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### Altshuler et al.

### (54) METHOD AND APPARATUS FOR TREATMENT OF CUTANEOUS AND SUBCUTANEOUS CONDITIONS

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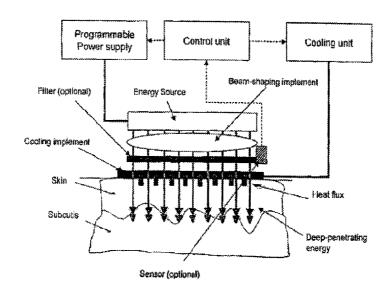
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### (57) ABSTRACT

The present invention provides method and apparatus for treating tissue in a region at depth while protecting non-targeted tissue by cyclically applying cooling to the patients skin, and preferably to the region, and by applying radiation to the patient's skin above the region to selectively heat tissue during and/or after cooling is applied. At least one of cooling and radiation may be applied by successively passing a continuous output applicator over the patient's skin. Treatment may also be enhanced by applying mechanical, acoustic or electrical stimulation to the region.

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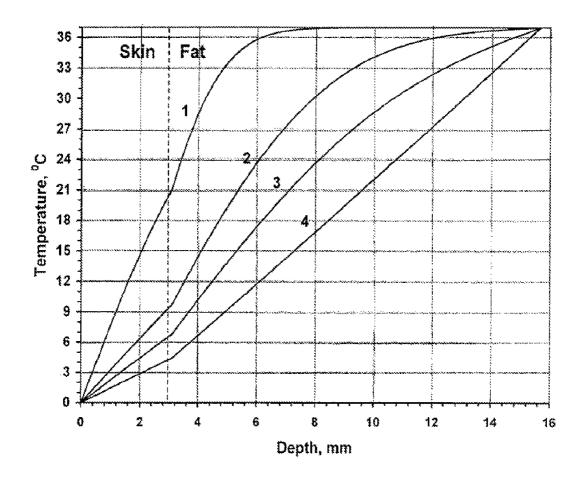


Fig. 1

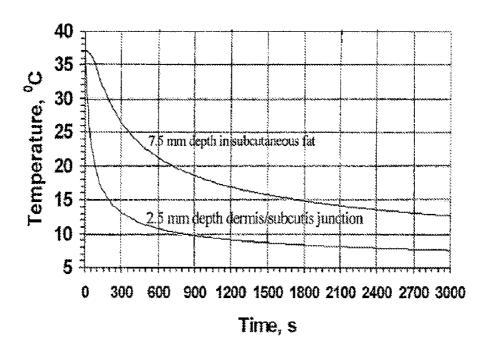


Fig. 2

- 1 Time to onset of unpleasant sensation
- 2 Time to onset of pain

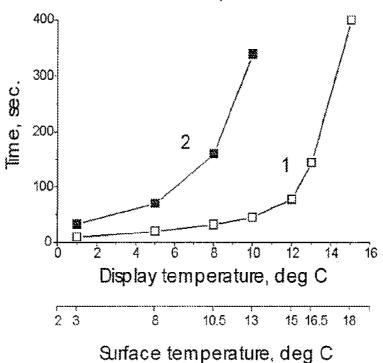


Fig. 3

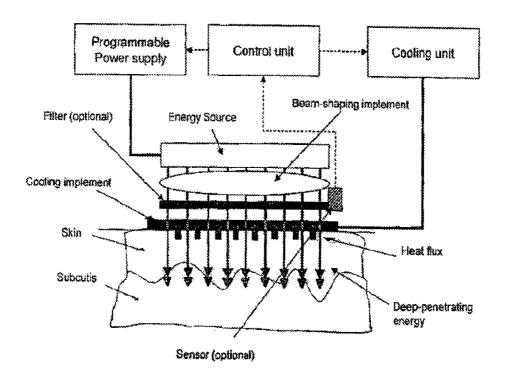
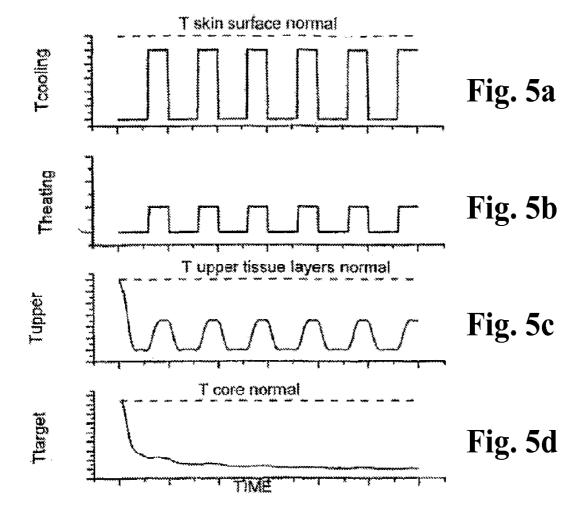
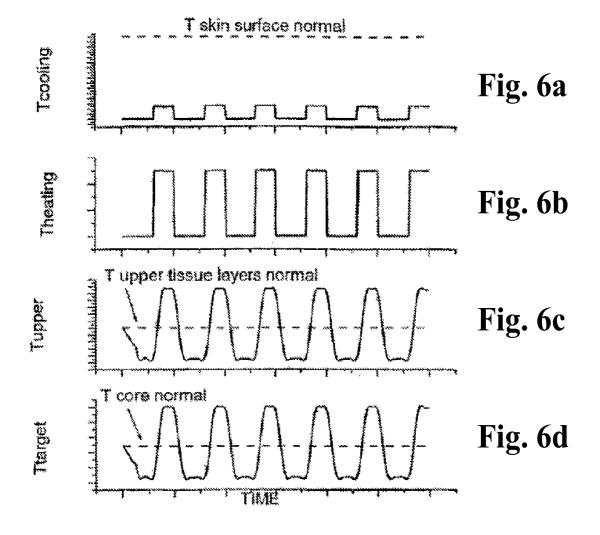


Fig. 4





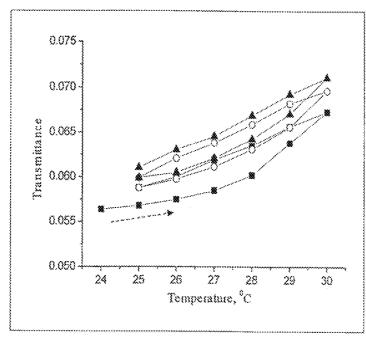


Fig. 7

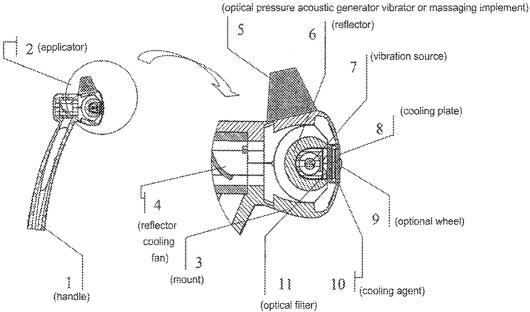


Fig. 8A Fig. 8B

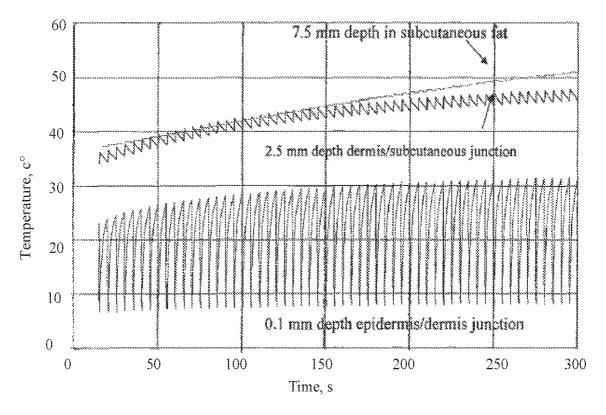


Fig. 9

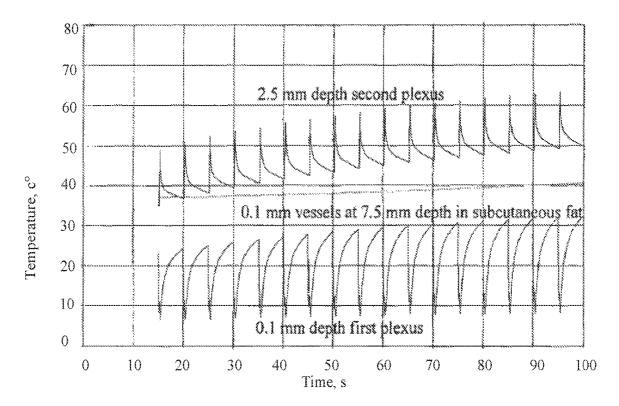


Fig. 10

### METHOD AND APPARATUS FOR TREATMENT OF CUTANEOUS AND SUBCUTANEOUS CONDITIONS

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 14/588,746, filed on Jan. 2, 2015 and entitled "Method And Apparatus For Treatment Of Cutaneous And 10 Subcutaneous Conditions," which is a continuation of U.S. patent application Ser. No. 11/865,367, filed on Oct. 1, 2007 and entitled "Method And Apparatus For Treatment Of Cutaneous And Subcutaneous Conditions," which is a continuation of U.S. patent application Ser. No. 10/465,757, 15 now U.S. Pat. No. 7,276,058, filed Jun. 19, 2003 and entitled "Method And Apparatus For Treatment Of Cutaneous And Subcutaneous Conditions," which claims priority to U.S. patent application Ser. No. 60/389,871, filed Jun. 19, 2002 and entitled "Method And Apparatus For Subdermal Heating," all of which are incorporated by reference herein in their entireties.

#### **BACKGROUND**

Field of the Invention

This invention relates to methods and apparatus for the photothermal treatment of tissue and, more particularly, to methods and apparatus for treating cutaneous and subcutaneous conditions at depth.

Description of the Related Art

The benefits of being able to raise and/or lower the temperature in a selected region of tissue for various therapeutic and cosmetic purposes has been known for some time. For instance, heated pads or plates or various forms of 35 electromagnetic radiation, including visible, infrared and microwave radiation, electricity, and ultrasound have previously been used for heating subdermal muscles, ligaments, bones and the like to, for example, increase blood flow, to otherwise promote the healing of various injuries and other 40 damage, and for various therapeutic purposes, such as frostbite or hyperthermia treatment, treatment of poor blood circulation, physical therapy, stimulation of collagen, cellulite treatment, adrenergic stimulation, wound healing, psoriasis treatment, body reshaping, non-invasive wrinkle 45 removal, etc. The heating of tissues has also been utilized as a potential treatment for removing cancers or other undesired growths, infections and the like. Heating may be applied over a small localized area, over a larger area, for example to the hands or feet, or over larger regions of tissue, 50 including the entire body.

Since most of the techniques described above involve applying energy to tissue at depth through the patient's skin surface, peak temperature generally occurs at or near the patient's skin surface and decrease, sometimes significantly, 55 with depth. Further, while microwaves or ultrasonic and other acoustic radiation have been used in the past, such radiation has had limited use because, particularly for microwaves, they may be potentially mutagenic, may potentially otherwise result in cell or systemic damage and, particularly 60 for acoustic sources, are relatively expensive. They may also not be practical for large-area treatment.

While optical and near infrared (NIR) radiation (collectively referred to hereinafter as "optical radiation" is generally both less expensive and, being non-mutagenic, safer 65 than microwaves radiation, the use of optical radiation has heretofore not been considered suitable for most applica-

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tions involving heating of tissue at depth, the term "tissue at depth" as used herein meaning tissue at the border zone of the dermis and hypodermis or subcutaneous region, some of which tissue may be in the lower dermis, mostly at a depth 5 deeper than 1 mm, and tissue below this border zone to a depth of up to about 50 mm. The reason why this radiation has not been considered suitable is because such radiation is both highly scattered and highly absorbed in surface layers of tissue, precluding significant portions of such radiation from reaching the tissue regions at depth to cause heating thereof. In view of the energy losses due to scattering and absorption, substantial optical (including NIR) energy must be applied in order for enough such energy to reach a region of tissues at depth to have a desired effect. However, such high energy can cause damage to the surface layers of tissue and pain/discomfort to the patient, making it difficult to achieve desired photothermal treatments in tissue regions at depth. For these reasons, optical radiation has heretofore had at most limited value for therapeutic and cosmetic treatments on tissue at depth.

While heating or cooling of tissue at depth alone has proved useful for many treatments, the combination of heating and cooling applied intermittently to the skin surface (known as contrast therapy) is also known and has been suggested for skin improvement, pain relief, inflammation reduction, and healing of injury. Of particular importance is the application of these techniques for reducing subcutaneous fat deposits and treating cellulite (gynoid lipodystrophy). However, use of cooling or heating, either alone or in combination for treatment of conditions at depth, for example for skin improvement, cellulite improvement, fat reduction, and treatment of other conditions has been limited by the body's pain/discomfort tolerance and by the damage limits of treated organs and adjacent, especially cutaneous, such as the state of t

A need therefore exists for improved method and apparatus for photothermal treatment of tissue regions at depth, and in particular for treatment of deep dermis and subcutaneous regions of tissue, which treatments provide improved treatment results, while both reducing patient pain and discomfort and protecting adjacent and other non-treatment tissue from damage.

### SUMMARY OF THE INVENTION

In accordance with the above, this invention provides a method and apparatus for treating at least a selected target region at depth, as this term has previously been defined, of a patient's body, while protecting non-targeted tissue by utilizing a suitable mechanism to cool the patient's skin surface to a temperature below normal body temperature for a selected duration; utilizing a suitable mechanism to selectively apply radiation to the patient's skin above said region before, during and/or after cooling; and repeating the cooling and radiation application for a selected number of cycles, the temperature to which the patient's skin is cooled and the duration of cooling being sufficient to cool the treatment region to a selected temperature below normal body temperature during at least cooling portions of cycles. The cooling duration should be at least about 10 seconds, normally being between approximately 10 seconds and 20 minutes. Where radiation is applied after cooling, the radiation may be applied for approximately one second to 4 minutes. The cooling may be performed continuously while the radiation is applied at intervals during the cooling. Where the selected region is subcutaneous fat, the selected temperature should be low enough to result in at least a

selective phase change of at least a portion of the fat. In this case, the radiation should be of sufficient power and duration and of appropriate wavelength to heat the treatment region to at least a temperature where the phase of the fat cells is altered. Alternatively, the radiation may be of sufficient 5 power and duration and of appropriate wavelength to heat the treatment region to a temperature where at least one of the biophysical and biochemical characteristics of cells in the region is altered. Alternatively, the radiation should be of sufficient power and duration and of appropriate wavelength to heat tissue above the treatment region to protect the tissue, but not to significantly heat the treatment region. For another embodiment, the treatment involves cycling cooling and heating of the treatment region, radiation being applied after cooling and the radiation being of sufficient power and duration and of appropriate wavelength to heat the region to an appropriate temperature to effect the treatment. For some embodiments, a selected condition of the patient is detected and utilized to control at least a portion of the operation. Stimulation of the selected region may also be utilized 20 before, during and/or after at least one of the operations, such stimulation being generally at least one of mechanical, acoustic and electrical. The period and/or phase of the treatment cycles may be correlated with a sub-circadian rhythm of the patient.

For some embodiments, the radiation is from a continuous wave source and cooling is also performed from a substantially continuously operating source. For these embodiments, cooling and radiation application are each performed by passing an applicator outputting the appropriate source over the patient's overlying the treatment region at a selected rate. The same applicator may be used to perform both cooling ad radiation application for these embodiments and the applicator my perform both operations during the same pass or separate passes.

In accordance with another aspect of the invention, radiation is selectively delivered to the patients body above the selected region to heat the region; patient tissue above the selected region is concurrently cooled to a temperature below that of the selected region; and the region is cooled to 40 a temperature below normal body temperature before and/or after the heating of the region.

In accordance with still another aspect of the invention, treatment is performed by cyclically applying radiation and cooling to the surface of the patient's skin above the selected 45 region through at least one applicator providing substantially continuous cooling/radiation output, which applicator is passed over the patient's skin over the region multiple times for each cooling/radiation cycle.

Other advantages, novel features, and objects of the 50 invention will become apparent from the following detailed description of the invention when considered in conjunction with the accompanying drawings, which are schematic and which are not intended to be drawn to scale. In the figures, each identical, or substantially similar component that is 55 illustrated in various figures is represented by a single numeral or notation. For purposes of clarity, not every component is labeled in every figure, nor is every component of each embodiment of the invention shown where illustration is not necessary to allow those of ordinary skill 60 in the art to understand the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Non-limiting embodiments of the present invention will 65 be described by way of example with reference to the accompanying drawings in which:

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FIG. 1 is a diagram illustrating the temperature/depth profile of the skin and subcutis at various times after the onset of surface cooling;

FIG. 2 is a diagram illustrating temperature in the human body as a function of cooling time for various depths;

FIG. 3 is a diagram illustrating the onset time of patient discomfort and of patient pain as a function of skin surface temperature;

FIG. 4 is a schematic diagram of apparatus suitable for practicing the teachings of this invention;

FIG. 5a-5d are diagrams illustrating cooling temperature (T cooling), heating temperature (T heating), temperature in an upper layer of the skin (T upper) and target temperature at depth (T target) relative to normal core body temperature (T core normal) for successive cooling/heating cycles in a protective mode;

FIGS. **6***a*-**6***d* are diagrams illustrating cooling temperature (T cooling), heating temperature (T heating), temperature in an upper layer of the skin (T upper) and target temperature at depth (T target) relative to normal core body temperature (T core normal) for successive cooling/heating cycles in a therapeutic mode;

FIG. 7 is a diagram illustrating the hysterisis of optical transmittance in fat;

FIG. 8a is a cut-away side view of an optical head suitable use in practicing the teachings of the invention;

FIG. **8***b* is an enlarged cut-away side view of a portion of the head shown in FIG. **8***a*;

FIG. 9 is a diagram illustrating temperature dynamics at different depth in the skin when in multi-scan mode using non-selective heating with the applicator shown in FIG. 8; and

FIG. 10 is a diagram illustrating temperature dynamics in blood vessels at different depths in the skin in multi-scan
 mode using non-selective heating with the applicator shown in FIG. 8.

### DETAILED DESCRIPTION

Applications in which the invention may be useful include the treatment of various pathological and cosmetic conditions, particularly skin rejuvenation, wrinkle removal, skin tightening and lifting, reduction of odor production, hair growth control, acne treatment, cellulite and subcutaneous fat treatment, physical therapy, muscle and skeletal treatments, including treatment of spinal cord problems, and treatment of cumulative trauma disorders (CTD's) such as carpel tunnel syndrome (CTS), tendonitis and bursitis, fibromyalgia, lymphedema and cancer therapy.

The application of thermal energy, either heating or cooling, to tissue may also be used, for example, in physical therapy treatments, such as to enhance or accelerate wound healing or relieve pain. Beneficial effects may include a decrease in joint stiffness, an increase in joint extensibility of collagenous structures such as tendons and scar tissue, pain relief, blood-flow changes, or a decrease in muscle spasm and increase in muscle tone. As another example, large protein molecules may have high absorption coefficients, and the heating of protein-rich collagenous tissues may contribute to healing. A wide variety of conditions may be treated using this invention, for example, but not limited to, strained tendons, tenosynovitis, torn ligaments, tendonitis, bursitis, torn joint capsules, or torn muscles. Thermal treatment can be effective on highly metabolic organs such as sebaceous gland, sweat glands and hair follicles. Other processes may be activated or deactivated within tissue during cooling or heating. Mechanical or electrical stimu-

lation, such as massage, may also be used in conjunction with cooling or heating to achieve benefits greater then can be achieved by either alone. Positive and negative pressure may also be applied to the skin surface above the treatment region to facilitate the treatment.

In certain embodiments, the present invention may be used for non-invasive or non-destructive reduction of localized fat deposits. For example, the invention may be used to heat fat or adipose cells past their damage temperature, causing cell damage and/or necrosis. Alternatively, the 10 treated cells may undergo apoptosis, resulting in cell death. The dead cells may then be removed or resorbed into the body, for example, by the body's phagocytic or lymphatic systems. Fat reduction may also be achieved by heating fat or adipose cells to an elevated temperature, but below the 15 damage temperature. For example, the fat cells may be heated to a temperature of between about 41° C. and about 45° C. Under these conditions, applying heat to subcutaneous fat may activate lipases or metabolize lipids contained within the adipose tissue found within the subcutaneous fat 20 layer, or blood flow may increase to the heated area. Additionally, "lipolysis," or the process of breaking down fat in the body, may be regulated by enzymes sensitive to temperature, such as HSL ("hormone-sensitive lipase"). Thus, elevating the temperature of the adipose cells may 25 increase the lipolysis rate, and thus contribute to a reduction in subdermal fat in the area being treated. This temperature can be below the temperature for vascular/lymph damage so damaged fatty cells and fatty acids can be easily removed from the treatment region. Additionally, application of the 30 present invention may be used in combination with other fat-reduction techniques, such as medication, exercise, or adrenergic stimulation.

The invention also includes cooling of the fat tissue to a temperature below normal body temperature, and preferably 35 below the phase transition temperature of at least some fraction of the lipid content of fatty cells, which temperature is substantially higher then the freezing temperature of water-containing tissue, preceded or preferably followed by heating the fat to a temperature below its damage threshold. 40 Triglycerides (which constitute the largest fraction of lipids in human fatty tissue) undergo a series of phase transitions when their temperature changes from normal body temperature to either a lower or higher temperature. Specifically, several crystalline forms can exist. These forms are (in the 45 order of increasing stability):  $\alpha$ ,  $\beta$ ', and  $\beta$ . The latter crystals are also significantly larger in size (as needle of a dozen microns length). Crystal formation can be the reason for fatty cell dysfunction and shrinkage resulting from mechanical stress on cell structure and/or destruction of cell metabo- 50 lism. β crystal formation can the primer mechanism for fatty cell treatment. When triglycerides are cooled from normal body temperature, formation of  $\alpha$ -crystals takes place. In order to produce more stable forms,  $\beta'$  first and  $\beta$  second, reverse heating of the crystallized triglycerides is required. 55 Further heating leads to complete melting of all crystalline

Therefore, the following process is suggested to initiate formation of  $\beta$ -crystals in adipose cells. First, the fatty tissue is cooled to a lower-than-normal temperature  $T_{\alpha}$  (in the 60 range between 0 and 37 C). This results in  $\alpha$ -crystals being formed. Then, the tissue is heated back to a temperature  $T_{\beta} > T_{\alpha}$  but below 37 C, causing formation of  $\beta$ '- and  $\beta$ -crystals. Finally, the tissue can be heated to even higher temperature in order to melt the crystals, and the process can 65 be repeated for a selected number of cycles. The expected final result is dystrophy and decrease in volume of fatty

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tissue. This process takes place for all temperature range 0-37 but for lower  $T_{\alpha}$  this process is more effective. Thermal activation of lymph systems in subcutaneous fat can also be used to treat cellulite by removing proteins from extra cell spaces.

Application of a cooling panel (agent or device) to the skin surface causes the temperature of skin and the subcutaneous region or subcutis to drop gradually, as illustrated by FIG. 1. In FIG. 1, curve (1) is after one minute, curve (2) after five minutes, curve (3) after ten minutes, and curve (4) after thirty minutes of application of a cooling panel to the skin surface. The depth of the skin/fat or dermis/subcutaneous boundary, shown at 3 mm in FIG. 1, will vary depending on a number of factors including the patient and the portion of the patient's body being treated. The rate of cooling and the final temperature depend on the depth of the target and the temperature of the skin surface. FIG. 2 shows the calculated temperature dynamics of the dermis-subcutis junction at 2.5 mm depth and in subcutaneous fat at 7.5 mm depth resulting from a constant surface temperature of 0° C. Substantial cooling of targets in the skin can be achieved in the time range between 10 s and 300 s. Deeper targets in subcutaneous fat need cooling times in the range between 2 min and 30 min. Cooling time can be shortened by simultaneously coupling into the skin pressure or acoustic waves or by intensive massage of cooled skin. The acoustic waves or mechanical massage can increase the heat conductivity of the skin and subcutaneous fat by forced convection of inter-cellular water.

Depending on the surface temperature and the duration of application, a number of processes can be initiated in the fatty and other tissues, including, but not limited to:

Phase transitions in lipids;

Changes in regulatory functions of the adipocyte. In particular, lower temperatures may suppress activity of Alpha2 receptors, which inhibit adenylate cyclase and cyclic AMP through Gi protein and thus decrease lypolisis rate. This can lead to long-term atrophy of fatty cells after cold exposure;

Increase of ion concentration in intracellular water. Such an increase is caused by partial binding of free water in the course of fat crystallization. Transition of water into bound state has been demonstrated spectroscopically. As a result, concentration of ions in the remaining free water increases. Once the ion concentration exceeds a critical level, irreversible damage to the vital mechanisms of the cell can occur;

Water crystallization in tissues;

Induction of apoptosis;

Tissue necrosis;

Stimulation of thermogenesis

Remodeling of vascular and lymph vessels;

Temporal or permanent dysfunction of follicles, sebaceous and sweat glands.

In practical use, cold exposure time is limited by the onset of unpleasant and, subsequently, painful sensations. FIG. 3 illustrates the dependence of these onset times on the temperature at the skin surface. As a result, the practical application time may be insufficient to achieve a desired therapeutic effect.

Thermal cycling, comprised of cooling and heating phases, may be used to eliminate both the pain/discomfort and unwanted tissue damage outside the target region. It should be emphasized that, although methods and devices alternating skin surface temperature between hot and cold have previously been proposed, the thermal inertia of tissue

prevents rapid propagation of a heat front from the skin surface to a desired treatment depth (or vice versa).

This invention therefore uses deep-penetrating electromagnetic or acoustic radiation to create distributed heat sources within tissue. This allows increasing the treatment 5 time substantially and achieving acceptable therapeutic effect, while maintaining both complete non-invasiveness of the procedure and patient comfort.

Beneficial effects of thermal cycling are not limited to treatment of fatty tissue. Thermal cycling initiates a number of biophysical and biochemical responses at molecular, cellular, tissue, and organ levels, including (but not limited to):

modulation of cell membrane's permeability and, therefore, inter-membrane transport and exchange between intra- and interstitial compartments;

induction of thermo-mechanical stress in the target (for example, malignant) cells, leading to cell death through either necrosis or apoptosis;

changes in elasticity and permeability of vessel walls; changes in blood rheology;

stimulation of tissue regeneration, including new collagen generation in skin and subcutis;

changes of toxin structure in the interstitial fluid making 25 them amenable for easy removal by lymphatic systems.

As a result, thermal cycling can be used for treatment of a wide range of conditions, involving skin, subcutaneous fat, connective tissues, blood and lymph vasculature, muscles, bones, and other internal organs.

Apparatus for implementing the technical concept is illustrated by FIG. 4. This implementation is exemplary, and a suitable configuration can be easily derived by those skilled in the art for particular applications. The cooling unit can be a thermoelectric element, an enclosure with cooling 35 agent, a stream of cold gas (or liquid) or other cooling unit known in the art. Phase-changing materials can also be used for cooling. Preferably, skin surface temperature during the cooling phase should be maintained within the range between 0 C and 25 C. Preferable tissue temperature on the 40 heating phase is in the range between 25 C and 45 C. In one embodiment of the invention, optical radiation is used on the heating phase of the cycle. In this embodiment, the energy source can be a laser, an LED, a lamp (discharge, halogen or other), or a combination or an array thereof. The spectral 45 composition of the source can be either narrow- or broadband, with the range of wavelengths between 400 nm and 2000 nm. Spectral filtration can be used for further modifying spectral composition of the beam in order to achieve optimal penetration. The wavelengths used for a particular 50 application will depend on the target tissue, the depth of the tissue and other factors. The light source is operated preferably in the continuous wave (CW) mode, with a preferred irradiance at the skin surface in the range between 0.1 and 100 W/cm<sup>2</sup>. The thermal cycle is organized in such a way 55 as to maximize efficacy of treatment. Typically, duration of the cooling phase can be between 10 sec and 30 min, whereas duration of the heating phase can be between 1 sec and 4 min. The apparatus of FIG. 4 also includes a power supply for the energy source, a suitable control unit, an 60 optional sensor, the function of which will be discussed later, and other components normally used in such apparatus.

The invention can be practiced in two distinctive modes (See FIGS. 5 and 6): in the protective mode, thermal cycling is used to protect adjacent (typically, upper) tissue from 65 unwanted damage; whereas in the therapeutic mode thermal cycling is used substantially as a treatment modality.

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The invention can be practiced in at least two distinct modes (See FIGS. 5 and 6). In the protective mode of FIG. 5, initially applied cooling rapidly lowers the temperature at the skin surface, the target region and all tissue therebetween. Before the patient experiences pain or discomfort, and before thermal damage occurs outside the target region, a heating phase is initiated. The radiation from the energy source is sufficient to raise the temperature at the skin surface and below to a depth above the treatment region to a temperature above a discomfort or damage temperature, but has little effect on the treatment region at depth. This results in the temperature of the treatment region continuing to drop slightly with successive cooling cycles. This mode of thermal cycling is thus used to protect adjacent (typically, upper) tissue from unwanted damage. In the therapeutic mode shown in FIG. 6, thermal cycling is used substantially as a treatment modality. Here, the cooling and radiation parameters are selected so that, during heating cycles, the temperature at both the surface and the treatment region rise 20 above normal body temperature, the treatment region thus being cycled between cold therapy and heat therapy. While in FIG. 6, the target region is heated above normal body temperature during heating portions of each cycle, this is not a limitation on the invention, and cyclically heating the target region to a selected temperature below normal body temperature may also have therapeutic effect for some conditions. Cycling may also be practiced by heating the target region to a temperature sufficient to cause hyperthermia, for example 42 to 47° C., either with or without simultaneous cooling to protect overlying tissue, and then either reducing or removing the radiation or increasing cooling to cool the treatment region below normal body temperature, this being done cyclically.

Thermal cycling offers another advantage when optical radiation is used as the deep-penetrating energy. Specifically, it has been demonstrated with optical measurements, that the rate of increase in optical transmittance when fresh human fatty tissue is heated exceeds the rate of decrease in optical transmittance when the same tissue is cooled (See FIG. 7). There is an indication of accumulated irreversible structural change in the fatty tissue caused by thermal cycling in relatively narrow temperature ranges between 25 and 30 C. As a consequence, the penetration depth of the optical radiation (and the efficiency of the heating phase) can be augmented by multiple cycling.

In some embodiments, the applicator (handpiece) of the apparatus can be realized as a stationary implement, which is placed on the treatment area prior to initiating thermal cycling.

In other embodiments, the handpiece can be manually or mechanically scanned along the skin surface (See FIG. 8). Thermal cycling can be performed concurrently with scanning. Alternatively, thermal cycling can be implemented by performing at least one (preferably, several) passes on the cooling phase, followed by at least one (preferably, several) passes on the heating phase, and repeating the cycle until desired treatment effect is achieved. Referring to FIG. 8a, an exemplary scanning handpiece is shown which includes a handle 1 and an applicator 2. Referring to FIG. 8b, the applicator 2 includes a mount 3, a reflector cooling fan 4, an optional pressure or acoustic generator, vibrator or massaging implement 5, a reflector 6, a radiation source (an arc or halogen lamp for the embodiment shown) 7, a cooling plate 8, an optional wheel 9 functioning as a mechanical velocity sensor, a cooling agent 10 and an optical filter 11.

The apparatus of FIG. 8 can be used, in particular, in a multi-scan mode. The multi-scan mode results in non-

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uniform cycling of temperature at different depths. FIG. 9 shows temperature at the epidermis/dermis junction (0.1 mm depth), dermis/hypodermis junction (2.5 mm depth) and subcutaneous fat (7.5 mm depth) as a function of time for multi-scan operation. Calculations have been done for the 5 following conditions: wavelength 800-1800 nm (filtered spectrum of halogen lamp), power density 80 W/cm2, width of optical beam across scanning direction is 1 cm, speed of scan is 10 cm/s, length of scan is 50 cm, temperature of cold sapphire window in contact with the skin is -10° C. For 10 scanning mode, the temperature of the contact plate can be significantly lower than the skin freezing temperature and at fast scanning speeds (about 10 cm/s), can be as low as -50° C. without risk of cold injury. FIG. 9 shows that the amplitude of thermal oscillations decreases with depth in 15 skin. However, the time of stabilization of the temperature for deep targets can be long: 0.1 mm-100 s or 10 scans, 2.5 mm-5 min or 30 scans, 7.5 mm-15 min or 90 scans.

FIG. 10 shows temperatures at the blood vessels in first plexus (0.015 mm diameter, 0.1 mm depth), second plexus 20 (0.2 mm diameter, 2.5 mm depth), and subcutaneous fat (0.1 mm diameter, 7.5 mm depth) as a function of time for multi-scan operation. Calculations have been done for the following conditions: wavelength 400-1800 nm (filtered spectrum of halogen lamp), power density 65 W/cm2, width 25 of optical beam across scanning direction is 1 cm, speed of scan is 10 cm/s, length of scan is 50 cm, temperature of cold sapphire window in contact with the skin is -10° C. FIG. 10 shows that the amplitude of thermal oscillations in blood vessels is significant in the skin and negligible in the 30 subcutaneous fat. Large temperature oscillations at the vessels in the second plexus (up to 10° C.) can be effective for treatment of various conditions at the deep dermis and dermis/hypodermis junction and for improvement of cosmetic appearance of cellulite. Since the temperature of 35 vessels in the subcutaneous fat is continuously rising, this treatment can be effective for increasing the rate of lypoly-

Where energy source is a continuous wave (CW) or other long duration source, the apparatus or device for various of 40 the embodiments may be slid or scanned over the surface of the patient's skin to overlie successive treatment regions, the dwell time, and thus the treatment duration, for each such region being a function of the rate at which the device is moved. The device may be moved over each treatment 45 region multiple times during a single treatment. Since the device will typically also include a skin cooling mechanism, concurrent heating and cooling is effected for each region as the device passes thereover. The device may also include a cooling mechanism ahead of the portion of the device under 50 the energy source to pre-cool skin above the treatment region (see for example issued U.S. Pat. Nos. 6,273,884 and 6,511,475, which are incorporated herein by reference). The power density P<sub>s</sub> for this sliding mode of operation is:

$$P_s = P_0 T v/d$$

Were  $P_{\text{0}}$  is power density for the organ/region being treated in a stationary mode

V is speed of sliding,

d is spot or aperture size in the direction of scanning, T is interval between two consecutive passes through same spot.

Treatment time is

$$T_s = T_0 T v/d$$
,

where  $T_0$  is the stationary mode treatment time for the organ/region being treated In order for the multiple passes to

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be beneficial, T should be less than the thermal relaxation time of the tissue being treated in the region at depth. However, when in either stationary mode or sliding mode, the treatment time can be greater than the thermal relaxation time of the tissue being treated.

Any of the embodiments can include a contact sensor to assure good optical and thermal coupling, and systems operating in the sliding mode may also include one or more motion sensors to control radiation delivery, cooling and other functions dependent on scanning speed, to enhance system safety and for other reasons.

In addition to coupling the deep heating treatment of this invention with deep cooling to enhance treatment of fat, bone, muscle, etc., the applicator may also include a massager, vibrator or other mechanical stimulation device, an ultrasonic or other acoustic stimulator or a DC or other suitable electrical stimulation source. It has been found that such mechanical or electrical stimulation is more effective when tissue temperature deviates from normal body temperature (ie., for hot or cold tissue). Similarly, the effect of deep heating may be enhanced by massage or other stimulation because both heat and cold generally penetrates better in compressed skin and subdermal tissue. Thus, the combination of deep heating and mechanical or electrical stimulation may provide significantly better results then either one alone. Heating may also be enhanced by supplementing the optical heating with, for example electro-stimulation by AC/DC, or additional heating by RF, etc. Tensioning or pressure applied to the skin overlying the treatment region may also enhance treatment effect and decrease patient discomfort/pain sensation.

While an optical radiation source has been utilized for preferred embodiments, other forms of electromagnetic radiation such as microwave or radio frequency radiation can be used on the heating phase of the cycle. Alternatively, acoustic energy can be used. Unless otherwise indicated, the term radiation, as used herein, shall refer to the output from all such sources. The power of the source utilized should be selected in order to maintain the temperature of the targeted tissue within the preferred range.

The effectiveness of the invention may also be further increased by practicing a thermotolerance regimen. In this mode, the magnitude of temperature deviations from normal skin surface temperature which a patient can tolerate increases gradually from cycle to cycle, permitting treatment temperatures, and thus treatment effectiveness to also be gradually increased from cycle to cycle. This mode allows further increasing protection of cutaneous tissues from unwanted damage.

It may also be possible to correlate the period and phase of the thermal cycle with sub-circadian biological rhythms of the patient. Such combination can further optimize treatment results by using naturally occurring oscillations of biochemical activity in cutaneous and subcutaneous tissues. Furthermore, the temporal structure of the thermal cycling can deviate from simple harmonic oscillations and be comprised, for example, of several, or even an infinite number of harmonics.

In certain embodiments, the cooling implement can be realized as a layer (film, wrap) placed over the treatment region and the irradiating applicator can be realized as a head scanned on top of the cooling implement. Thermal cycling is achieved as a result of multiple passes of the irradiating applicator.

Some embodiments of the invention can incorporate a feedback loop between the applicator and the control unit. The feedback loop can incorporate a single or multiple

sensors registering the state of the apparatus and the treatment area. For example, a thermal sensor can be used to initiate the heating phase of the cycle when tissue temperature drops below a certain threshold, and initiate the cooling phase of the cycle when the temperature exceeds another 5 threshold. Other sensor types include, but are not limited to, scanning speed sensors, contact sensors, pressure sensors, skin detectors, and skin response sensors.

In some embodiments of the invention, an additional stimulating implement can be integrated into the applicator 10 (see FIG. 8b). The purpose of the implement is to optimize tissue structure and facilitate thermal cycling. The implement can be, for example, mechanical (knitting, rolling, or pulling action) or vacuum (negative/positive pressure in the treatment area). Other forms of tissue stimulation may also 15 be utilized, for example, ultrasonic or other acoustic stimulation, or electrical stimulation.

While several embodiments of the invention have been described and illustrated herein, those of ordinary skill in the art will readily envision a variety of other means and 20 structures for performing the functions and/or obtaining the results and/or advantages described herein, and each of such variations or modifications is deemed to be within the scope of the present invention. More generally, those skilled in the art would readily appreciate that all parameters, dimensions, 25 materials, and configurations described herein are meant to be exemplary and that actual parameters, dimensions, materials, and configurations will depend upon specific applications for which the teachings of the present invention are used. Those skilled in the art will recognize, or be able to 30 ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. It is, therefore, to be understood that the foregoing embodiments are presented by way of example only and that, within the scope of the appended claims and 35 equivalents thereto, the invention may be practiced otherwise than as specifically described. The present invention is directed to each individual feature, system, material and/or method described herein. In addition, any combination of two or more such features, systems, materials and/or meth- 40 ods, if such features, systems, materials and/or methods are not mutually inconsistent, is included within the scope of the present invention. In the claims, all transitional phrases or phrases of inclusion, such as "comprising," "including," "carrying," "having," "containing," and the like are to be 45 understood to be open-ended, i.e. to mean "including but not limited to." Only the transitional phrases or phrases of inclusion "consisting of" and "consisting essentially of" are to be interpreted as closed or semi-closed phrases, respectively.

What is claimed is:

1. A method for non-invasively treating subcutaneous fat tissue in a region of a patient's body, comprising:

cooling skin surface above a region of a patient's body prior to applying energy thereto;

applying energy, using an energy source, to skin surface to reach the region of a patient's body, said applied energy being of sufficient power and duration to raise temperature of said region above normal body temperature;

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removing the application of energy to said region; and repeating the steps of applying energy to said region and removing the application of energy to said region for a sufficient number of cycles to effect non-invasively any of a cosmetic or a therapeutic treatment in said tissue, 65 thereby increasing treatment time patient can tolerate compared with a treatment time patient can tolerate in

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absence of repeatedly cycling applying energy to and removing the application of energy to said region;

wherein during each of said cycles of applying energy and removing the application of energy the temperature of said region increases when applying energy to reach a peak value and decreases from said peak value when removing the application of energy, and

wherein the temperature of said region remains above normal body temperature during said repeated cycles of applying energy and removing the application of the energy.

- 2. The method of claim 1, wherein the steps of cooling the skin surface above the region and repeating applying energy to said region and removing the application of energy to said region are performed during a total treatment time of at least 20 minutes.
- 3. The method of claim 2 wherein the cooled skin surface temperature is within the range between 0° C. and 25° C.
- **4**. The method of claim **1** wherein the temperature of said region is raised to a temperature in a range from  $42^{\circ}$  C. to  $47^{\circ}$  C
- 5. The method of claim 1 wherein cooling skin surface avoids damaging skin surface.
- **6**. The method of claim **1** wherein the cosmetic treatment includes cellulite improvement.
- 7. The method of claim 1, wherein said tissue is located below skin and at or above subcutis.
- 8. The method of claim 1, wherein the cosmetic treatment includes fat reduction.
- 9. The method of claim 1, wherein the cosmetic treatment includes skin tightening.
- 10. The method of claim 1, wherein the cosmetic treatment includes skin lifting.
- 11. A method for non-invasively treating subcutaneous fat tissue in a region of a patient's body, comprising:

providing a stationary applicator;

cooling skin surface above a region of a patient's body prior to applying energy thereto;

applying energy from an energy source to skin surface, using the stationary applicator, to reach a region of a patient's body, said energy being of sufficient power and duration to raise the temperature of said region above normal body temperature;

reducing the energy applied from the energy source to said region; and

repeating the steps of applying energy to said region, using the stationary applicator, and reducing the energy applied to said region for a sufficient number of cycles to effect non-invasively any of a cosmetic or a therapeutic treatment in said tissue,

thereby increasing treatment time patient can tolerate compared with a treatment time patient can tolerate in absence of repeatedly cycling applying energy to and reducing the application of energy to said region;

wherein during each of said cycles of applying energy and reducing the energy applied the temperature of said region increases when applying energy to reach a peak value and decreases from said peak value when reducing the energy applied, and

wherein the temperature of said region remains above normal body temperature during said repeated cycles of applying energy and reducing the energy.

12. The method of claim 11 further comprising:

cooling the skin surface above the region for a selected duration.

- 13. The method of claim 12 wherein the cooled skin surface temperature is within the range between  $0^{\circ}$  C. and  $25^{\circ}$  C.
- **14**. The method of claim **12** wherein cooling is applied prior to applying energy to the skin surface.
- 15. The method of claim 11 wherein the temperature of said region is raised to a temperature in a range from 42° C. to 47° C.
- **16**. The method of claim **11** wherein the cosmetic treatment includes new collagen generation.
- 17. The method of claim 11 wherein the cosmetic treatment includes cellulite improvement.
- 18. The method of claim 11, wherein said tissue comprises hypodermal tissue.
- 19. The method of claim 11, wherein the cosmetic treatment includes fat reduction.
- **20**. The method of claim **11**, wherein the cosmetic treatment includes skin tightening.
- 21. The method of claim 11, wherein the cosmetic treatment includes skin lifting.
- **22**. A method for non-invasively treating subcutaneous fat tissue in a region of a patient's body, comprising:
  - cycling (i) noninvasive application of energy, using an energy source, to a skin surface to reach the tissue

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region and (ii) removing or reducing the application of energy, wherein cycling the application of energy and removing or reducing the application of energy steps are performed;

raising temperature of the tissue region above normal body temperature during cycling;

repeating cycling for a sufficient number of cycles to effect fat reduction in the tissue region;

maintaining the temperature of the region above normal body temperature during the sufficient number of cycles; and

increasing a total treatment time patient can tolerate compared to treatment time patient can tolerate when cycling is not performed, wherein the total treatment time is at least 20 minutes.

23. The method of claim 22 further comprising cooling the skin surface above the region and increasing a total treatment time patient can tolerate compared to treatment time patient can tolerate when cycling is not performed, at least in part in response to cooling of skin surface to avoid damage thereto.

\* \* \* \* \*



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### 摘要(译)

本发明提供了用于在深度区域中处理组织的方法和设备,同时通过对患者皮肤(优选地对该区域)进行循环冷却并向该区域上方的患者皮肤施加辐射以选择性地加热来保护非目标组织。 在冷却期间和/或之后施加组织。 冷却和辐射中的至少一种可以通过使连续的输出施加器连续地经过患者的皮肤来施加。 也可以通过对该区域施加机械,声学或电刺激来增强治疗效果。

