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(54) Title: FOLLICLE PUNCH FOR USE WITH CURLED FOLLICLES

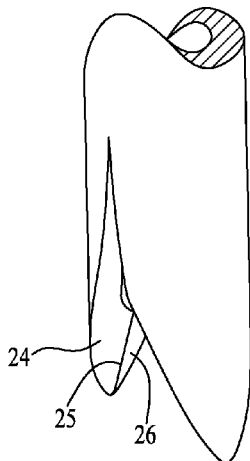


Fig. 8

(57) Abstract: A punch that is particularly useful for removing curled hair
follicles from a donor site comprises a generally tubular body disposed about
a generally longitudinal axis and having a distal cutting end region terminat-
ing distally in a plurality of distally-extending circumferentially disposed,
generally prong-like members carrying distally diverging cutting edges and
separated by follicle-accommodating slits. In practice, the punch is oriented
during the extraction process at the donor site so that the curled hair root
passes into, and is spared from the advancing cutting edge by, a slit as the
punch is inserted into and penetrates the tissue. The punch may then rotated
slightly so that the cutting edges cut most of the tissue surrounding the
follicle without making damaging contact with the follicle. It may be noted
that a rotary motion may not be necessary and, if rotation is desired, it may
be in one direction or be in the form of an oscillatory rotary movement, de-
pending on characteristics of the donor site and targeted follicle.

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1 **Title: Follicle Punch For Use With Curled Follicles**

2
3 **Field of the Invention**

4
5 This invention relates to surgical instruments and, more particularly, to a punch for
6 extracting hair follicles from the skin.
7

8 **Background of the Invention**

9
10 Hair transplantation is a surgical technique that involves moving skin containing hair
11 follicles from one part of the body (the donor site) to bald or balding parts (the recipient site).
12

13 Hair naturally grows in follicles that contain groupings of 1 to 4 hairs, and transplant
14 techniques typically move the 1-4 hair "follicular units" from the donor site to the recipient
15 site.
16

17 The follicles of hair are typically removed from the donor site using punches of
18 between 0.7mm and 1.25mm in diameter. The punches are tubular bodies having a skin-
19 contacting cutting edge, and are typically mounted in a tool that causes the punch to rotate as
20 the punch is brought into contact with the donor site. Hair follicles are very easily damage
21 during the removal process, and damaged follicles are unlikely to be successfully
22 transplanted.
23

24 Curled follicles are extremely susceptible to damage by follicle punches and are
25 therefore particularly difficult to extract for successful transplantation. Such follicles are
26 curled beneath the skin and are easily cut and/or damaged by the advancing cutting edge of
27 conventional punches as the punch penetrates the donor site's tissue.
28

29 **Summary of the Invention**

30
31 A punch that is particularly useful for removing curled hair follicles from a donor site
32 comprises a generally tubular body disposed about a generally longitudinal axis and having a

1 distal cutting end region terminating distally in a plurality of distally-extending
2 circumferentially disposed, generally prong-like members carrying distally diverging cutting
3 edges and separated by follicle-accommodating slits. In practice, the punch is oriented
4 during the extraction process at the donor site so that the curled hair root passes into, and is
5 spared from the advancing cutting edge by, a slit as the punch is inserted into and penetrates
6 the tissue. The punch may then rotated slightly so that the cutting edges cut most of the tissue
7 surrounding the follicle without making damaging contact with the follicle. It may be noted
8 that a rotary motion may not be necessary and, if rotation is desired, it may be in one direction
9 or be in the form of an oscillatory rotary movement, depending on characteristics of the donor
10 site and targeted follicle.

11
12 The foregoing insertion process may be performed manually or under machine or
13 computer control, and with or without the aid of an ultrasonic transducer coupled to punch to
14 impart a vibratory cutting force against the tissue. In addition, a mechanism for automatically
15 rotating the punch may be employed, and may accordingly be coupled to the ultrasonic
16 transducer if one is used.

17
18 These and further details of the invention will be apparent to those of ordinary skill in
19 the art from reading a description of the currently preferred embodiment of the invention
20 described below, of which the drawing forms a part.

21 22 **Description Of The Drawing**

23
24 Figure 1 is a front elevation view of a punch for removing hair follicles that is
25 constructed in accordance with the invention;

26 Figure 2 is a side elevation view of a punch of Figure 1;

27 Figure 3 is a longitudinal section view of the punch of Figure 1, taken along line 3-3
28 in Figure 1;

29 Figure 4 is a longitudinal section view of the punch of Figure 1, taken along line 4-4
30 in Figure 2;

31 Figure 5 is an enlarged fragmentary view of the portion of the punch illustrated within
32 the line 5 of Figure 4;

Figure 6 is a bottom plan view of the punch of Figure 2;

Figure 7 is a fragmentary view in perspective of the cutting end region of the punch oriented per line 7-7 in Figure 6 illustrated in Figure 1;

Figure 8 is an oblique fragmentary elevation view of the cutting end region of the punch of Figure 1;

Figure 9 is an oblique bottom view of the cutting end region of the punch of Figure 1;

Figure 10 is a schematic illustration of the preferred methodology for extracting a curled follicle in accordance with the invention;

Figures 11A is a right front oblique view, in schematic, of an alternative embodiment of a punch for removing hair follicles that is constructed in accordance with the invention;

Figure 11B is a longitudinal sectional view of the punch of Figure 11A, taken along line 11B-11B in Figure 11C;

Figure 11C is a front elevation view, in schematic, of the punch of Figure 11A,

Figures 12A is a right front oblique view, in schematic, of a second alternative embodiment of a punch for removing hair follicles that is constructed in accordance with the invention;

Figure 12B is a longitudinal sectional view of the punch of Figure 12A, taken along line 12B-12B in Figure 12C; and

Figure 12C is a front elevation view, in schematic, of the punch of Figure 12A.

Detailed Description Of The Preferred Embodiment

Referring to Figures 1-9, a preferred punch for extracting curled follicles is illustrated as comprising a generally tubular body 12 extending from a proximal end 14 to a skin-contacting distal end 16 about a generally central longitudinal axis 11. The currently preferred dimensions of the punch are displayed in the Figures in both inches (unbracketed) and millimeters (bracketed), said currently preferred dimensions being part of this Detailed Description.

The punch's generally tubular body 12 has a distal cutting end region terminating distally in a plurality of distally-extending circumferentially disposed, generally prong-like members 13 carrying distally diverging cutting edges 15 and separated follicle-

1 accommodating slits 22. The currently preferred number of members 13 is two because a pair
2 of such members currently appears to provide the appropriate amount of cutting around the
3 follicle together with sufficient slit width to safely accommodate entry and protection of the
4 follicle during the extraction process.

5
6 As illustrated in the Figures, each of the preferred prong-like members 13 has a
7 generally convex outer surface and a generally concave inner surface substantially the same as
8 that of the tubular body. As also illustrated in the Figures, each of the prong-like members
9 also has a beveled cutting surface 24, 26 terminating at a cutting edge, with the bevel
10 preferably being on the inside of the punch so that it terminates at a cutting edge on the
11 punch's outer diameter. However, the formation of bevels on the outer surface of the punch
12 is also possible, although not preferred, and is within the scope of the invention. It may be
13 noted that it is currently believed that the cutting edge portion illustrated in Figure 3 as 1.653
14 mm in length may be as long as approximately 4 mm or so, and that the follicle-
15 accommodating slit 22 should preferably be approximately 2 mm - 4 mm longer than the
16 cutting edge portion.

17
18 The bevels 24, 26 are preferably created by grinding cutting edges outwardly from the
19 interiors of the members 13 to produce sharp cutting edges. However, the bevels can also be
20 formed by laser cutting, waterjet or abrasivejet cutting, chemical molding, and/or other
21 manufacturing processes without departing from the scope of the invention.

22
23 The bevels 24, 26 preferably interface at an apex of the prong-like member to provide
24 a sharp, point-like, leading tip 25 which makes the initial penetration into the tissue that
25 surrounds the targeted follicle, while the widening, generally semi-elliptical profiles of the
26 prong-like members 13 cut more of the surrounding tissue as the punch is urged distally into
27 the site. The leading tip can alternatively be a sharp rounded tip without departing from the
28 scope of the invention.

29
30 The distal end region of the punch may be further provided with generally
31 circumferentially-extending notch having a generally concave shape that generally
32 circumscribes the punch's outer surface. The notch preferably extends 1-2 mm proximally

1 from a location closely adjacent the tip of the punch. The generally concave shape serves two
2 purposes. First, its preferred size and shape results in a wound with everted edges; as the
3 punch enters the tissue surrounding the targeted follicle, the tissue outward of the cut expands
4 against the concavity as it is passed by the cutting edge. When the punch is subsequently
5 withdrawn, the tissue resumes its consequently everted shape. Second, the generally concave
6 shape and preferred sharpening from the inside of the punch results in a cutting force that is
7 outwardly directed away from the follicle and tissue to be extracted, decreasing the risk of
8 damage to the follicle.

9
10 Alternatively, the punch can be provided with a flared distal end having a diameter
11 that has a diverging inner diameter and diverging outer diameter along the last 1 mm or so,
12 with the flared end region resulting in a preferred gap of approximately 1.25 mm between
13 opposing tips. Gaps of great or lesser spacing may be utilized as well, depending on the
14 subject's hair and follicle dimensions without departing from the scope of the invention.

15
16 In one preferred configuration, the shape and dimensions of the slit 22 are, as best
17 illustrated in Figures 4 and 5, a general inverted "V" profile having a relatively distal
18 segment and a relatively proximal segment 22a that is more steeply tapered than the distal
19 segment 22b. The more steeply tapered interior of the relatively proximal segment provides a
20 slit length and width that accommodates the follicle as the punch penetrates the surrounding
21 tissue, in order to spare the follicle from being cut; the less tapered distal segment of the slit
22 results in adequate spacing of the cutting edges of adjacent prong-like members 13 from the
23 follicle's root structure so that the cutting yields a viable implant. Although the same taper
24 could be used for both segments, it is preferable not to do so since a generally uniformly steep
25 taper (such as that of the preferred distal segment) would add unnecessary length to the punch
26 to achieve the needed spacing between the prongs, while a generally uniformly shallow taper
27 (such as that of the preferred distal segment) would fail to provide the slit length needed.

28
29 The cutting edge of the punch, which preferably extends from its leading tip to the
30 beginning of the steeply tapered portion of the slit (i.e., the interface of the proximal and
31 distal slit segments), may be smooth or include one or more serrations. If serrations are
32 included, it is currently preferable that there be one or two serrations, with rounded edges,

1 although the use of sharply angled edges would not depart from the scope of the invention.

2
3 Figure 10 schematically illustrates (at "A") a subject's head 100 having a plurality of
4 hairs 102 protruding from the skin 104. A hair 102 and its curled, subcutaneously-located
5 follicle 106 is schematically illustrated in magnified form at "B".

6
7 As next schematically illustrated with greater magnification at "C", the preferred
8 punch is inserted into the skin at the donor site in such a way that the hair enters the punch's
9 interior while the follicle 106 passes uncut through the slit 22. As further illustrated at "D",
10 the punch is advanced past the follicle, which remains undamaged by the cutting edges of the
11 punch by passing through the slit. Once the punch has penetrated sufficiently, it can be
12 partially rotated back and forth if desired, as schematically illustrated by the arrows, resulting
13 in an arcuate cut in the tissue substantially circumscribing the curled follicle, while the
14 follicle itself is spared by its clearance within the slit and isolation from the cutting edges.
15 The intact hair follicle is then removed from the donor site for subsequent transfer to the
16 recipient site.

17
18 To penetrate the skin, the punch could be manually pressed proximally by hand.
19 Currently, it is believed that the use of an ultrasonic transducer to apply rapid, incremental,
20 proximally-directed cutting force pulses to the tissue via the punch offers a more precisely
21 controllable methodology for penetrating the tissue while the punch is positioned at the donor
22 site and oriented so as to accommodate the follicle within the slit.

23
24 Turning to Figures 11A-C, a second currently preferred configuration of a follicle
25 punch is illustrated with its currently preferred dimensions in mm). It should be noted that
26 the interior surfaces of the punch are smooth; the apparent facets illustrated in Figures 11A
27 and 11B are computer-generated "tangent" lines connoting a change in surface direction only.

28
29
30 The punch illustrated in Figures 11A-C comprises a pair of distally-extending
31 circumferentially disposed, generally prong-like members 113 carrying distally diverging
32 cutting edges 114, 116 and separated by a generally U-shaped follicle-accommodating slit

122. The cutting edge of each prong-like member is again preferably formed from the inside of the punch by grinding cutting edges outwardly from the interior region of the members. However, as noted earlier, the cutting edges can also be formed by laser cutting, waterjet or abrasivejet cutting, chemical molding, and/or other manufacturing processed without departing from the scope of the invention. The leading tips 125 of the punch illustrated in Figures 11A-C are sharp rounded tips that make the initial penetration into the skin and tissue surrounding the targeted follicle.

To minimize the risk of the follicle being cut during the extraction process, the formation of the cutting edges may be limited to the first 0.060 inches (1.52 mm) or so from the distal tip 125 of the punch, so that the cutting edges pass the follicle during insertion of the punch at the donor site and any subsequent contact between the punch and follicle is not with a cutting edge. The cutting edge may however extend the entire length, or a different length, of the slits.

The gap between the prong-like members of the punch illustrated in Figures 11A-C is preferably 0.02-0.03 inches (0.51- 0.76 mm) wide. It preferably extends proximally from the distal tip of the punch for about 0.12 to 0.16 inches (3.05 - 4.06 mm).

Turning to Figure 12A-C, another preferred variation of the punch is illustrated, wherein the punch comprises a pair of distally-extending circumferentially disposed generally prong-like members 213 that carry distally-diverging cutting edges 214, 216 separated by a generally U-shaped follicle-accommodating slit. The leading tips 225 of the members 213 are sharp pointed tips. Each cutting edge 214, 216 is preferably formed from the inside of the punch by grinding cutting edges outwardly from the interior region of the prong-like members. However, as noted earlier, the cutting edges can also be formed by laser cutting, waterjet or abrasivejet cutting, chemical molding, and/or other manufacturing processed without departing from the scope of the invention. To minimize the risk of the follicle being cut during the extraction process, the formation of the cutting edges may be limited to the first 0.060 inches (1.52 mm) or so from the distal tip of the punch, so that the cutting edges pass the follicle during insertion of the punch at the donor site and any subsequent contact between the punch and follicle is not with a cutting edge. The cutting edge may however extend the

1 entire length, or a different length, of the gap.

2
3 The gap between the prong-like members of the punch illustrated in Figures 12A-C is
4 preferably 0.03 inches (0.076 mm) wide, and preferably extends proximally from the distal tip
5 of the punch for about 0.16 inches (4.06 mm).

6
7 As with Figures 11A-B, it should be noted that the interior surfaces of the punch
8 illustrated in Figures 12A-B are smooth, and that the apparent facets are computer-generated
9 "tangent" lines connoting a change in surface direction only.

10
11 Regardless of the specific version of follicle punch utilized, the inclusion of an
12 ultrasonic transducer coupled to the punch and selectively operable to enhance the cutting
13 operation is desirable. The transducer is mounted within a handpiece to which the punch is
14 attached in a manner analogous to the transducer, handpiece and scaler tip of an ultrasonic
15 dental scaler. The punch may be further mounted for reciprocating pivoting movement within
16 the handpiece so as to move in such manner with or without ultrasonic vibratory movement.
17 Likewise, the configuration may be such that ultrasonic vibratory movement can be generated
18 with or without the pivoting movement.

19
20 In practice, it has been found that an adjustable degree of longitudinally reciprocating
21 ultrasonic movement is desirable in that the appropriate degree of movement is a function of
22 the subject's skin thickness and tissue, with higher settings being suitable when cutting
23 through thicker skin or scar tissue for example. The use of the ultrasonic movement permits
24 the surgeon or other operator of the equipment to better use his/her "fine motor" muscle
25 movement to more precisely make the required incisions with greater sensitivity and finesse.

26
27 Although the present invention and its advantages have been described in detail, it
28 should be understood that various changes, substitutions and alterations can be made herein
29 without departing from the spirit and scope of the invention as will be defined by appended
30 claims.

1 I claim:

2
3 1. A follicle punch comprising:

4 a generally tubular body disposed about a generally longitudinal axis and having a
5 distal cutting end region terminating distally in a plurality of distally-extending,
6 circumferentially disposed, generally prong-like members carrying distally diverging cutting
7 edges and separated by follicle-accommodating slits.

8
9 2. The punch of Claim 1 wherein each of the prong-like each members include a
10 beveled cutting surface terminating at a cutting edge.

11
12 3. The punch of Claim 2 wherein the bevel is formed on the inside surface of the
13 tubular punch body.

14
15 4. The punch of Claim 3 wherein the cutting edge formed by the beveled cutting
16 surface is at the punch's outer diameter.

17
18 5. The punch of Claim 1 wherein the prong-like members terminate in respective
19 cutting edges having a longitudinal length in the range of substantially 1.65 mm to and
20 including substantially 4 mm.

21
22 6. The punch of Claim 5 wherein the follicle-accommodating slits are substantially 2
23 mm to 4 mm longer than the cutting edges.

24
25 7. The punch of Claim 1 wherein the follicle-accommodating slits are substantially 2
26 mm to 4 mm longer than the cutting edges.

27
28 8. The punch of Claim 1 wherein the prong-like members respectively terminate
29 distally in a sharp, point-like, leading tip which makes the initial penetration into tissue
30 surrounding the follicle.

31
32 9. The punch of Claim 1 wherein the prong-like members respectively terminate

1 distally in a sharp rounded leading tip which makes the initial penetration into tissue
2 surrounding the follicle.

3
4 10. The punch of Claim 1 wherein the prong-like members terminate distally in
5 respective leading tips that make the initial penetration into tissue surrounding the follicle,
6 and the distal end region of the punch includes a generally circumferentially-extending notch
7 having a generally concave shape that generally circumscribes the punch's outer surface.

8
9 11. The punch of Claim 10 wherein the notch preferably extends proximally 1-2 mm
10 from the tips of the prong-like members.

11
12 12. The punch of Claim 1 wherein the slits each have a generally inverted "V"
13 profile.

14
15 13. The punch of Claim 11 wherein the generally inverted "V" profile consists of a
16 relatively distal segment and a relatively proximal segment that is more steeply tapered than
17 the distal segment.

18
19 14. The punch of Claim 13 wherein the cutting edge of each prong-like member
20 extends from its leading tip to the proximal end of the distal slit segment.

21
22 15. The punch of Claim 1 wherein the cutting edges have one or more serrations.

23
24 16. The punch of Claim 1 wherein the slits each have a generally inverted "U" shape.

25
26 17. The punch of Claim 1 wherein the prong-like members respectively terminate
27 distally in a leading tip which makes the initial penetration into tissue surrounding the
28 follicle, and the cutting edges extend proximally from the tips and next to the slits, the slits
29 being longer than the cutting edges by a distance sufficient to enable the cutting edges to pass
30 the follicle during insertion of the punch at a donor site so that any subsequent contact
31 between the punch and follicle caused by a rotational movement of the punch about said axis
32 and is not with a cutting edge.

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18. The punch of Claim 1 including
a handpiece coupled to the punch for enabling the punch to be controllably
manipulated by a user in the removal of a hair follicle from a targeted donor site, and
an ultrasonic transducer within the handpiece and coupled to the punch and selectively
operable by the user to induce ultrasonic movement in the punch to enhance the cutting
controllably cut the skin and tissue around a targeted donor site.

19. The punch of Claim 1 wherein the ultrasonic movement is generally axial.

20. The punch of Claim 1 wherein the prong-like members terminate distally in
respective leading tips that make the initial penetration into tissue surrounding the follicle,
and the distal end region of the punch comprising the prong-like members is flared.

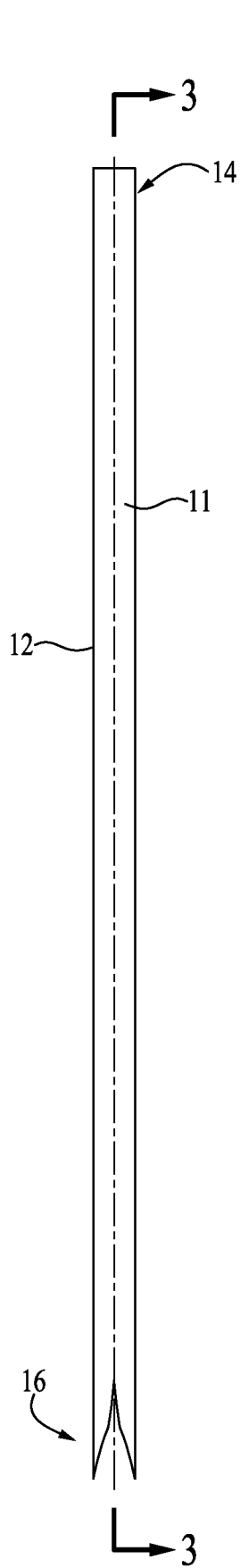


FIG. 1

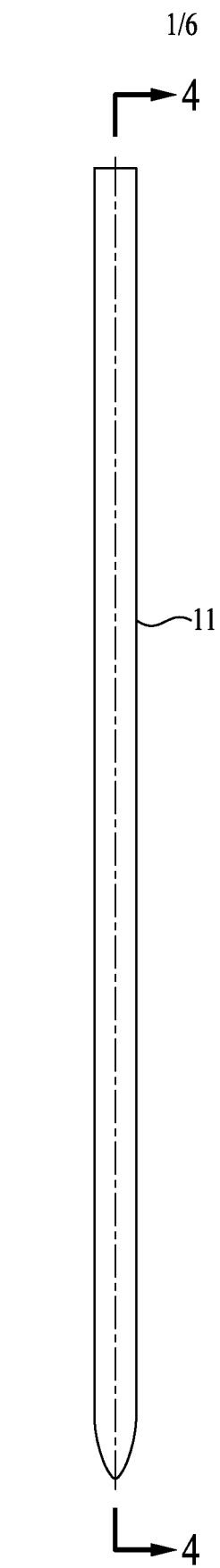


FIG. 2

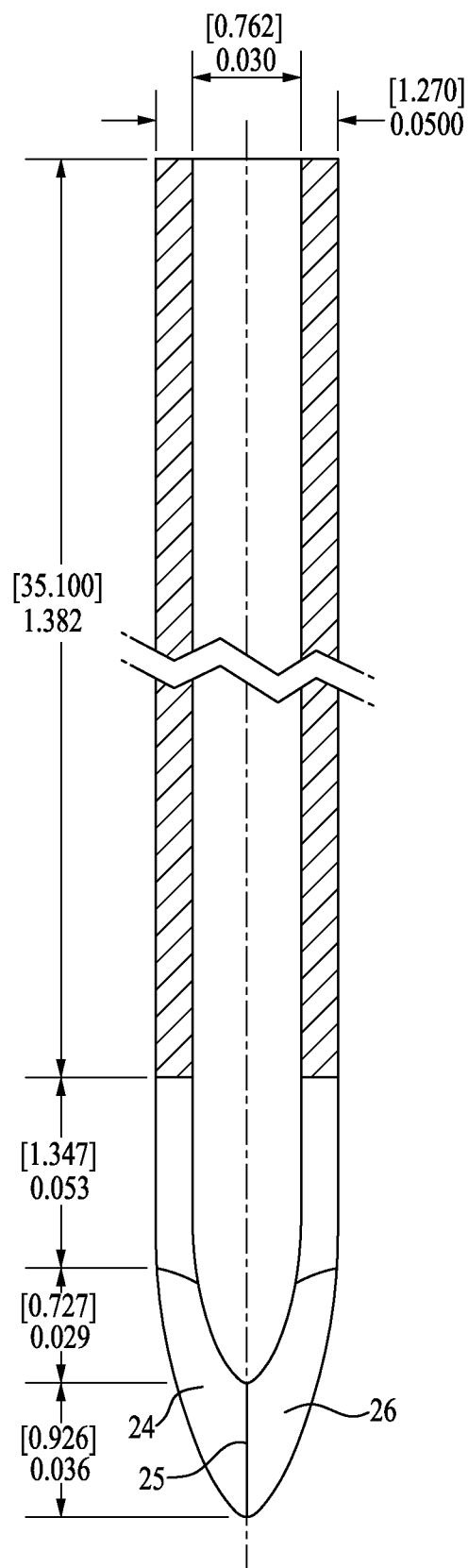


FIG. 3

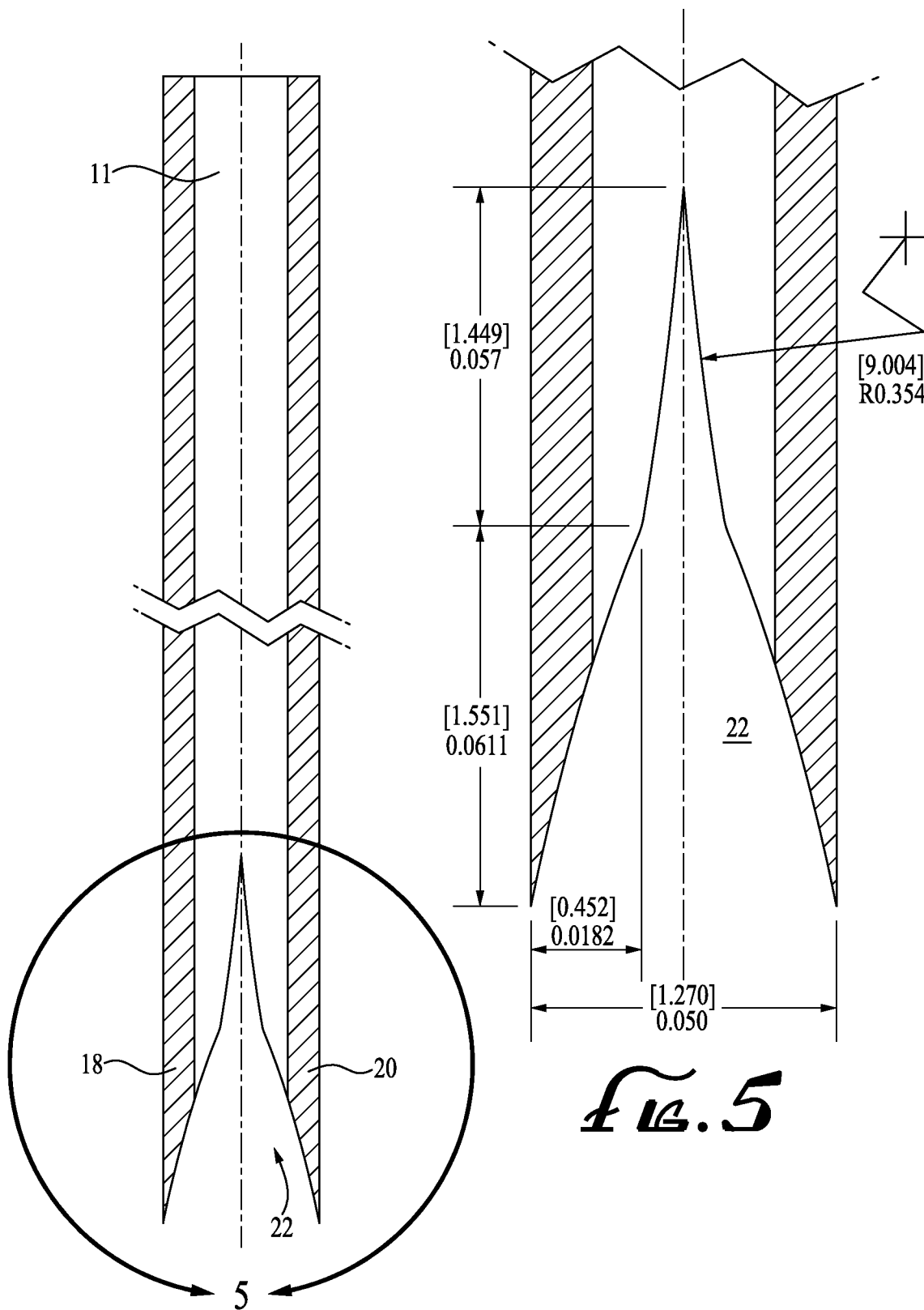


Fig. 4

Fig. 5

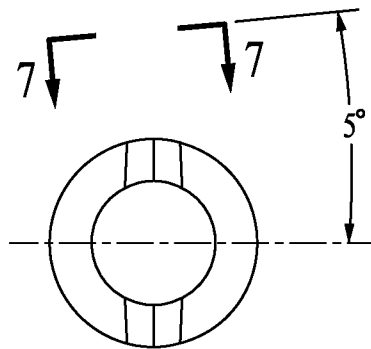


FIG. 6



FIG. 7



FIG. 8

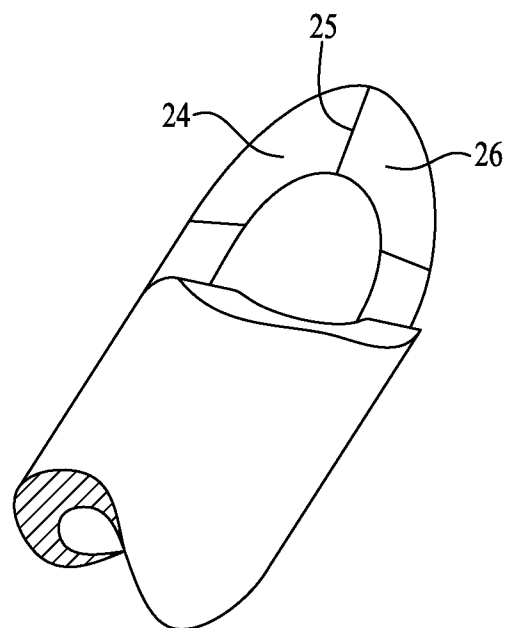
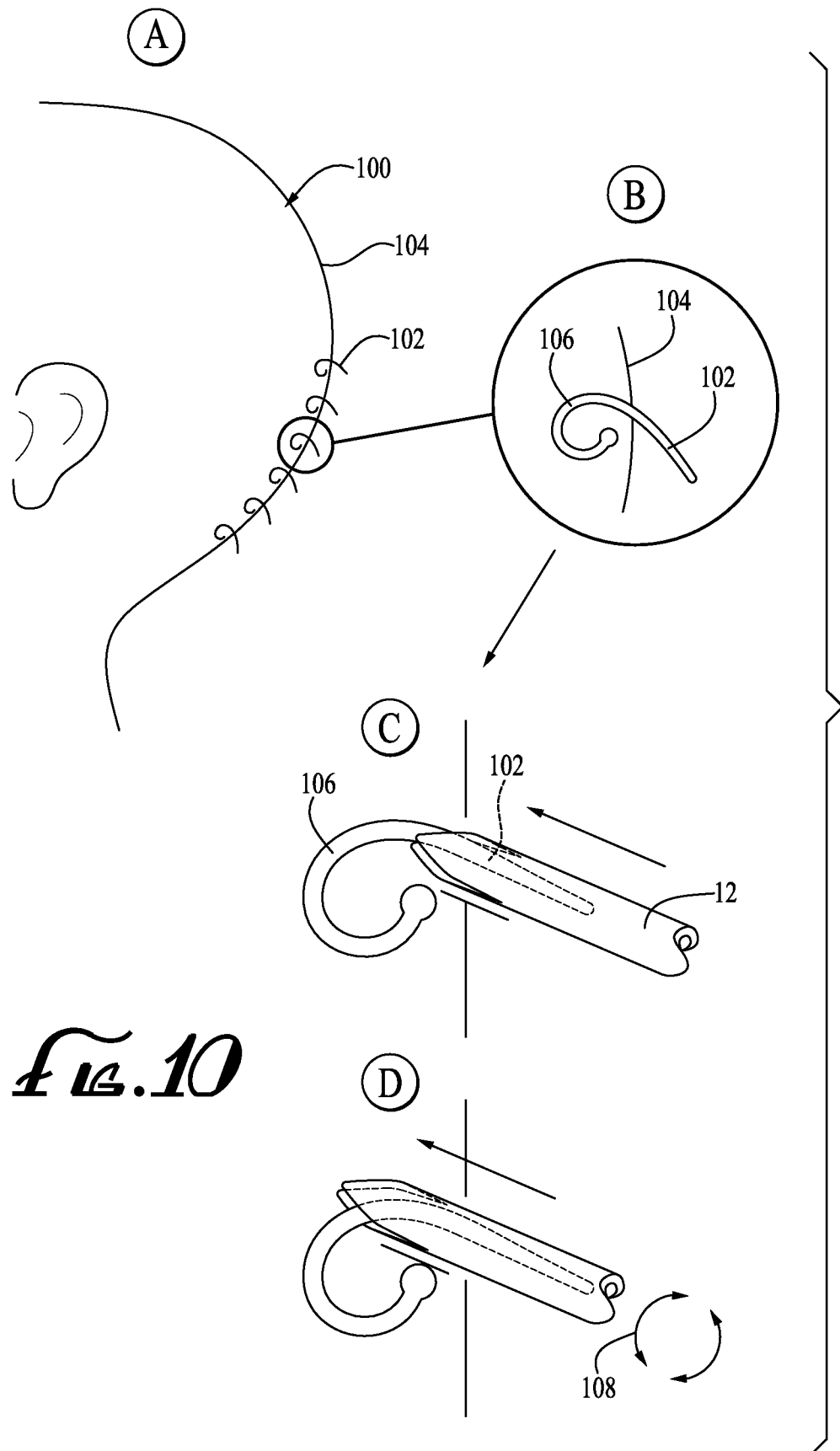


FIG. 9

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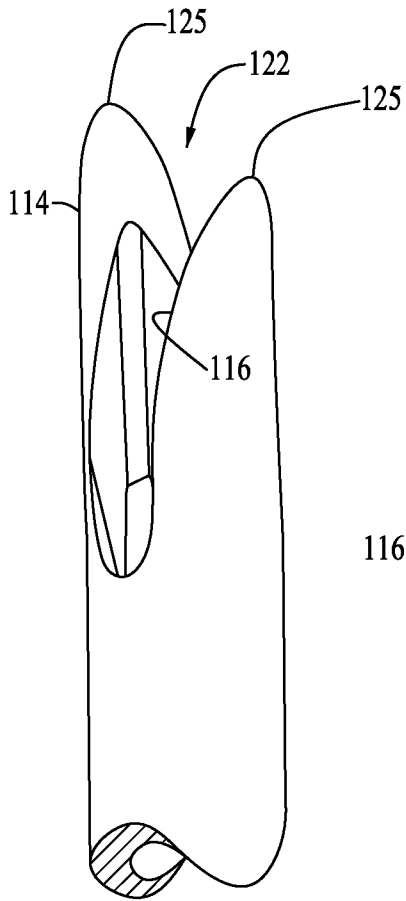


Fig. 11A

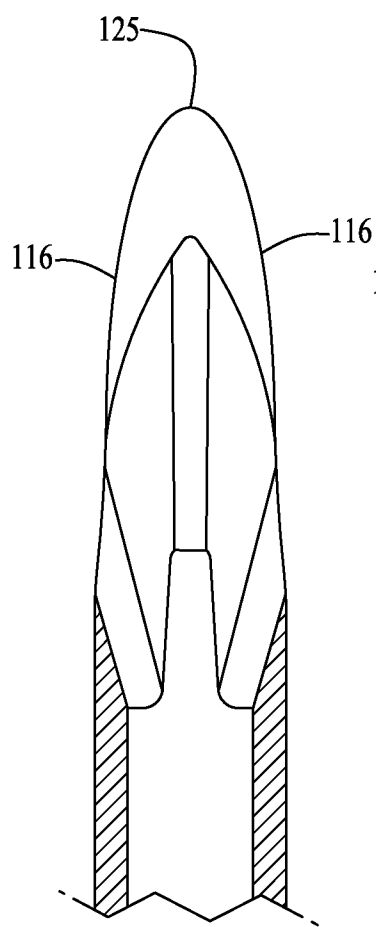


Fig. 11B

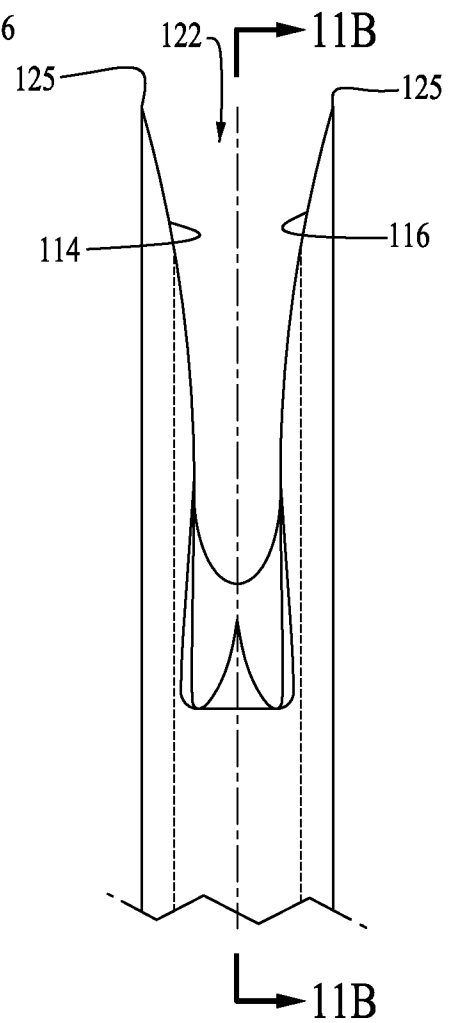


Fig. 11C

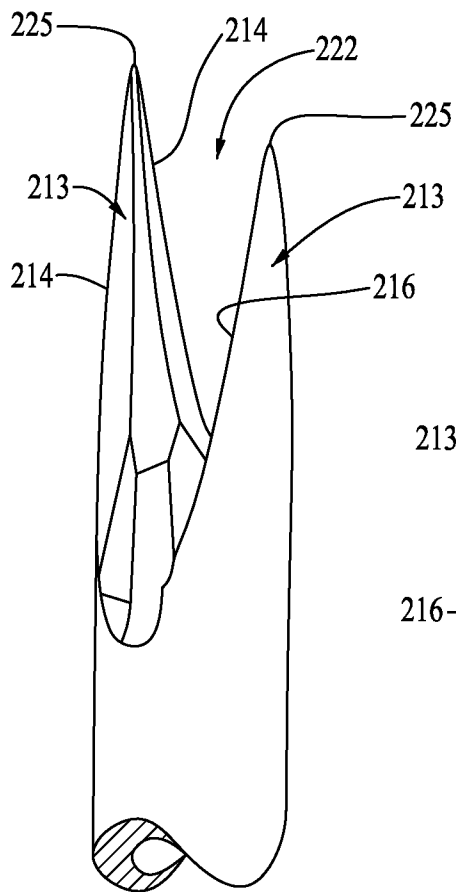


FIG. 12A

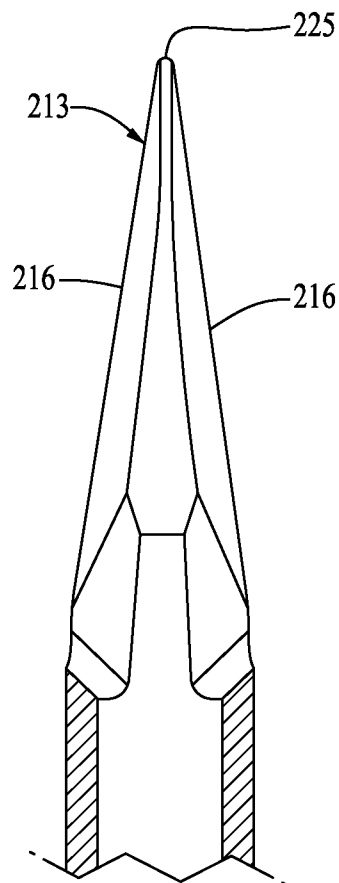


FIG. 12B

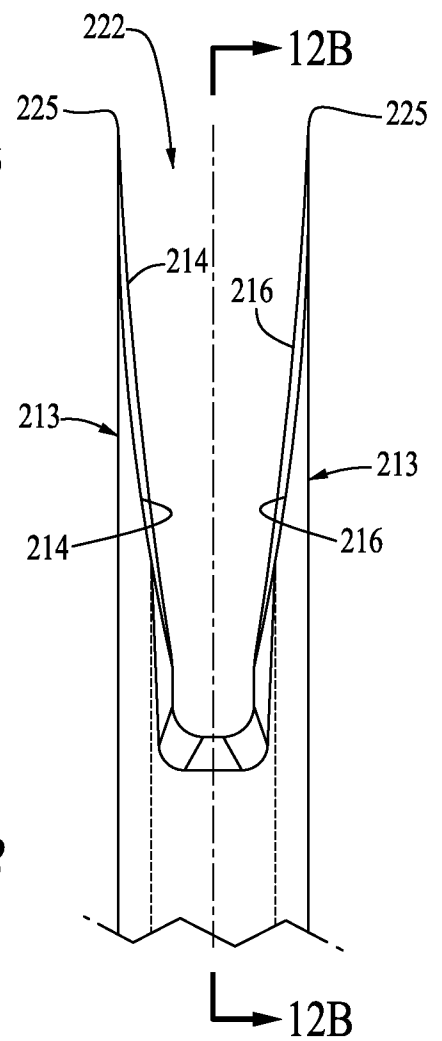


FIG. 12C

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2013/071991**A. CLASSIFICATION OF SUBJECT MATTER****A61B 17/3205(2006.01)i, A61F 2/10(2006.01)i, A61B 17/50(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B 17/3205; A61B 17/34; A61B 17/50; A61B 17/32; A61F 2/10

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: hair transplantation, follicle punch, cutting, remove, ultrasonic transducer

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2007-0156164 AI (COLE, J. P. et al.) 5 July 2007 See abst ract ; figs. 5 and 11a; paragraphs [0057] , [0077] , [0078] , and [0122] ; claims 51, 52, 62, and 79.	1-9 , 12-20
A		10 , 11
A	US 2008-0234699 AI (COSTMAN JR. , C. A. et al.) 25 September 2008 See abst ract ; figs. 7A-8B; paragraphs [0110]-[0113] ; claims 1 and 25.	1-20
A	US 6059807 A (BOUDJEMA, P. J.) 9 May 2000 See abst ract ; figs. 5-7 ; claim 1.	1-20
A	US 2011-0160746 AI (UMAR, S.) 30 June 2011 See abst ract ; claim 1.	1-20
A	US 2005-0245952 AI (FELLER, A. S.) 3 November 2005 See abst ract ; claim 1.	1-20

II Further documents are listed in the continuation of Box C.☒ See patent family annex.

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INTERNATIONAL SEARCH REPORT

Information on patent family members

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摘要(译)

特别适用于从供体部位移除卷曲毛囊的冲头包括大致管状的主体，该主体围绕大致纵向轴线设置并且具有远侧切割端部区域，该远侧切割端部区域在多个向远侧延伸的周向设置的，通常为叉状的构件中向远侧终止。携带远端发散的切割边缘并由容纳卵泡的狭缝分开。在实践中，冲头在供体部位的提取过程中被定向，使得当冲头插入并穿透组织时，卷曲的发根进入并通过狭缝从前进的切割边缘中剔除。然后，冲头可以稍微旋转，使得切割边缘切割毛囊周围的大部分组织，而不会与毛囊形成破坏性接触。可以注意到，旋转运动可能不是必需的，并且如果需要旋转，它可以在一个方向上或者是振荡旋转运动的形式，这取决于供体部位和目标卵泡的特征。