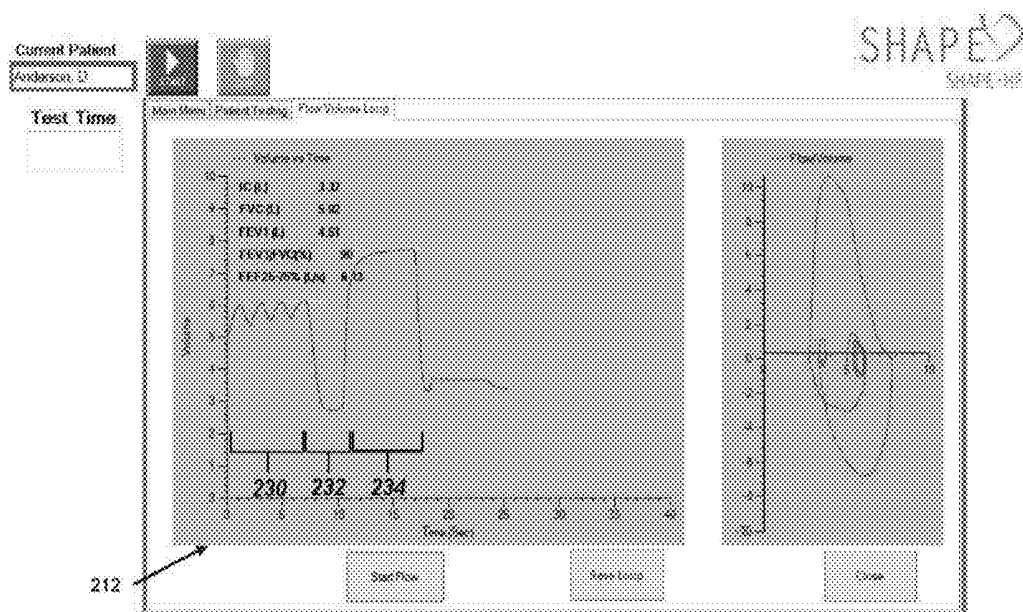




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(19) **United States**(12) **Patent Application Publication**  
**ANDERSON et al.**(10) **Pub. No.: US 2018/0296126 A1**(43) **Pub. Date: Oct. 18, 2018**(54) **GAS EXCHANGE SYSTEMS AND METHODS  
FOR CALCULATING VENTILATORY  
THRESHOLD AND EVALUATING  
PULMONARY ARTERIAL HYERTENSION***A61B 5/083* (2006.01)*A61B 5/00* (2006.01)*A61B 5/021* (2006.01)(52) **U.S. Cl.**CPC ..... *A61B 5/0816* (2013.01); *A61B 5/087*  
(2013.01); *A61B 5/082* (2013.01); *A61B*  
*5/02405* (2013.01); *A61B 5/742* (2013.01);  
*A61B 5/7275* (2013.01); *A61B 5/021*  
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CO (US)(21) Appl. No.: **15/953,954**(22) Filed: **Apr. 16, 2018****Related U.S. Application Data**(60) Provisional application No. 62/485,527, filed on Apr.  
14, 2017.**Publication Classification**(51) **Int. Cl.***A61B 5/08* (2006.01)*A61B 5/087* (2006.01)(57) **ABSTRACT**

Systems and methods for estimating a ventilatory threshold for a patient and estimating likelihood of pulmonary arterial hypertension are provided. An example method includes determining ratios of minute ventilation to rate of oxygen uptake based on breath-by-breath data for a patient. The example method also includes determining a ventilatory threshold based upon the determined ratios and determining a score based upon an end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>) value at the determined ventilatory threshold and a minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) value at the determined ventilatory threshold. The method also includes using the score to estimate a likelihood that the patient has pulmonary arterial hypertension and causing the estimated likelihood to be displayed on a user interface.



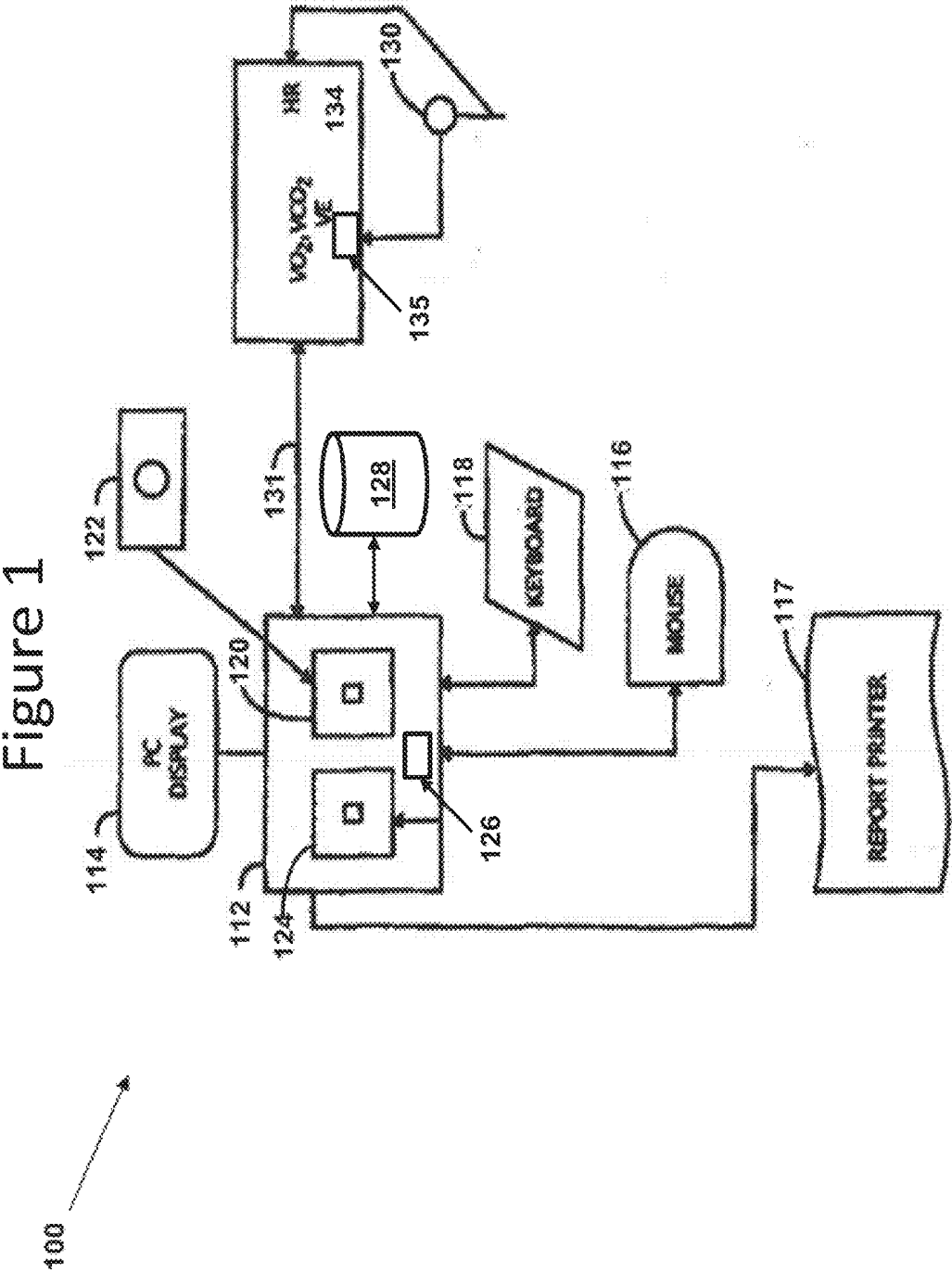


Figure 2



Figure 3

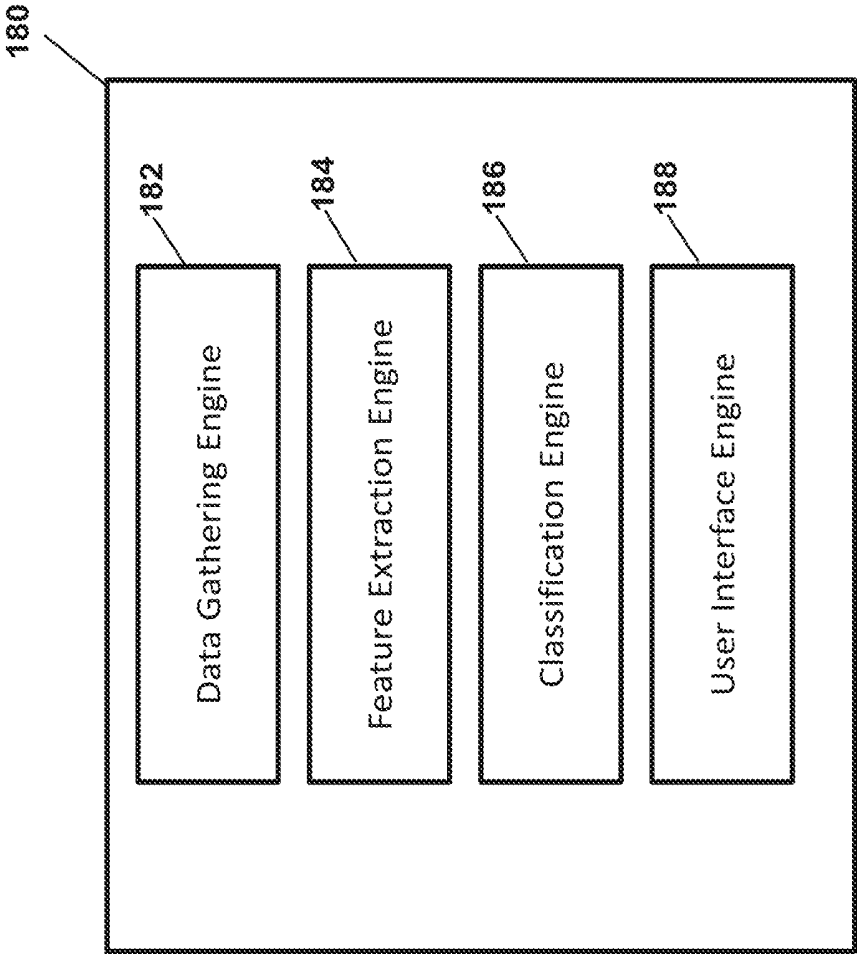
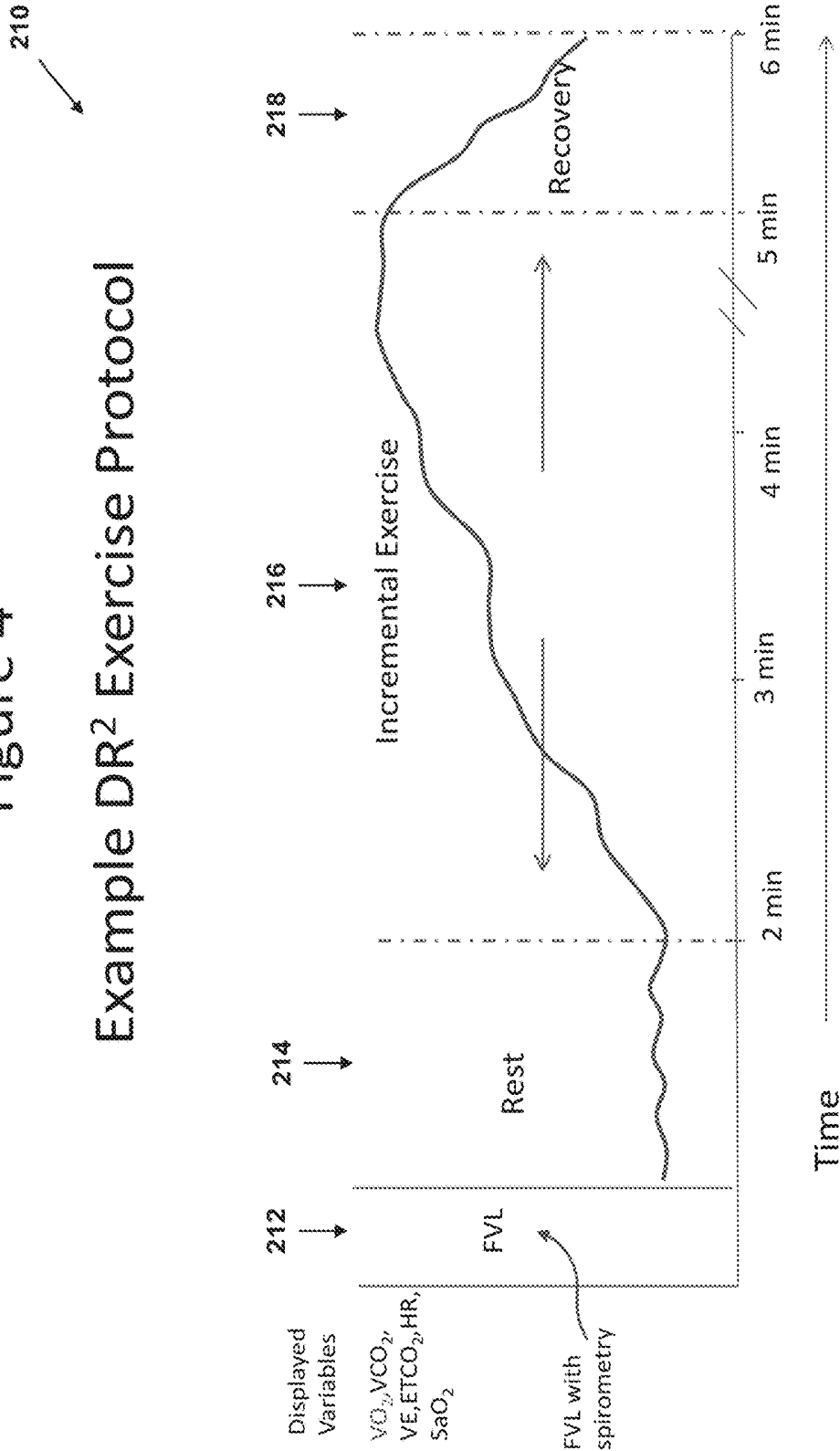
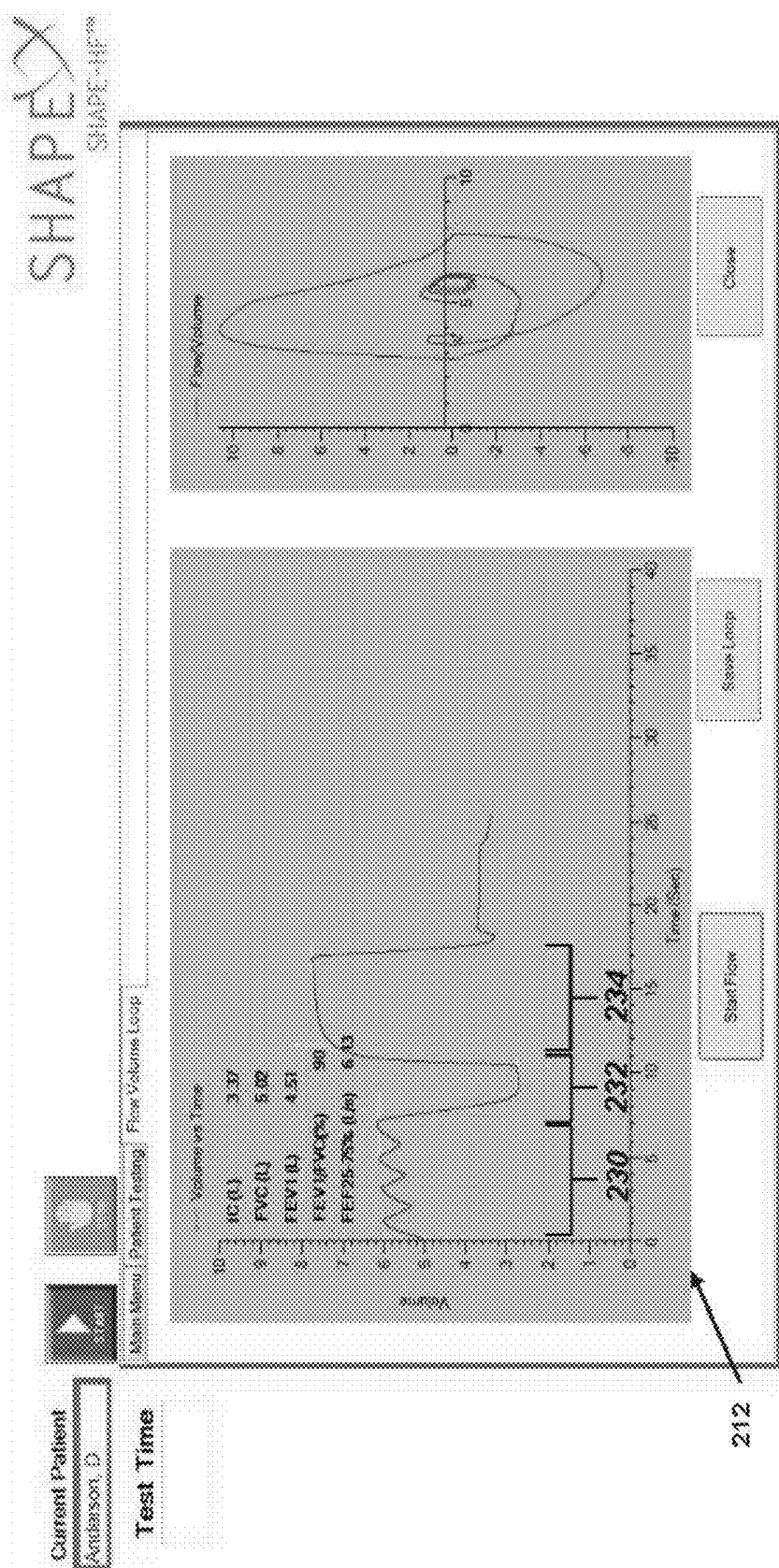


Figure 4  
Example DR<sup>2</sup> Exercise Protocol



503601



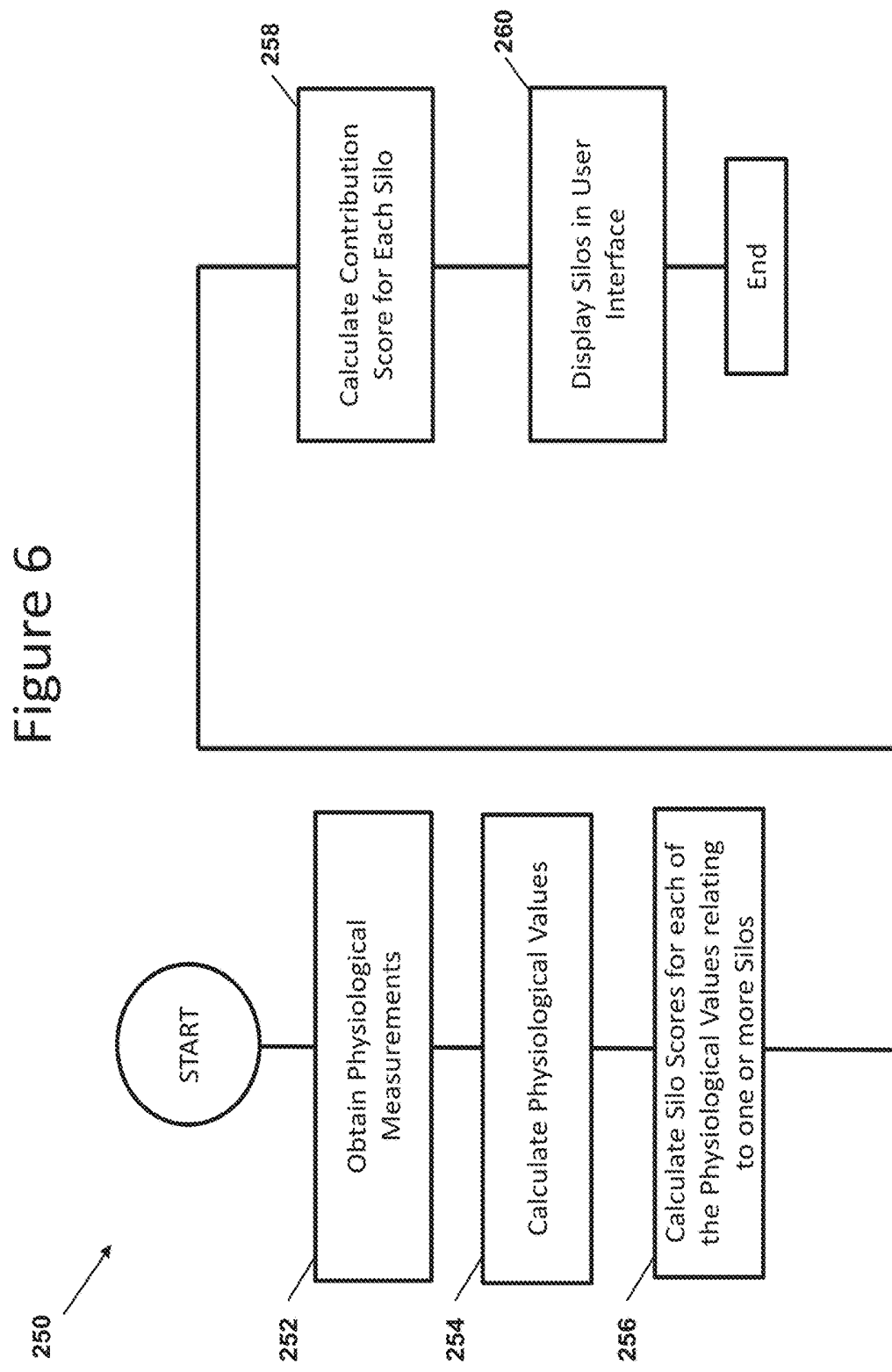


Figure 6

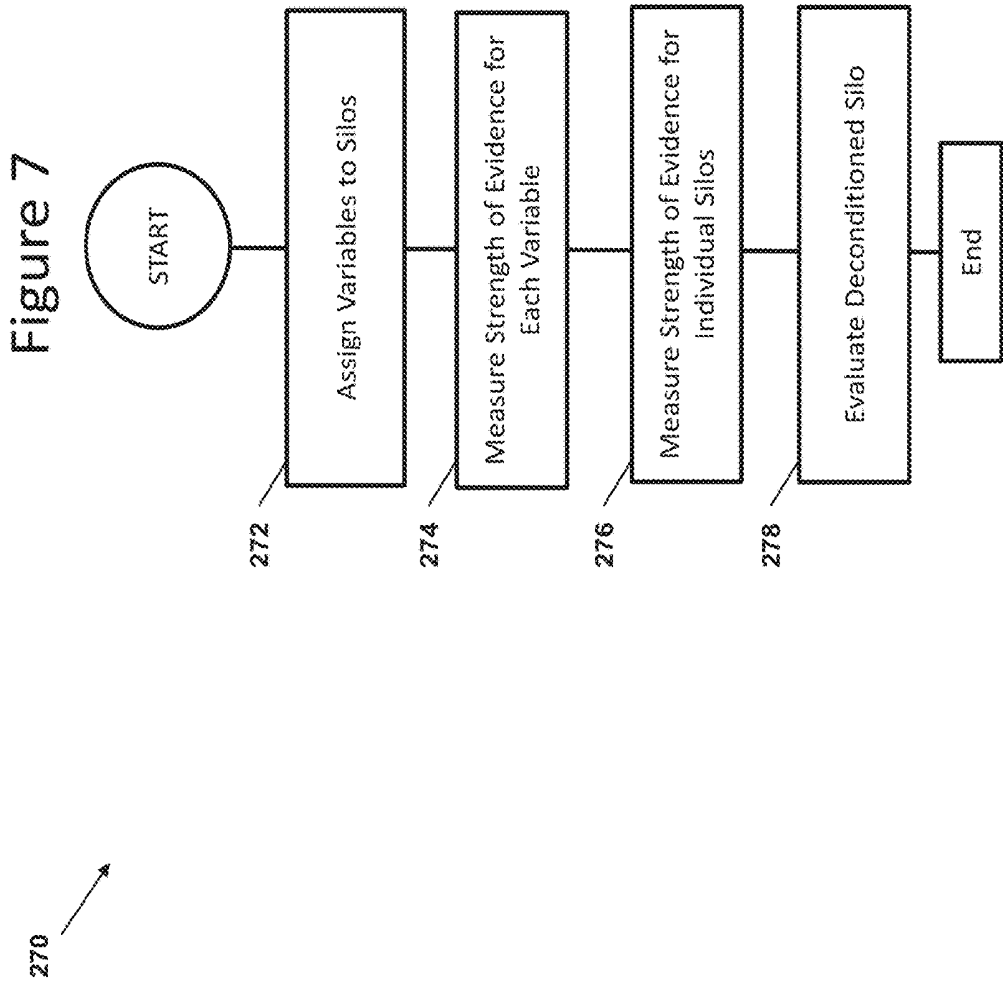
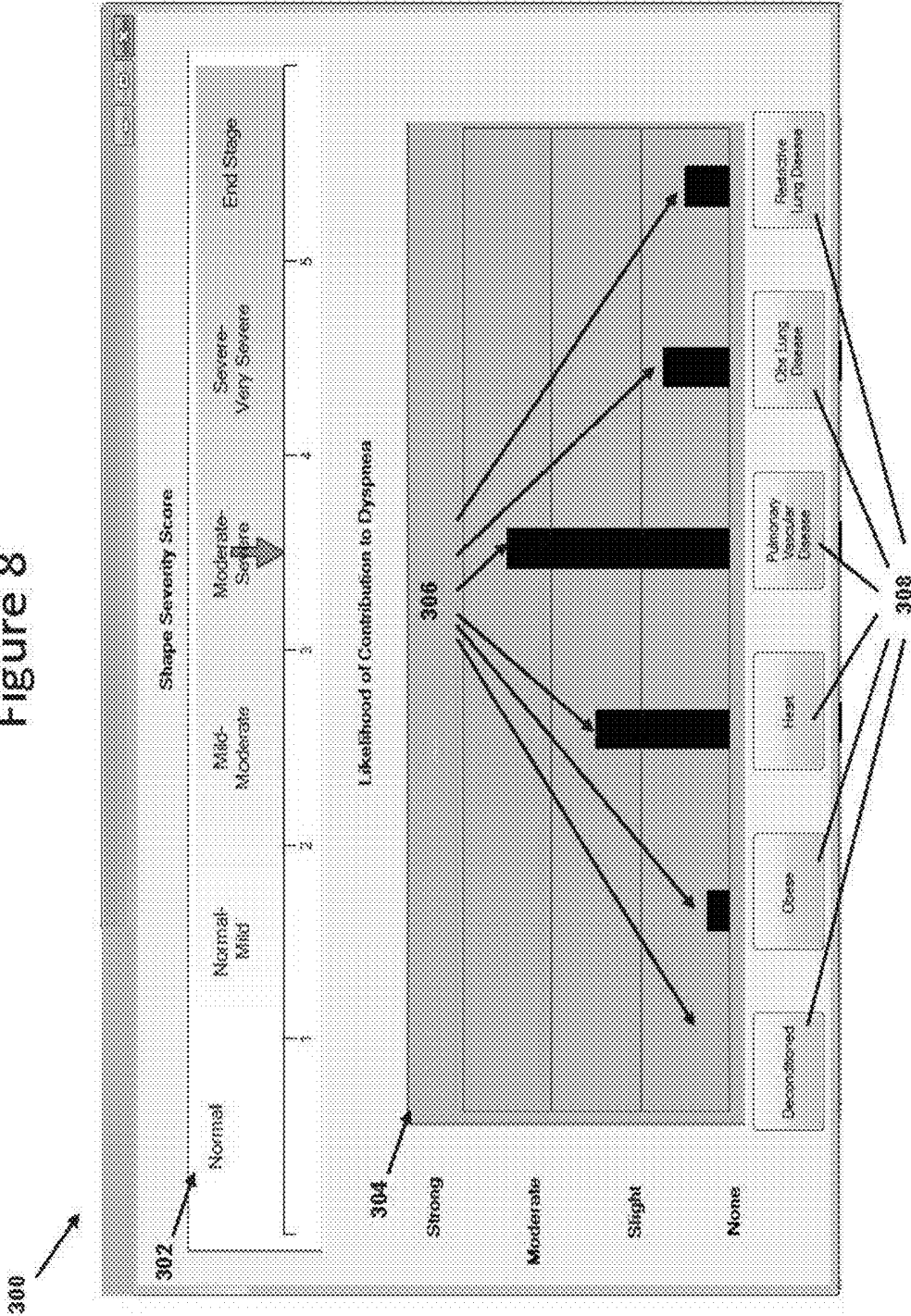




Figure 8



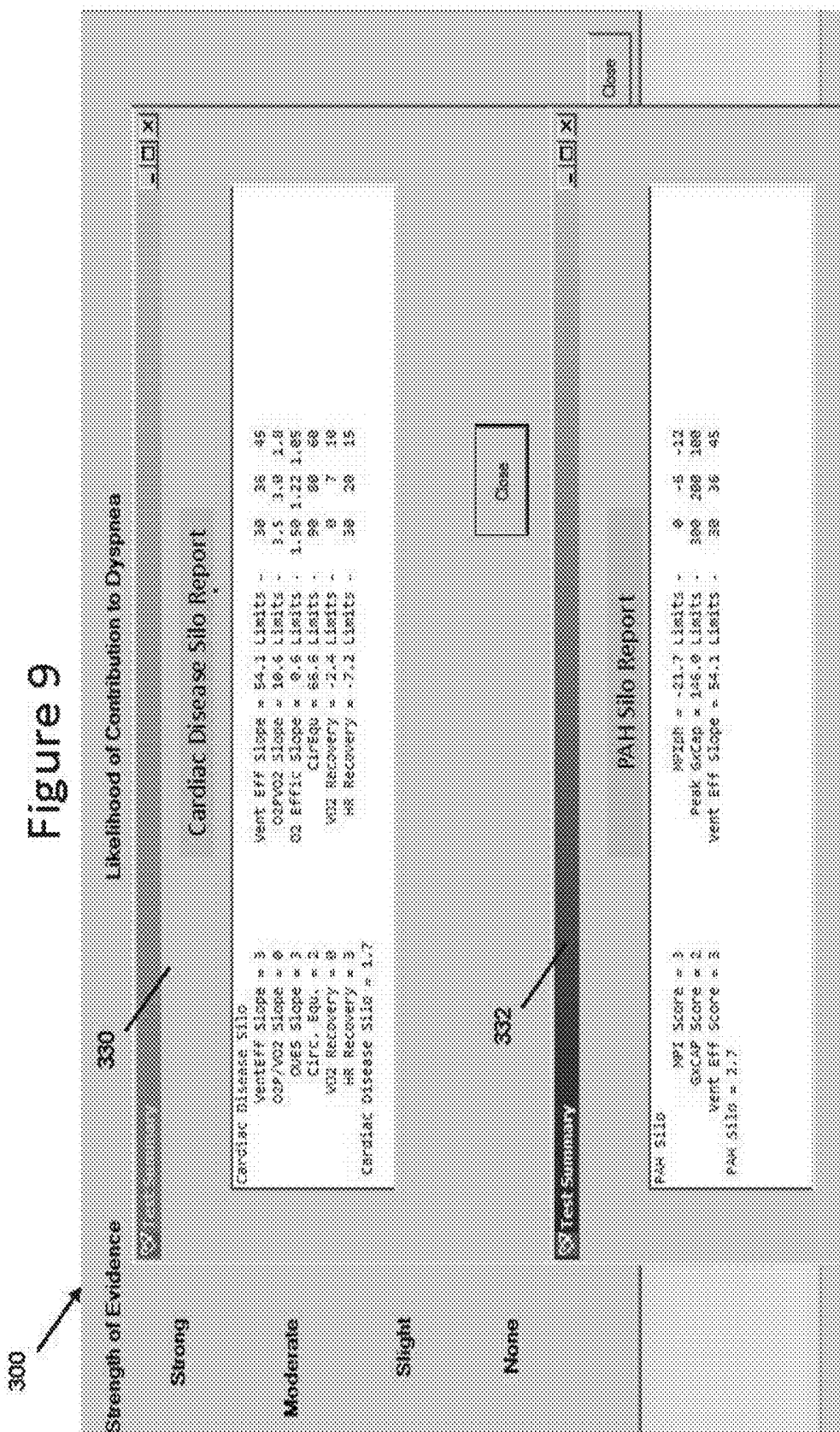


Figure 10

352

350

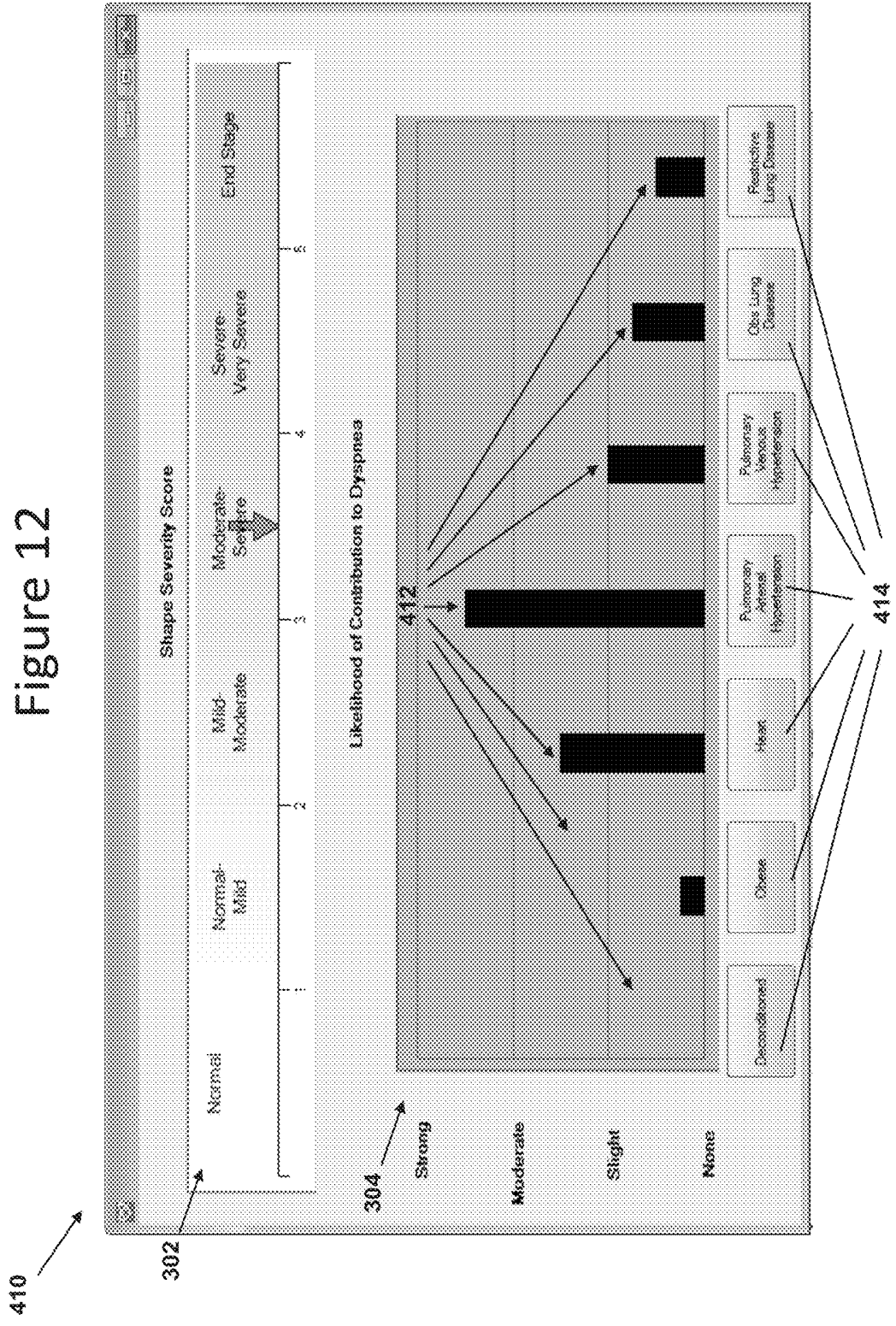
354

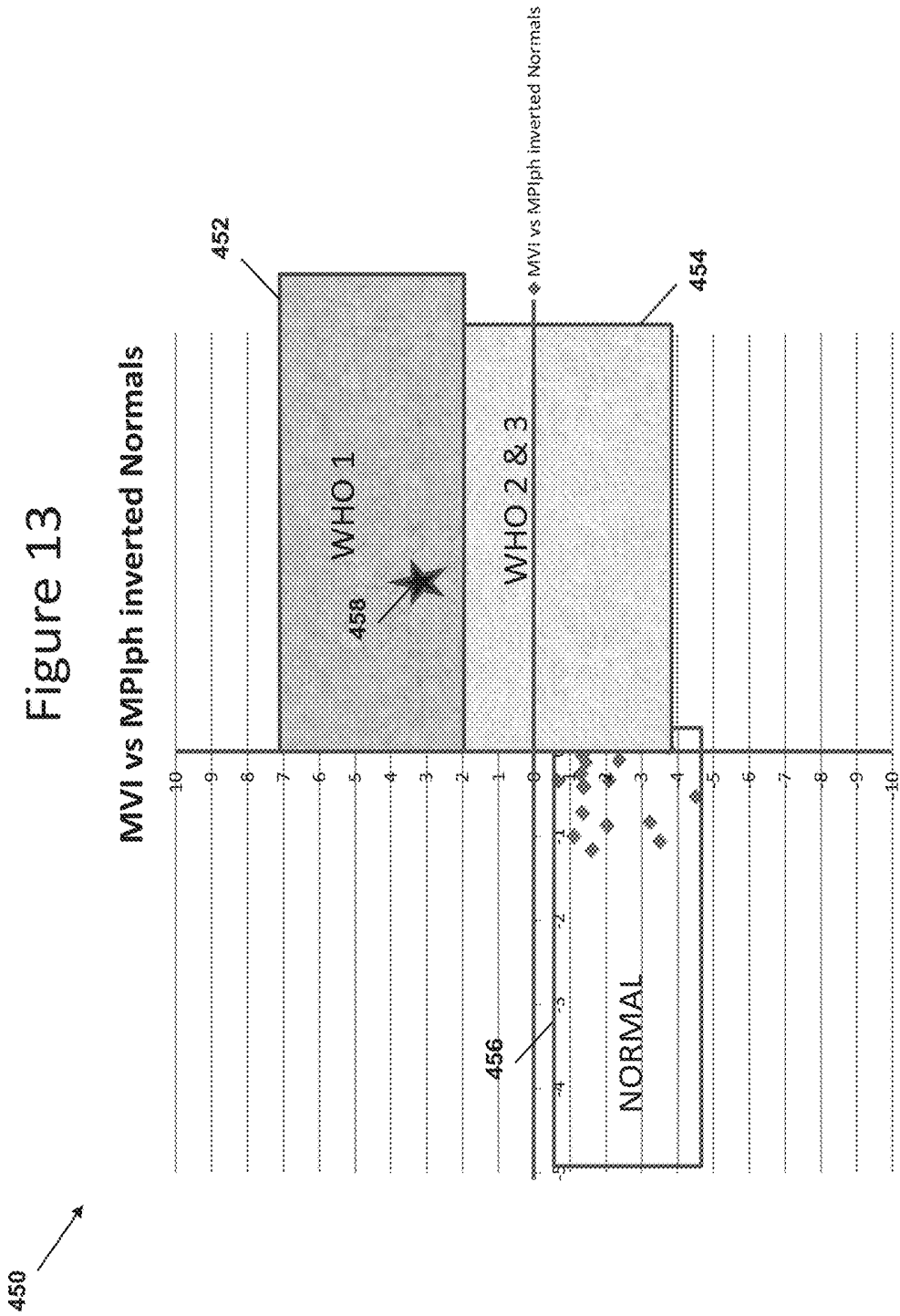
| Shape Score (MVt)                                    | Deconditioned | Obesity | Heart | Vascular | Obstructive Lung | Restrictive Lung |
|--|---------------|---------|-------|----------|------------------|------------------|
| MP:ph  | x             |         |       | x        |                  |                  |
| VE/VCO2 slope  |               |         | x     | x        |                  |                  |
| QVE5   |               |         | x     |          |                  |                  |
| VE/HR slope  | x             |         |       | x        |                  |                  |
| HR recovery  | x             |         | x     |          |                  |                  |
| E90  | x             |         |       |          |                  |                  |
| BMR*   | x             |         |       |          |                  |                  |
| O2 pulse/VO2 slope                                   |               | x       | x     |          |                  |                  |
| Circulatory Equivalent<br>(Hanson)                   |               |         | x     |          |                  |                  |
| O2 desat   |               |         | x     |          | x                |                  |
| VO2 increase during<br>recovery                      |               |         | x     |          |                  |                  |
| CRI slope  |               |         | x     |          |                  |                  |
| Gxcap @ end exercise                                 |               |         |       | x        |                  |                  |
| FEV1/FVC (Gold)                                      |               |         |       |          | x                |                  |
| IC rest - IC@end exercise                            |               |         |       |          |                  |                  |
| (DH)   |               |         |       |          | x                |                  |
| FVL/FEV1   |               |         |       |          |                  | x                |
| RR/VT slope  |               |         |       |          |                  | x                |
| Vtmax/Vtrest   |               |         |       |          |                  | x                |
| RR/VCO2 slope (Lung<br>Stiffness)                    |               |         |       |          |                  | x                |
| (HR @ E90-HR @<br>rest)/(Vtpeak/MVt)                 |               |         |       |          |                  |                  |
| HR@end exercise/MVt                                  |               |         |       |          | x                |                  |
| (PECO2/PeCO2)rest/(PEC<br>O2/PeCO2)@ end<br>exercise |               |         |       |          | x                |                  |

**Figure 11**

|   |        |        |       |                   |
|---|--------|--------|-------|-------------------|
|   | Limits |        |       | Silo              |
|   | Low    | Medium | High  |                   |
| VE/VO <sub>2</sub> Slope                      | 30.0   | 36.0   | 45.0  | Heart             |
| OUES  | 1.5    | 1.2    | 1.1   | Heart             |
| O <sub>2</sub> Pulse vs VO <sub>2</sub> Slope | 3.5    | 3.0    | 1.8   | Heart             |
| GxCap   | 300.0  | 200.0  | 100.0 | Vascular          |
| MPIph Score                                   | 0.0    | 2.0    | 4.0   | Vascular          |
| Circulatory Equivalents                       | 90.0   | 80.0   | 60.0  | Heart             |
| Desaturation (% absolute)                     | 5.0    | 7.0    | 10.0  | Obst Lung         |
| FEV1 (Pct Pred) Rest LD                       | 70.0   | 60.0   | 50.0  | Rest Lung         |
| FEV1/FVC % Obst LD                            | 80.0   | 50.0   | 30.0  | Obst Lung         |
| Lung Stiffness (RR/VO <sub>2</sub> Slope)     | 8.8    | 15.0   | 30.0  | Rest Lung         |
| RR/VT Slope                                   | 0.0    | 20.0   | 40.0  | Rest Lung         |
| VT (Max Att/Resting)                          | 2.0    | 1.5    | 1.0   | Rest Lung         |
| Dynamic Hyper Infi (L)                        | 0.5    | 0.0    | -0.2  | Obst Lung         |
| VE(rer90)/MVV                                 | 0.7    | 0.8    | 1.0   | Obst Lung         |
| VO <sub>2</sub> /RR Slope                     | 5.0    | 6.0    | 7.0   | Deconditioned     |
| HR Recovery                                   | 30.0   | 20.0   | 15.0  | Deconditioned     |
| VO <sub>2</sub> Recovery                      | 0.0    | 7.0    | 10.0  | Heart             |
| PECO <sub>2</sub> Ratio                       | 0.9    | 0.8    | 0.6   | Rest AND Obs Lung |
| E100 males < 40                               | 30.0   | 24.0   | 15.0  | Deconditioned     |
| E100 Male 40-60                               | 23.0   | 19.0   | 14.0  | Deconditioned     |
| E100 Males > 60                               | 16.0   | 14.0   | 10.0  | Deconditioned     |
| E100 Females < 40                             | 23.0   | 19.0   | 14.0  | Deconditioned     |
| E100 Females 40-60                            | 18.0   | 15.0   | 9.0   | Deconditioned     |
| E100 Females > 40                             | 13.0   | 11.0   | 6.0   | Deconditioned     |
| E100 Obesity                                  | 34.0   | 22.0   | 12.0  | Obesity           |
| BMI Pct Ideal                                 | 110.0  | 120.0  | 130.0 | Obesity           |

Figure 12





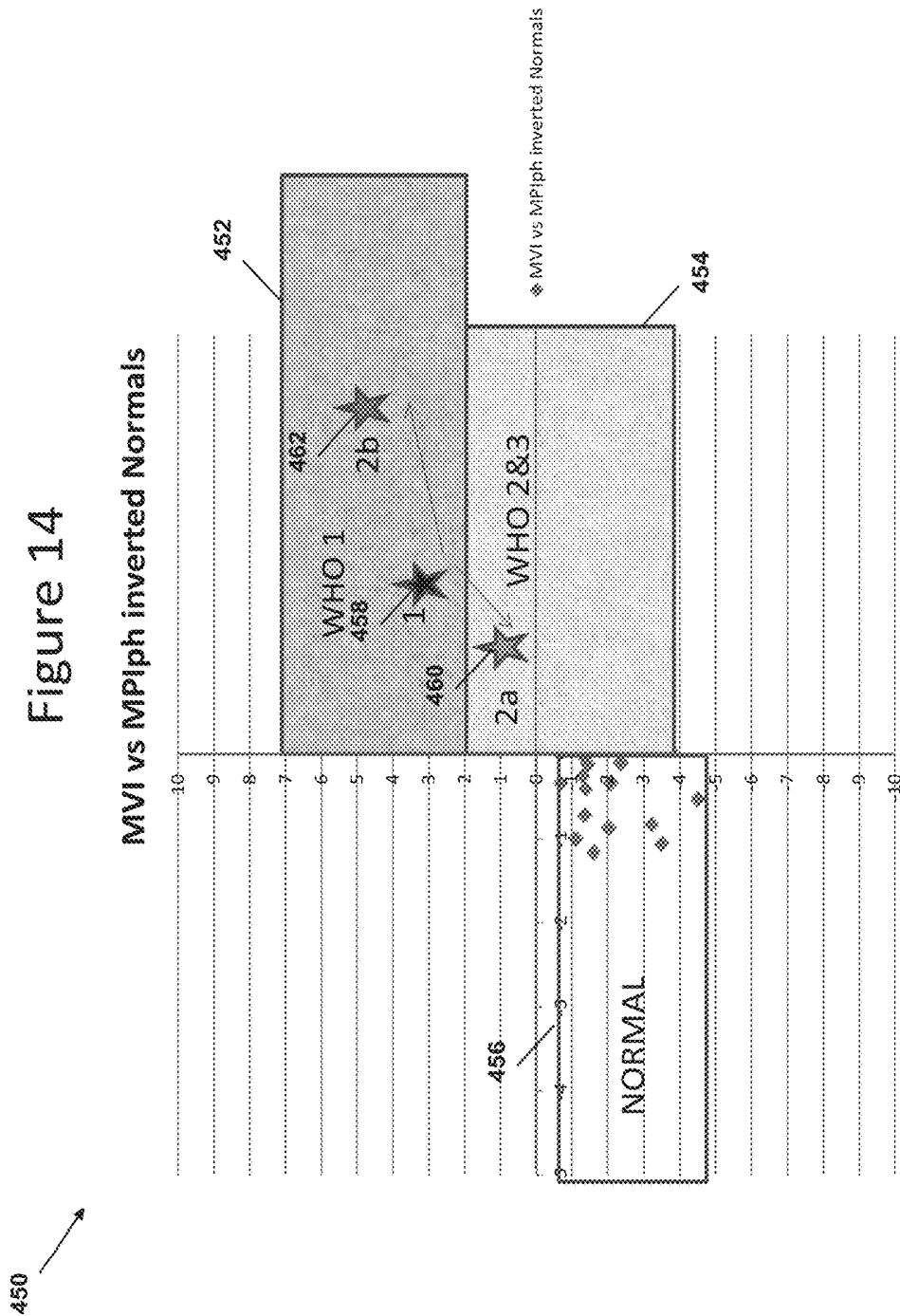


Figure 15

490

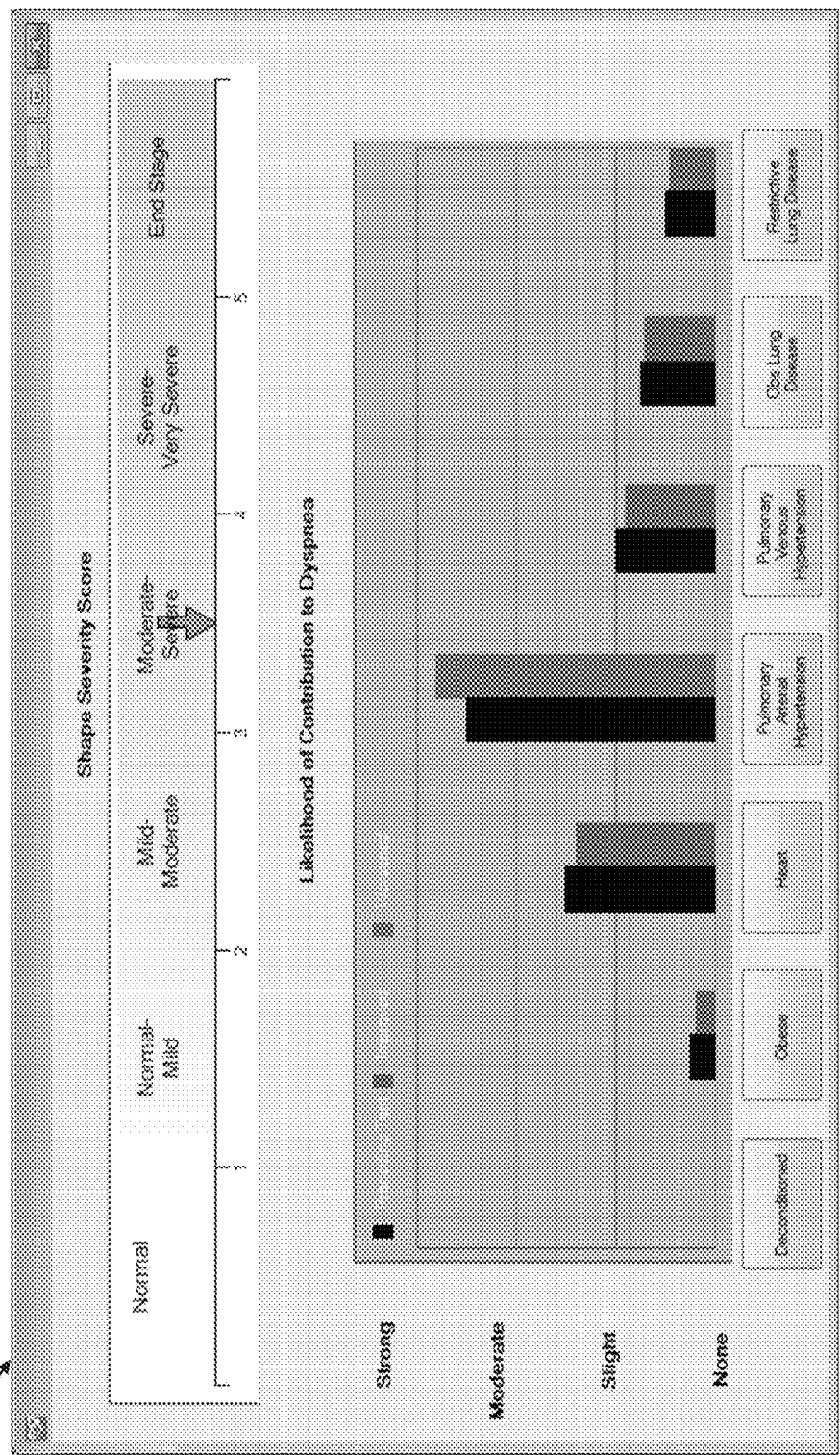
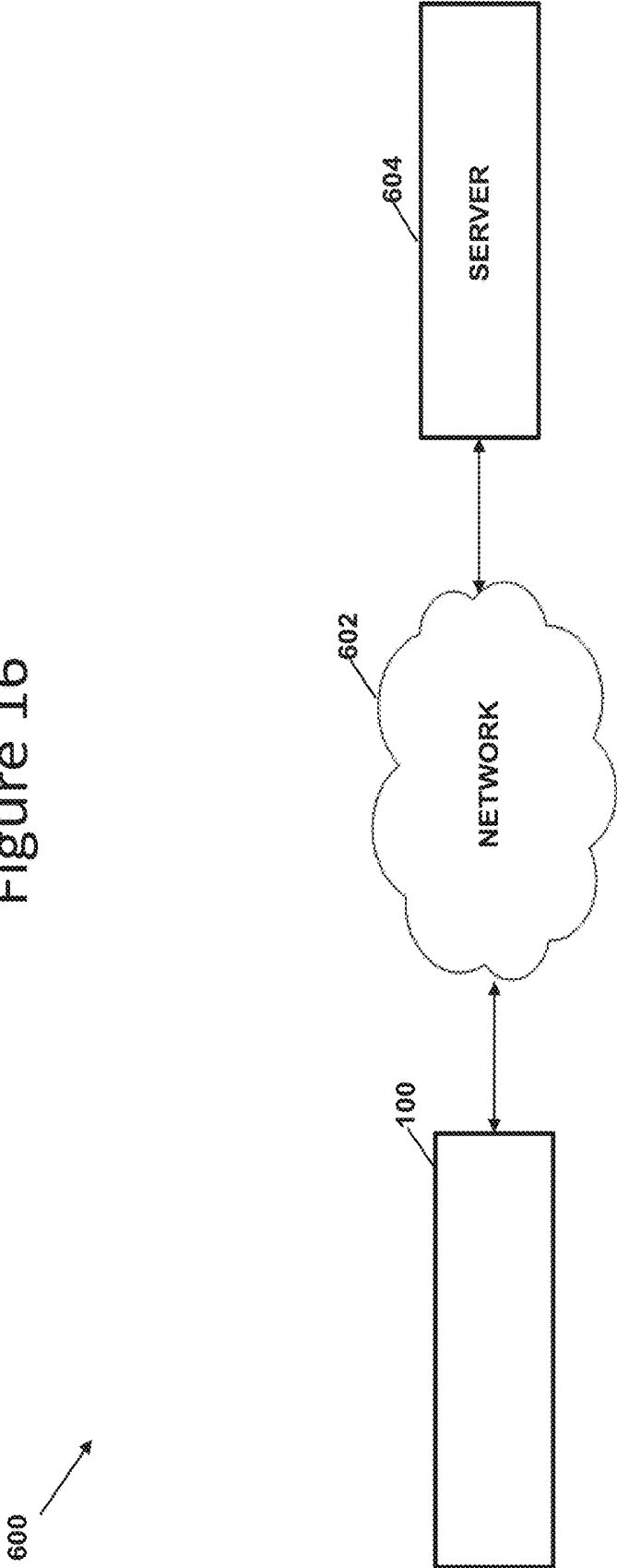




Figure 16



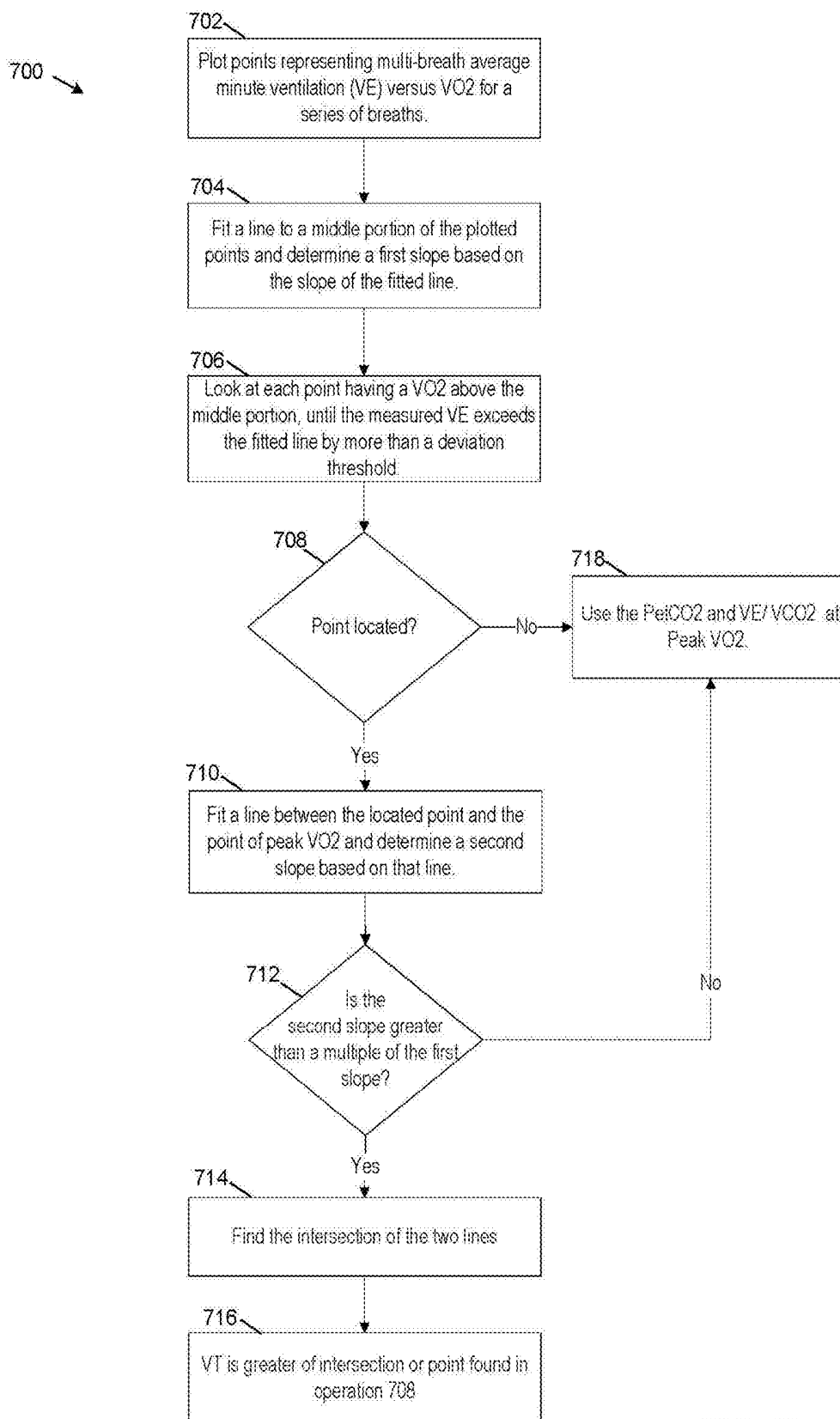


FIG. 17

800 →

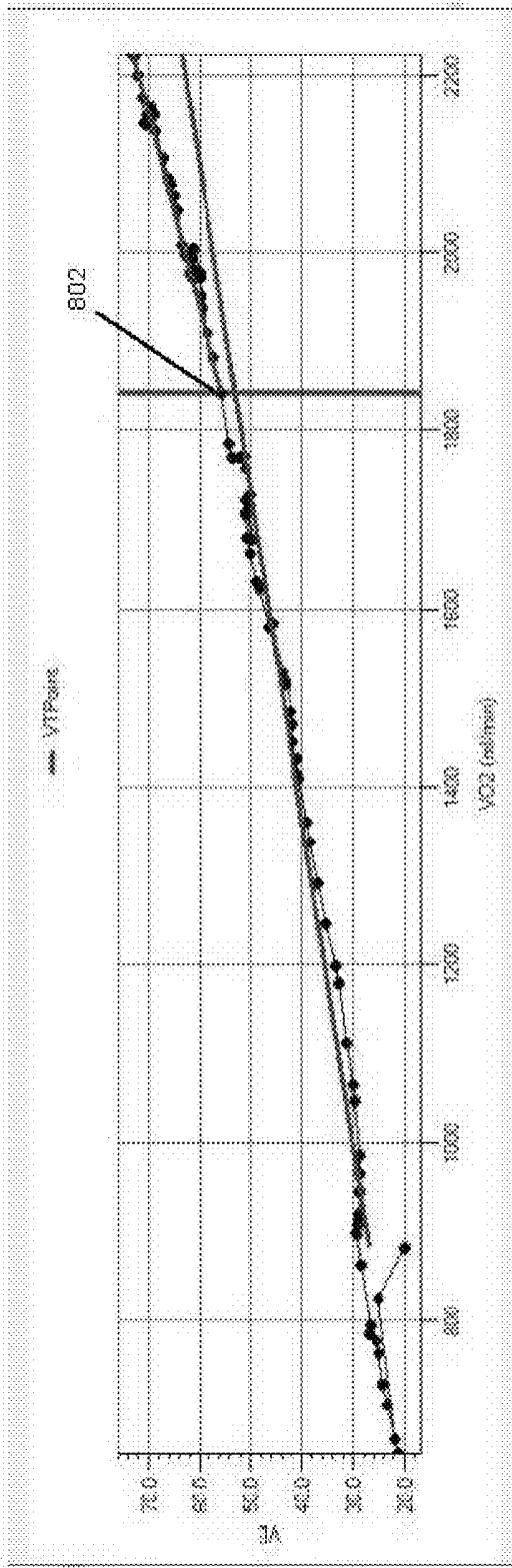


FIG. 18

900 →

Based on PetCO<sub>2</sub> at VT\* = 33.8 and VE/VC0<sub>2</sub> at VT\* = 30, you should consider the possibility this patient has PAH.

| Results | Cardiopulmonary Variables                                   | *Ventilatory Threshold |                           |
|---------|---|------------------------|---------------------------|
|         |   | Observed               | Normal Risk Cut-Off Value |
|         | Breathing Efficiency (VE/VC0 <sub>2</sub> Slope):           | 29                     | ≤26 >40                   |
|         | Resting Pulmonary Perfusion (PetCO <sub>2</sub> ; mmHg):    | 34                     | ≥34 <34                   |
|         | Change in Pulmonary Perfusion (ΔPetCO <sub>2</sub> ; mmHg): | 0.0                    | ≥4 <0                     |
|         | Peak GxCap (pulmonary capacitance):                         | 623                    | ≥400 <300                 |
|         | Resting SpO <sub>2</sub> :                                  | 92                     | ≥94 <92                   |
|         | O <sub>2</sub> Desaturation:                                | 2%                     | 0% ≥5%                    |
|         | Peak attained O <sub>2</sub> Pulse (%Pred.)                 | 105                    | >80% <60%                 |
|         | Peak attained VO <sub>2</sub> Pulse (ml/min/kg)             | 21.2                   |                           |
|         | Peak attained VO <sub>2</sub> (% Pred.)                     | 84.9                   |                           |
|         | Extrapolated Max VO <sub>2</sub> (% Pred.)                  | 83%                    |                           |

FIG. 19

**GAS EXCHANGE SYSTEMS AND METHODS  
FOR CALCULATING VENTILATORY  
THRESHOLD AND EVALUATING  
PULMONARY ARTERIAL HYPERTENSION**

RELATED APPLICATIONS

**[0001]** This application claims priority to and the benefit of U.S. Ser. No. 62/485,527, titled “GAS EXCHANGE SYSTEMS AND METHODS FOR CALCULATING VENTILATORY THRESHOLD AND EVALUATING PULMONARY ARTERIAL HYPERTENSION” and filed Apr. 14, 2017, the disclosure of which is hereby incorporated by reference in its entirety. This application is related to U.S. patent application Ser. No. 14/341,442 filed on Jul. 25, 2014, the entirety of which is hereby incorporated by reference.

BACKGROUND

**[0002]** The early symptoms of chronic disease—such as dyspnea, dizziness, and fatigue—are often mild and are common to many other conditions. At rest, there are often no symptoms and no apparent signs of illness. As a result, detection of the cause of dyspnea and the severity of the dyspnea can be delayed for months or even years. Accordingly, the presence of one or more chronic diseases is frequently not recognized until the disease is relatively advanced. A specific chronic disease is often diagnosed only once other conditions have been investigated and ruled out.

**[0003]** The non-specific nature of symptoms associated with chronic disease means that the diagnosis cannot be made on symptoms alone. Using pulmonary hypertension (PH) as an example, a series of investigations are required to make an initial diagnosis, to refine that diagnosis in terms of clinical class of pulmonary hypertension, and to evaluate the degree of functional and hemodynamic impairment. Current PH evaluation and classification (type, functional capacity, hemodynamics) methods include blood tests and immunology, HIV test, abdominal ultrasound scan, 6-minute walk test (6-MWT), peak  $\text{VO}_2$ , right heart catheterization, and vaso-reactivity testing. It is with exercise in PH patients that the sympathetic and neurohormonal systems trigger increased vasoconstriction of the pulmonary arteriolar vascular beds, thus causing an elevation in pulmonary vascular resistance and reduced blood flow through the pulmonary vascular circuit. This response also increases the workload of the right heart during exercise. The reduced blood flow is mismatched to the air flow in the bronchioles and alveoli.

**[0004]** The World Health Organization (WHO) classifies pulmonary hypertension into distinct groups, including for example WHO Group 1 (“WHO 1”), WHO Group 2 (“WHO 2”), and WHO Group 3 (“WHO 3”), WHO Group 4 (“WHO 4”), and WHO Group 5 (“WHO 5”). Typically, the clinical differentiation of WHO 1 vs. WHO 2 or WHO 3 pulmonary hypertension, often termed primary vs. secondary PH, is confirmed by the invasive procedure of right heart catheterization (RHC) requiring the intravascular passage of a pressure monitoring catheter via the subclavian or cephalic vein into the right atrium, through the tricuspid valve and into the right ventricle with further maneuvering past the pulmonary valve and to the pulmonary artery (PA). The catheter is typically advanced further “downstream” in the PA with inflation of a distal tip balloon that occludes flow to allow for pressure monitoring of pressure on the pre-capillary side called a wedge pressure that has been reported to

correlate well in most circumstance with left heart filling or left atrial pressures. The type of suspected pulmonary hypertension, whether true pulmonary arterial or venous hypertension is classified by hemodynamic criteria relative to the mean PA pressure and pulmonary wedge pressure values. The performing of an RHC imposes risk and often causes discomfort to the patient. Additionally, RHC is usually limited to gathering hemodynamic data while the patient is at rest without exertion due to the difficulties of supine patient exercise on the catheter lab table.

SUMMARY

**[0005]** A system of one or more computers can be configured to perform particular operations or actions by virtue of having software, firmware, hardware, or a combination of them installed on the system that in operation causes or cause the system to perform the actions. One or more computer programs can be configured to perform particular operations or actions by virtue of including instructions that, when executed by data processing apparatus, cause the apparatus to perform the actions. One general aspect includes a gas exchange system, the system including: a flow sensor configured to sense a respiratory flow of a patient; an analyzer configured to determine a composition of at least a portion of the respiratory flow of the patient; a computing device configured to: receive gas exchange measurements based on breath-by-breath data captured by the flow sensor and the analyzer during a gas exchange test; plot minute ventilation against rate of oxygen uptake based on the breath-by-breath data; and estimate a ventilatory threshold based on the plot. Other embodiments of this aspect include corresponding computer systems, apparatus, and computer programs recorded on one or more computer storage devices, each configured to perform the actions of the methods.

**[0006]** One general aspect includes a method for estimating likelihood of pulmonary arterial hypertension, the method including: determining ratios of minute ventilation to rate of oxygen uptake based on breath-by-breath data for a patient; and determining a ventilatory threshold based upon the determined ratios; determining a score based upon end-tidal partial pressure of carbon dioxide ( $\text{PetCO}_2$ ) and minute ventilation/carbon dioxide production relationship ( $\text{VE}/\text{VCO}_2$ ) at the determined ventilatory threshold; and using the score to estimate a likelihood that the patient has pulmonary arterial hypertension. Other embodiments of this aspect include corresponding computer systems, apparatus, and computer programs recorded on one or more computer storage devices, each configured to perform the actions of the methods.

**[0007]** One general aspect includes a method of estimating a ventilatory threshold for a patient, the method including: receiving gas exchange measurements based on breath-by-breath data captured during a gas exchange test; grouping the breath-by-breath data into multi-breath groups, each multi-breath group containing data for a plurality of sequentially occurring breaths; generating a multi-breath average minute ventilation for each of the multi-breath groups by averaging minute ventilation values for each of the breaths therein; generating a multi-breath average rate of oxygen uptake for each of the multi-breath groups by averaging rate of oxygen uptake values for each of the breaths therein; generating a plot by plotting a data point for each of the multi-breath groups based on the multi-breath average min-

ute ventilation and the multi-breath average rate of oxygen uptake; identifying a middle portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to an upper threshold value; fitting a first line to the identified middle portion of the plot; identifying an upper portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to the upper threshold value; determining whether at least one multi-breath group in the identified upper portion has a minute ventilation value that exceeds the first line by at least a deviation threshold; when determined that at least one multi-breath group in the identified upper portion has a minute ventilation value that exceeds the first line by at least the deviation threshold: identifying a breath group from the at least one multi-breath group exceeding the first line by the deviation threshold having the lowest rate of oxygen uptake; fitting a second line to the identified breath group and a breath group having a peak oxygen uptake value; determining an intersection point of the first line and the second line; and determining the ventilatory threshold as being the greater of the rate of oxygen uptake at the intersection point and the rate of oxygen uptake of the identified breath group; and when determined that the identified upper portion does not include at least one breath group that has a minute ventilation value that exceeds the first line by at least the deviation threshold: determining the ventilatory threshold as being a maximum rate of oxygen uptake in the breath-by-breath data. Other embodiments of this aspect include corresponding computer systems, apparatus, and computer programs recorded on one or more computer storage devices, each configured to perform the actions of the methods.

**[0008]** One general aspect includes a method of estimating a ventilatory threshold for a patient, the method including: receiving gas exchange measurements based on breath-by-breath data captured during a gas exchange test; generating a plot by plotting data points based on minute ventilation and rate of oxygen uptake from the breath-by-breath data; identifying a middle portion of the plot based on comparing the data points rate of oxygen uptake to an upper threshold value; fitting a first line to the identified middle portion of the plot; identifying an upper portion of the plot based on comparing the rate of oxygen uptake of the data points to the upper threshold value; determining whether at least one data point in the identified upper portion has a minute ventilation value that exceeds the first line by at least a deviation threshold; when determined that at least one data point in the identified upper portion has a minute ventilation value that exceeds the first line by at least the deviation threshold: identifying a data point from the at least one data point exceeding the first line by the deviation threshold having the lowest rate of oxygen uptake; fitting a second line to the identified data point and a data point having a peak oxygen uptake value; determining an intersection point of the first line and the second line; and determining the ventilatory threshold as being the greater of the rate of oxygen uptake at the intersection point and the rate of oxygen uptake of the identified data point; and when determined that the identified upper portion does not include at least one data point that has a minute ventilation value that exceeds the first line by at least the deviation threshold: determining the ventilatory threshold as being a maximum rate of oxygen uptake in the breath-by-breath data. Other embodiments of this aspect include corresponding computer systems, apparatus, and

computer programs recorded on one or more computer storage devices, each configured to perform the actions of the methods.

**[0009]** The details of one or more implementations are set forth in the accompanying drawings and the description below. Other features will be apparent from the description and drawings, and from the claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0010]** FIG. 1 illustrates an embodiment of a gas exchange testing system.

**[0011]** FIG. 2 is an example embodiment of a database table for storing data acquired by the gas exchange testing system of FIG. 1.

**[0012]** FIG. 3 illustrates a schematic diagram of an embodiment of a pattern recognition system of some embodiments of the gas exchange testing system of FIG. 1.

**[0013]** FIG. 4 illustrates an example test protocol for use with some embodiments of the gas exchange testing system of FIG. 1.

**[0014]** FIG. 5 illustrates the flow volume loop (FVL) phase of the test protocol of FIG. 4.

**[0015]** FIG. 6 is a flowchart illustrating an example method of operating the feature extraction engine and the classification engine of FIG. 3.

**[0016]** FIG. 7 is a flowchart illustrating another example method of operating the feature extraction engine and the classification engine of FIG. 3.

**[0017]** FIG. 8 is an example user interface of the gas exchange testing system of FIG. 1.

**[0018]** FIG. 9 is another example user interface of the gas exchange testing system of FIG. 1.

**[0019]** FIG. 10 is an example table that is used in some embodiments of the gas exchange testing system of FIG. 1 to associate various physiological values with silos.

**[0020]** FIG. 11 illustrates an example table that is used in some embodiments of the gas exchange testing system of FIG. 1 to calculate silo scores for various physiological values.

**[0021]** FIG. 12 illustrates another user interface of the gas exchange testing system of FIG. 1 that includes silo splitting.

**[0022]** FIG. 13 illustrates an example graph used by some embodiments of the gas exchange test system of FIG. 1 that is used for differentiating between types of pulmonary hypertension.

**[0023]** FIG. 14 illustrates an example graph used by some embodiments of the gas exchange test system of FIG. 1 that is used to track changes associated with pulmonary hypertension.

**[0024]** FIG. 15 illustrates a user interface of some embodiments of the gas exchange testing system of FIG. 1 for tracking therapy.

**[0025]** FIG. 16 illustrates an exemplary networked gas exchange testing system.

**[0026]** FIG. 17 illustrates an example method for estimating a likelihood of pulmonary arterial hypertension using a ventilatory threshold.

**[0027]** FIG. 18 illustrates an example plot of rate of oxygen uptake or consumption versus ventilation.

**[0028]** FIG. 19 illustrates an example report estimating the likelihood of pulmonary arterial hypertension.

## DETAILED DESCRIPTION

**[0029]** Various embodiments will be described in detail with reference to the drawings, wherein like reference numerals represent like parts and assemblies throughout the several views. Reference to various embodiments does not limit the scope of the claims attached hereto. Additionally, any examples set forth in this specification are not intended to be limiting and merely set forth some of the many possible embodiments for the appended claims.

**[0030]** In general terms, this disclosure is directed to example systems and methods for determining ventilatory threshold and estimating a likelihood of pulmonary arterial hypertension.

**[0031]** There exists a clinical need to determine the most likely causes of dyspnea during mild to moderate exercise and to make clinically available a more accurate means of directing physician referral for additional standard of care tests. The current clinical pathways are inefficient in that referrals are frequently made to the wrong specialist. Some embodiments of the present disclosure are intended to streamline therapy and enhance the success for disease treatment by more accurately predicting the likelihood of a primary disease and suggesting which other diseases may be a secondary cause of the dyspnea. In contrast to conventional systems, embodiments of the systems and methods described herein provide for estimation of a patient's ventilatory threshold during a submaximal exercise test. For example, the present disclosure may provide improvements to the technological field of cardiopulmonary gas exchange testing by determining ventilatory threshold during a submaximal exercise test. These technological improvements may reduce the amount of data the system needs to collect, process, and store because a submaximal test may be completed in a shorter time period than a maximal test. Computing devices implementing the methods described herein may use fewer processor cycles to determine ventilatory threshold than would be required to determine ventilatory threshold during a maximal test. Additionally, the technological improvements described herein allow caregivers to estimate or determine the ventilatory threshold of patients who are unable to perform a maximal gas exchange test. The technological improvements described herein support test protocols that are easier on patients, require less patient effort, and for which it may be easier to achieve patient cooperation.

**[0032]** In general, the present disclosure describes systems and methods for quantifying and differentiating chronic diseases contributing to dyspnea in a patient. In at least some embodiments, physiological measurements are obtained from the patient during a gas-exchange test and a spirometry test. Further, in at least some embodiments, physiological values are calculated based on one or more of the physiological measurements. In at least some embodiments, a score corresponding to the likelihood that a particular chronic disease is contributing to dyspnea is calculated based on the physiological measurements or physiological values. This score is an example of a contribution value. In at least some embodiments, a chart that represents the scores corresponding to the likelihood that particular chronic diseases are contributing to dyspnea is displayed. In some embodiments, some or all of the chronic diseases are represented as vertical disease silos, each having a height corresponding to the likelihood that the associated chronic disease is contributing to dyspnea.

**[0033]** At least some embodiments of the systems and methods disclosed are more sensitive, physiologic, and easier to use than currently available disease differentiation methods. In addition, in at least some embodiments, feedback is provided during long-term follow-up and treatment in patients with chronic diseases.

**[0034]** In at least some embodiments, a global assessment of multiple chronic diseases and their effect on causing dyspnea in a patient is provided. Additionally, in at least some embodiments, differentiation between chronic diseases and sub-types of chronic diseases are provided. These benefits are not available with indexes or classification systems that are focused on a single disease.

**[0035]** Typically, in the clinical setting, resting spirometry is performed separately from cardiopulmonary exercise testing with breath-by-breath gas exchange monitoring. It is known that resting spirometry data may not by itself predict functional exercise limitations. It is often that the exercise state is not evaluated by any pulmonary function parameters that truly represent gas exchange in the lungs. Instead, walking distance and peak oxygen uptake are measured.

**[0036]** In at least some embodiments, the spirometric data is collected during the same clinic office visit as the exercise gas exchange test using the same flow transducer and patient preparation, thereby reducing the clinical diagnostic time. In at least some embodiments, the spirometric data includes key measures of the patient's flow volume loop (FVL), including forced vital capacity (FVC), forced expiratory volume in one second (FEV1), and inspiratory capacity (IC). In some embodiments, the ratio of FEV1 to FVC is calculated and used as a determinant of the existence of Chronic Obstructive Pulmonary Disease (COPD) as a disease silo, in addition to inspiratory capacity (IC) for supportive evaluation of potential restrictive patterns of lung disease.

**[0037]** The Gold Criteria, which uses the measured values for FVC and FEV1, is used for determining whether a patient has restrictive or obstructive lung disease. The Gold Criteria, when applied to restrictive LD, produces a number between 0 and 3 in the restrictive LD silo. When applied to obstructive LD, the Gold Criteria produces a number between 0 and 3 in the obstructive LD silo.

**[0038]** The existence of COPD can offer an explanation of potential hyperinflation during exercise observed with other select gas exchange variable patterns. The performance of an FVL by the patient prior to collecting resting, exercise, and recovery data is intended to provide multivariable descriptors of different potential chronic disease silos to aid in the most accurate evaluation of the likely cause of exertional dyspnea. The data obtained from the FVL can offer an explanation of the cause of a reduction in oxygen uptake in the lungs.

**[0039]** The determination of key pulmonary variables by the FVL measurement also aids in the differentiation of different classes of pulmonary hypertension as well as the determination of existing co-morbidities that together worsen the symptoms of exertional dyspnea. The use of the FVL can also help guide the correct path for patient therapy, upon determining the likely cause or causes of dyspnea.

**[0040]** Additionally, in at least some embodiments, data collected during the exertional state of a gas exchange test is used to detect the existence of PH before it has advanced to a state where its symptoms are present during rest. This may beneficially allow detection and diagnosis of PH at an early stage. If PH is detected later, treatment becomes more

challenging and the pulmonary vascular bed becomes stiffer and conducive to elevated PA pressure. In addition, earlier detection of PH may minimize or delay the chronic vascular damage and eventual failure caused by right ventricular strain associated with PH.

**[0041]** Additionally, in some embodiments, multiple chronic disease indexes are used to differentiate between sub-types of a particular chronic disease. For example, in some embodiments, the gas exchange testing system **100** differentiates WHO 1 pulmonary hypertension from WHO 2 and 3 pulmonary hypertension based on separate indexes for heart failure and pulmonary hypertension. The MultiVariable Index (MVI) described in U.S. Pat. No. 8,630,811, titled METHOD FOR COMBINING INDIVIDUAL RISK VARIABLES DERIVED FROM CARDIOPULMONARY EXERCISE TESTING INTO A SINGLE VARIABLE and issued on Jan. 14, 2014, is an example of an index associated with heart failure. The MultiParametric Index for Pulmonary Hypertension (MPIph) described in U.S. patent application Ser. No. 12/567,005, titled PATTERN RECOGNITION SYSTEM FOR CLASSIFYING THE FUNCTIONAL STATUS OF PATIENTS WITH PULMONARY HYPERTENSION, INCLUDING PULMONARY ARTERIAL AND PULMONARY VASCULAR HYPERTENSION and filed on Sep. 25, 2009, is an example of an index associated with pulmonary hypertension. In some embodiments, MVI and MPIph or associated values are used to differentiate between sub-types of pulmonary hypertension.

**[0042]** In accordance with the present disclosure, a new and novel method, Disease Risk and Referral optimization (DR2), is disclosed for determining the relative likelihood of the presence of one or more chronic diseases. In addition, in at least some embodiments, DR2 makes it easier for a wide variety of physicians to visualize and interpret combined pulmonary function and cardiopulmonary exercise tests. Moreover, in at least some embodiments, data to implement this method is obtained at rest and during exercise—either maximally or submaximally.

**[0043]** In at least some embodiments, a cardiopulmonary exercise gas exchange system is configured to perform a Flow/Volume loop as well as to measure gas exchange variables during rest, exercise, and recovery. In some embodiments, the measurements are captured using the SHAPE HF™ Cardiopulmonary Exercise Testing System, available from Shape Medical Systems, Inc. of St. Paul, Minn. Other embodiments include other systems to capture the measurements. In at least some embodiments, the feature extraction engine and the classification engine enable an observer to gain new and valuable insight into the present condition and condition trends in patients.

**[0044]** In at least some embodiments, a ventilatory threshold (VT) is determined. Although alternatives are possible, signal processing technology evaluates signals corresponding to ventilation (VE) and rate of oxygen uptake or consumption ( $\text{VO}_2$ ) to determine a ventilatory threshold. The technologies disclosed herein allow a computing device to determine an inflection point in the relationship between these signals. In some embodiments, the inflection point is evaluated to determine whether it corresponds to the ventilatory threshold. Other physiologic values that correspond to the ventilatory threshold are determined and used to evaluate and/or classify physiological conditions such as pulmonary hypertension. The techniques disclosed herein may, for example, be more efficient, use less processing cycles, and

require less operator intervention to determine a ventilatory threshold and to evaluate physiological conditions.

**[0045]** Referring now to FIG. 1, an embodiment of a gas exchange testing system **100** is shown. The gas exchange testing system **100** includes a computing device **112**, a gas exchange measurement device **134**, and a database **128**. Also shown is a patient or subject **130**.

**[0046]** In some embodiments, the computing device **112** includes a display terminal **114** with an associated mouse **116**, report printer **117**, and keyboard **118**. The mouse **116** and the keyboard **118** are examples of user input devices. Some embodiments include additional or different user input devices such as a touch-sensitive display screen or a voice recognition system. The system further includes a storage handler **120** with an associated computer-readable memory storage device **122**. The computer-readable memory storage device **122** is an example of a computer-readable data storage device. As is well known in the art, the storage handler **120** input/output interfaces comprise read/write devices for reading, deleting, adding, or changing information stored on a machine-readable medium, e.g., a thumb drive, and for providing signals which can be considered as data or operands to be manipulated in accordance with a software program loaded into the memory **126**. Examples of the memory **126** include RAM or ROM. In some embodiments, the memory storage device **122** includes non-transitory storage devices. The computing device also includes a processor **124**. In some embodiments, the computing device comprises one or more electronic circuits that are configured to execute instructions stored on a non-transitory computer-readable storage medium. Non-limiting examples of the electronic circuit include a central processing unit (CPU), microprocessor, and field-programmable gate array (FPGA).

**[0047]** In some embodiments, the gas exchange test is a cardiopulmonary exercise test. In these embodiments, the exercise protocol includes exercise equipment (not shown), such as a bicycle ergometer, stair step, or treadmill. The subject **130** uses the exercise equipment during a portion of the test. The gas exchange measurement device **134** includes a flow sensor **135** configured to sense a respiratory flow of the patient and can determine components of the respiratory flow of the patient, including the concentration of oxygen and carbon dioxide in the respiratory flow. During the gas exchange test, the gas exchange measurement device **134** may also measure various physiological parameters associated with the subject. In some embodiments, these physiological parameters include heart rate (HR), respiratory rate (RR), ventilation (VE), rate of oxygen uptake or consumption ( $\text{VO}_2$ ), carbon dioxide production ( $\text{VCO}_2$ ), and oxygen saturation ( $\text{SaO}_2$ ). In other embodiments, other physiological parameters are measured as well. In addition, in some embodiments, the physiological parameters include also include forced vital capacity (FVC), forced expiratory volume in one second (FEV1), and inspiratory capacity (IC). The gas exchange measurement device **134** is an example of an analyzer. In addition, the gas exchange measurement device **134** is also an example of a spirometer.

**[0048]** In some embodiments, the physiological data that is measured by the gas exchange measurement device **134** is transmitted to the computing device **112** via a conductor **131**, such as a cable. In other embodiments, the physiological data is transmitted to the computing device wirelessly. In other embodiments, other communication devices are used to transmit the physiological data to the computing device



**112.** In some embodiments, the display terminal **114** displays the physiological data or other values derived from the physiological data.

**[0049]** The computing device **112** may comprise a personal computer, a dedicated microcontroller configured to acquire the measurements and process those measurements, a mobile computing device, such as a smartphone or tablet, or a server computer. Therefore, the further detailed description will be made independent of the type and characteristics of the computing device **112**.

**[0050]** The database **128** stores data in an organized manner, such as in a hierarchical or relational database structure, or in lists and other data structures such as tables. Although the database **128** is illustrated as being separated from the computing device **112**, in some embodiments the database **128** is located on the computing device **112**. In some embodiments, the data acquired by the gas exchange testing system **100** is stored in the database **128** in one or more relational database tables.

**[0051]** An example of one embodiment of a relational database table **150** for storing data acquired by the gas exchange testing system **100** is illustrated in FIG. 2. The table **150** contains a plurality of rows **152**, each of which represents data captured during a breath of the gas exchange test. Each of the rows **152** includes a key **154**, a test key **156**, a phase **158**, and measurement data **160**.

**[0052]** The key **154** is used by other tables in the database to reference a particular breath/row. The test key **156** is a foreign key to a test table (not shown) in the database **128**. Using the test key **156**, each breath/row is associated with a test. The phase **158** associates the breaths/rows of data for each patient and each test, including data associated with the flow/volume loop, with the appropriate phase (e.g., rest, exercise, or recovery) for use in feature extraction and classification.

**[0053]** Additionally, the table **150** includes multiple columns of measurement data **160**. In the example shown, the measurement data includes a breath count, end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>), VCO<sub>2</sub>, VO<sub>2</sub>, VT, Heart Rate, Respiratory Rate, and Barometric Pressure. In other embodiments, more, fewer, or different measurements are stored in each row.

**[0054]** FIG. 3 illustrates a schematic diagram of an embodiment of a pattern recognition system **180** that is included in some embodiments of the gas exchange testing system **100**. The pattern recognition system **180** includes a data gathering engine **182**, a feature extraction engine **184**, a classification engine **186**, and a user interface engine **188**.

**[0055]** The data gathering engine **182** operates to receive physiological measurements and other observations from the gas exchange measurement device **134**. In some embodiments, the data gathering engine **182** receives measurements or observations from other sources as well. As an example, FVC, FEV1, and IC could be measured on a separate spirometer, manually entered, and stored in the database **128**. Similarly, the presence or absence of periodic breathing could be determined by physician observation, manually entered, and stored in the database **128**. In some embodiments, the data gathering engine **182** processes the measurements and observations and stores them in the database **128**. The feature extraction engine **184** operates to compute numeric information from the measurements and observations. The classification engine **186** operates to classify or describe the measurements or observations based on the

extracted features. The user interface engine **188** operates to generate a user interface to convey information to and receive information from a user.

**[0056]** FIG. 4 illustrates an example test protocol **210** for determining the likely cause or causes of dyspnea for use with some embodiments of the gas exchange testing system **100**. The test protocol includes an FVL phase **212**, a rest phase **214**, an incremental exercise phase **216**, and a recovery phase **218**. In some embodiments, the data gathering engine **182** captures data during one or more of these phases and the feature extraction engine **184** and the classification engine **186** process the data to classify the functional status of patients with dyspnea and to identify the likelihood of the primary and secondary causes of dyspnea.

**[0057]** In the protocol **210**, the FVL phase **212** is performed first. During the FVL phase **212**, the patient performs an FVL spirometry test.

**[0058]** FIG. 5 illustrates the FVL phase and shows example data gathered during the FVL phase **212**. During operation **230** of the FVL phase **212**, the patient is asked to breathe slowly into the system pneumotach while seated until a stable breathing pattern is observed. Next, at operation **232**, the patient breathes in as deeply as possible. Next, at operation **234**, the patient blows out as hard and as long as possible. The patient is then asked to breathe normally again.

**[0059]** Returning to FIG. 4, the FVL phase **212** is followed by the rest phase **214**. During the rest phase **214**, gas exchange measurements are collected by the data gathering engine **182** while the patient rests. In some embodiments, the gas exchange measurements are used for determination of Periodic Breathing (PB), Heart Rate variability (HRV), and/or breathing frequency characterized by Poincare plots and/or electrocardiograph ST segment analysis. In other embodiments, the gas exchange measurements are used for other purposes as well. Although shown as lasting two minutes, in some embodiments the rest phase **214** lasts for a longer or shorter time.

**[0060]** During the exercise phase **216**, the patient performs submaximal or maximal exercise while gas exchange measurements are captured by the data gathering engine **182**. In some embodiments, the patient incrementally increases the intensity of exercise throughout the exercise phase **216**. In some embodiments, the exercise phase **216** has a fixed duration. Although shown as lasting three minutes, in some embodiments the exercise phase **216** lasts for a longer or shorter time.

**[0061]** Additionally, in some embodiments, the exercise phase continues until the patient achieves a predetermined target metric. For example, in some embodiments, the target metric is a heart rate equal to or greater than 60-90% of the age-predicted max heart rate. In other embodiments, the target metric is a respiratory exchange rate (RER) greater than or equal to 0.90. In other embodiments, other target metrics are used as well. In some embodiments, the gas exchange testing system **100** indicates (e.g., audibly or visually) that the predetermined target metric is achieved.

**[0062]** During the recovery phase **218**, the patient rests again while the data gathering engine **182** collects gas exchange measurements. In some embodiments, the gas exchange measurements captured during the recovery phase **218** are used to determine heart rate and oxygen consumption (VO<sub>2</sub>) recovery values. In other embodiments, the gas exchange measurements captured during the recovery phase

**218** are used for other purposes as well. Although shown as lasting for one minute, in some embodiments, the recovery phase **218** lasts for a longer or shorter time.

**[0063]** The protocol **210** is merely an example. In other embodiments, other protocols are used that include fewer, more, or different phases. Additionally, in some embodiments, the FVL phase **212** is combined with the rest phase **214**. Other embodiments are possible as well.

**[0064]** In some embodiments, the general class of data utilized is cardiopulmonary exercise gas exchange measurements obtained 1) at rest, 2) during physical exercise testing performed in accordance with a standardized workload protocol as the forcing function to elicit physiologic changes resulting from the workload, and 3) during a short recovery period following exercise termination. The data measured during exercise quantifies how an individual is able to function in the physical world in terms of the physiologic changes that the individual experiences when engaged in the performance of daily physical work.

**[0065]** In addition, in some embodiments, a flow/volume loop is performed prior to the start of the collection of gas exchange measurements. The measurements indicated in FIG. 4 are made using the same pneumotach used by the CPX using software that collects continuously transmitted samples of respiratory flow as measured by the system differential pressure transducer at the rate of 200 samples/second.

**[0066]** In some embodiments, the physiologic changes that result from an increased exercise load are measured using a CPX system to measure selected variables associated with oxygen consumption ( $\text{VO}_2$ ), carbon dioxide production ( $\text{VCO}_2$ ), end-tidal  $\text{CO}_2$  ( $\text{ETCO}_2$ ), ventilation (VE), heart rate (HR), and oxygen saturation ( $\text{SaO}_2$ ). In other embodiments, more, fewer, or different variables are captured as well.

**[0067]** Additionally, in at least some embodiments, one or more of resting data and exercise data are analyzed to determine some or all of the following additional variables:

**[0068]** Periodic breathing (PB): Periodic breathing and exercise-induced oscillatory ventilation refer to repetitive abnormal cycles of increasing and decreasing ventilation (tidal volume or minute ventilation) during rest or exercise respectively. In some embodiments, periodic breathing is represented as a binary variable, indicating whether periodic breathing was observed. In some embodiments, this variable is set by a physician through the user interface of the system. In other embodiments, this variable is quantified using computer software in terms of analysis of the amplitude and period of oscillation measured. For example, at least some embodiments use one or more of peak detection algorithms, sine wave fitting algorithms, and Fourier analysis to identify periodic breathing.

**[0069]** Heart Rate Variability (HRV): In some embodiment, heart rate variability is represented as a numeric value corresponding to the variation in the time interval between heartbeats. A decrease in the HRV indicates abnormal balance of the patient or subject being tested. It is measured by the variation in the beat-to-beat interval as recorded by the DR2 system electrocardiogram during rest, exercise, and recovery.

**[0070]** Respiratory Rate Variability (RRV): In some embodiments, breathing frequency is plotted in a Poincare plot or return map to quantify self-similarity in the periodic breath functions. In some embodiments, the breathing inter-

val for each breath,  $\text{BI}(n)$ , is plotted against the breathing interval for the breath that follows it,  $\text{BI}(n+1)$ . The breathing interval is defined as expiratory time plus inspiratory time. In some embodiments, the shape of this plot is analyzed to determine whether variability in respiratory rate or breathing frequency is present. In at least some embodiments, the plot is displayed on a display device and a user enters a value indicating the presence or absence of respiratory rate variability through the user interface. In other embodiments, the presence, absence, or magnitude of respiratory rate variability is determined using computer software. For example, in at least some embodiments, an ellipse is fit to the breaths using ellipse fitting techniques. In some embodiments, properties of the ellipse, such as eccentricity are used to identify and quantify respiratory rate variability. In at least some embodiments, one of the purposes of such a display is to determine patient activity and/or inefficiency of breathing work relative to the patient's disease status. Such data may provide non-invasive insight as to the accumulation of fluid in the HF patient with acute decompensation.

**[0071]** ST segment analysis: In at least some embodiments, the detection of coronary artery disease, as a subset of the heart disease silo, can be identified as the possible cause of dyspnea. In some embodiments, simultaneous monitoring of a 12 channel electrocardiograph (ECG) can provide real-time evidence of electrocardiographic changes indicative of ischemia, as indicated by significant ST depression of 2 mm or greater in one or multiple precordial or limb leads. In combination with alterations in gas exchange measurements using time alignment graphics, ischemia detection would be enhanced with the addition of select parameters such as (1) a significant downward slope shift in the O2P profile pattern, relative to increasing  $\text{VO}_2$  or work, (2) a low ventilatory threshold (VT) point less than 40% predicted, (3) a decrease in the  $\text{ETCO}_2$  profile (4) flattening or attenuation of the pulmonary capacitance pattern and (5) a rise in the V/Q ratio. In some embodiments, a real-time plot of the ST segment changes with select gas exchange variable would assist in the alignment of ischemia onset with functional cardiopulmonary changes in order to mark the onset of left ventricular dysfunction due to the mismatching of blood flow demands of the heart with coronary perfusion.

**[0072]** In some embodiments, some or all of the data captured during the protocol **210** is stored in the database **128**.

**[0073]** FIG. 6 is a flowchart illustrating an example method **250** of operating the feature extraction engine **184** and the classification engine **186**. In this example, the method **250** includes operations **252**, **254**, **256**, **258**, and **260**.

**[0074]** At operation **252**, physiological measurements are obtained. In some embodiments, the physiologic measurements are obtained from the database **128**. In other embodiments, the physiological measurements are obtained directly from the data gathering engine **182**. Yet other embodiments are possible as well.

**[0075]** At operation **254**, physiological values are calculated based on the physiological measurements. In some embodiments, the physiological values are based on one or more of the physiological measurements. Additionally, in some embodiments, at least some of the physiological values are calculated based on values that are entered into the user interface by a user. Examples of the physiological values that are calculated in some embodiments are illustrated and described in greater detail with respect to FIG. 10.

[0076] At operation 256, silo scores are calculated for each of the physiological values relating to one or more silos. In some embodiments, silos are predefined in terms of specific disease classes or conditions. For example, in some embodiments, silos are predefined for one or more of Deconditioned, Obesity, Heart Disease, Pulmonary Vascular or Pulmonary Arterial Hypertension and Pulmonary Venous Hypertension, Obstructive Lung Disease, and Restrictive Lung Disease. Each silo is associated with a specific set of calculated physiological values calculated in operation 254. For each of the silos, the associated physiological values are evaluated to calculate a silo score for that physiological value. For example, in some embodiments, a silo score from 0 to 3 is calculated for each physiological value, where 0 represents none, 1 represents slight, 2 represents moderate, and 3 represents strong. In some embodiments, the silo scores are calculated by comparing the calculated physiological value to predetermined thresholds. Examples of some embodiments of the predetermined threshold values are illustrated and described in greater detail with respect to FIG. 11.

[0077] At operation 258, a contribution score is calculated for each of the silos. In some embodiments, the contribution score indicates the likelihood that the disease or condition associated with the silo contributes to the dyspnea. In some embodiments, the contribution score for a silo is calculated by averaging the silo scores for all of the physiological values associated with the silo. In some embodiments, the contribution score is based on a weighted average of the silo scores of the associated physiological values. In some embodiments, the silo scores are weighted equally. In some embodiments, the contribution value for each silo represents the strength of the evidence that the particular disease or condition is suspected. In some embodiments, the contribution value for each silo is a numeric value from 0 to 3, where 0 represents none, 1 represents slight, 2 represents moderate, and 3 represents strong.

[0078] At operation 260, the silos are displayed on the user interface. In some embodiments, the silos are displayed as vertical columns, where the height of the column is associated with the contribution score for the silo. An example embodiment of a user interface for displaying disease silos is illustrated and described in greater detail with respect to FIG. 8. In some embodiments, the user interface also includes a pop-up information button associated with each of the silos that when clicked will display a pop-up information window of the measured values and scores of each of the constituent physiological values of the silo. An example embodiment of the pop-up information window is illustrated and described in greater detail with respect to FIG. 9.

[0079] FIG. 7 is a flowchart illustrating another example method 270 of operating the feature extraction engine 184 and the classification engine 186. The method 270 includes operation 272, 274, and 278.

[0080] At operation 272, the variables are assigned to silos. FIG. 10 illustrates a mapping of individual variables that are computed using the raw variables stored in the database 128 for each patient for each test that used in operation 274.

[0081] At operation 274, the strength of evidence for each variable is measured. The table in FIG. 11 illustrates example ranges of values used for each variable to determine the likelihood of contribution of that variable to

dyspnea. Included in this example table are cut-off points for each variable to determine the likelihood on a scale from 0-3; 0 represents None, 1 represents Slight, 2 represents Moderate, 3 represents Strong. In some embodiments, the example ranges are selected basis of a user or administrator of the gas exchange testing system 100. In some embodiments, the cut-off points are based upon assumptions of worse disease status of the variables mapped for each silo in relation to those values associated with normal individuals, taking also into account age, gender and in some cases Body Mass Index (BMI). In at least some embodiments, the table in FIG. 11 is stored as a configuration file so that the cut-off points can be adjusted to reflect improvements identified by clinical testing of the DR2 system or allow customization.

[0082] At operation 276, the strength of evidence for the individual silos is measured. The vertical value of each silo is determined by the choice of variables for the silo in operation 272 and the likelihood computations in operation 274. The numerical value for each variable is determined in operation 274 for all of the variables mapped to the silo in operation 272, summed, and then divided by the number of variables mapped. This produces a value of 0-3, which correlates to the vertical axis labeling in FIG. 8.

[0083] In at least some other embodiments, classification is performed using various machine learning and data classification techniques. For example, in at least some embodiments, a classifier is trained for one or more of the chronic disease silos based on measurements captured from both affected and unaffected patients. In at least some embodiments, the classifiers are Bayesian classifiers. In at least some other embodiments, the classifiers are support vector machines. Yet other embodiments of the classifiers are possible as well.

[0084] FIG. 8 illustrates an example user interface 300 of the gas exchange testing system 100. The user interface 300 includes a disease severity slider 302 and a contribution graph 304. In some embodiments, the user interface 300 is generated by the user interface engine 188.

[0085] The disease severity slider 302 indicates the overall severity of the condition of the patient. In some embodiments, the overall severity of the condition is calculated based on data gathered by the data gathering engine 182.

[0086] The contribution graph 304 includes one or more silos 306 and one or more pop-up information buttons 308. The silos 306 correspond to the contribution of a particular disease or condition to dyspnea of the patient. In the example shown, there are silos for Deconditioned, Obese, Heart, Pulmonary Vascular Disease, Obstructive Lung Disease, and Restrictive Lung Disease. In some embodiments, the height of the silos is associated with the degree of contribution to dyspnea calculated for the disease or condition. In some embodiments, each of the pop-up information buttons 308 is associated with one of the silos. In some embodiments, the pop-up information buttons 308 are configured to display the measured values and scores of each of the constituent physiological values of the associated silo.

[0087] FIG. 9 is another illustration of the example user interface 300 of the gas exchange testing system 100. In this example, pop-up information window 330 and pop-up information window 332 are displayed. The pop-up information window 330 displays details used in calculating the contribution of cardiac disease to dyspnea in the patient test data that is shown. Similarly, the pop-up information window 332 displays details used in calculating the contribution of

pulmonary arterial hypertension to dyspnea in the patient test data that is shown. Both the pop-up information window 330 and the pop-up information window 332 display the calculated physiological values associated with the silo, the silo scores computed for each of those physiological values, and the limits used to calculate the silo scores. Additionally, both the pop-up information window 330 and the pop-up information window 332 display the contribution value calculated for the silo. In other embodiments, the pop-up information windows include more, less, or different information. For example, in some embodiments, the PAH Silo Report includes or is based on a score generated according to the techniques illustrated and described below with respect to FIGS. 17-19.

[0088] FIG. 10 illustrates an example table 350 that is used in some embodiments of the gas exchange testing system 100 to associate various physiological values with silos. The physiological values are listed in the column 352. The silos are listed in the row 354. In some embodiments, an “X” in table 350 indicates that the gas exchange testing system 100 considers the physiological value listed in column 352 when calculating the contribution score of the silo listed in row 354. Some embodiments include more, fewer, or different silos or physiological values. Further, some embodiments use other techniques to organize and store the data that associates physiological values with silos.

[0089] FIG. 11 illustrates an example table 380 that is used in some embodiments of the gas exchange testing system 100 to calculate silo scores for various physiological values. The table comprises a plurality of rows 382. Each of the rows 382 corresponds to a physiological value. Each row includes a description column 384, a low cut-off value column 386, a medium cut-off value column 388, a high cut-off value column 390, and a silo column 392. The description column 384 stores a description of the physiological value. The low cut-off value column 386, medium cut-off value column 388, and the high cut-off value column 390 store cut-off values that are used in calculating the silo scores for the silo specified in the silo column 392. In some embodiments, the cut-off values are numeric values. In other embodiments, the cut-off values are binary values or other types of values.

[0090] In some embodiments, the silo score is calculated by comparing the calculated physiological value for a patient to the cut-off values. If the calculated physiological value for the patient is outside (i.e., greater than or less than, depending on the physiological value) the low cut-off value, a silo score of 0 is assigned. If the calculated physiological value for the patient is between the low cut-off value and the medium cut-off value, a silo score of 1 is assigned. If the calculated physiological value for the patient is between the medium cut-off value and the high cut-off value, a silo score of 2 is assigned. If the calculated physiological value for the patient is outside (i.e., greater than or less than, depending on the physiological value) the high cut-off value, a silo score of 3 is assigned.

[0091] FIG. 12 illustrates another user interface 410 of the gas exchange testing system 100. The user interface 410 includes the disease severity slider 302 and the contribution graph 304. The contribution graph 304 includes one or more silos 412 and one or more pop-up information buttons 414. The silos 412 are similar to the silos 306, except that the Pulmonary Vascular Disease silo is split into Pulmonary Arterial Hypertension and Pulmonary Venous Hypertension

silos. In some embodiments, the Pulmonary Arterial Hypertension silo score is generated according to the techniques illustrated and described below with respect to FIGS. 17-19. Further, in some embodiments, the Pulmonary Venous Disease silo is split into Pulmonary Arterial Hypertension and Pulmonary Venous Hypertension based on a determining the likelihood of PAH as is discussed further below. The pop-up information buttons 414 are similar to the pop-up information buttons 308, except that they correspond to the silos 412.

[0092] In some embodiments, the disease silos are split or subdivided into multiple silos representing subclasses of the main disease silo. For example, some embodiments include a disease silo titled “Lung Disease” and two subclass silos, Obstructive Lung Disease and Restrictive Lung Disease. Other embodiments are possible as well.

[0093] In some embodiments, the user interface 410 is configured to split certain main disease silos by default. In other embodiments, a main disease silo is split only when its contribution value exceeds a predefined threshold. Further, in some embodiments, a main disease silo is split based on user input (e.g., clicking on a “split” button). Other embodiments are possible as well.

[0094] As clinical research advances, further “silo splitting” can be implemented. For example, the Pulmonary Arterial Hypertension silo could be further split between WHO 1 and WHO 2/3 PH based on various gas exchange variables. For example, some embodiments use separate indexes that relate heart failure and pulmonary hypertension as Cartesian coordinates to differentiate between WHO 1 and WHO 2/3 PH patients. Some embodiments may incorporate a PAH likelihood score as illustrated and described below with respect to FIGS. 17-19 in differentiating between WHO 1 and WHO 2/3 patients.

[0095] Additionally, in some embodiments, the heart silo is split into systolic heart disease and diastolic heart disease. Systolic and diastolic heart disease have different etiologies. Systolic disease is caused by poor cardiac perfusion or CAD (coronary artery disease) or reduced stroke volume due to an insufficient or stenotic heart valve or valves. In contrast, diastolic disease is caused by the effects of undetected or ineffectively treated systemic hypertension which increases cardiac afterload and stiffens the left ventricle, reducing filling during the relaxation of the heart’s cycle, thus reducing output or stroke volume per beat. Increased ventricular stiffness can also impede coronary blood flow.

[0096] The ejection fraction (EF) of the left ventricle is the percent of blood ejected relative to the total amount of blood in the ventricle at the end of the diastolic filling phase. EF is typically less the 45% in systolic heart disease. In contrast, with diastolic heart disease, EF can be normal. This is referred to as heart disease or heart failure with preserved ejection fraction. EF is typically measured in the clinic or hospital setting at rest by echocardiographic technique or by imaging in the cardiac catheterization lab. Very seldom is its increase with exercise evaluated.

[0097] In contrast, exercise gas exchange provides a dynamic picture of cardiopulmonary changes during exertion with substantially greater volumes of blood passing through the heart (volume load) during each cardiac cycle. It is known that the EF increases from its resting values during exercise and usually plateaus at the anaerobic threshold, a point during exercise detected by changes in gas exchange variables. A low oxygen pulse (O2P) at this point

relative to oxygen uptake or other gas exchange variables can be descriptive of systolic heart disease, with a continued flattening or decrease of its pattern with further cardiac exercise burden.

**[0098]** Systolic heart disease or CAD can show electrocardiographic changes using classical twelve channel ECG ST segment analysis indicative of cardiac ischemia in certain locations of the heart which occur due to inadequate perfusion during incremental exertion. Both systolic and diastolic forms of heart disease are the main causes of heart failure and share similar fates. Both types of heart disease reduce breathing efficiency and alter/blunt the end-tidal CO<sub>2</sub> (ETCO<sub>2</sub>) response during exercise. Systolic heart disease or CAD also reduces the contractile motion of the heart and can be detected by abnormal profiles of the O2P relative to oxygen.

**[0099]** In comparison, diastolic dysfunction is likely depicted by lower peak attained O2P but normal patterns descriptive of normal contractile function. Detailed analysis of the O2P pattern during exercise using multiple descriptors of the O2P profile, in conjunction with the MPIph metric is believed to differentiate systolic from diastolic heart disease. It is also believed that the initial filling of the stiff ventricle with diastolic function causes a delay in the increase of the O2P and ETCO<sub>2</sub> rise. Likewise, in diastolic heart disease, the O2P may be less apt to become flat during exercise (as observed with cardiac ischemia onset), with a threshold being reached in ventricular distensibility and filling. It is also likely that the O2P briefly rises during recovery with a reduction in left ventricular afterload, more so in diastolic vs. systolic disease. A heart with diastolic dysfunction may also demonstrate a slower rate of decrease or decay kinetics during recovery, as compared to a heart with systolic disease.

**[0100]** Additionally, in some embodiments, the heart silo is further split into an additional silo representing Acute Decompensated Heart Failure (ADHF). The ADHF silo can be based on a plurality of physiological measurements. For example, the silo score for the ADHF silo can be based on at least two physiological parameters selected from the group of physiological parameters consisting of: periodic breathing, spirometry measurements such as FVC or FEV1, ventilatory efficiency, end-tidal CO<sub>2</sub>, V/Q ratio, pulmonary capacitance, PetCO<sub>2</sub>/RR, and respiratory rate (RR). In embodiments of the system that are connected over a network for remote monitoring or home-based monitoring (such as in FIG. 16), variables associated with ADHF can be used as previously defined to indicate the likelihood of dyspnea caused by the decompensation process.

#### DR2 Plots

**[0101]** As described previously, FIG. 8 illustrates one method for displaying the disease silos. As was also described previously, FIG. 12 illustrates how the method can be extended to subclasses of disease. In this example, the Pulmonary Vascular Disease silo is split into separate silos for Pulmonary Arterial Hypertension (WHO 1) and Pulmonary Venous Hypertension (WHO 2). In some embodiments, subclass differentiation employs a multi-tiered approach utilizing one or more of (1) mean ranges for select gas exchange variables that have proven to be significantly different between WHO 1 and WHO 2, (2) supportive likelihood data from other disease silos to confirm whether cardiac or lung disease is present, and (3) quadrant graph

analysis using an MVI vs MPIph plot (FIG. 13). In some embodiments, the plots shown in FIGS. 12 and 13 are generated when a PAH likelihood score as illustrated and described below with respect to FIGS. 17-19 exceeds a particular threshold.

**[0102]** FIG. 13 illustrates an example graph 450 of some embodiments of the gas exchange testing system 100. The graph 450 includes a WHO 1 region 452, a WHO 2 & 3 region 454, and a normal region 456. Also displayed is an indicator 458 that indicates the position of a test of the patient on the graph 450.

**[0103]** The WHO 1 region 452 indicates a region of the graph 450 that is associated with individuals with WHO 1 PH. The WHO 2 & 3 region 454 indicates a region of the graph 450 that is associated with individuals with WHO 2 & 3 PH. The normal region 456 indicates a region of the graph 450 that is associated with normal, disease-free individuals.

**[0104]** In some embodiments, after a patient test is completed, the indicator 458 is plotted on the graph 450 at a location corresponding to the MVI (horizontal) and MPIph (vertical) calculated during the test. In other embodiments, MVI may be plotted on the vertical axis and MPIph may be plotted on the horizontal axis. In FIG. 13, the indicator 458 is located in a region associated with either WHO 1 or WHO 2/3. In this case, the other differentiation tiers are utilized to make the differentiation.

**[0105]** For example, if the likelihood of cardiac disease is moderate to high, secondary PH or WHO 2 is likely present, as compared to WHO 1 without left ventricular dysfunction being present. Similarly, if the flow volume loop performed during FVL phase 212 is supportive of COPD being present, there is increased likelihood that type of PH is relative to WHO class 3 or secondary to lung disease. In addition, the DR2 data would show a reduced or minimal/absence of any cardiac disease being present.

**[0106]** In some embodiments, in order to be consistent with the scaling utilized for MVI (<1 normal, 1 to 4 range of increasing disease severity), the MPIph is scaled to map to the same range of numeric values as the MVI score. For example, in some embodiments, when used for the DR2 system the MPIph value is inverted and normalized calculated using the following formula: inverted and normalized MPIph=(-1\*MPIph)/8.

**[0107]** In some embodiments, the regions are defined based on expert knowledge and are configurable. For example, in some embodiments, the dimensions of the regions are derived from either visual observation or statistical determination of data from retrospective analysis of tests on patients with classified disease(s). In some embodiments, the dimensions are stored in a configuration file to enable customization and refinement over time. In other embodiments, the regions are defined using machine learning techniques that analyze a set of training data (e.g., example data from individuals from each of the groups).

#### Therapy Tracking Using MVI vs MPIph Plot

**[0108]** FIG. 14 is another illustration of the example graph 450 of some embodiments of the gas exchange testing system 100. In FIG. 14, the DR2 system is used to observe whether therapy chosen for a patient is producing an improvement or is worsening by observing where the MVI and MPIph (or the inverted and normalized MPIph) from a new test is placed in relation to the preceding test (FIG. 13). If the condition is improving, reductions in either will result

in placing a second indicator **460** closer to the normal cluster in the lower left quadrant of the MVI vs MPIph (or the inverted and normalized MPIph) plot. If the patient's condition is worsening, the second indicator **462** will be placed upward and/or to the right on the same plot. In some embodiments, the second indicator is colored green if the patient's condition is improved, and it is colored red if the condition is worsened.

#### Therapy Tracking Using DR2 Disease Silos

[0109] Referring now to FIG. 15, a user interface **490** of some embodiments of the gas exchange testing system **100** is illustrated. The user interface **490** is similar to the user interface **410** (shown in FIG. 12), except that it includes therapy tracking information. In some embodiments, the vertical columns representing the silos for each of the diseases or conditions are split into two columns, each having a width of one half of the width of the column of the original test. The black column represents the values determined in the test previous to the current test. The second half of each of the vertical columns is the value for each silo determined in the current test. In some embodiments, the second half is green if improved (e.g., a lower contribution score than in the original test), and red if worsened (e.g., a higher contribution value than that in the original test). In some embodiments, the columns representing the silos have different colors. Additionally, in some embodiments, the therapy tracking includes more than one prior test to enable serial assessment of the patient.

[0110] In this manner, all tests can be easily reviewed by the physician, and for those tests that point to Pulmonary Hypertension, primary or secondary, the physician can quickly classify the type of PH.

#### Therapy Tracking Using a Multivariate Index that Includes Periodic Breathing

[0111] In some embodiments, periodic breathing can be included as a component of an index score that is also based on various other physiological measurements. An index score IS that is calculated by some embodiments of the gas exchange testing system **100** is shown:

$$IS = (W1*IVI1 + W2*IVI2 + \dots + Wn*IVIn + Wpb*IVIpb) / (n+1) \quad (1)$$

[0112] Where

[0113] IVI1-IVIn represents Individual Variable Indexes (IVIs) for n number of measured physiological parameters;

[0114] IVIpb represents the Individual Variable Index for periodic breathing;

[0115] W1-Wn represents the weighting factors for then number of Individual Variable Indexes; and

[0116] Wpb represents the weighting factor for the periodic breathing Individual Variable Index.

[0117] In some embodiments, the Individual Variable Index for periodic breathing (IVIpb) is calculated as shown below:

$$IVIpb = ((1 + ((PBmeas - PBnv) / PBd)) * -1) + 1, \quad (2)$$

[0118] Where

[0119] PBmeas represents the value for periodic breathing measured or entered;

[0120] PBnv is the normal value for periodic breathing; and

[0121] PBd is the delta for periodic breathing.

[0122] The numeric value for PBmeas may be determined quantitatively or qualitatively. For example, the numeric value for PBmeas may be based on the measured amplitude or period of the periodic breathing in the breath data. These values may be determined using computational analysis of the breath data or by manual inspection. Alternatively, PBmeas may be a qualitative score entered by a caregiver based on a visual inspection of the breath data. In at least some embodiments, PBmeas is a binary value of 0 or 1 indicating the absence or presence, respectively, of periodic breathing.

[0123] In at least some embodiments, PBd and PBnv are set based on historical data. PBnv may be set to the standard deviation of a sample of data. Alternatively, PBnv is set to a value indicating the absence of periodic breathing (e.g., 0), while PBnv is set 1. Other embodiments are possible.

[0124] Further, equations (1) and (2) are examples that are used in some embodiments. Other embodiments use other equations as well.

[0125] Over the course of therapy, the index score (IS) may be recalculated multiple times to monitor disease progression and evaluate the efficacy of various treatments. The multiple scores may be stored in a database at the time of evaluation. The scores can then be retrieved and displayed on a user interface of the gas exchange testing system **100**.

[0126] Referring now to FIG. 16, an exemplary networked gas exchange testing system **600** is illustrated. The networked gas exchange testing system **600** can be used for remote testing and monitoring of patients. For example, the networked gas exchange testing system **600** can be used in non-clinical environments, such as at the patient's home. The networked gas exchange testing system **600** can also be used in clinical environments. In this example, the networked gas exchange testing system **600** includes the gas exchange testing system **100**, network **602**, and server **604**. In some embodiments, multiple gas exchange testing systems communicate with a single server. Alternatively or additionally, a single gas exchange testing system is configured to communicate with more than one server.

[0127] In some embodiments, the gas exchange testing system **100** is configured to send data associated with gas exchange tests (such as measurements of physiological parameters, index scores, etc.) to the server **604** over the network **602**.

[0128] The network **602** is an electronic communication network that facilitates communication between the gas exchange testing system **100** and the server **604**. An electronic communication network is a set of computing devices and links between the computing devices. The computing devices in the network use the links to enable communication among the computing devices in the network. The network **602** can include routers, switches, mobile access points, bridges, hubs, intrusion detection devices, storage devices, standalone server devices, blade server devices, sensors, desktop computers, firewall devices, laptop computers, handheld computers, mobile telephones, and other types of computing devices.

[0129] In various embodiments, the network **602** includes various types of links. For example, the network **602** can include wired and/or wireless links, including Bluetooth, ultra-wideband (UWB), any type of 802.11, ZigBee, and other types of wireless links. Furthermore, in various embodiments, the network **602** is implemented at various

scales. For example, the network **602** can be implemented as one or more local area networks (LANs), metropolitan area networks, subnets, wide area networks (such as the Internet), or can be implemented at another scale.

[0130] The server **604** comprises one or more computing devices. Various embodiments of computing devices have been described above. Further, in some embodiments, the server **604** comprises a single server or a bank of servers. In another example, the server **604** can be a distributed network server, commonly referred to as a “cloud” server.

[0131] In some embodiments, the server **604** operates to receive data such as test results and physiological measurements from the gas exchange testing system **100**. The server **604** can then process the data and store it in one or more of a database or electronic medical records system. In some embodiments, the server **604** includes a pattern recognition system such as the pattern recognition system **180**.

[0132] In some embodiments, the server **604** generates user interfaces, such as with the user interface engine **188**, and transmits those user interfaces for display remotely. For example, the server **604** may generate a web page comprising a user interface containing test data transmitted from the gas exchange testing system **100**. The web page may then be transmitted to a computing device (e.g., a smartphone, personal computer, or tablet) of the patient or a caregiver.

[0133] Additionally, in some embodiments, the gas exchange testing system **100** communicates with a cellular phone or other network-connected computing device to access the network **602**. For example, the gas exchange testing system **100** may transmit data to the server **604** via communication with a cell phone using Bluetooth. Other embodiments are possible as well.

[0134] Referring now to FIG. 17, in another embodiment, a ventilatory threshold (VT) for a patient is used to estimate a likelihood that the patient exhibits pulmonary arterial hypertension (PAH).

[0135] In this example, the VT is calculated using an example method **700**. The VT refers to the point during exercise at which ventilation starts to increase at a faster rate than VO<sub>2</sub>. An individual's threshold is said to reflect levels of anaerobiosis and lactate accumulation. In some embodiments, a gas exchange testing system guides a patient and caregiver through the performance of a submaximal test during which breath-by-breath gas exchange data is captured. The gas exchange testing system may cause instructions to be displayed that instruct the patient to perform exercise at a target level or to slowly increase the intensity of exercise.

[0136] At step **702** of the method **700**, points representing a multi-breath average of minute ventilation (VE) versus VO<sub>2</sub> are plotted for a series of breaths. For example, the multi-breath average VE and multi-breath average VO<sub>2</sub> can be calculated for a plurality of breaths captured sequentially by the gas exchange testing system **100**. The plurality of breaths contains a predetermined number of breaths such as eight breaths, although other numbers of breaths can be used. In some embodiments, the VE and VO<sub>2</sub> values for the breaths are determined using the gas exchange testing system **100**. Although this example is described in terms of a plot with points based on multi-breath averages, some embodiments include a plot that includes points based on single breaths instead.

[0137] Next, at operation **704**, a line is fit to a middle portion of the points plotted in step **702**. For example, the

middle portion may be identified by selecting points having a VO<sub>2</sub> value between a lower threshold value and an upper threshold value. In some embodiments, the lower threshold value is 25% of the peak VO<sub>2</sub> and the upper threshold value is 75% of the peak VO<sub>2</sub>. However, other embodiments select the middle portion based on other upper and lower threshold values (e.g., 20-80%, 30-70%, 40-60%, 40-75%). Although alternatives are possible, least squares linear regression is used to fit a line to the middle portion of the plotted points in this example. A first slope is then determined as the slope of the fitted line.

[0138] At operation **706**, each point is examined starting above the middle portion (i.e., points having a VO<sub>2</sub> value exceeding the upper threshold value used in operation **704** are examined in order of increasing VO<sub>2</sub> starting from the point having the lowest VO<sub>2</sub>), until the measured ventilation (VE) exceeds the fitted line by a set amount (i.e., a deviation threshold), such as 10 percent. Other values can be used for the deviation threshold such as values selected from the range 8-12%, 5-15%, 10-20%, or other ranges.

[0139] A determination whether or not such a point exists is made in operation **708**. If so, control is passed to operation **710**. Otherwise, control is passed to operation **718**.

[0140] In operation **710**, if such a point exists, a second line is defined between the located point and the point of peak VO<sub>2</sub>. Additionally, a second slope is determined from the second line.

[0141] Next, at operation **712**, the second slope is compared to the first slope. If the second slope is equal to or greater than a predetermined multiple of the first slope, then control is passed to operation **714**. Although alternatives are possible, the predetermined multiple is 1.5 in at least some embodiments. Otherwise, if the second slope does not equal or exceed the predetermined multiple of the first slope, control is passed to operation **718**.

[0142] At operation **714**, an intersection of the two lines is determined.

[0143] Next, at operation **716**, the VT is calculated as the greater of the VO<sub>2</sub> value at the intersection found in operation **714** or the VO<sub>2</sub> of the point found in operation **708**.

[0144] As noted, if no point is located in operation **708** or the second slope is not greater than the predetermined multiple of the first slope as noted in operation **712**, control is passed to operation **718**. In this instance, no VT has been found. Instead, the PetCO<sub>2</sub> and minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) at peak VO<sub>2</sub> is used.

[0145] An example plot **800** showing VO<sub>2</sub> versus VE is shown in FIG. 18. In this example, the VT is the point **802** indicated by the vertical line, as found at operation **708** of the method **700** described above. This is one example of such an analysis.

[0146] The process of method **700** can be automated so that the computing device **112** is programmed to perform the steps of the method **700** in a semi- or fully-automated manner. This allows the computing device **112** to calculate the VT automatically with little or no input from the caregiver.

[0147] Once the VT is calculated, the parameter can be used to evaluate the likelihood of PAH for the patient. In this example, the PetCO<sub>2</sub> and VE/VCO<sub>2</sub> are used at the VT to

estimate the PAH. In some embodiments, additional or different physiological parameters are evaluated to estimate PAH.

**[0148]** In some embodiments, the gas exchange testing system may cause additional instructions to be displayed to the patient or caregiver based on the calculated VT value and how the VT value was calculated (e.g., whether a point was located at operation **708**). The instructions may indicate that the test should continue for an additional duration of time, that the patient should increase exercise intensity. In some embodiments, the gas exchange testing system may also alter or stop a patient test based on the calculated VT value.

**[0149]** In some embodiments, physiological parameters are compared to one or more threshold values to determine one or more parameter scores. For example, the PetCO<sub>2</sub> at VT can be compared to one or more thresholds to determine a PetCO<sub>2</sub> parameter score and the VE/VCO<sub>2</sub> at VT can be compared to one or more thresholds to determine a VE/VCO<sub>2</sub> parameter score. These parameter scores can then be combined to estimate a likelihood of PAH.

**[0150]** In one specific example, the following pseudocode illustrates a process of estimating PAH likelihood based upon the VT determined using the method **700**.

#### Evaluate PetCO<sub>2</sub>

**[0151]** IF PetCO<sub>2</sub>atVT>=37 then PetCO<sub>2</sub>Score=0

**[0152]** IF PetCO<sub>2</sub>aNT between 30 and 37 PetCO<sub>2</sub>Score=1

**[0153]** IF PetCO<sub>2</sub>atVT between 20 and 30 PetCO<sub>2</sub>Score=2

**[0154]** Otherwise PetCO<sub>2</sub>Score=3.

#### Evaluate VE/VCO<sub>2</sub>atVT

**[0155]** IF VE/VCO<sub>2</sub>atVT<30 then VEVCO<sub>2</sub>Score=0

**[0156]** IF VE/VCO<sub>2</sub>atVT between 30 and 38 then VEVCO<sub>2</sub>Score=1

**[0157]** IF VE/VCO<sub>2</sub>atVT between 38 and 57 then VEVCO<sub>2</sub>Score=2

**[0158]** Otherwise PetCO<sub>2</sub>Score=3

#### Evaluate Total Score

TotalScore=PetCO<sub>2</sub>Score+VEVCO<sub>2</sub>Score

**[0159]** IF TotalScore<=1 then PAH Unlikely

**[0160]** IF TotalScore between 1 and 3 then Consider PAH

**[0161]** IF TotalScore between 3 and 5 then PAH likely

**[0162]** IF TotalScore>5 then PAH highly likely

**[0163]** In the pseudocode above, the PetCO<sub>2</sub>Score and VEVCO<sub>2</sub>Score are examples of PAH parameter scores. As noted, the TotalScore provides an indication of the likelihood that the patient suffers from PAH. In this example, the lower the TotalScore, the less likely that the patient has PAH. As the TotalScore increases, the likelihood of PAH also increases.

**[0164]** For example, as noted above, if the TotalScore is less than 3, PAH is unlikely. If the score is between 3 and 5, PAH is likely. And, if the TotalScore is greater than 5, PAH is very likely. These are example numbers only. Although the parameter scores are integer values in this example, the parameter scores are not so limited. For example, the parameter scores can be represented using floating point numbers that linearly increase between thresholds.

**[0165]** Other processes can also be used to identify a VT and then use that VT to evaluate PAH. In this example, the TotalScore is calculated by summing the PAH parameter

scores. Other embodiments calculate the TotalScore differently, for example, the parameters scores may be weighted before being summed.

**[0166]** Further, the process for estimating the likelihood of PAH can also be automated so that the computing device **112** is programmed to estimate the PAH in a semi- or fully-automated manner. For example, the computing device **112** can be programmed to take the VT value from the method **700** and make the comparisons to the PetCO<sub>2</sub> and VE/VCO<sub>2</sub> as noted and calculate a score. This score can be automatically reported by the computing device **112** to the caregiver in a report to assist the caregiver in estimating the likelihood that the patient suffers from PAH.

**[0167]** For example, one embodiment of a report **900** is shown in FIG. **20**. In this example, the report **900** provides the caregiver with a likelihood of PAH ("possibility this patient has PAH"). The report **900** also provides the measured and expected values of various parameters that were observed that lead to the recommendation regarding PAH. These example parameters include, without limitation: breathing efficiency (VE/VCO<sub>2</sub> slope); PetCO<sub>2</sub>; peak GxCap; resting SpO<sub>2</sub>; O<sub>2</sub> desaturation; peak attained O<sub>2</sub> pulse; peak attained VO<sub>2</sub>; peak attained VO<sub>2</sub> percentage; and extrapolated max VO<sub>2</sub>. This report **900** can both inform the caregiver of the likelihood of PAH as well as allow the caregiver to review the measured parameters to make an independent assessment if needed. Other configurations for the report are possible.

**[0168]** Non-limiting example embodiments include:

#### EXAMPLE 1

**[0169]** A gas exchange system, the system comprising: a flow sensor configured to sense a respiratory flow of a patient; an analyzer configured to determine a composition of at least a portion of the respiratory flow of the patient; a computing device configured to: receive gas exchange measurements based on breath-by-breath data captured by the flow sensor and the analyzer during a gas exchange test; plot minute ventilation against rate of oxygen uptake based on the breath-by-breath data; and estimate a ventilatory threshold based on the plot.

#### EXAMPLE 2

**[0170]** The gas exchange system of example 1, wherein the computing device includes an electronic circuit.

#### EXAMPLE 3

**[0171]** The gas exchange system of example 1, wherein the computing device is further configured to: calculate parameter scores based upon end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>) and minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) at the ventilatory threshold; estimate a likelihood that the patient has pulmonary arterial hypertension based on the parameter scores; and output the estimated likelihood.

#### EXAMPLE 4

**[0172]** The gas exchange system of example 3, wherein outputting the estimated likelihood includes generating a report that includes the estimated likelihood.



## EXAMPLE 5

[0173] The gas exchange system of example 4, wherein outputting the estimated likelihood further includes displaying the report on a user interface.

## EXAMPLE 6

[0174] The gas exchange system of example 3, wherein calculating the parameter scores includes calculating a PetCO<sub>2</sub> parameter score by comparing a PetCO<sub>2</sub> value at the ventilatory threshold to at least one predetermined threshold value.

## EXAMPLE 7

[0175] The gas exchange system of example 6, wherein calculating the parameter scores further includes calculating a VE/VCO<sub>2</sub> parameter score by comparing a VE/VCO<sub>2</sub> value at the ventilatory threshold to at least one predetermined threshold value.

## EXAMPLE 8

[0176] The gas exchange system of example 7, wherein estimating a likelihood that the patient has pulmonary arterial hypertension includes: combining the PetCO<sub>2</sub> parameter score and the VE/VCO<sub>2</sub> parameter score to generate a pulmonary arterial hypertension score; and comparing the pulmonary arterial hypertension score to at least one predetermined threshold value.

## EXAMPLE 9

[0177] The gas exchange system of example 8, wherein combining the PetCO<sub>2</sub> parameter score and the VE/VCO<sub>2</sub> parameter score includes summing the PetCO<sub>2</sub> parameter score and the VE/VCO<sub>2</sub> parameter score.

## EXAMPLE 10

[0178] The gas exchange system of example 1, wherein the computing device is configured to plot minute ventilation against rate of oxygen uptake by: grouping the breath-by-breath data into multi-breath groups, each multi-breath group containing data for a plurality of sequentially occurring breaths; generating a multi-breath average minute ventilation for each of the multi-breath groups by averaging minute ventilation values for each of the breaths therein; generating a multi-breath average rate of oxygen uptake for each of the multi-breath groups by averaging rate of oxygen uptake values for each of the breaths therein; and plotting a data point for each of the multi-breath groups based on the multi-breath average minute ventilation and the multi-breath average rate of oxygen uptake.

## EXAMPLE 11

[0179] The gas exchange system of example 10, wherein the computing device is configured to estimate a ventilatory threshold based on the plot by: identifying a middle portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to an upper threshold value; fitting a first line to the identified middle portion of the plot; identifying an upper portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to the upper threshold value; determining whether at least one multi-breath group

in the identified upper portion has a minute ventilation value that exceeds the first line by at least a deviation threshold; when determined that at least one multi-breath group in the identified upper portion has a minute ventilation value that exceeds the first line by at least the deviation threshold: identifying a breath group from the at least one multi-breath group exceeding the first line by the deviation threshold having the lowest rate of oxygen uptake; fitting a second line to the identified breath group and a breath group having a peak oxygen uptake value; determining an intersection point of the first line and the second line; and determining the ventilatory threshold as being the greater of the rate of oxygen uptake at the intersection point and the rate of oxygen uptake of the identified breath group.

## EXAMPLE 12

[0180] The gas exchange system of example 11, wherein the computing device is further configured to estimate a ventilatory threshold based on the plot by: when determined that the identified upper portion does not include at least one breath group that has a minute ventilation value that exceeds the first line by at least the deviation threshold: determining the ventilatory threshold as being a maximum rate of oxygen uptake in the breath-by-breath data.

## EXAMPLE 13

[0181] The gas exchange system of example 11, wherein the deviation threshold is 10%.

## EXAMPLE 14

[0182] The gas exchange system of example 11, wherein the computing device is further configured to determine the upper threshold by: identifying a maximum rate of oxygen uptake from the breath-by-breath data; and determining the upper threshold by multiplying a maximum rate of oxygen uptake in the breath-by-breath data by a first scalar value.

## EXAMPLE 15

[0183] The gas exchange system of example 14, wherein the first scalar value is 0.75.

## EXAMPLE 16

[0184] The gas exchange system of example 15, wherein the computing device is further configured to determine a lower threshold by multiplying the maximum rate of oxygen uptake by a second scalar value and wherein identifying a middle portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to a lower threshold value.

## EXAMPLE 17

[0185] The gas exchange system of example 16, wherein the second scalar value is 0.25.

## EXAMPLE 18

[0186] The gas exchange system of example 11, wherein fitting a first line to the identified middle portion comprises using least squares fitting on the multi-breath groups of the middle portion of the plot.

## EXAMPLE 19

**[0187]** A method for estimating likelihood of pulmonary arterial hypertension, the method comprising: determining ratios of minute ventilation to rate of oxygen uptake based on breath-by-breath data for a patient; determining a ventilatory threshold based upon the determined ratios; determining a score based upon end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>) and minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) at the determined ventilatory threshold; and using the score to estimate a likelihood that the patient has pulmonary arterial hypertension.

## EXAMPLE 20

**[0188]** The method of example 19, further comprising receiving breath-by-breath data captured by a gas exchange system during a gas exchange test.

## EXAMPLE 21

**[0189]** The method of example 19, further comprising: mapping the PetCO<sub>2</sub> value at the determined ventilatory threshold to a PetCO<sub>2</sub> parameter score; and mapping the (VE/VCO<sub>2</sub> value at the determined ventilatory threshold to a (VE/VCO<sub>2</sub> parameter score.

## EXAMPLE 22

**[0190]** The method of example 21, wherein determining a score based upon end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>) and minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) at the determined ventilatory threshold comprises combining the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub> parameter score.

## EXAMPLE 23

**[0191]** The method of example 22, wherein combining the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub> parameter score comprises summing the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub> parameter score.

## EXAMPLE 24

**[0192]** A method of estimating a ventilatory threshold for a patient, the method comprising: receiving gas exchange measurements based on breath-by-breath data captured during a gas exchange test; grouping the breath-by-breath data into multi-breath groups, each multi-breath group containing data for a plurality of sequentially occurring breaths; generating a multi-breath average minute ventilation for each of the multi-breath groups by averaging minute ventilation values for each of the breaths therein; generating a multi-breath average rate of oxygen uptake for each of the multi-breath groups by averaging rate of oxygen uptake values for each of the breaths therein; generating a plot by plotting a data point for each of the multi-breath groups based on the multi-breath average minute ventilation and the multi-breath average rate of oxygen uptake; identifying a middle portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to an upper threshold value; fitting a first line to the identified middle portion of the plot; identifying an upper portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to the upper threshold value; determining whether at least one multi-breath group in the identified upper portion has a minute ventilation value

that exceeds the first line by at least a deviation threshold; when determined that at least one multi-breath group in the identified upper portion has a minute ventilation value that exceeds the first line by at least the deviation threshold: identifying a breath group from the at least one multi-breath group exceeding the first line by the deviation threshold having the lowest rate of oxygen uptake; fitting a second line to the identified breath group and a breath group having a peak oxygen uptake value; determining an intersection point of the first line and the second line; and determining the ventilatory threshold as being the greater of the rate of oxygen uptake at the intersection point and the rate of oxygen uptake of the identified breath group; and when determined that the identified upper portion does not include at least one breath group that has a minute ventilation value that exceeds the first line by at least the deviation threshold: determining the ventilatory threshold as being a maximum rate of oxygen uptake in the breath-by-breath data.

## EXAMPLE 25

**[0193]** A method of estimating a ventilatory threshold for a patient, the method comprising: receiving gas exchange measurements based on breath-by-breath data captured during a gas exchange test; generating a plot by plotting data points based on minute ventilation and rate of oxygen uptake from the breath-by-breath data; identifying a middle portion of the plot based on comparing rates of oxygen uptake of the data points to an upper threshold value; fitting a first line to the identified middle portion of the plot; identifying an upper portion of the plot based on comparing the rates of oxygen uptake of the data points to the upper threshold value; determining whether at least one data point in the identified upper portion has a minute ventilation value that exceeds the first line by at least a deviation threshold; when determined that at least one data point in the identified upper portion has a minute ventilation value that exceeds the first line by at least the deviation threshold: identifying a data point from the at least one data point exceeding the first line by the deviation threshold having the lowest rate of oxygen uptake; fitting a second line to the identified data point and a data point having a peak oxygen uptake value; determining an intersection point of the first line and the second line; and determining the ventilatory threshold as being the greater of the rate of oxygen uptake at the intersection point and the rate of oxygen uptake of the identified data point; and when determined that the identified upper portion does not include at least one data point that has a minute ventilation value that exceeds the first line by at least the deviation threshold: determining the ventilatory threshold as being a maximum rate of oxygen uptake in the breath-by-breath data.

## EXAMPLE 26

**[0194]** The method of example 25, wherein the data points correspond to multi-breath groups and are generated by: grouping the breath-by-breath data into multi-breath groups, each multi-breath group containing data for a plurality of sequentially occurring breaths; generating a multi-breath average minute ventilation for each of the multi-breath groups by averaging the minute ventilation values for each of the breaths therein; and generating a multi-breath average rate of oxygen uptake for each of the multi-breath groups by averaging the rate of oxygen uptake for each of the breaths therein.

## EXAMPLE 27

[0195] The method of example 26, wherein at least one of the multi-breath groups is generated from eight sequentially occurring breaths.

## EXAMPLE 28

[0196] The method of example 26, wherein each multi-breath group is generated from eight sequentially occurring breaths.

## EXAMPLE 29

[0197] The method of example 25, wherein the data points correspond to a single breath from the breath-by-breath data.

[0198] The various embodiments described above are provided by way of illustration only and should not be construed to limit the claims attached hereto. Those skilled in the art will readily recognize various modifications and changes that may be made without following the example embodiments and applications illustrated and described herein, and without departing from the true spirit and scope of the following claims.

What is claimed is:

1. A gas exchange system, the system comprising:
  - a flow sensor configured to sense a respiratory flow of a patient;
  - an analyzer configured to determine a composition of at least a portion of the respiratory flow of the patient;
  - a computing device configured to:
    - receive gas exchange measurements based on breath-by-breath data captured by the flow sensor and the analyzer during a gas exchange test;
    - plot minute ventilation against rate of oxygen uptake based on the breath-by-breath data; and
    - estimate a ventilatory threshold based on the plot.
2. The gas exchange system of claim 1, wherein the computing device is further configured to:
  - calculate parameter scores based upon an end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>) value at the ventilatory threshold and a minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) value at the ventilatory threshold;
  - estimate a likelihood that the patient has pulmonary arterial hypertension based on the parameter scores; and
  - cause a user interface to be displayed, the user interface including a report that shows the estimated likelihood.
3. The gas exchange system of claim 2, wherein the computing device being configured to calculate the parameter scores includes the computing device being configured to calculate a PetCO<sub>2</sub> parameter score by comparing the PetCO<sub>2</sub> value at the ventilatory threshold to at least one predetermined threshold value.
4. The gas exchange system of claim 3, wherein the computing device being configured to calculate the parameter scores includes the computing device being configured to calculate a (VE/VCO<sub>2</sub>) parameter score by comparing the (VE/VCO<sub>2</sub>) value at the ventilatory threshold to at least one predetermined threshold value.
5. The gas exchange system of claim 4, wherein the computing device being configured to estimate the likelihood that the patient has pulmonary arterial hypertension includes the computing device being configured to:

combine the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub>) parameter score to generate a pulmonary arterial hypertension score; and

compare the pulmonary arterial hypertension score to at least one predetermined threshold value.

6. The gas exchange system of claim 5, wherein the computing device being configured to combine the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub>) parameter score includes the computing device being configured to sum the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub>) parameter score.

7. The gas exchange system of claim 1, wherein the computing device being configured to plot minute ventilation against rate of oxygen uptake includes the computing device being configured to:

group the breath-by-breath data into multi-breath groups, each multi-breath group containing data for a plurality of sequentially occurring breaths;

generate a multi-breath average minute ventilation for each of the multi-breath groups by averaging minute ventilation values for each of the breaths therein;

generate a multi-breath average rate of oxygen uptake for each of the multi-breath groups by averaging rate of oxygen uptake values for each of the breaths therein; and

plot a data point for each of the multi-breath groups based on the multi-breath average minute ventilation and the multi-breath average rate of oxygen uptake.

8. The gas exchange system of claim 7, wherein the computing device being configured to estimate a ventilatory threshold based on the plot includes the computing device being configured to:

identify a middle portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to an upper threshold value;

fit a first line to the identified middle portion of the plot;

identify an upper portion of the plot based on comparing the multi-breath average rate of oxygen uptake of the multi-breath groups to the upper threshold value;

determine whether at least one multi-breath group in the identified upper portion has a minute ventilation value that exceeds the first line by at least a deviation threshold;

when determined that at least one multi-breath group in the identified upper portion has a minute ventilation value that exceeds the first line by at least the deviation threshold:

identify a breath group from the at least one multi-breath group exceeding the first line by the deviation threshold having the lowest rate of oxygen uptake;

fit a second line to the identified breath group and a breath group having a peak oxygen uptake value;

determine an intersection point of the first line and the second line; and

determine the ventilatory threshold as being the greater of the rate of oxygen uptake at the intersection point and the rate of oxygen uptake of the identified breath group.

9. The gas exchange system of claim 8, wherein the computing device being configured to fit the first line to the identified middle portion includes the computing device being configured to use least squares fitting on the multi-breath groups of the middle portion of the plot.

**10.** A method for estimating likelihood of pulmonary arterial hypertension, the method comprising:

determining ratios of minute ventilation to rate of oxygen uptake based on breath-by-breath data for a patient;

determining a ventilatory threshold based upon the determined ratios;

determining a score based upon an end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>) value at the determined ventilatory threshold and a minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) value at the determined ventilatory threshold;

using the score to estimate a likelihood that the patient has pulmonary arterial hypertension; and

causing the estimated likelihood to be displayed on a user interface.

**11.** The method of claim **10**, further comprising receiving breath-by-breath data captured by a gas exchange system during a submaximal gas exchange test.

**12.** The method of claim **10**, further comprising:

mapping the PetCO<sub>2</sub> value at the determined ventilatory threshold to a PetCO<sub>2</sub> parameter score; and

mapping the (VE/VCO<sub>2</sub>) value at the determined ventilatory threshold to a (VE/VCO<sub>2</sub>) parameter score.

**13.** The method of claim **12**, wherein determining a score based upon the end-tidal partial pressure of carbon dioxide (PetCO<sub>2</sub>) value at the determined ventilatory threshold and the minute ventilation/carbon dioxide production relationship (VE/VCO<sub>2</sub>) at the determined ventilatory threshold comprises combining the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub>) parameter score.

**14.** The method of claim **13**, wherein combining the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub>) parameter score comprises summing the PetCO<sub>2</sub> parameter score and the (VE/VCO<sub>2</sub>) parameter score.

**15.** A method of estimating a ventilatory threshold for a patient, the method comprising:

receiving gas exchange measurements based on breath-by-breath data captured during a gas exchange test;

generating a plot by plotting data points based on minute ventilation and rate of oxygen uptake from the breath-by-breath data;

identifying a middle portion of the plot based on comparing rates of oxygen uptake of the data points to an upper threshold value;

fitting a first line to the identified middle portion of the plot;

identifying an upper portion of the plot based on comparing the rates of oxygen uptake of the data points to the upper threshold value;

determining whether at least one data point in the identified upper portion has a minute ventilation value that exceeds the first line by at least a deviation threshold;

when determined that at least one data point in the identified upper portion has a minute ventilation value that exceeds the first line by at least the deviation threshold:

identifying a data point from the at least one data point exceeding the first line by the deviation threshold having the lowest rate of oxygen uptake;

fitting a second line to the identified data point and a data point having a peak oxygen uptake value; and

determining an intersection point of the first line and the second line; and

determining the ventilatory threshold as being the greater of the rate of oxygen uptake at the intersection point and the rate of oxygen uptake of the identified data point.

**16.** The method of claim **15**, further comprising:

when determined that the identified upper portion does not include at least one data point that has a minute ventilation value that exceeds the first line by at least the deviation threshold:

determining the ventilatory threshold as being a maximum rate of oxygen uptake in the breath-by-breath data.

**17.** The method of claim **16**, wherein the data points correspond to multi-breath groups and are generated by:

grouping the breath-by-breath data into multi-breath groups, each multi-breath group containing data for a plurality of sequentially occurring breaths;

generating a multi-breath average minute ventilation for each of the multi-breath groups by averaging the minute ventilation values for each of the breaths therein; and

generating a multi-breath average rate of oxygen uptake for each of the multi-breath groups by averaging the rate of oxygen uptake for each of the breaths therein.

**18.** The method of claim **17**, wherein at least one of the multi-breath groups is generated from eight sequentially occurring breaths.

**19.** The method of claim **17**, wherein each multi-breath group is generated from eight sequentially occurring breaths.

**20.** The method of claim **16**, wherein the data points correspond to a single breath from the breath-by-breath data.

\* \* \* \* \*

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|----------------|--|---------|------------|
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#### 摘要(译)

提供了用于估计患者的通气阈值和估计肺动脉高血压的可能性的系统和方法。示例性方法包括基于患者的每次呼吸数据确定每分通气量与摄氧率的比率。该示例方法还包括基于所确定的比率确定通气阈值,并基于在确定的通气阈值处的二氧化碳的潮气末分压 (PetCO<sub>2</sub>) 值和每分钟通气/二氧化碳产生关系 (VE / VCO<sub>2</sub>) 来确定分数。VCO<sub>2</sub> 在确定的通气阈值处的值。该方法还包括使用该分数来估计患者患有肺动脉高血压并使估计的可能性显示在用户界面上的可能性。

