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**Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

[Continued on next page]

(54) Title: SYSTEMS AND METHODS FOR TRACKING AND GUIDING HIGH INTENSITY FOCUSED ULTRASOUND BEAMS

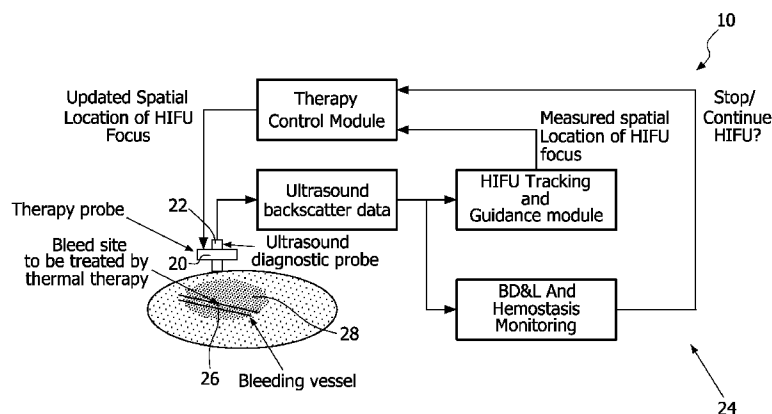


FIG. 1

(57) Abstract: The present disclosure provides systems and methods for tracking and guiding high intensity focused ultrasound beams (HIFU). More particularly, the disclosed systems and methods involve use of acoustic radiation force impulse (ARFI) imaging to detect the focal position of an HIFU capable transducer relative to a target area. The focal position may then be compared to a desired treatment location and the orientation and focus of the transducer may be adjusted accordingly so as to reconfigure and/or refocus the HIFU beam relative to the desired treatment location. The desired treatment location may be dynamically determined using bleed detection and localization (BD&L) techniques. Thus, the desired treatment location may be determined using 3D Doppler ultrasound based techniques, wherein changes in quantitative parameters extracted from the Doppler spectra, e.g., Resistance Index (RI), are used to detect and localize a bleeding site for treatment.

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## **SYSTEMS AND METHODS FOR TRACKING AND GUIDING HIGH INTENSITY FOCUSED ULTRASOUND BEAMS**

### **BACKGROUND**

#### **1. Statement of Governmental Interest**

The United States government may hold license and/or other rights to the systems and methods claimed herein. Development of the systems and methods claimed herein was supported by DARPA; Funding Opportunity No. DARPA05-01DBAC.

#### **2. Technical Field**

The present disclosure relates to high intensity focused ultrasound (HIFU) applications. More particularly, the present disclosure relates to systems and methods for tracking and guiding HIFU beams. Although exemplary embodiments relate primarily to acoustic hemostasis, the disclosed systems and methods apply to and for any HIFU application, including but not limited to HIFU treatments for uterine fibrosis, cancer, and cardiac arrhythmias, such as atrial fibrillation.

#### **3. Background Art**

Blood loss from extremity wounds is the number one cause of preventable battlefield death today. In civilian casualties, exsanguinations due to internal bleeding are the most significant cause of death in trauma victims. Hemostatic therapies such as HIFU and electrocautery may be used to quickly stop internal bleeding to prevent onset of progressive and irreversible hemorrhagic shock, which ultimately leads to death. The onset of bleeding must be detected and the site spatially localized in order to treat these trauma wounds effectively. As part of the DARPA DBAC program at Philips Research Briarcliff, 3D Doppler ultrasound based techniques have been developed to detect and localize a bleeding site (herein referred to as “Bleed Detection and Localization” or “BD&L”) automatically by tracking the change in

quantitative parameters extracted from the Doppler spectra such as Resistance Index (RI). For these emergent care type applications, the device is designed to be operator independent and, therefore, image-based feedback allowing user interaction and control is not available.

Hence, an extremely essential component for success of HIFU based hemostasis treatments is the ability to continually track if the therapy is being delivered at the correct location. Due to tissue heterogeneities and lack of quantitative information on the local thermal and acoustic properties, there exist errors between the intended spatial location of treatment and the actual physical location of the HIFU focus. A tracking and guiding system must, therefore, actively refocus the HIFU beam to the desired location so that the therapy is delivered at the appropriate site without unwanted damage to surrounding normal tissue. Although BD&L techniques may be repeated to determine if a bleed rate has slowed or stopped, these techniques do not provide any quantitative feedback or spatial information relating to the optimal reorientation or refocusing of the therapy relative to the desired treatment location.

A number of researchers have proposed the use of B-mode images to provide imaging feedback and spatially locate the HIFU beam by tracking the presence of hyper-echogenicity. However, it has been demonstrated that this information is not always reliable since hyperecho can appear quite some time after the tissue has already been ablated and when the local tissue temperature is close to boiling. The inhomogeneous structure of tissue would make direct B-mode visualization of the focal region of HIFU preceding boiling even more challenging. See B. A. Rabkin, V. Zderic, and S. Vaezy, "Hyperecho in ultrasound images of HIFU therapy: involvement of cavitation," *Ultrasound Med Biol*, vol. 31, pp. 947-56, 2005. An effective tracking method must be able to locate the HIFU focus without causing any damage to normal tissue.

The following U.S., foreign and PCT patents and publications provide examples of prior art relating to hemostasis and/or HIFU systems. In all cases, the disclosed systems and methods fail to provide adequate means for tracking and guiding therapeutic HIFU beams.

European Patent Publication No. EP0989822 describes a method of producing remote hemostasis within a patient body. The method involves identifying an internal bleeding site and focusing therapeutic ultrasound energy through tissue from a radiation source to coagulate blood adjacent to the site. An imaging transducer provides an image of a portion of a patient body having an internal bleeding site, typically using pulsed Doppler color flow imaging, elasticity imaging, an angiogram or the like. Thus, this patent publication describes a method for effecting hemostasis and the use of ultrasound methods to identify a bleed. In particular, the noted European publication does not describe a method for identifying the location of HIFU focus to ensure treatment of a desired location.

U.S. Patent Publication No. US2005/215899 describes a method for identifying ablated tissue using acoustic radiation force impulse imaging. The disclosed method involves generating acoustic radiation force impulse (ARFI) image data wherein a region of increased stiffness represents ablated tissue. ARFI is thus employed to determine the extent and size of an ablated region. The disclosure does not, however, propose a method of detecting the HIFU focus prior to commencing ablation in order to determine if the ablation will be applied at the desired site. In addition, the sonication for ARFI is not generated using the HIFU transducer.

PCT Publication No. WO2004075987 describes an HIFU delivery method for tracking and accounting for body movement of a subject patient. The disclosed method involves acquiring ultrasound image data of the patient's target area and comparing current image data with previously acquired image data. Discrepancies are analyzed in order to detect and account

for patient movement prior to HIFU treatment administration. This patent publication does not involve the use of ARFI. Rather, the disclosure relies on using a sequence of ultrasound images to determine and account for movement. In addition, the disclosed technique does not detect the HIFU beam focus.

U.S. Patent Publication No. US2005/203399 describes an image guided HIFU device for therapy in obstetrics and gynecology. A frame ensures that the alignment between a high intensity focused ultrasound (HIFU) transducer designed for vaginal use and a commercially available ultrasound image probe is maintained, so that the HIFU focus remains in the image plane during HIFU therapy. This patent publication does not propose the use of ARFI to determine the location of the HIFU focus. The proposed mechanical frame is specifically applicable for the transducer geometry in obstetrics and gynecology and cannot be extended for other clinical applications of HIFU. Furthermore, the HIFU focus position is determined only within a 2D plane.

Despite efforts to date, a need remains for systems and methods for effective tracking and guiding HIFU beams. These and other needs are satisfied by the present disclosure, as will be apparent from the description which follows.

## SUMMARY

The present disclosure provides systems and methods for facilitating high intensity focused ultrasound (HIFU). More particularly the present disclosure provides systems and methods that facilitate beam tracking and guidance in HIFU applications.

Generally, the presently disclosed systems and methods involve the use of acoustic radiation force impulse (ARFI) imaging to determine the focal position of an HIFU capable transducer relative to a target area. The focal position may then be compared to a desired

treatment location and the orientation and focus of the transducer may be adjusted accordingly so as to direct the HIFU beam relative to the desired treatment location. In exemplary embodiments, ultrasound elasticity imaging-based techniques are used to estimate the focal position of the HIFU beam in real-time. The estimated focal position is compared relative to a desired treatment location. Typically, a processor is used to calculate and adjust the focus and orientation of the transducer so as to optimally direct the HIFU beam relative to the desired treatment location.

Ultrasound elasticity-based techniques, such as Acoustic Radiation Force Impulse (ARFI) imaging, may be used to determine the focal position. *See, e.g.,* K. Nightingale, R. Bentley, and G. Trahey, "Observations of tissue response to acoustic radiation force: opportunities for imaging," *Ultrason Imaging*, vol. 24, pp. 129-38, 2002; K. Nightingale, M. S. Soo, R. Nightingale, and G. Trahey, "Acoustic radiation force impulse imaging: in vivo demonstration of clinical feasibility," *Ultrasound Med Biol*, vol. 28, pp. 227-35, 2002. In exemplary embodiments involving ARFI imaging, the transducer induces a radiation force relative to a target area, e.g., using and/or based upon low power sonication. The focal position may be determined by detecting the point of maximum tissue displacement following the radiation force.

In general, the systems and methods disclosed, as related to tracking and guiding of an HIFU beam, may be implemented before HIFU therapy commences. Thus, the disclosed systems and methods advantageously facilitate focusing of an HIFU beam relative to a treatment location, while minimizing collateral damage to surrounding tissue. In exemplary embodiments "Bleed Detection and Localization" (BD&L) techniques may be used to detect and localize a desired treatment location. Thus, HIFU beam tracking and beam focusing steps may be

cyclically interleaved with BD&L and HIFU beam administration to continuously ensure that HIFU therapy is being delivered to the desired treatment location.

The disclosed systems and methods have broad-based applications and offer many advantages over prior art as discussed in the present disclosure. Additional features, functions and benefits of the disclosed systems and methods will be apparent from the description which follows, particularly when read in conjunction with the appended figures.

#### BREIF DESCRIPTION OF THE DRAWINGS

To assist those of ordinary skill in the art in making and using the disclosed systems and methods, reference is made to the appended figures, wherein:

**Figure 1** is a block diagram of an exemplary high intensity focused ultrasound (HIFU) system for hemostasis according to the present disclosure.

**Figure 2** is an exemplary time sequence representation for using the HIFU system of **Figure 1**.

#### DESCRIPTION OF EXEMPLARY EMBODIMENT(S)

Advantageous systems and methods for facilitating High Intensity Focused Ultrasound (HIFU) are provided according to the present disclosure. In general, systems disclosed herein include (i) an HIFU capable transducer, (ii) a diagnostic imaging probe, and (iii) a processor. The disclosed methods typically involve determining the focal position of an HIFU capable transducer and associated tracking/guidance steps/functionalities.

According to the present disclosure, acoustic radiation force impulse (ARFI) imaging may be used to detect the focal position for an HIFU capable transducer relative to a target area. In exemplary embodiments, the diagnostic imaging probe is used to probe a target area and obtain imaging data before and after inducement of a radiation force relative to the target area.



The radiation force is typically induced using the HIFU capable transducer, e.g., using low power sonication. The radiation force causes motion of the target area and the region of greatest tissue displacement represents the focal position of the transducer. Thus, in exemplary embodiments, the imaging data is compared and/or analyzed using the processor to determine the focal position for the HIFU capable transducer relative to a target area.

After the focal position of the HIFU capable transducer is detected, the orientation and/or focus of the transducer may be adjusted such that a new/adjusted focal position substantially matches a desired treatment location. In exemplary embodiments, the focal position of the transducer is continuously detected and adjusted so as to dynamically track and guide the HIFU beam relative to a desired treatment location and/or treatment path. Generally, treatment using the HIFU beam, e.g., administration of high power sonication, will not commence until the detected focal position substantially matches a desired treatment location, thereby reducing the risk of harm to surrounding tissues.

In exemplary embodiments, the desired treatment location may be dynamically determined using BD&L techniques. Thus, in exemplary embodiments, the desired treatment location is determined using 3D Doppler ultrasound based techniques, wherein changes in quantitative parameters extracted from the Doppler spectra, e.g., Resistance Index (RI), are used to detect and localize a bleeding site for treatment. In general, other detection and localization techniques may be employed instead of or in conjunction with BD&L in order to determine the desired treatment area.

With reference now to **Figure 1**, an exemplary HIFU system 10 for inducing hemostasis is depicted. The system includes an HIFU capable transducer 20, a diagnostic imaging probe 22,

and a processor 24. The transducer 20 and probe 22 are positioned relative to a target area 28. The target area 28 may generally be determined by a rough initial assessment of an injury.

After the transducer 20 and probe 22 are positioned relative to the target area 28, BD&L techniques may be used to detect the spatial location of the bleed site (the desired treatment location 26). In general, the desired treatment location 26 is detected using 3D Doppler spectra analysis. Sonication for producing the Doppler effect may be provided by the transducer 20. Data for the spectra analysis is obtained by the probe 22 and analyzed by the processor 24.

Once the desired treatment location 26 is determined, the focal position for the transducer 20 may be detected using ultrasound elasticity techniques, such as ARFI imaging. In exemplary embodiments, diagnostic level tracking pulses are applied relative to the target area 28 and a first set of backscatter data is obtained for use as a reference. After the reference backscatter data is obtained, low power sonication is applied using the transducer 20 relative to the target area 28. The low power sonication induces a radiation force relative to the target area 28 which causes tissue displacement. In alternative embodiments, the probe 22 may also be used to induce the radiation force, alone or in conjunction with the transducer 20. After the radiation force has caused tissue displacement, a second set of tracking pulses may be applied relative to the target area 28 and a second set of backscatter data may be obtained. The processor 24 is used to compare and analyze the first and second sets of backscatter data to determine the focal position of the transducer 20. The focal position of the transducer 20 corresponds to the point of maximum tissue displacement.

After the focal position of the transducer 20 has been determined, the processor 24 adjusts the orientation and/or focus of the transducer 20, such that the new focal position

substantially matches the desired treatment location 26. Thus, the HIFU beam focus is configured to coincide with the bleed site determined using BD&L techniques.

**Figure 2** depicts an exemplary time sequence for using the system 10 of **Figure 1**. In exemplary embodiments, BD&L and/or tracking/guiding techniques may be cyclically repeated to create a dynamically self-correcting system. Thus, HIFU beam therapy delivery may, periodically, be interrupted, e.g., in order to ensure the correct orientation and/or focus of the transducer. The BD&L technique may also be used to track the bleed rate and determine if the rate has diminished or stopped. In exemplary embodiments, a non-diminishing bleed rate may indicate that the HIFU beam is incorrectly focused or simply ineffective. Thus, the processor may stop HIFU therapy if a diminishing bleed rate is not detected over a certain period time. If HIFU therapy is stopped because of a non-diminishing bleed rate, tracking and guiding techniques may be applied to reorient and/or refocus the transducer before treatment recommences.

Thus, the disclosed systems and methods provide may be advantageously employed in various high intensity focused ultrasound (HIFU) applications. The disclosed systems and methods facilitate tracking and guiding of HIFU beams relative to a target region and/or target path. The disclosed systems/methods have wide ranging applicability, including acoustic hemostasis techniques, treatment of uterine fibrosis, cancer treatment and arterial fibrillation treatments.

Although the present disclosure has been described with reference to exemplary embodiments and implementations thereof, the disclosed systems and methods are not limited to such exemplary embodiments/implementations. Rather, as will be readily apparent to persons skilled in the art from the description provided herein, the disclosed systems and methods are

susceptible to modifications, alterations and enhancements without departing from the spirit or scope of the present disclosure. Accordingly, the present disclosure expressly encompasses such modification, alterations and enhancements within the scope hereof.

## CLAIMS

What is claimed is

1. A system for facilitating administration of a High Intensity Focused Ultrasound (HIFU) beam relative to a target area, the system comprising
  - a. an HIFU capable transducer,
  - b. a diagnostic imaging probe, and
  - c. a processor in communication with the transducer and probe;wherein the diagnostic imaging probe is adapted to obtain a first set of imaging data for the target area,  
wherein the transducer is adapted to induce a radiation force relative to the target area using low power sonication,  
wherein the diagnostic imaging probe is adapted to obtain a second set of imaging data for the target area after the radiation force has been induced, and  
wherein the processor is adapted to compare the first and second sets of imaging data to determine a focal position for the transducer relative to the target area.
2. The system of claim 1, wherein the orientation and focus of the transducer are adjusted so that the determined focal position matches a desired treatment location
3. The system of claim 1, wherein the transducer is adapted to treat hemostasis.
4. The system of claim 1, wherein bleed detection and localization (BD&L) techniques are used to determine the desired treatment location.
5. The system of claim 4, wherein the BD&L techniques include the use of Doppler Ultrasound analysis.

6. The system of claim 1, wherein the first and second sets of imaging data are obtained by detecting backscatter from a first and second tracking pulse.
7. The system of claim 1, wherein the focal position is determined by analyzing detected backscatter using cross-correlation and time-delay estimation techniques.
8. The system of claim 1, wherein the focal position is determined as the region of the target sample exhibiting maximum displacement.
9. The system of claim 1, wherein the transducer is further adapted to treat the target area with high power sonication.
10. A method for facilitating administration of a high intensity focused ultrasound (HIFU) beam relative to a target area, the method comprising
  - a. probing the target area for a first set of imaging data;
  - b. inducing a radiation force relative to the target area using a low power sonication from an HIFU capable transducer;
  - c. probing the target area for a second set of imaging data;
  - d. comparing the first set of imaging data to the second set of imaging data in order to determine the focal position for the transducer relative to the target area; and
  - e. treating the target area with a high power sonication from the transducer
11. The method of claim 10, further comprising the step of adjusting the orientation and focus of the transducer, prior to treating the target area, so that the determined focal position matches a desired treatment location.
12. The method of claim 10, wherein the transducer is used to treat hemostasis.
13. The method of claim 10, further comprising the step of determining the desired location using bleed detection and localization (BD&L) techniques.

14. The method of claim 10, wherein the steps are repeated until treatment is no longer necessary
15. The method of claim 10, wherein BD&L techniques are used to determine when treatment is no longer necessary.

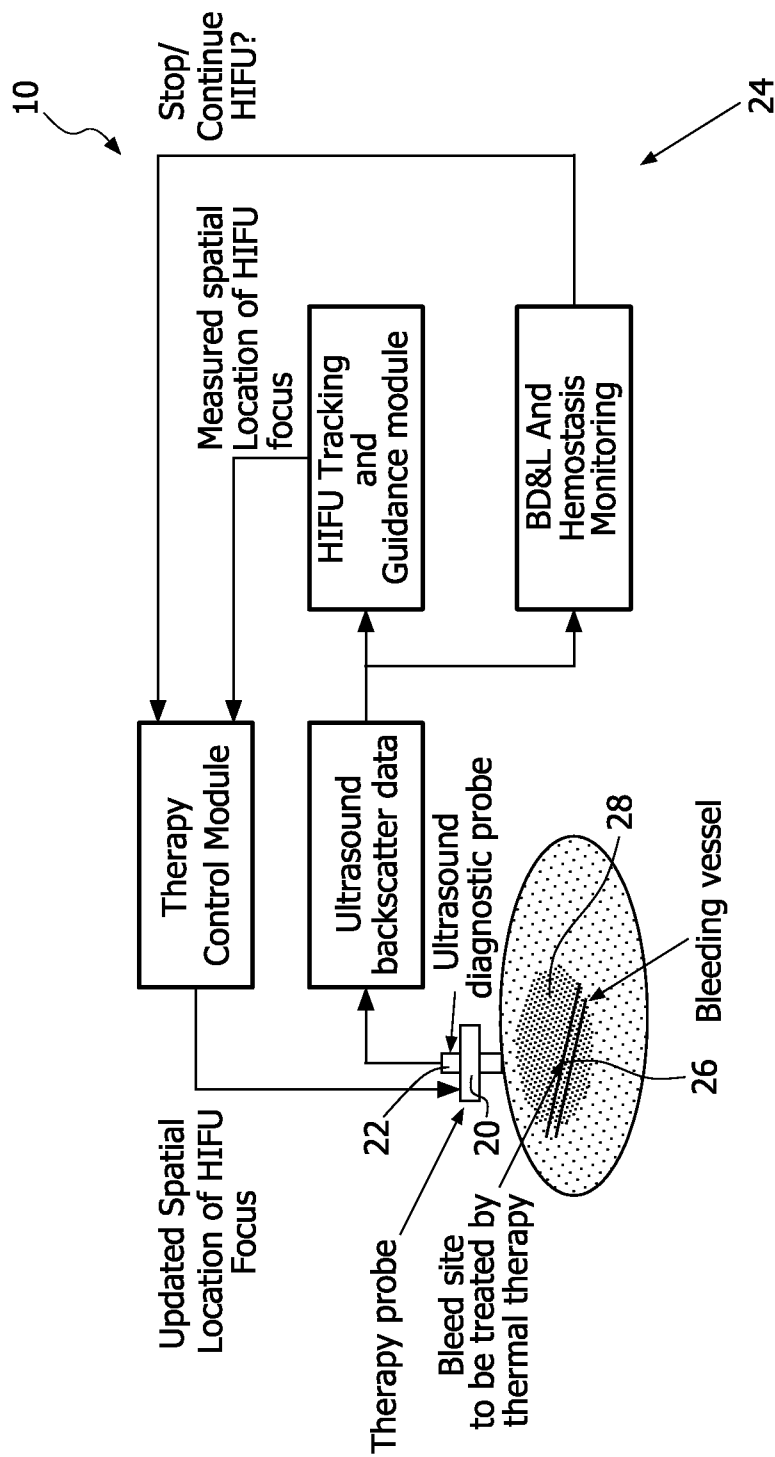


FIG. 1



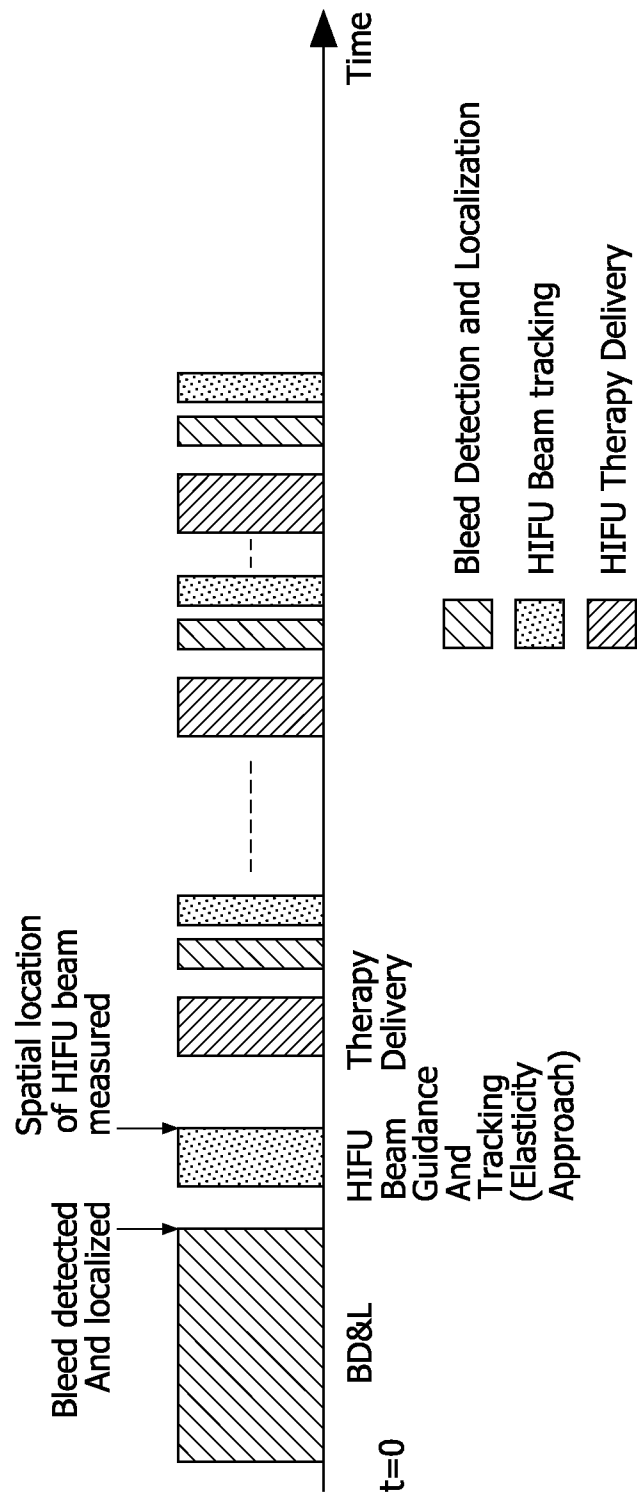


FIG. 2

## INTERNATIONAL SEARCH REPORT

International application No

PCT/IB2008/055391

## A. CLASSIFICATION OF SUBJECT MATTER

INV. A61B8/08 A61N7/02

ADD. A61B8/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 488 626 B1 (LIZZI FREDERIC L [US] ET AL) 3 December 2002 (2002-12-03) abstract column 2, line 56 - column 7, line 24	1,2,6-9
Y	figures 1,2A-B	3-5
Y	US 5 993 389 A (DRISCOLL JR EDWARD C [US] ET AL) 30 November 1999 (1999-11-30) abstract column 3, line 66 - column 4, line 67 column 6, line 65 - column 10, line 17	3-5
A	US 2007/232912 A1 (CHEN CHIUNG-NIEN [TW] ET AL) 4 October 2007 (2007-10-04) abstract paragraph [0030] - paragraph [0035] figures 1,2	1-9
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☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

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17/04/2009

Name and mailing address of the ISA/

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Artikis, T

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/IB2008/055391

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, A	US 2008/097207 A1 (CAI ANMING HE [US]) 24 April 2008 (2008-04-24) abstract paragraph [0017] - paragraph [0051] figures 1-3	1-9
A	----- US 2005/215899 A1 (TRAHEY GREGG E [US] ET AL) 29 September 2005 (2005-09-29) cited in the application the whole document -----	1-9

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB2008/055391

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 10-15  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful International search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers allsearchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search reportcovers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/IB2008/055391

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 6488626	B1	03-12-2002	NONE
US 5993389	A	30-11-1999	US 6083159 A 04-07-2000 US 5882302 A 16-03-1999
US 2007232912	A1	04-10-2007	NONE
US 2008097207	A1	24-04-2008	NONE
US 2005215899	A1	29-09-2005	NONE

专利名称(译)	用于跟踪和引导高强度聚焦超声波束的系统和方法		
公开(公告)号	<a href="#">EP2240081A1</a>	公开(公告)日	2010-10-20
申请号	EP2008864857	申请日	2008-12-17
[标]申请(专利权)人(译)	皇家飞利浦电子股份有限公司		
申请(专利权)人(译)	皇家飞利浦电子N.V.		
当前申请(专利权)人(译)	皇家飞利浦电子N.V.		
[标]发明人	ANAND AJAY PETRUZZELLO JOHN		
发明人	ANAND, AJAY PETRUZZELLO, JOHN		
IPC分类号	A61B8/08 A61N7/02 A61B8/06		
CPC分类号	A61B8/08 A61B8/06 A61B8/485 A61B2090/378 A61N7/02		
优先权	61/015947 2007-12-21 US		
外部链接	<a href="#">Espacenet</a>		

#### 摘要(译)

本公开提供了用于跟踪和引导高强度聚焦超声波束 ( HIFU ) 的系统和方法。更具体地, 所公开的系统和方法涉及使用声辐射力脉冲 ( ARFI ) 成像来检测具有HIFU能力的换能器相对于目标区域的焦点位置。然后可以将焦点位置与期望的治疗位置进行比较, 并且可以相应地调整换能器的取向和焦点, 以便相对于期望的治疗位置重新配置和/或重新聚焦HIFU束。可以使用出血检测和定位 ( BD & L ) 技术动态地确定期望的治疗位置。因此, 可以使用基于3D多普勒超声的技术确定期望的治疗位置, 其中从多普勒频谱提取的定量10参数的变化 ( 例如, 电阻指数 ( RI ) ) 用于检测和定位用于治疗出血部位。