in any of claims 28-29 wherein said reservoir is a removeable and replaceable cartridge.
- 15 31. Surgical instrument as claimed in claim 30 wherein the handle assembly includes an outer housing having an aperture at a proximal end through which said reservoir can be installed, removed and replaced.
- 20 32. Surgical instrument as claimed in any of claims 28-31 wherein said reservoir contains a plunger capable of expelling implantable material from said reservoir, the plunger being actuable via said handle assembly.
33. Surgical instrument as claimed in any of claims 28-32 wherein said nozzle is in fluid connection with said reservoir via the lumen of the shaft.
- 25 34. Cartridge for use as a reservoir of implantable material suitable for use in a surgical instrument of any of claims 28-33, the cartridge comprising a chamber containing implantable material and an outlet through which the implantable material can exit the chamber.
- 30 35. Cartridge as claimed in claim 34 wherein the implantable material is in the form of a powder, an injectable liquid, a morcellated solid, a gel, or any combination thereof.
- 35 36. Cartridge as claimed in claim 34 or claim 35 wherein the implantable material comprises two components, stored in separate chambers until mixing thereof is desired, optionally further comprising a frangible membrane between the separate chambers.
37. Cartridge as claimed in any of claims 34-36 including a plunger for expelling said implantable material through said outlet.

38. Cartridge as claimed in any of claims 34-37 including one or more end caps.
39. Cartridge as claimed in any of claims 34-38 wherein said outlet is connectable to  
5 said nozzle of the delivery means.
40. Cartridge as claimed in any of claims 34-39 wherein said chamber is refillable with implantable material.
- 10 41. Surgical apparatus for minimally-invasive arthroscopy comprising:  
a surgical instrument as claimed in any of claims 1-33;  
clamping means for clamping said handle assembly in a fixed position with respect to the target region so that said shaft assembly can be replaced in a repeatable position after removal from the handle assembly.
- 15 42. Surgical apparatus as claimed in claim 41 wherein said clamping means comprises an operating table clamp and/or an adjustable arm clamp.
43. Surgical apparatus as claimed in claim 41 or claim 42 further comprising a cartridge as claimed in any of claims 34-40.
- 20 44. Minimally-invasive arthroscopic method of preparing a target region of a constrained joint space using surgical apparatus as claimed in any of claims 41-43 comprising the steps of:  
a. inserting a shaft assembly into said housing assembly;  
b. moving said shaft assembly along an access direction towards the target region with the operative portion in its first configuration;  
25 c. actuating said instrument to move the operative portion into its second, deployed configuration having a first working direction and a first lateral displacement;  
d. clamping the housing assembly with respect to the target region; and  
e. actuating said working tip in the first working direction to prepare the target  
30 region.
45. Method as claimed in claim 44 further comprising the steps of:  
a. actuating said instrument to move the operative portion back into its first configuration;  
b. removing the shaft assembly from the instrument and replacing it with a  
35 second shaft assembly;  
c. moving said second shaft assembly along the access direction towards the target region with the operative portion its first configuration;

- d. actuating said instrument to move the operative portion into its second, deployed configuration in a second working direction and/or a second lateral displacement; and
- e. actuating said working tip in the second working direction to prepare the target region.

46. Method as claimed in claim 45, wherein the first working direction and the second working direction are the same.

47. Method as claimed in claim 45 wherein the first lateral displacement and the second lateral displacement are the same.

48. Method as claimed in any one of claims 44-47, further comprising repeating the method steps for one or more additional target regions.

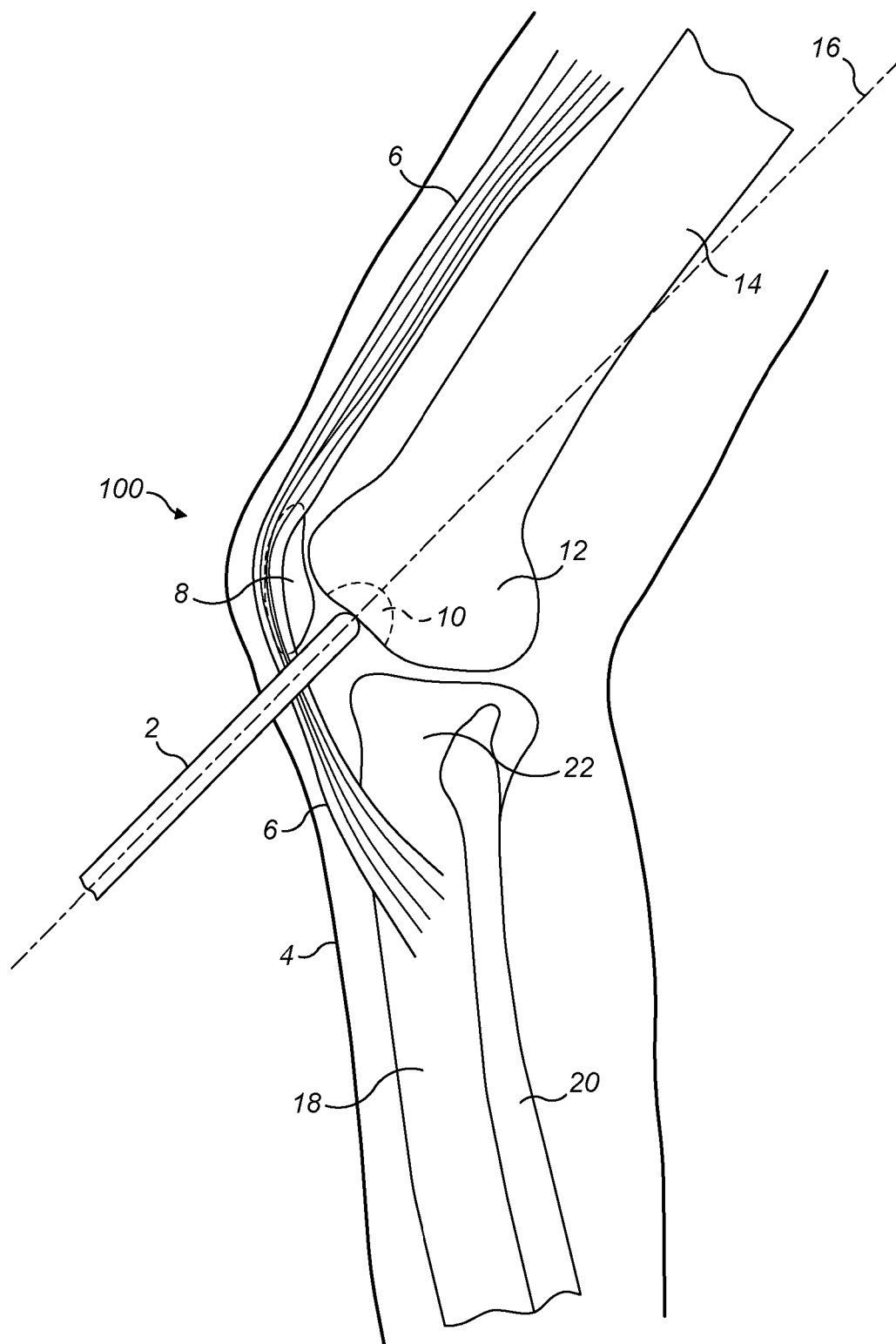
49. Minimally-invasive arthroscopic method of delivering implantable material to a target region of a constrained joint space using surgical apparatus as claimed in any of claims 41-43 comprising the steps of:

- inserting a shaft assembly whose working tip comprises delivery means capable of delivering implantable material to said target region into said housing assembly;
- moving said shaft assembly along an access direction towards the target region with the operative portion in its first configuration;
- actuating said instrument to move the operative portion into its second, deployed configuration; and
- actuating said delivery means to deliver implantable material to the target region.

50. Method as claimed in any one of claims 44-48 further comprising the steps of:

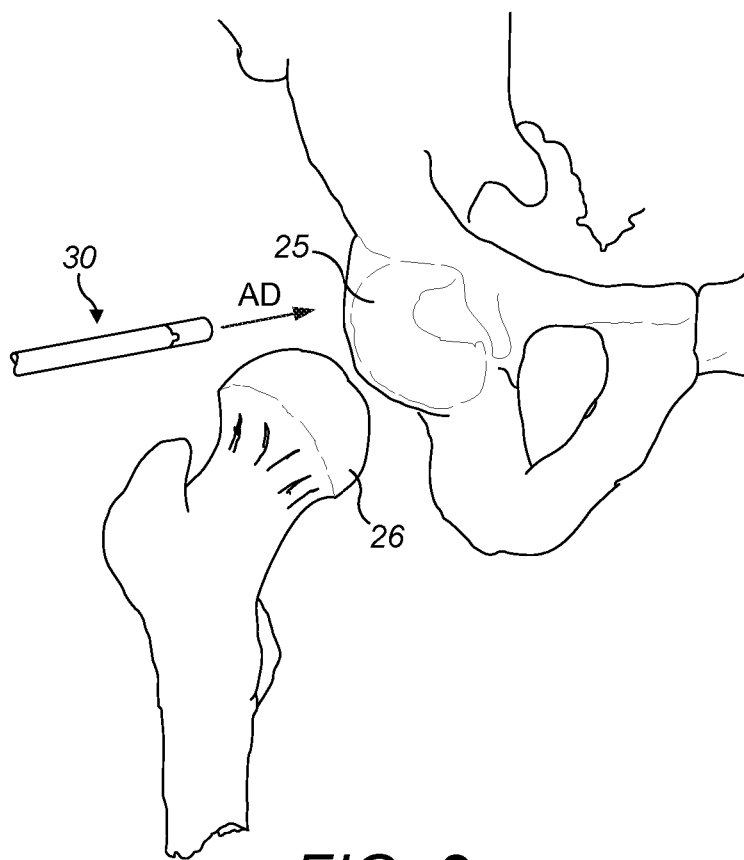
- a. inserting a shaft assembly whose working tip comprises wiping means capable of wiping excess implantable material from said target region into said housing assembly;
- b. moving said shaft assembly along an access direction towards the target region with the operative portion in its first configuration;
- c. actuating said instrument to move the operative portion into its second, deployed configuration;
- d. actuating said wiping means to wipe excess implantable material from said target region.

51. Method as claimed in claim 49 or 50 further comprising the step of curing the implantable material delivered to the target region.

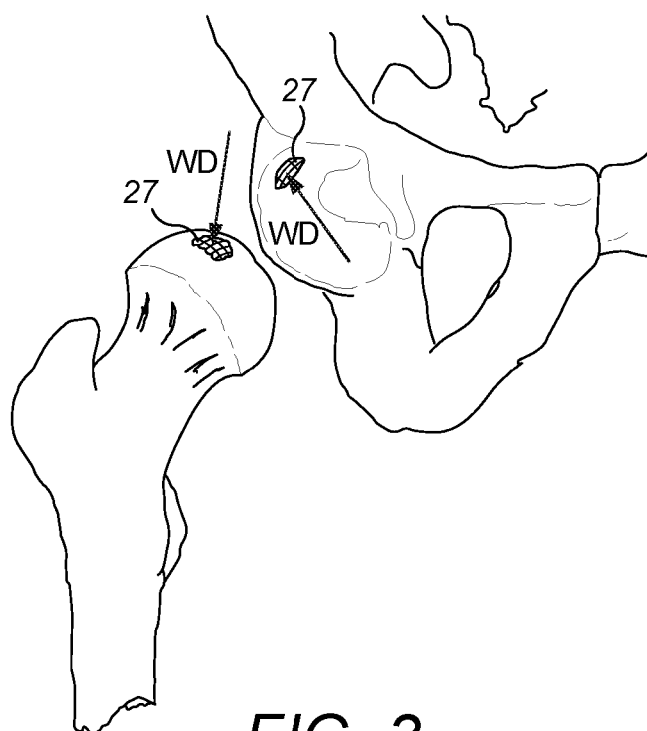


**FIG. 1**  
(Prior Art)

2 / 19

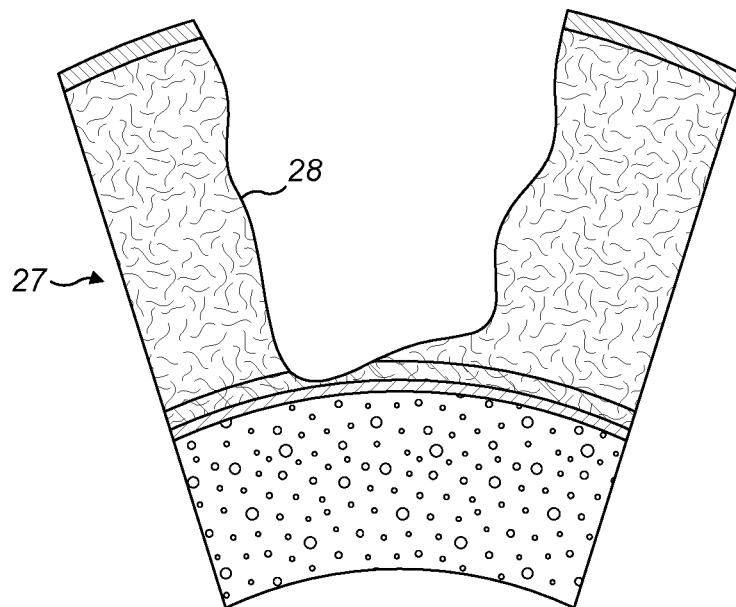


**FIG. 2**

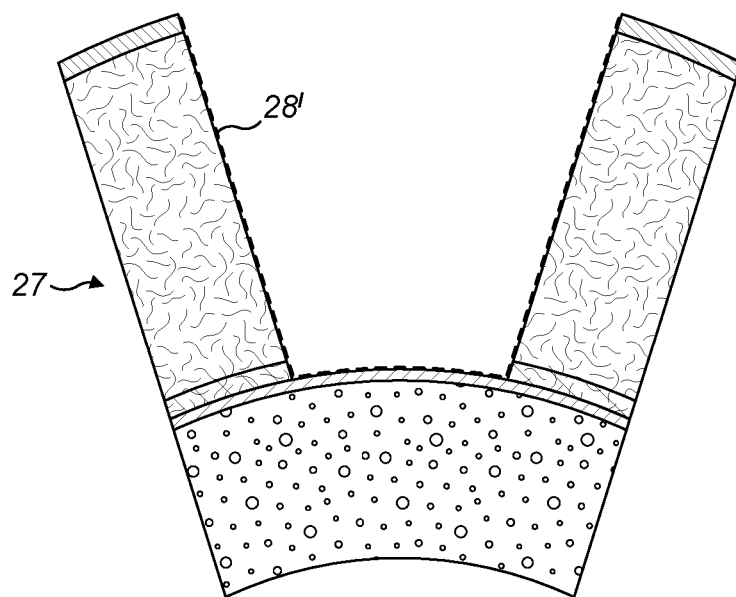


**FIG. 3**

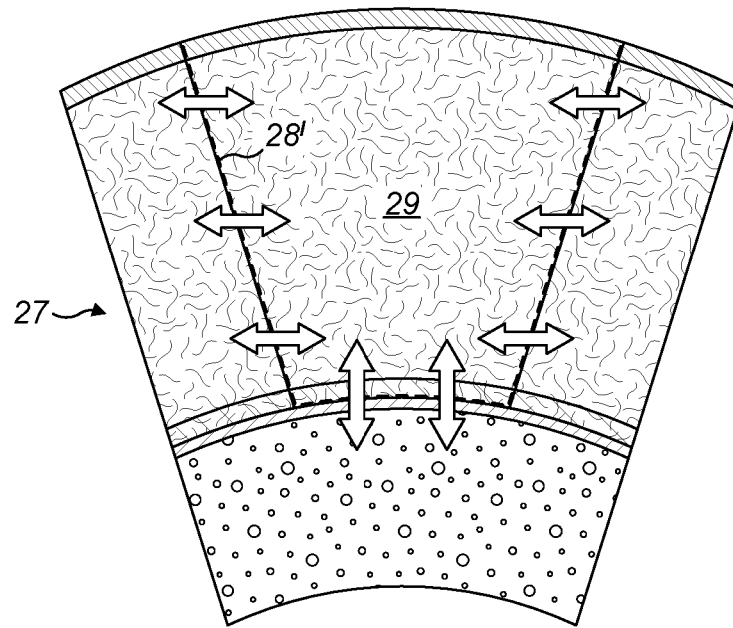




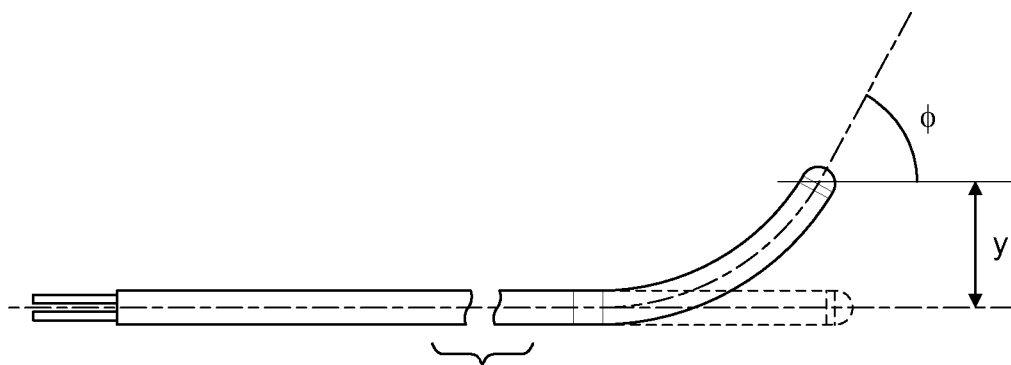
**FIG. 4A**



**FIG. 4B**

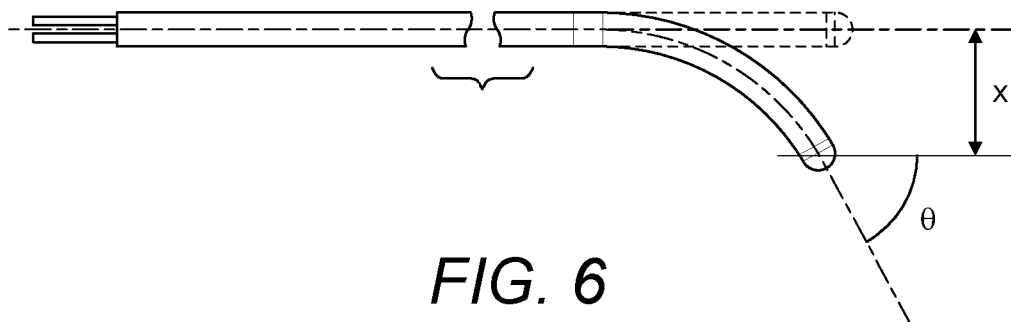


**FIG. 4C**



**FIG. 5**

(Prior Art)



**FIG. 6**

(Prior Art)

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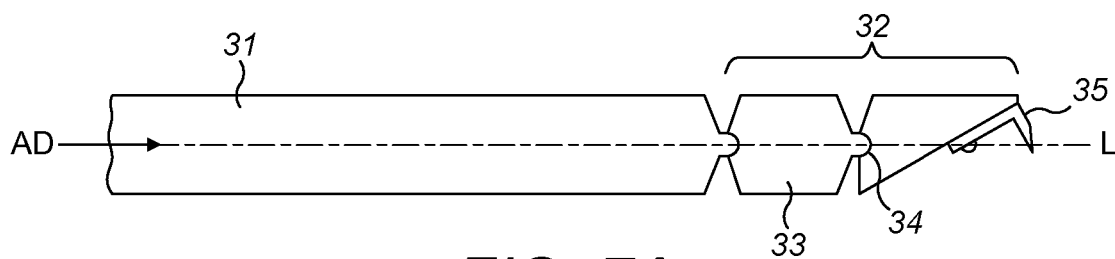


FIG. 7A

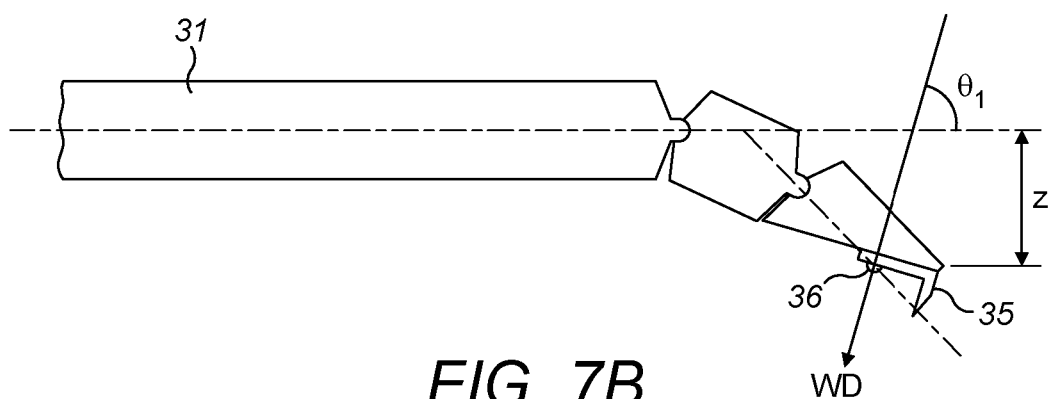


FIG. 7B

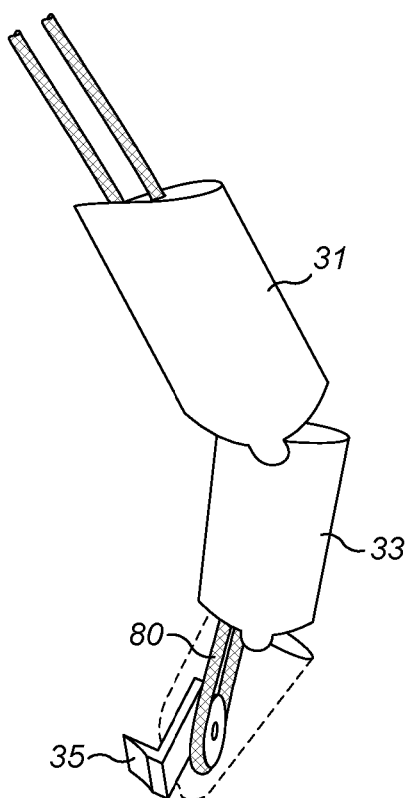


FIG. 7C

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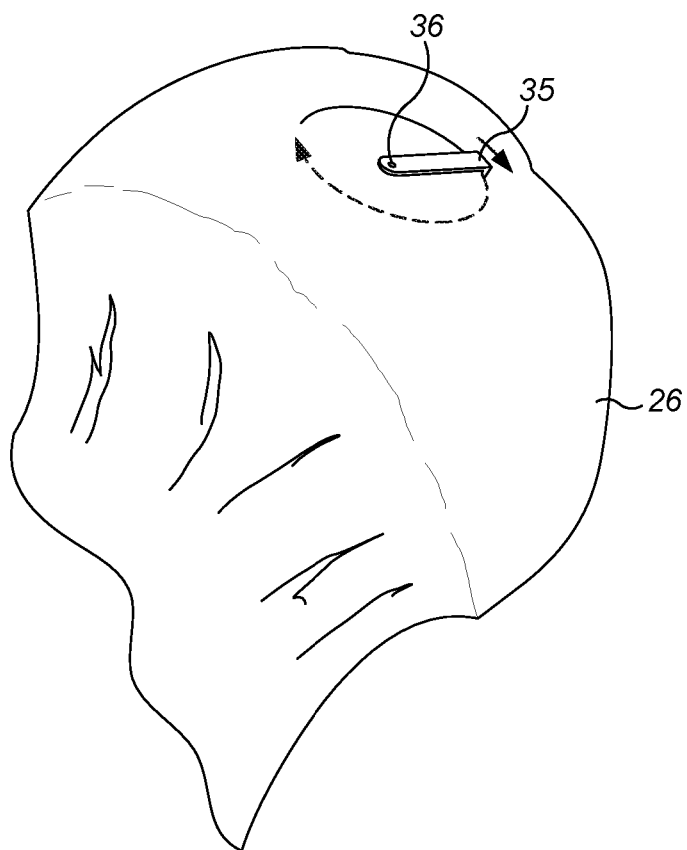


FIG. 8

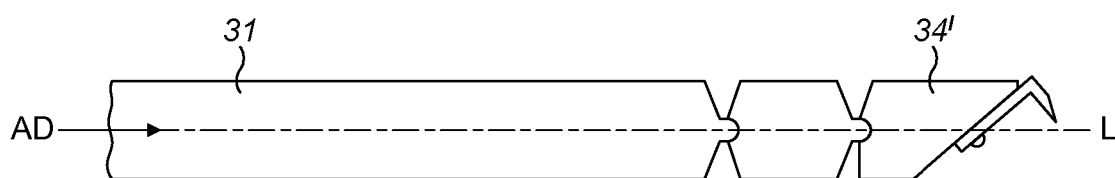


FIG. 9A

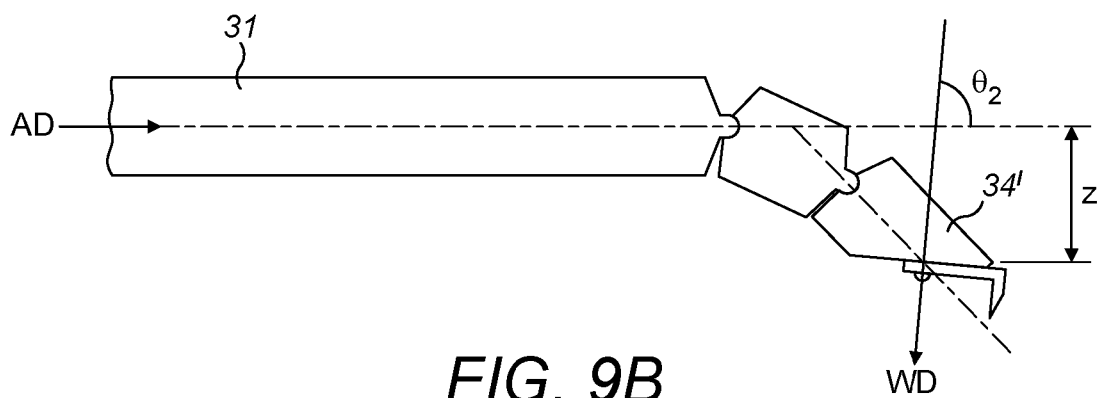


FIG. 9B

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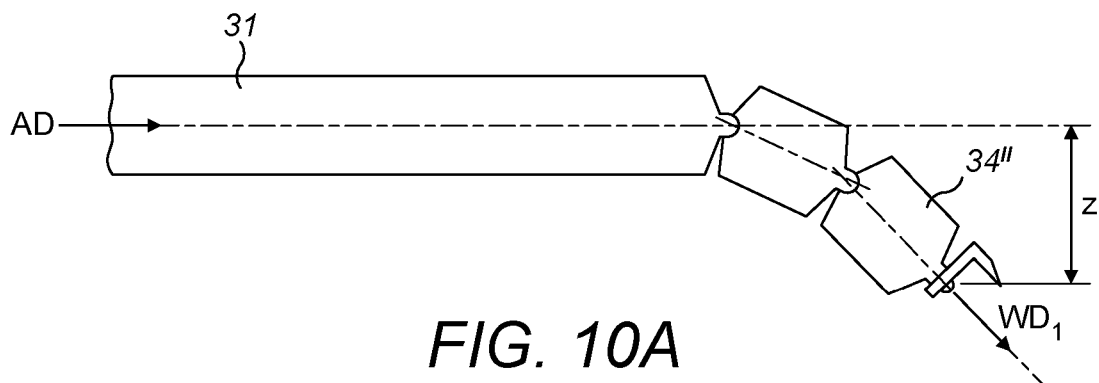


FIG. 10A

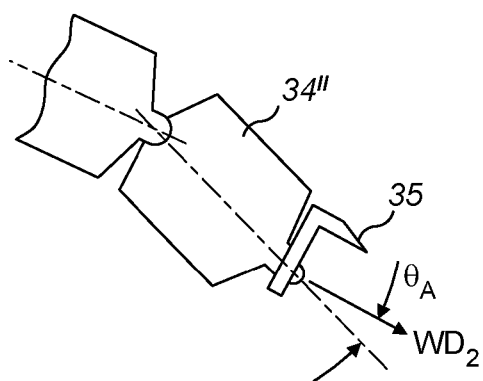


FIG. 10B

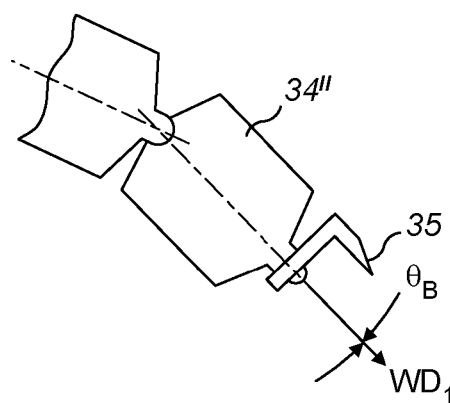


FIG. 10C

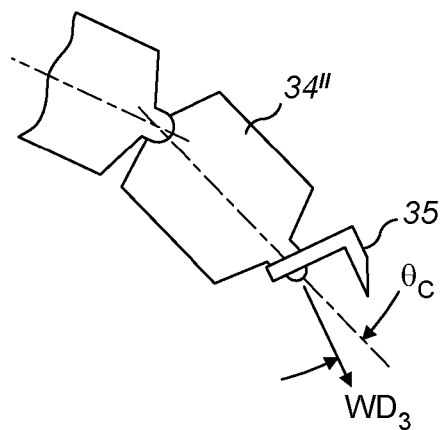
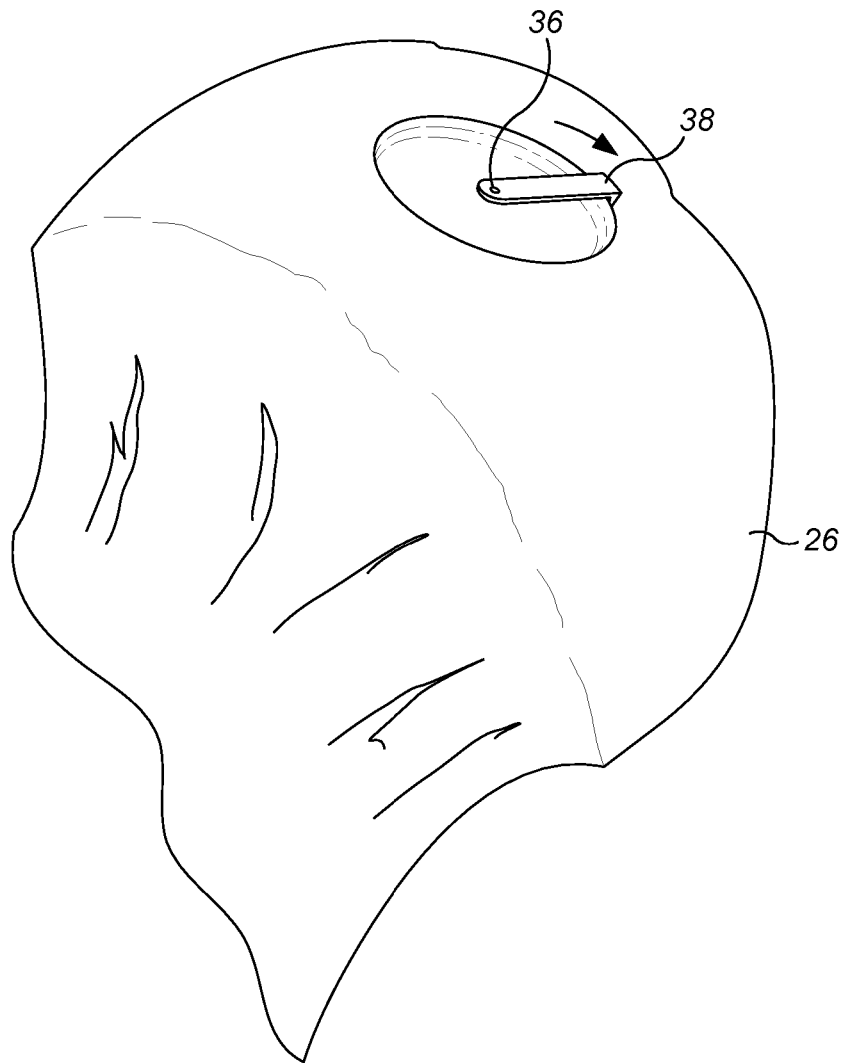
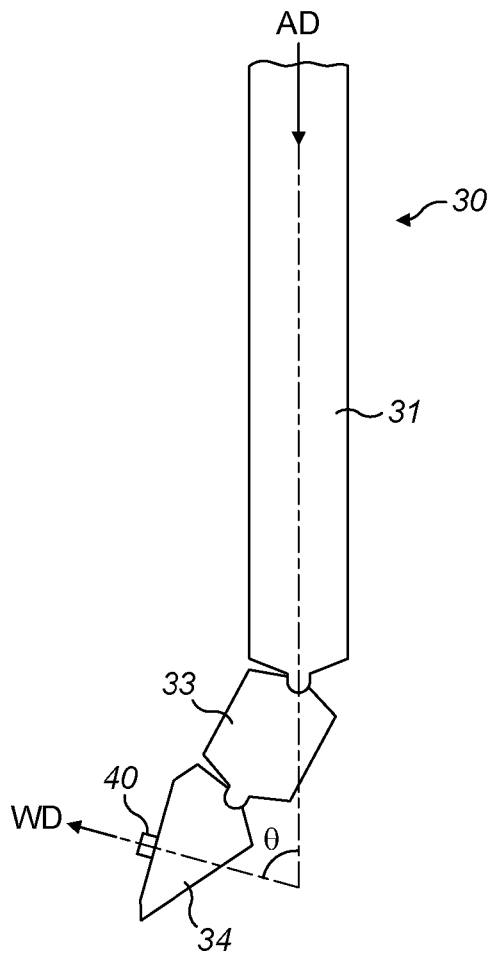


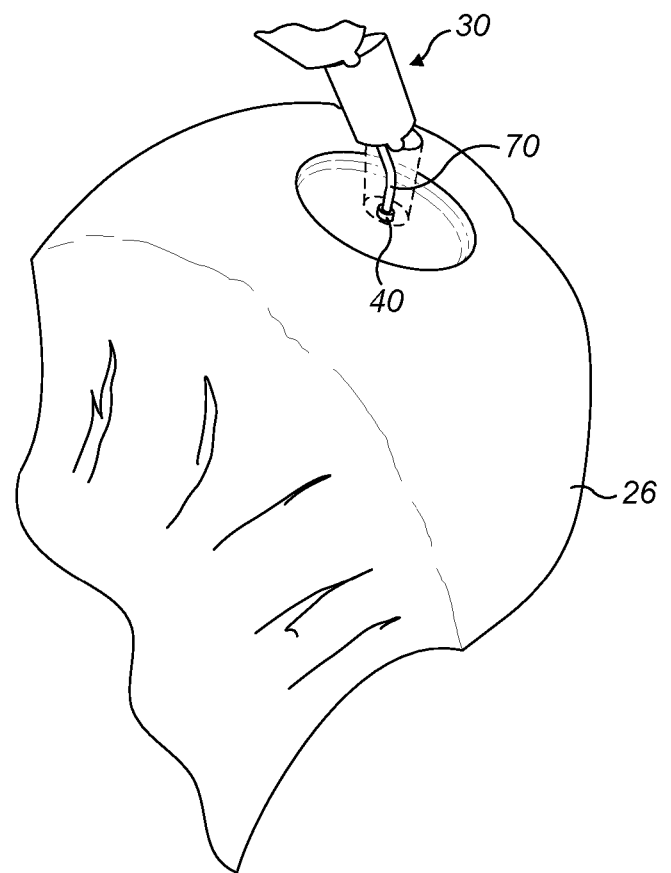
FIG. 10D



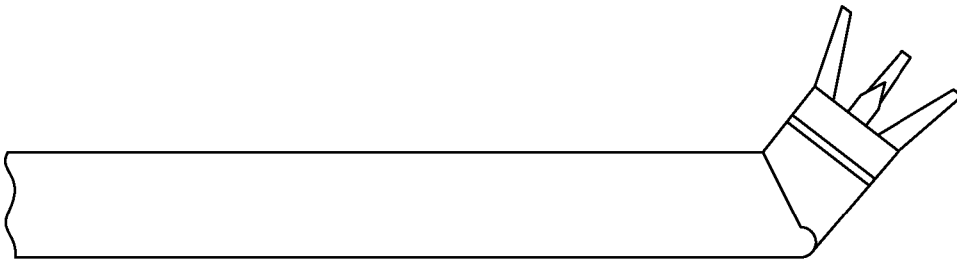
**FIG. 11**



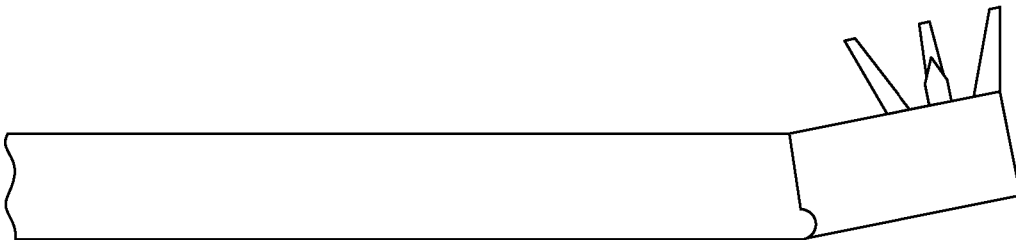
**FIG. 12A**



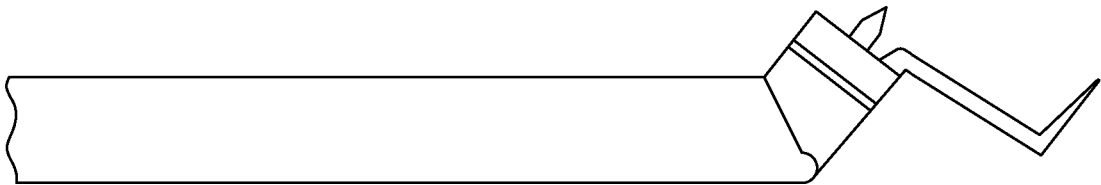
**FIG. 12B**



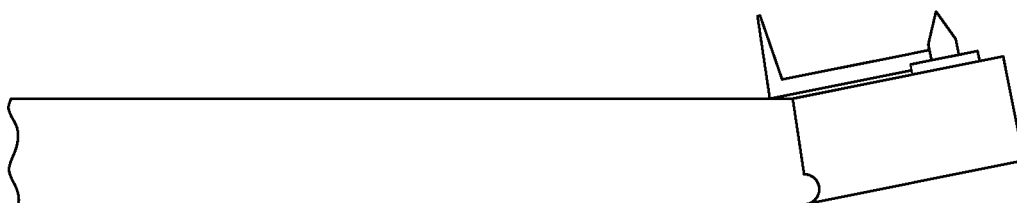
*FIG. 13A*



*FIG. 13B*

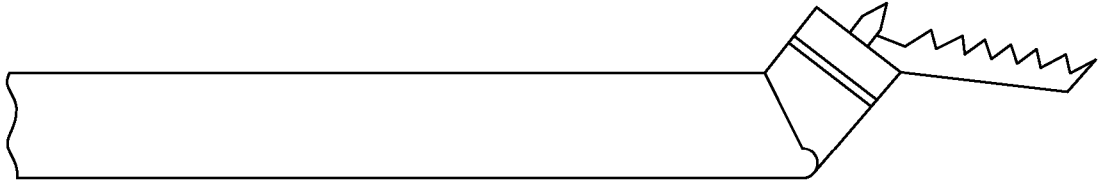


*FIG. 13C*



*FIG. 13D*





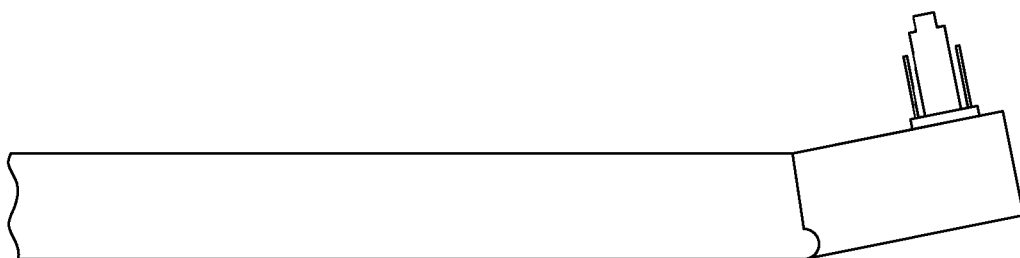
*FIG. 13E*



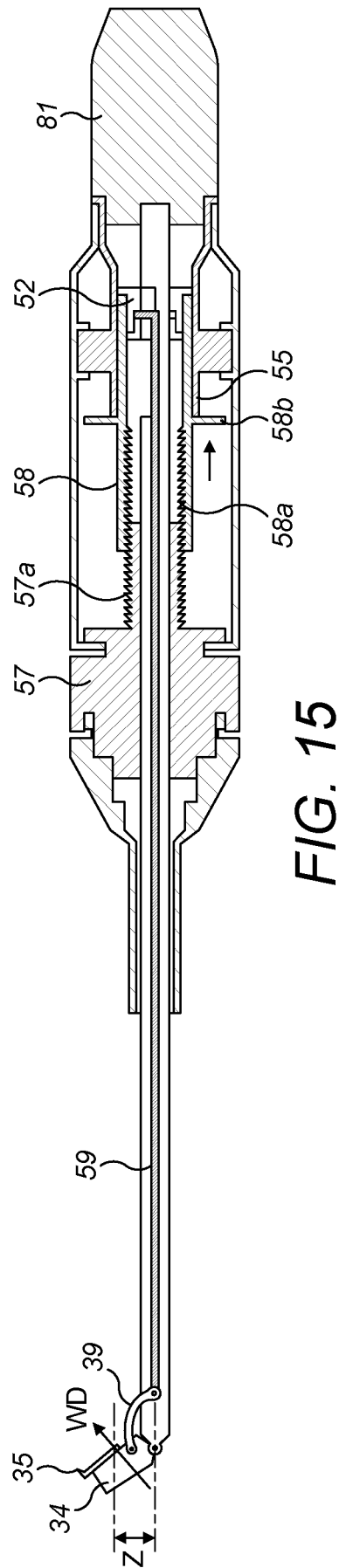
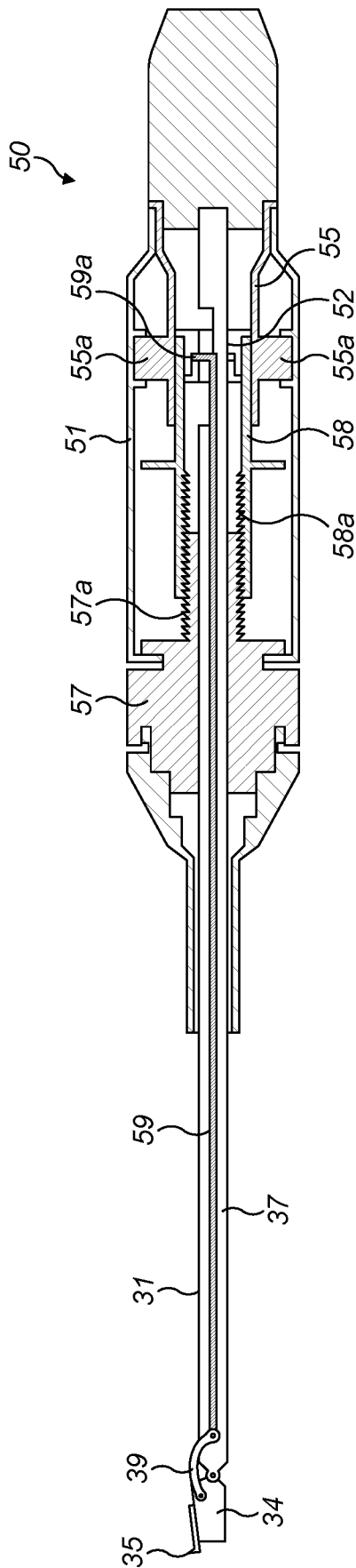
*FIG. 13F*



*FIG. 13G*



*FIG. 13H*



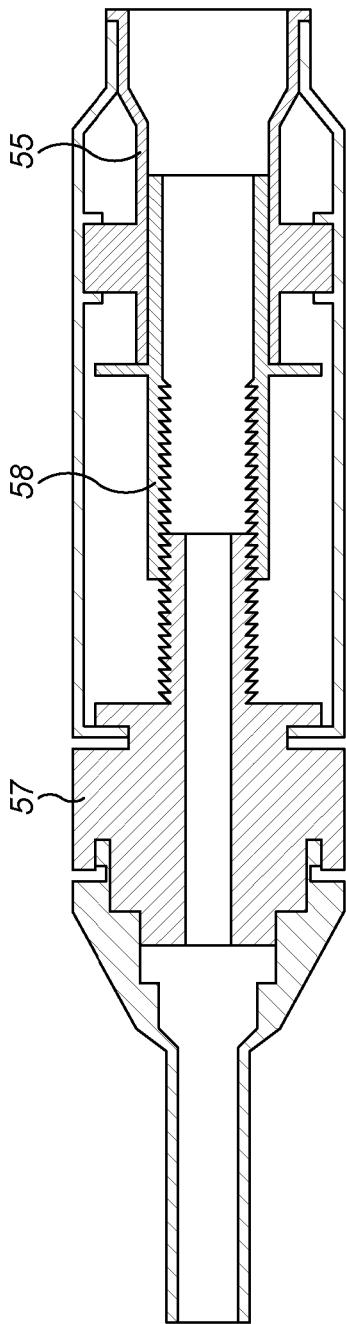


FIG. 16

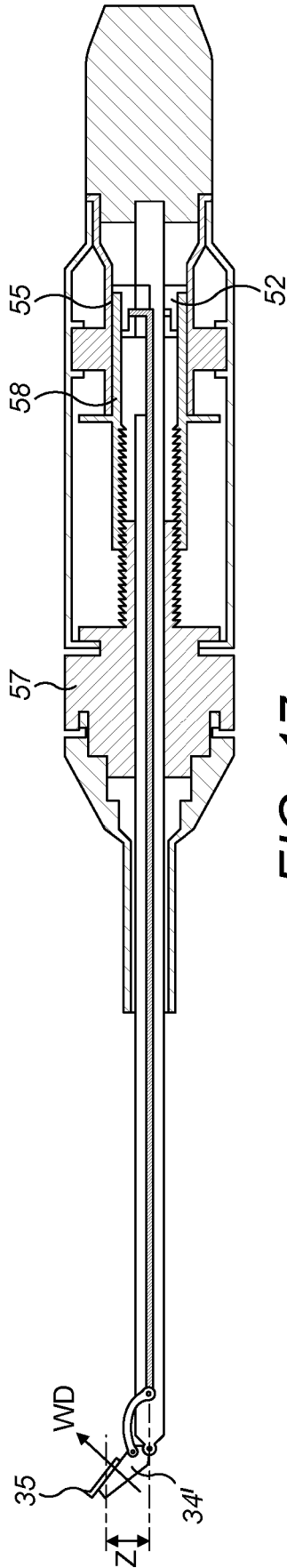
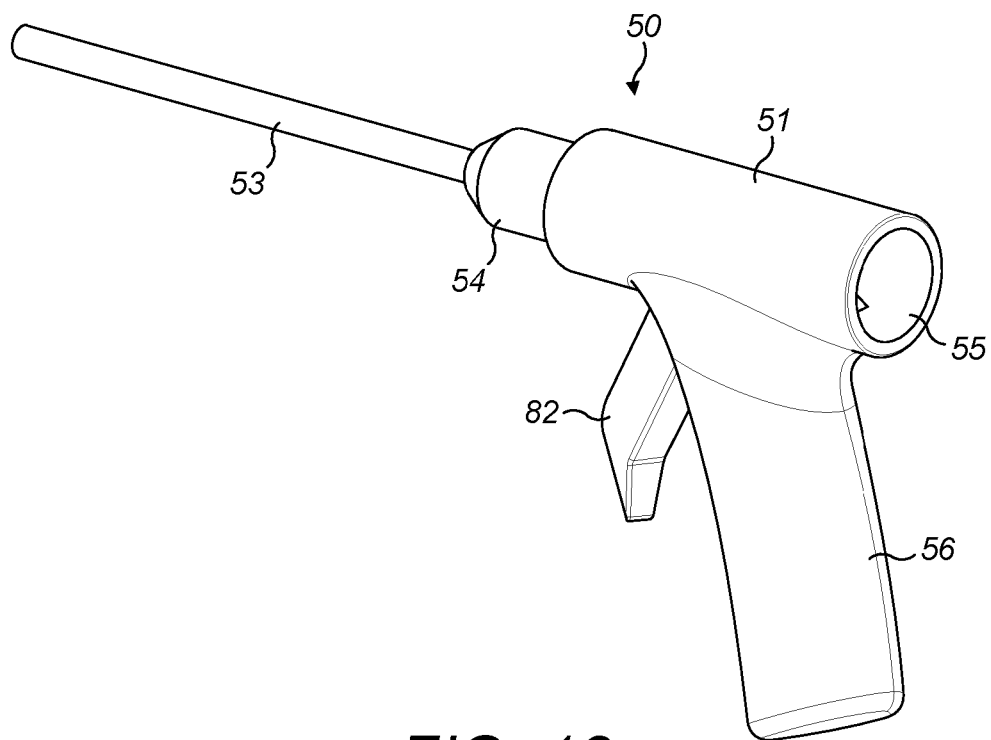
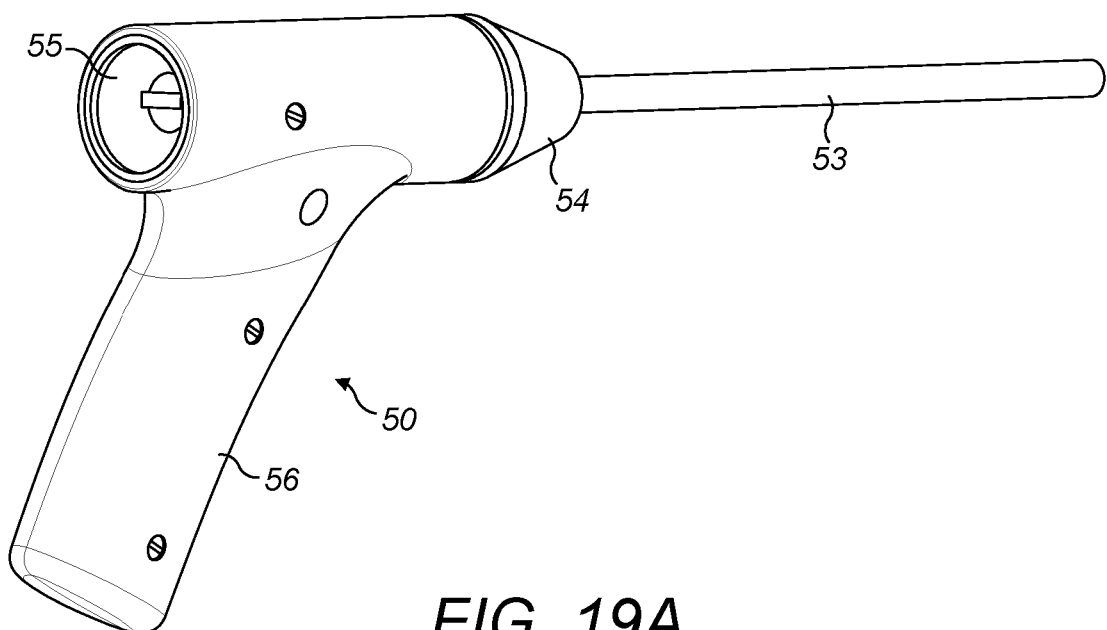


FIG. 17

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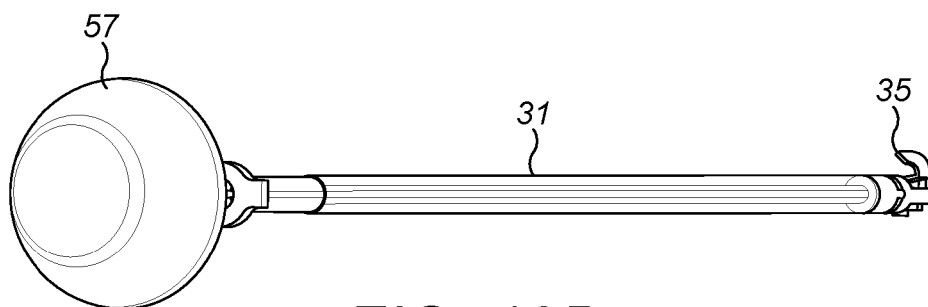


**FIG. 18**

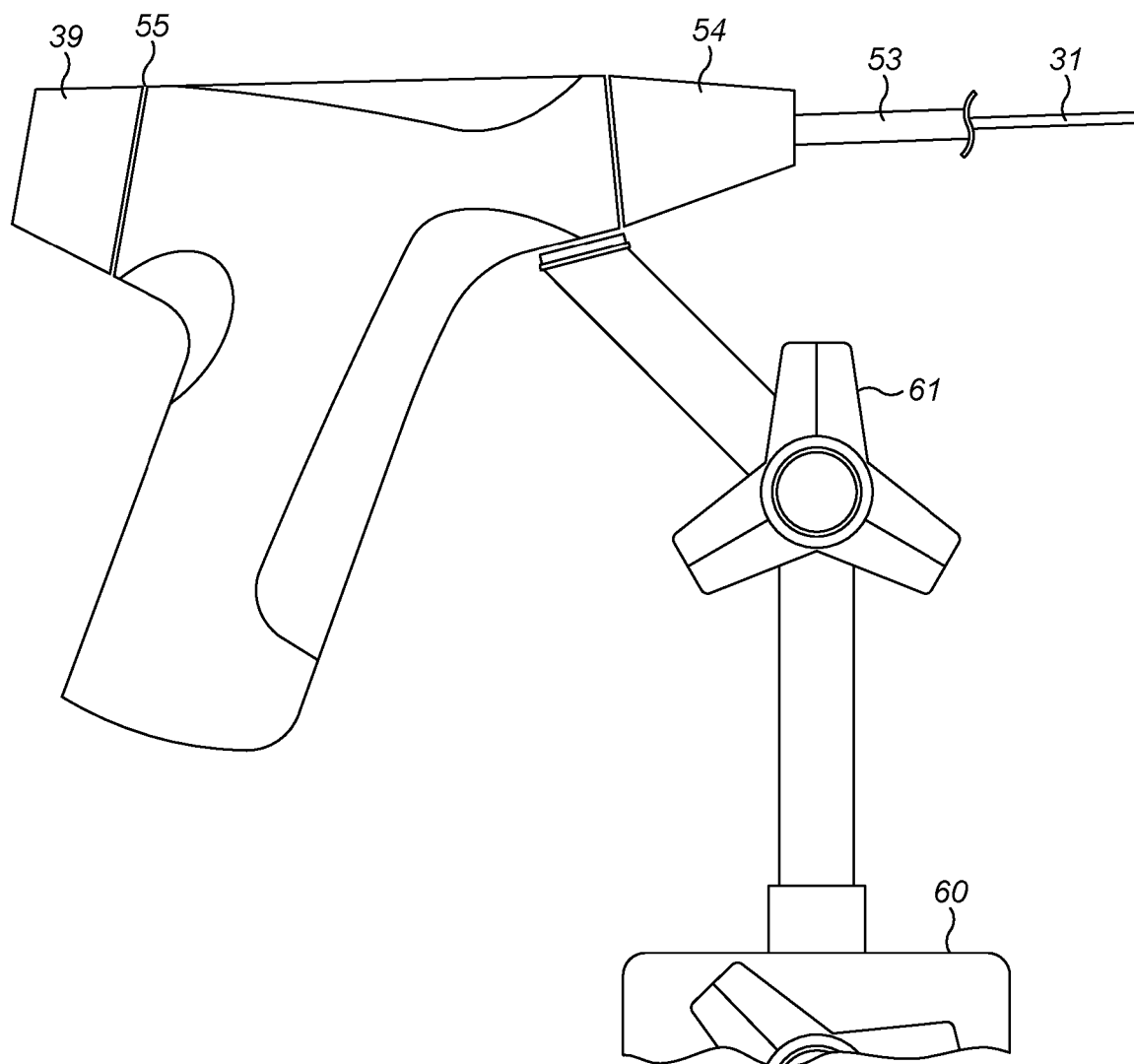


**FIG. 19A**

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**FIG. 19B**



**FIG. 20**

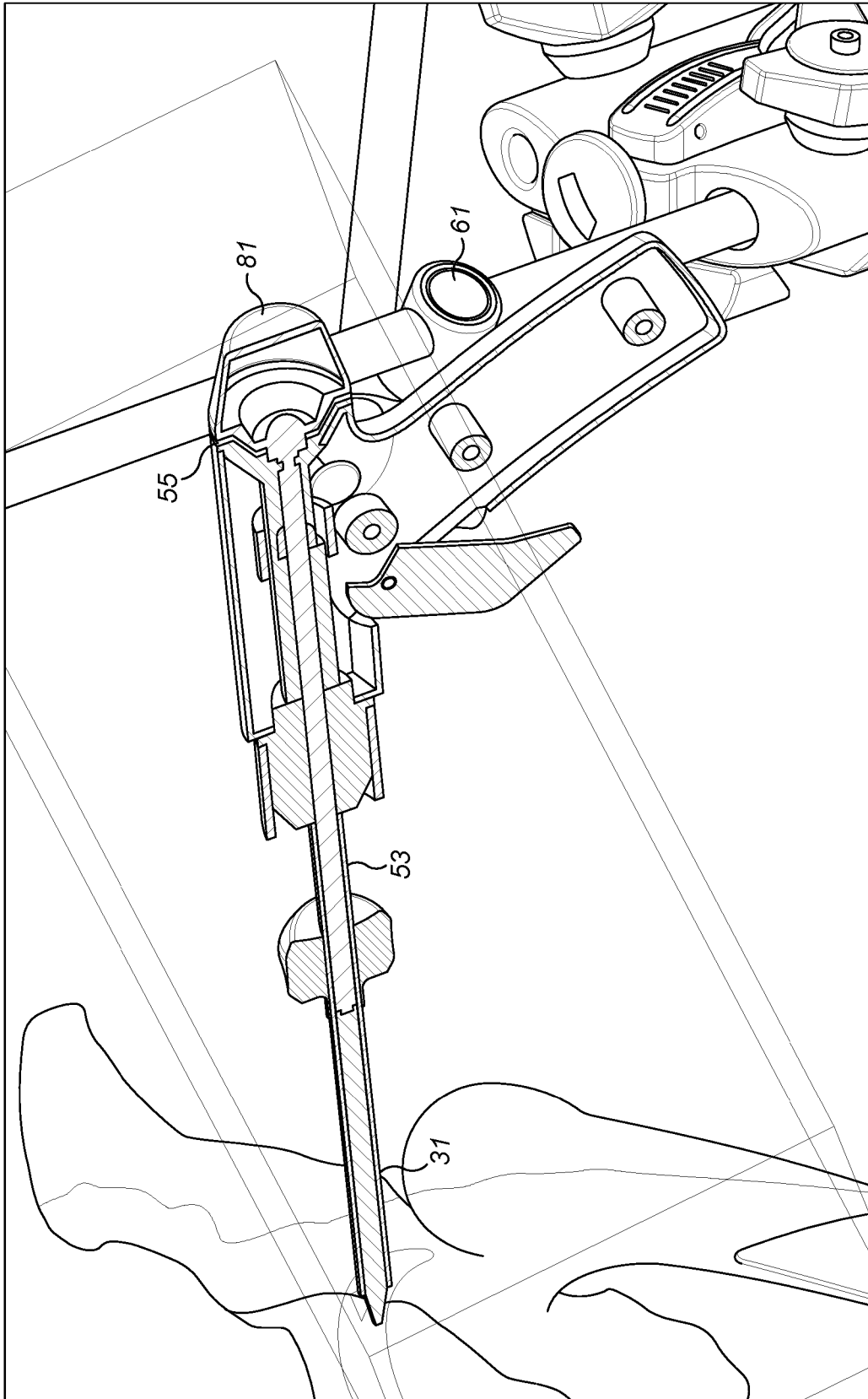


FIG. 21

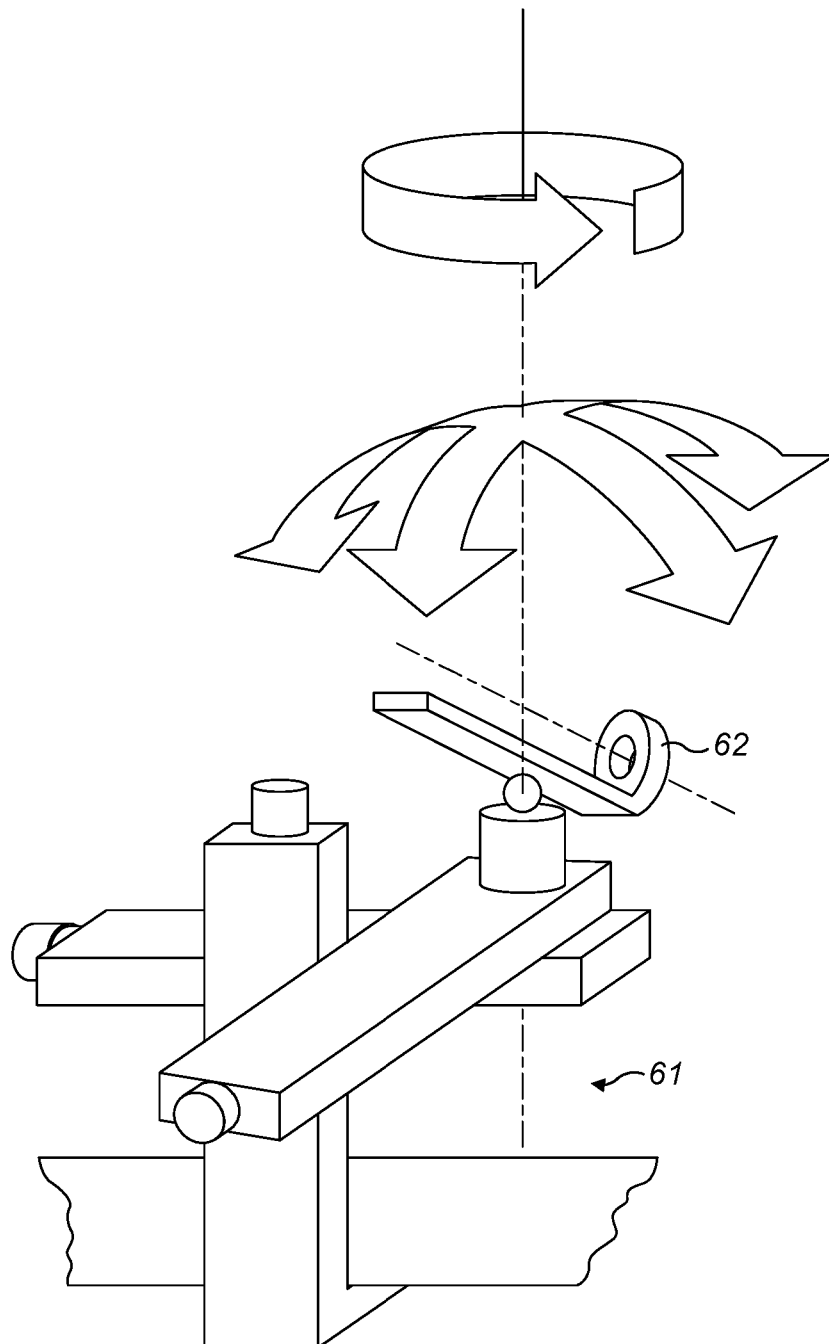
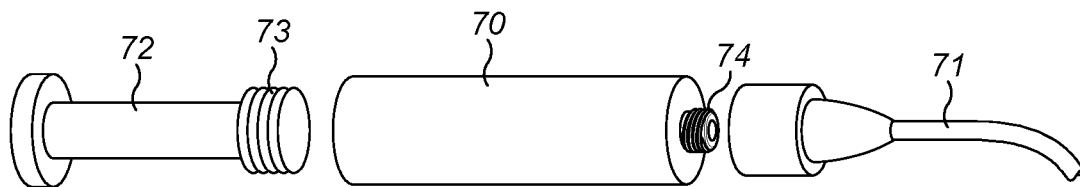
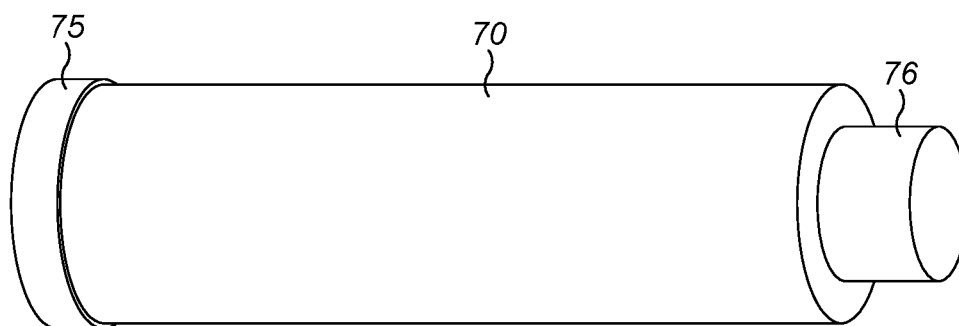


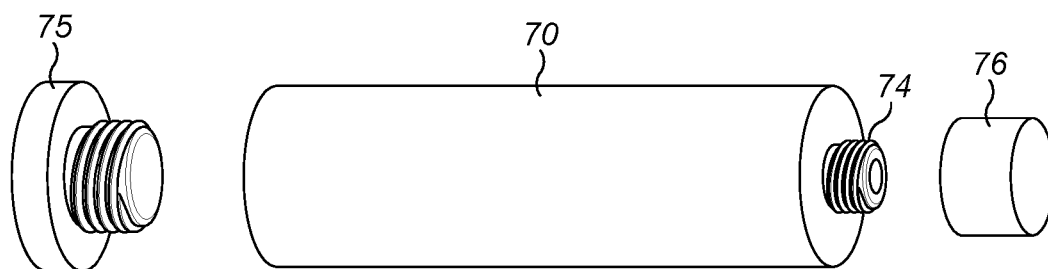
FIG. 22



**FIG. 23**



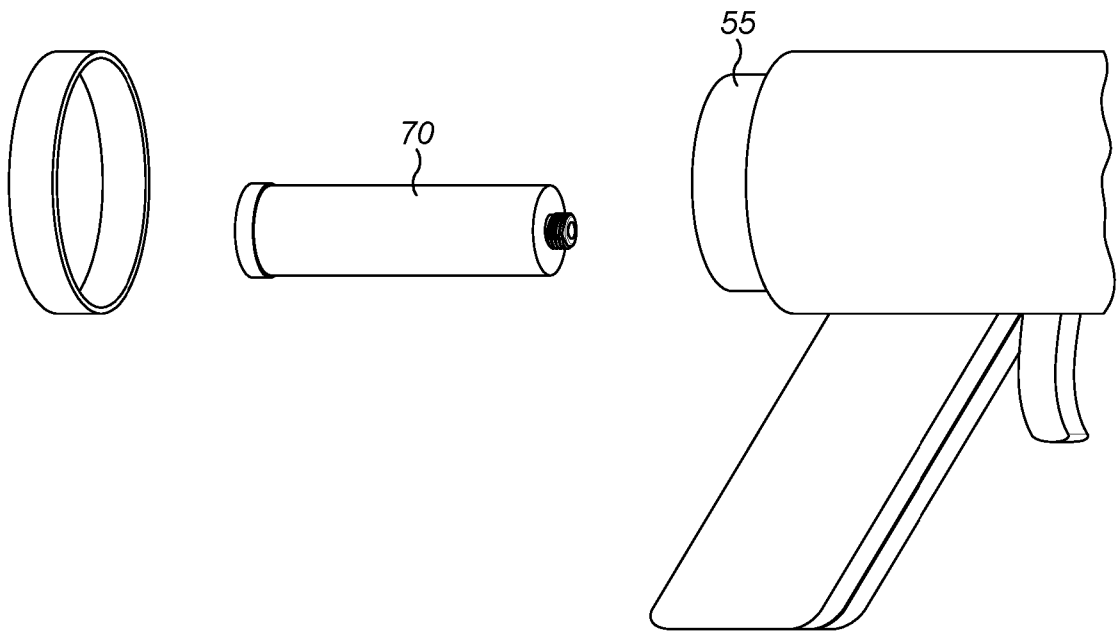
**FIG. 24**



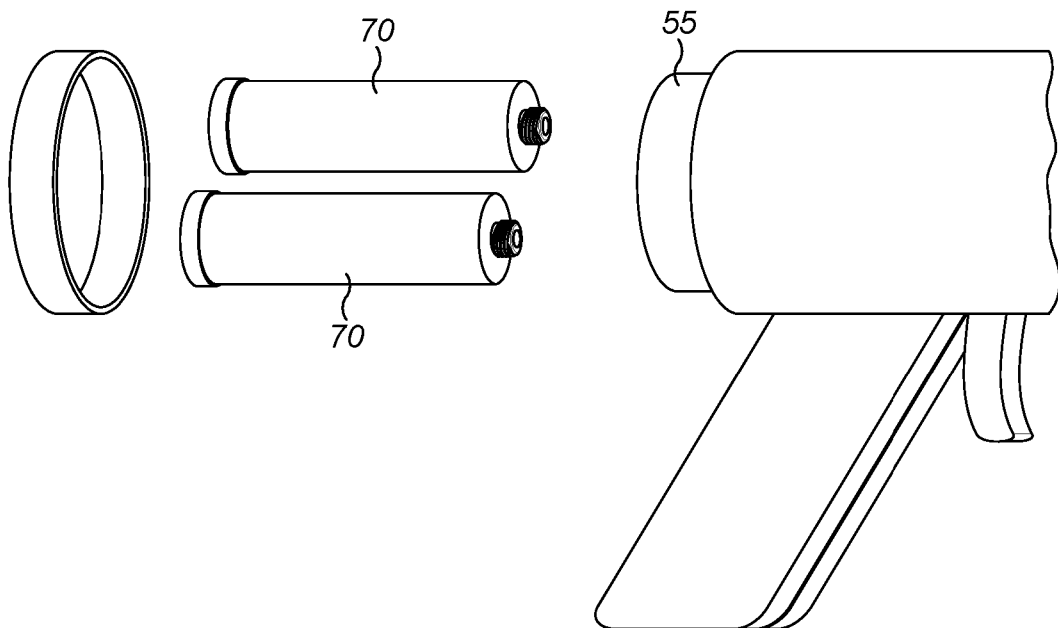
**FIG. 25**



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**FIG. 26**



**FIG. 27**

## INTERNATIONAL SEARCH REPORT

International application No

PCT/GB2017/050729

## A. CLASSIFICATION OF SUBJECT MATTER

INV. A61B17/16 A61B17/88  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 592 243 A2 (ETHICON INC [US]) 13 April 1994 (1994-04-13) column 5, line 25 - column 6, line 6; figures 1-5 column 8, line 16 - column 9, line 45 -----	1-9, 12-14, 18
X	US 6 565 554 B1 (NIEMEYER GUNTER D [US]) 20 May 2003 (2003-05-20)  column 8, line 27 - column 9, line 13; figures 1B, 3-5 -----	1, 2, 12-14, 18-20
X	US R E38 335 E (AUST GILBERT M [US] ET AL) 25 November 2003 (2003-11-25) column 3, line 62 - column 4, line 57; figures 1-5, 12-21 column 6, lines 57-65 -----  -/--	1-3, 12-14



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

15 May 2017

Date of mailing of the international search report

26/07/2017

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
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Fax: (+31-70) 340-3016

Authorized officer

Fourcade, Olivier

## INTERNATIONAL SEARCH REPORT

International application No

PCT/GB2017/050729

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2009/155319 A1 (SOTEIRA INC [US]; SENNETT ANDREW R [US]; BEYREIS RANDY J [US]; WILLIAM) 23 December 2009 (2009-12-23) paragraphs [0139] - [0148]; figures 4A-4G -----	1

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB2017/050729

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: **44-51**  
because they relate to subject matter not required to be searched by this Authority, namely:  
**see FURTHER INFORMATION sheet PCT/ISA/210**
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

**see additional sheet**

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
**1-9, 12-14, 18-20**

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

Continuation of Box II.1

Claims Nos.: 44-51

Claims 44-51 relate to subject-matter covered by the provisions of Rule 39.1(iv) PCT (Method for treatment of the human or animal body by surgery). Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims which are also not searched.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-9, 12-14, 18-20

Surgical instrument wherein the operative portion has an articulation segment and actuation means.

---

2. claims: 10, 11

Surgical instrument having locking means.

---

3. claims: 15-17

Surgical instrument having a removable and replaceable shaft.

---

4. claims: 21-24

Surgical instrument wherein the tool is a cutting element.

---

5. claims: 25-33

Surgical instrument wherein the tool comprises delivery means.

---

6. claims: 34-40, 43

Cartridge for use as a reservoir of implantable material.

---

7. claims: 41, 42

Surgical apparatus comprising a surgical instrument and clamping means.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/GB2017/050729

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0592243	A2	13-04-1994	AT 151973 T 15-05-1997
		AU 667239 B2 14-03-1996	
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		CA 2107931 A1 10-04-1994	
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US RE38335	E	25-11-2003	NONE
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WO 2009155319	A1	23-12-2009	US 2009326538 A1 31-12-2009
			US 2016074046 A1 17-03-2016
			WO 2009155319 A1 23-12-2009
-----			

专利名称(译)	手术器械		
公开(公告)号	<a href="#">EP3429486A1</a>	公开(公告)日	2019-01-23
申请号	EP2017713058	申请日	2017-03-16
[标]申请(专利权)人(译)	JRI白增亮		
申请(专利权)人(译)	JRI白增亮有限公司 手术INNOVATIONS LIMITED		
当前申请(专利权)人(译)	JRI白增亮有限公司 手术INNOVATIONS LIMITED		
[标]发明人	CARVER KRISTOPHER DRAPER EDWARD RICHARD CORNELL FLATTERS IAN JOHN PROFFITT GILES FRANCIS MANSFIELD		
发明人	CARVER, KRISTOPHER DRAPER, EDWARD RICHARD CORNELL FLATTERS, IAN JOHN PROFFITT, GILES FRANCIS MANSFIELD		
IPC分类号	A61B17/16 A61B17/88		
CPC分类号	A61B17/8805 A61B17/1604 A61B17/1617 A61B17/1624 A61B17/1637 A61B17/1664 A61B17/8836 A61B2017/003 A61B2017/00314 A61B2017/00323 A61B2017/00464		
代理机构(译)	HGF LIMITED		
优先权	2016004659 2016-03-18 GB		
外部链接	<a href="#">Espacenet</a>		

#### 摘要(译)

一种用于在受约束的关节空间中进行微创关节镜检查的手术器械，所述手术器械包括：手柄组件；一种轴组件，包括：轴，具有纵轴轴线和穿过其中的内腔，并且在轴的近端处连接到手柄组件；操作部分包括工作尖端，其上安装有工具，位于轴的远端，其中，在使用中，操作部分可选择性地在第一构型之间移动，其中操作部分基本上与纵轴轴线对齐，第二展开配置，其中操作部分的至少一部分具有：由工具和纵轴轴线之间的角度限定的可选择的工作方向；以及由工作尖端和纵轴轴线之间的直线距离限定的可选择的横向位移，在工具安装在其上并垂直于纵轴轴线的中心位置处测量，工作方向和横向位移可独立选择彼此