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- (54) **METHOD AND SYSTEM FOR CONTROLLING BREATHING**
- (71) Applicant: **The Periodic Breathing Foundation, Providence, RI (US)**
- (72) Inventor: **Robert W. Daly, Providence, RI (US)**
- (73) Assignee: **The Periodic Breathing Foundation, Providence, RI (US)**
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- USPC **128/204.23**

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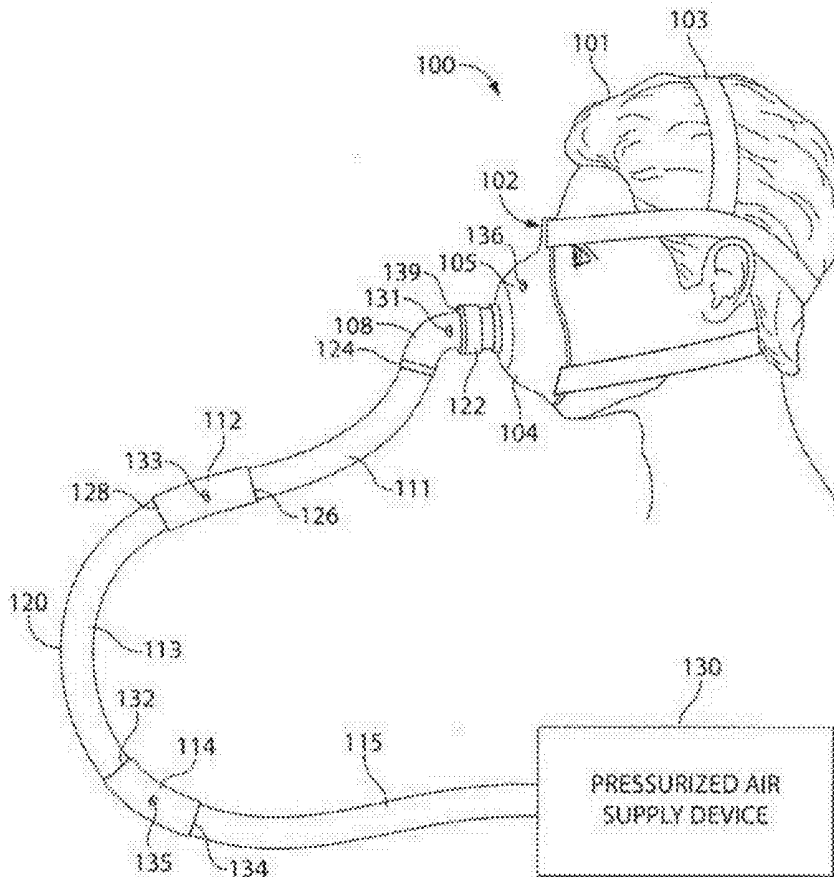
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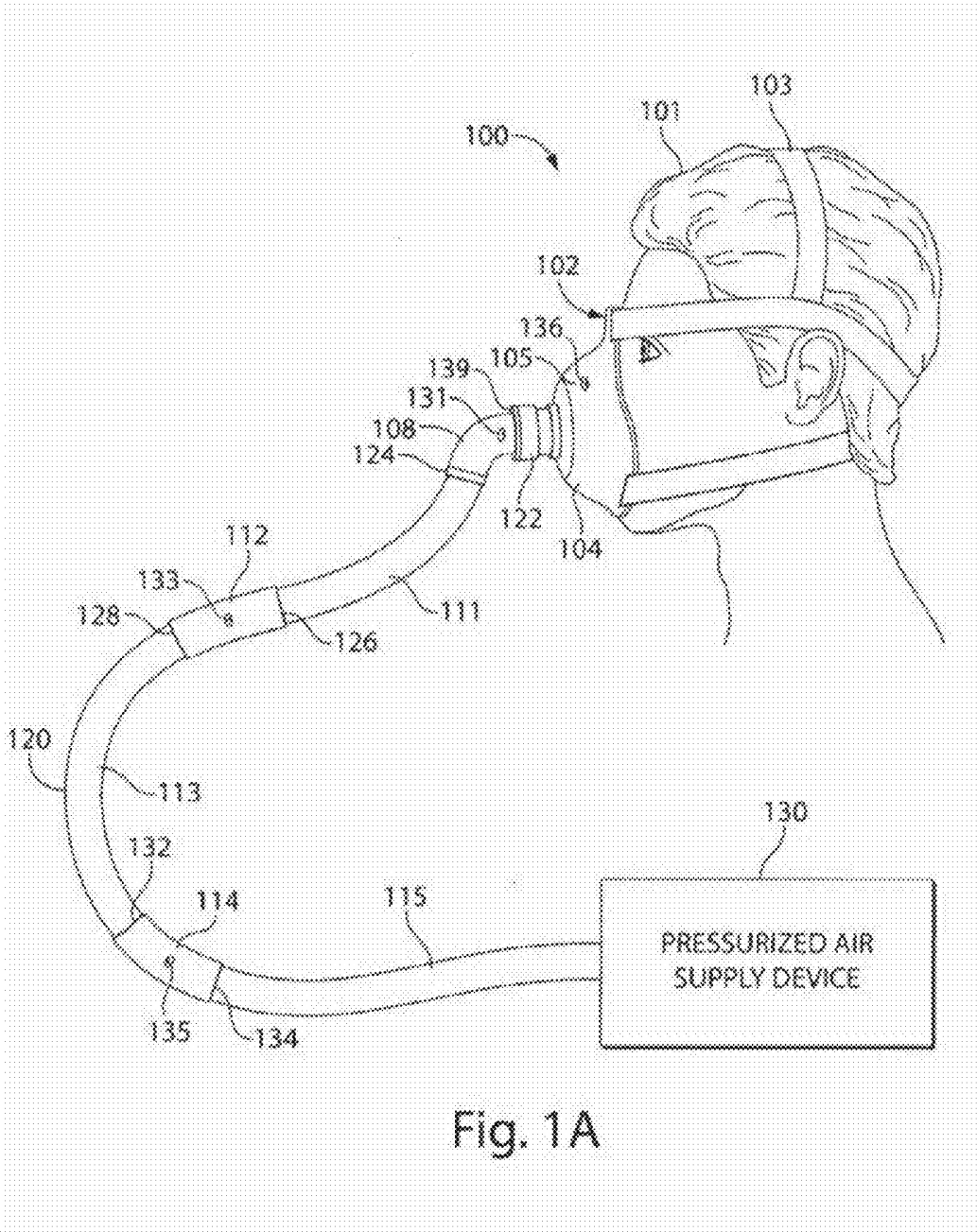
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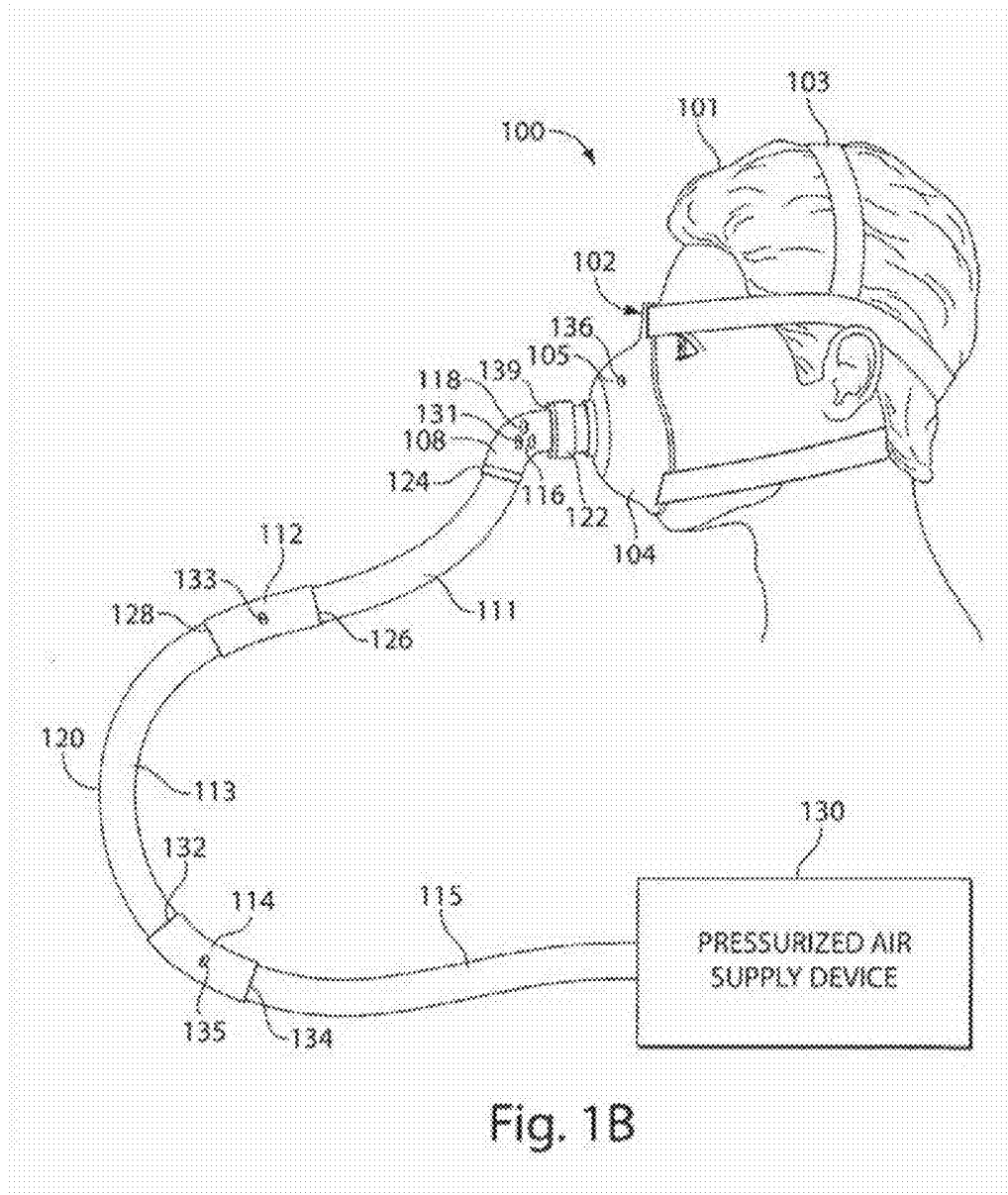
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(57) **ABSTRACT**

The present invention relates to a method and a system for controlling breathing of a patient. A system for controlling breathing of a patient includes a respiratory conduit. The respiratory conduit is configured to be coupled to a patient interface device and is further configured to be coupled to a pressurized air generating device. The respiratory conduit includes at least two air flow control devices, positioned between the patient interface device and the pressurized air generating device that cooperate to closely control the CO₂ levels in the patient's bloodstream through the control of the patient's respiration.







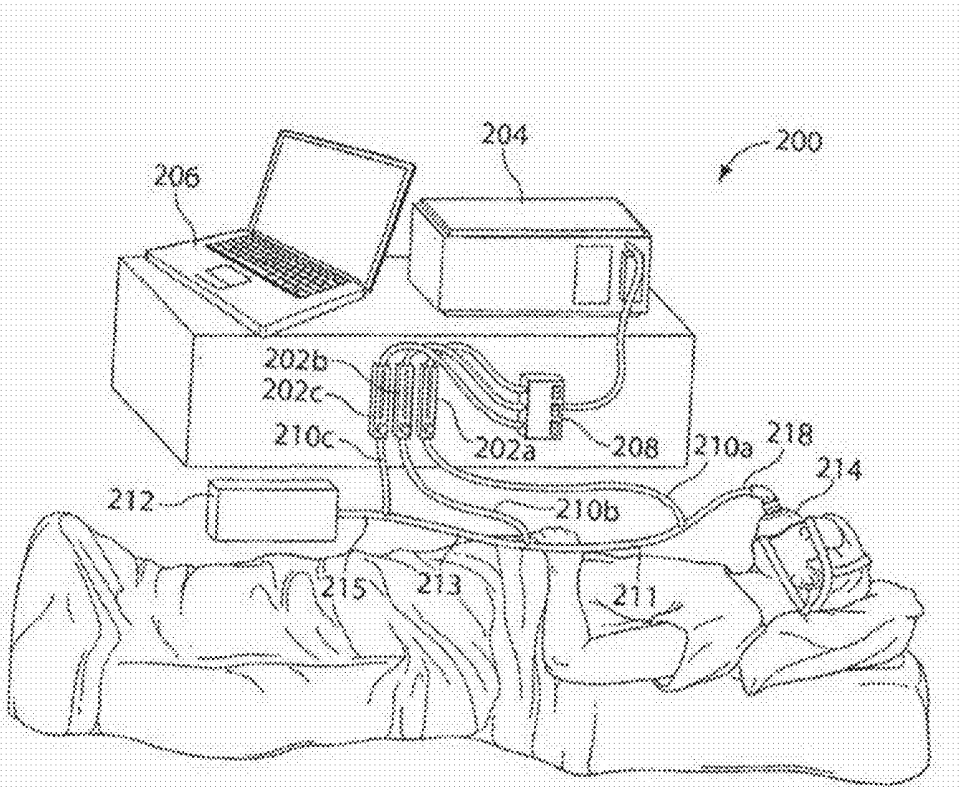


Fig. 2A

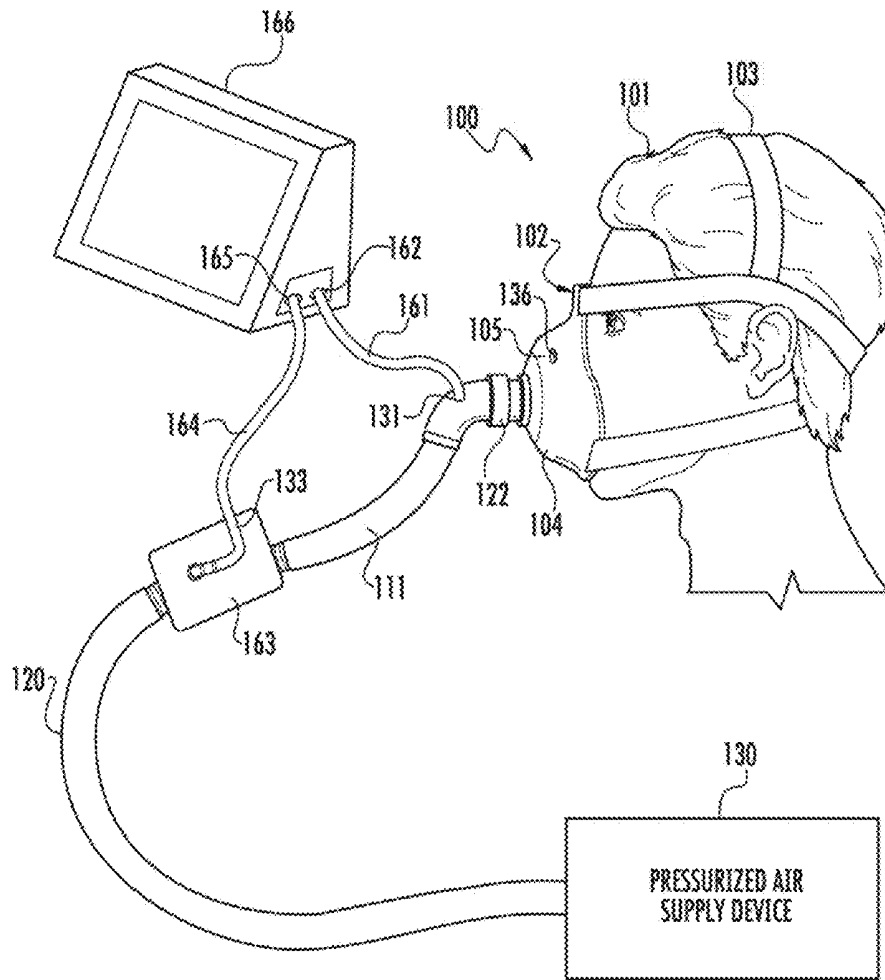


FIG. 2B

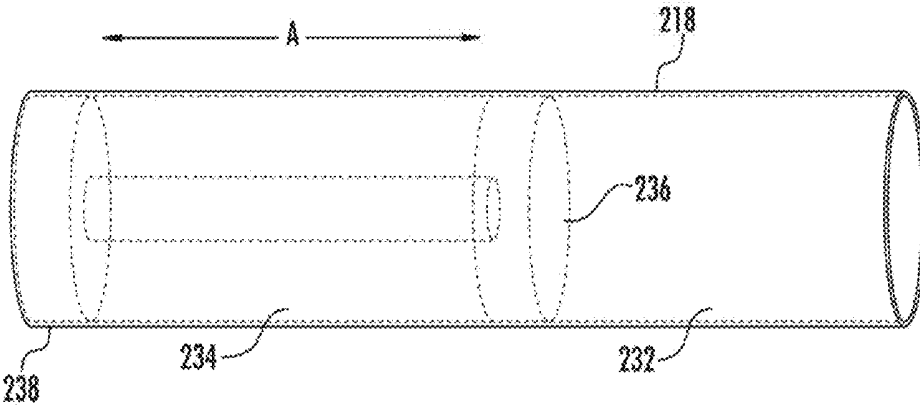


FIG. 2C

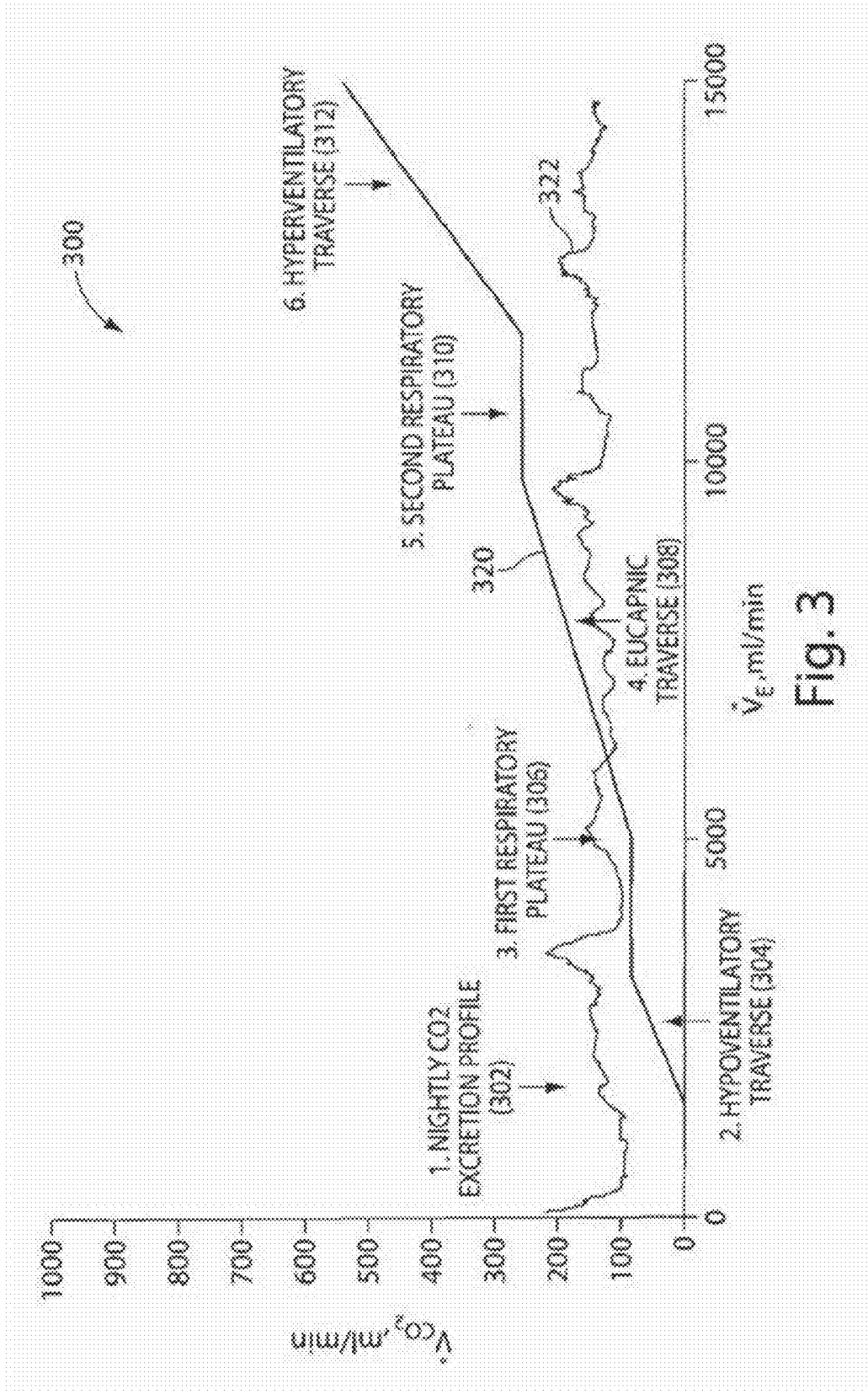


Fig. 3

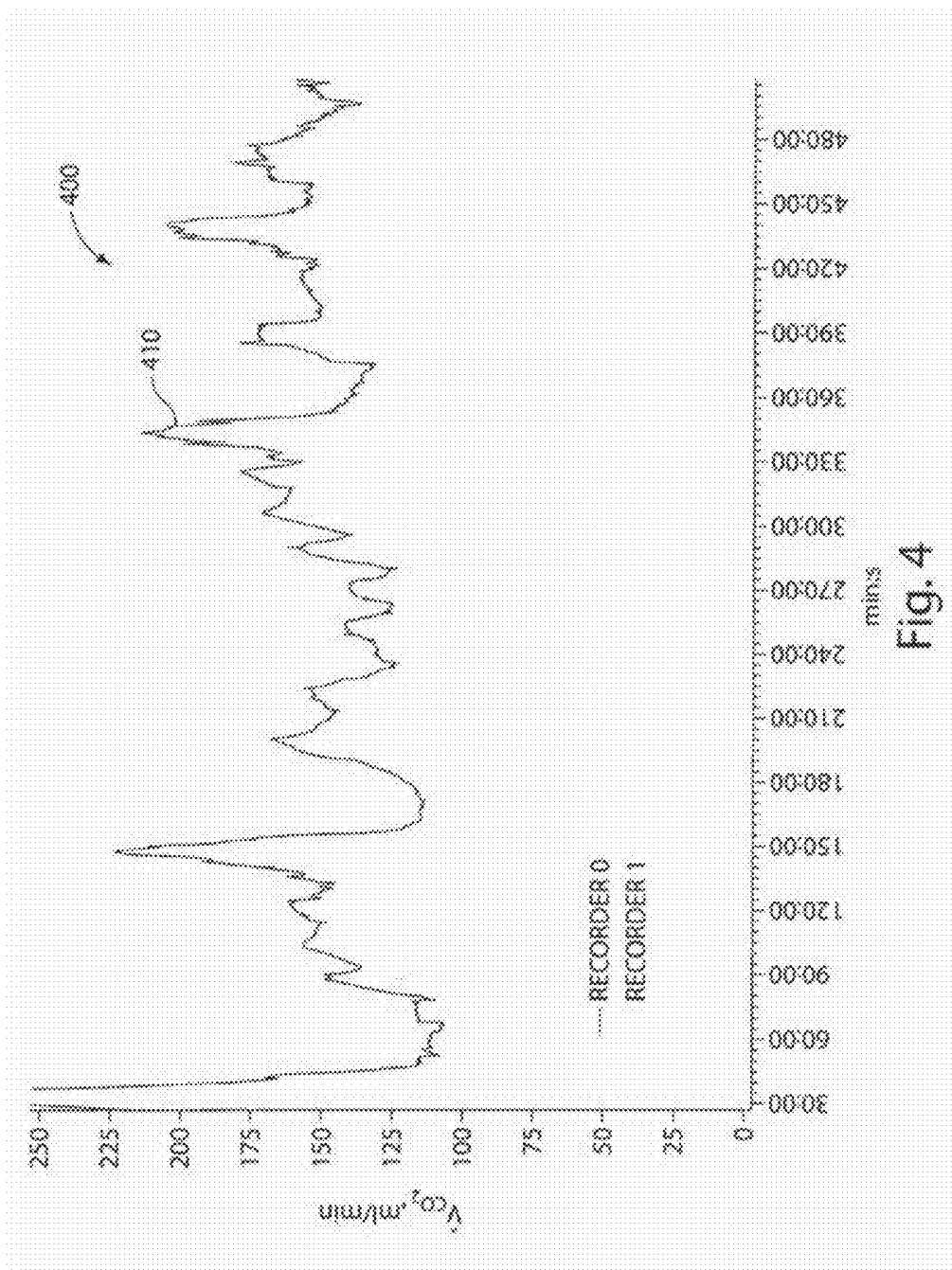


Fig. 4

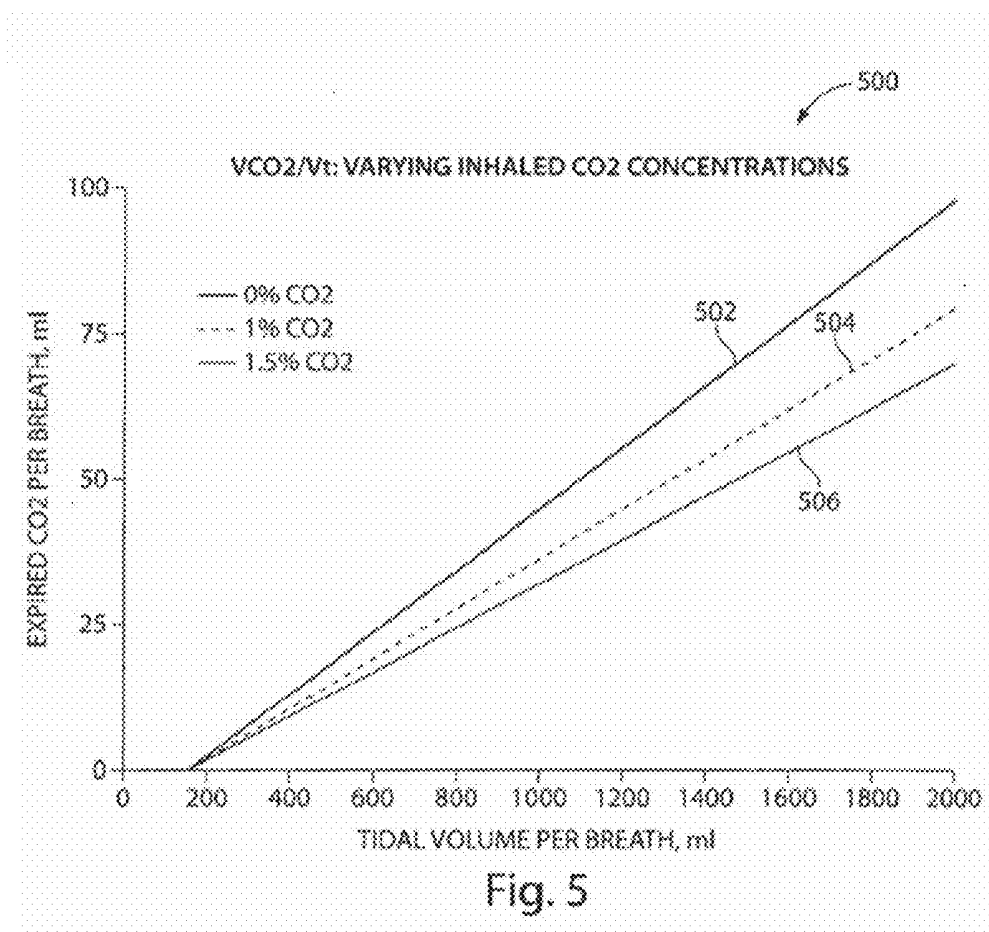
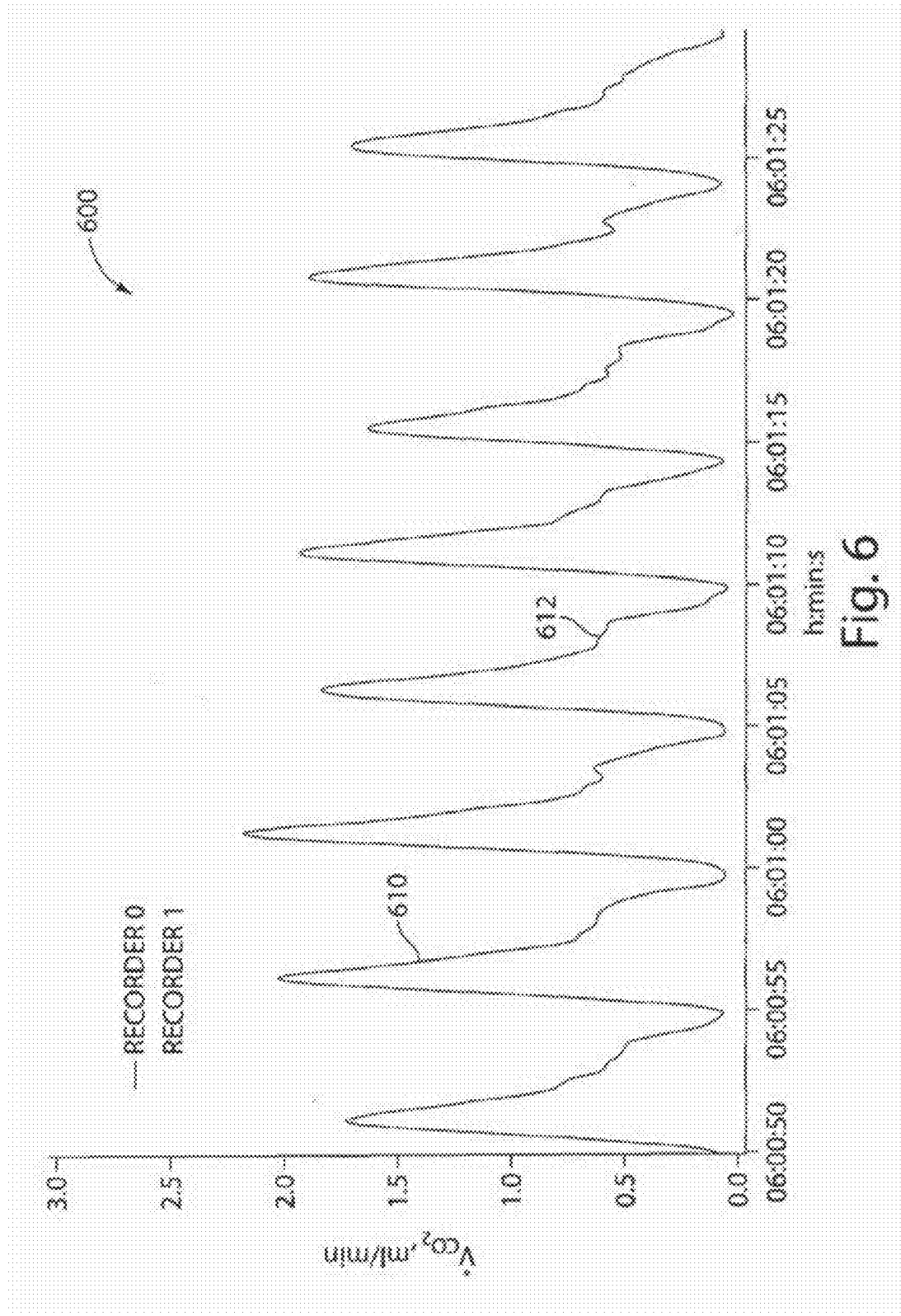
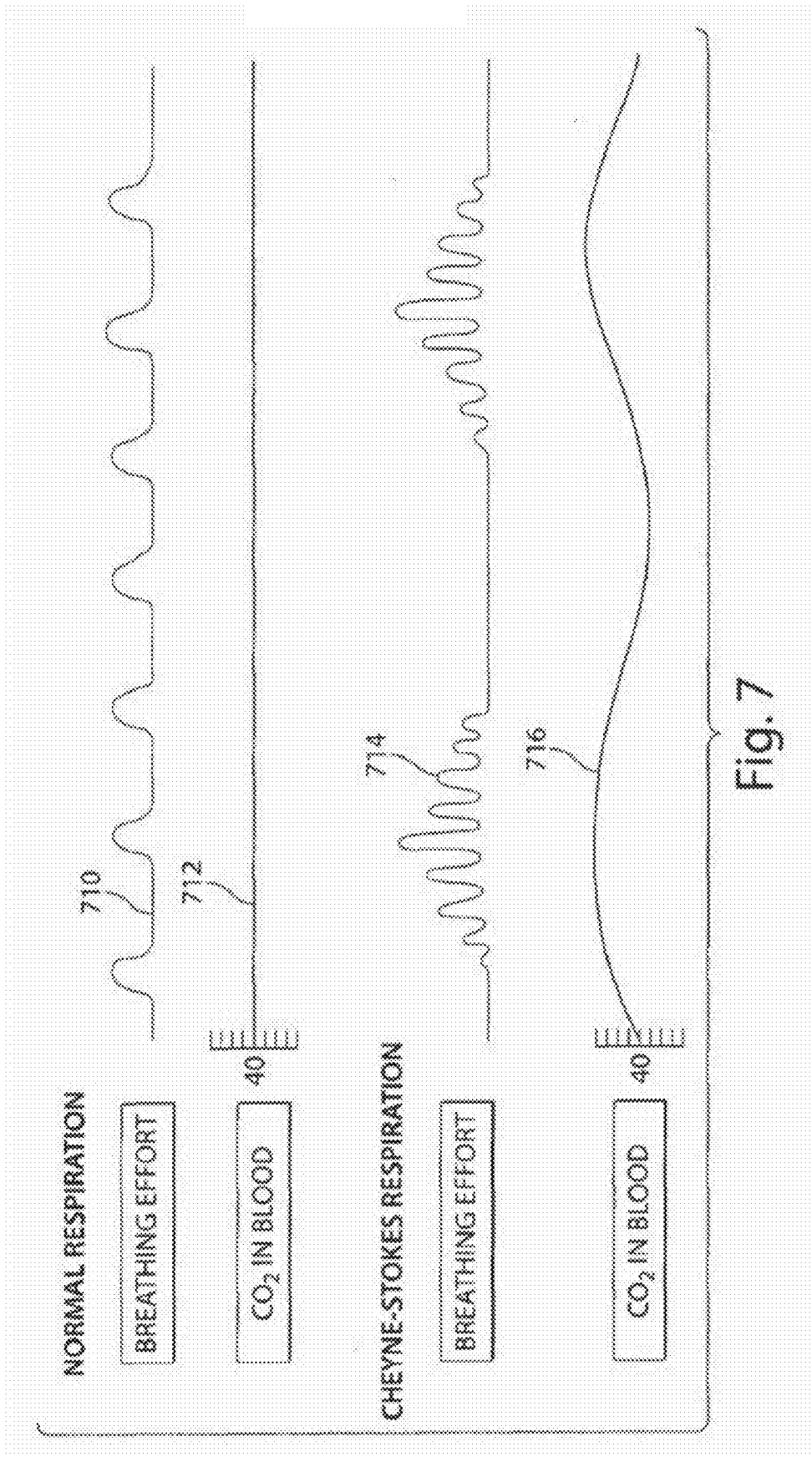


Fig. 5





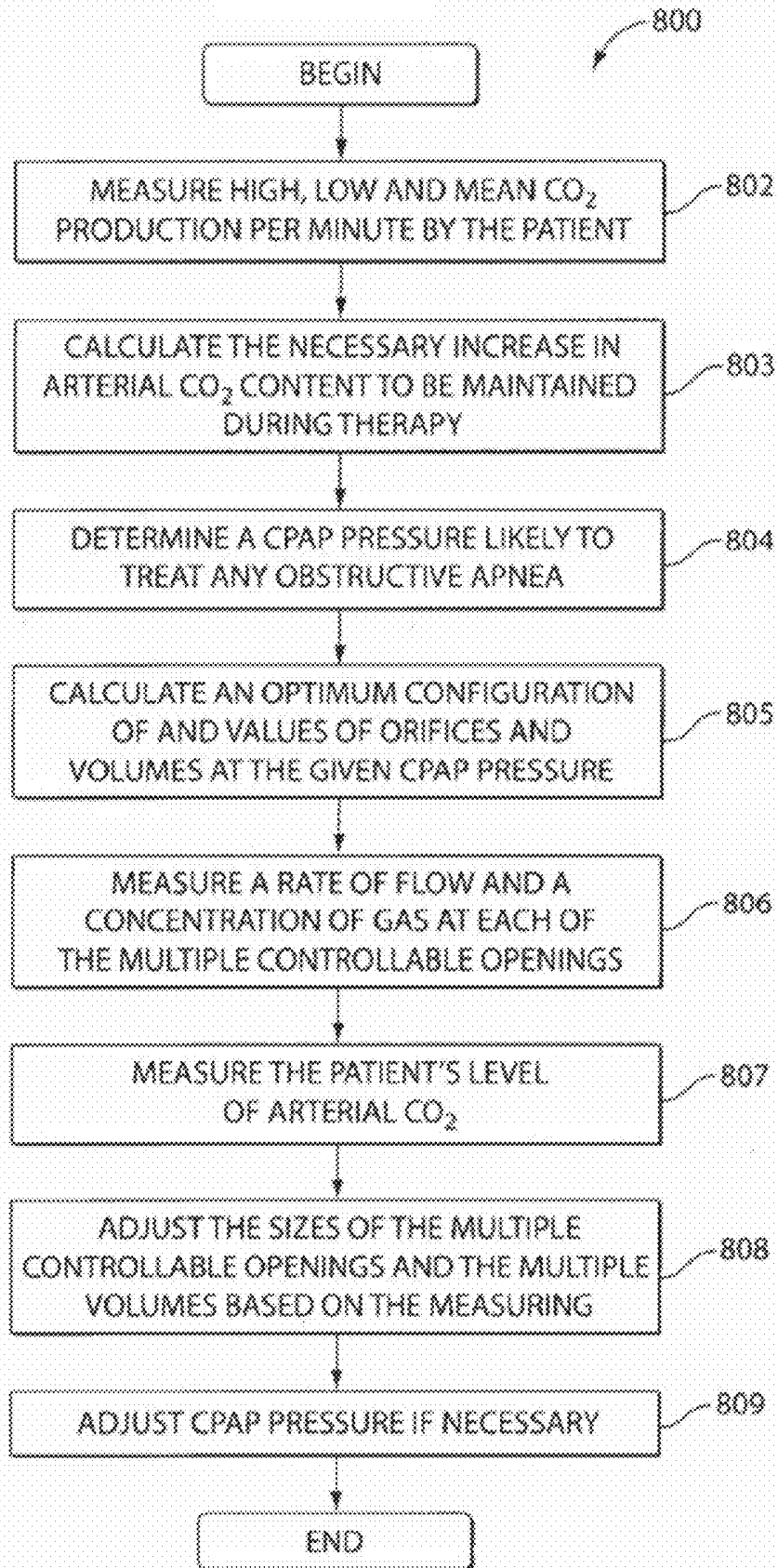


Fig. 8

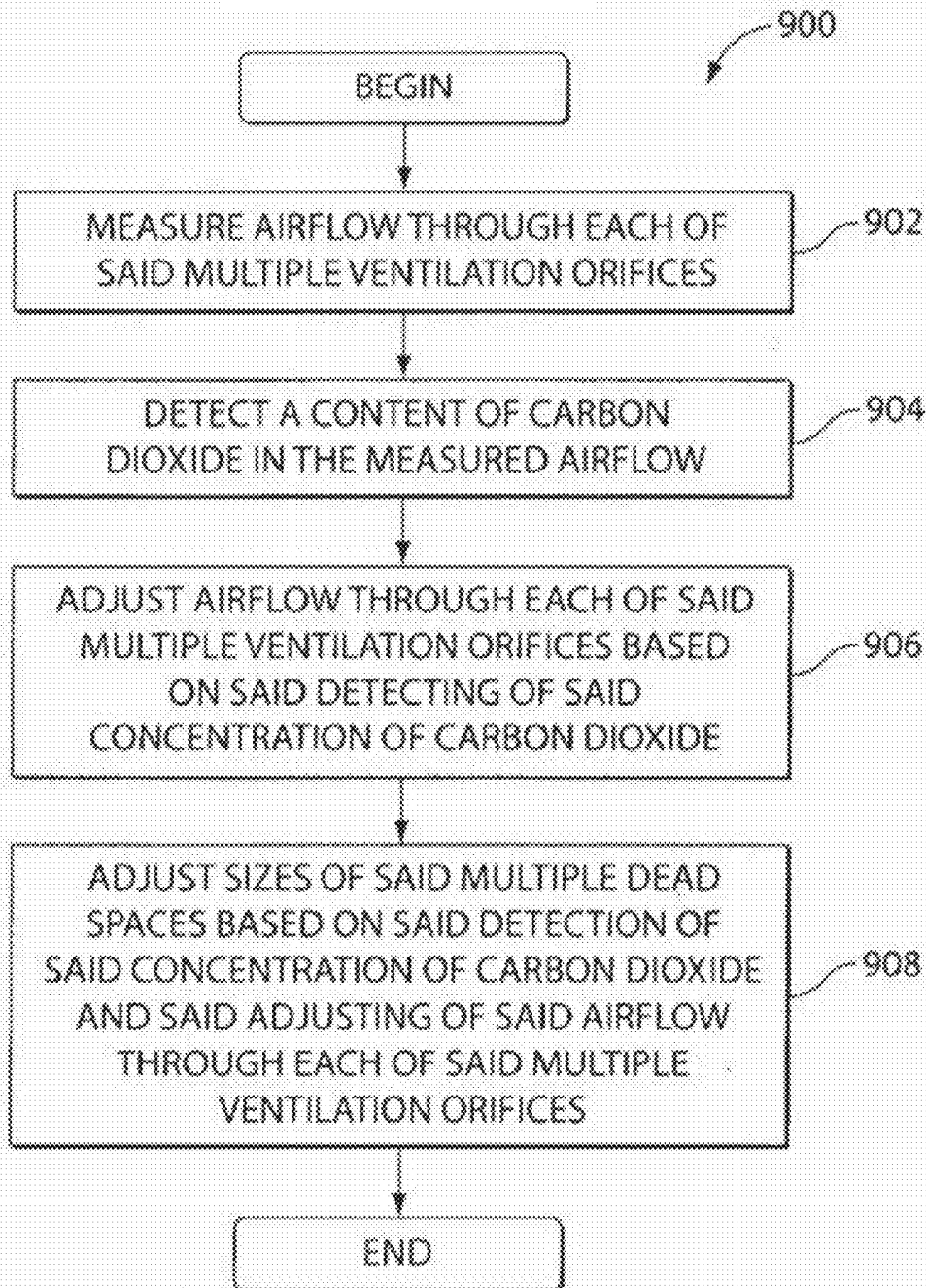


Fig. 9

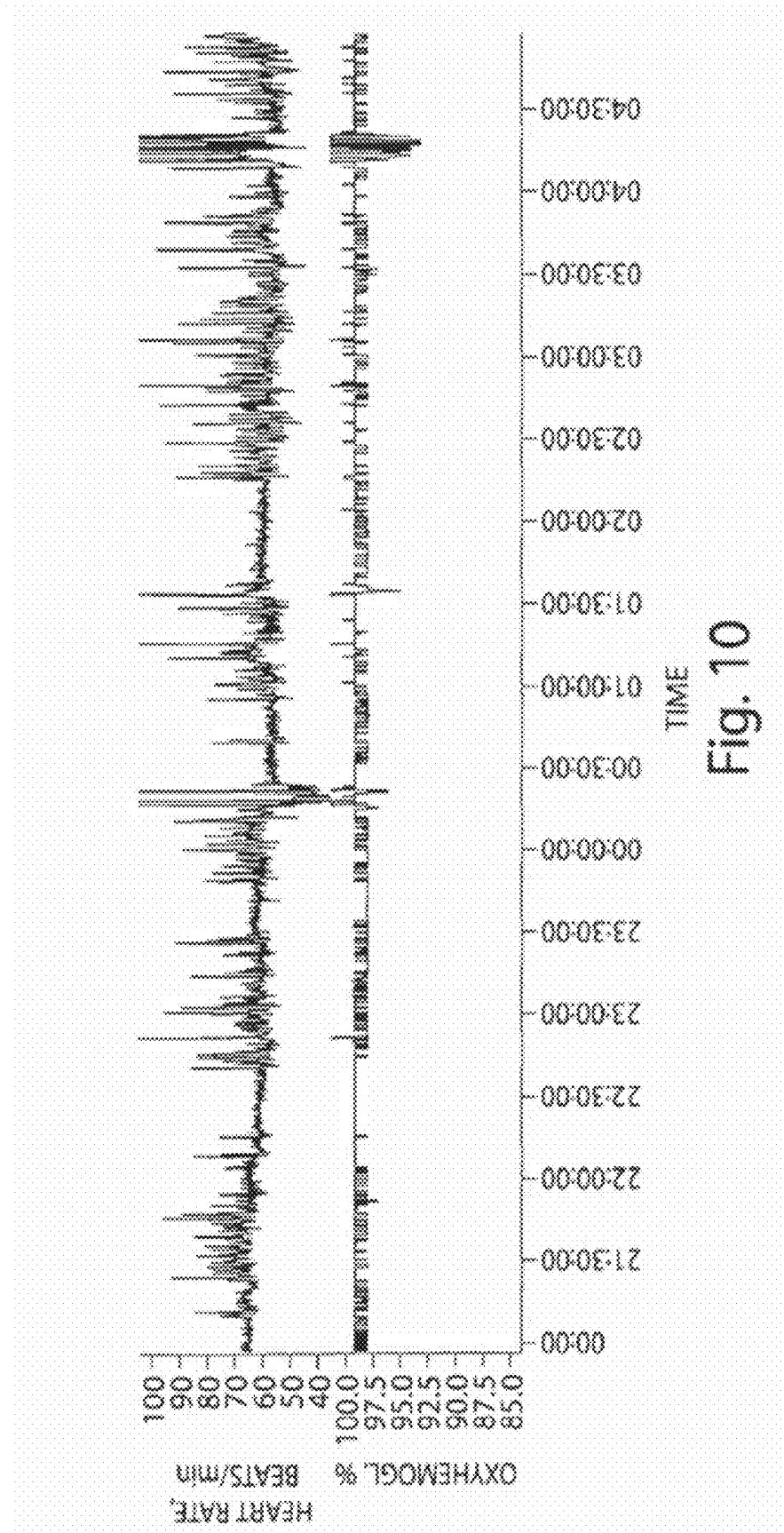
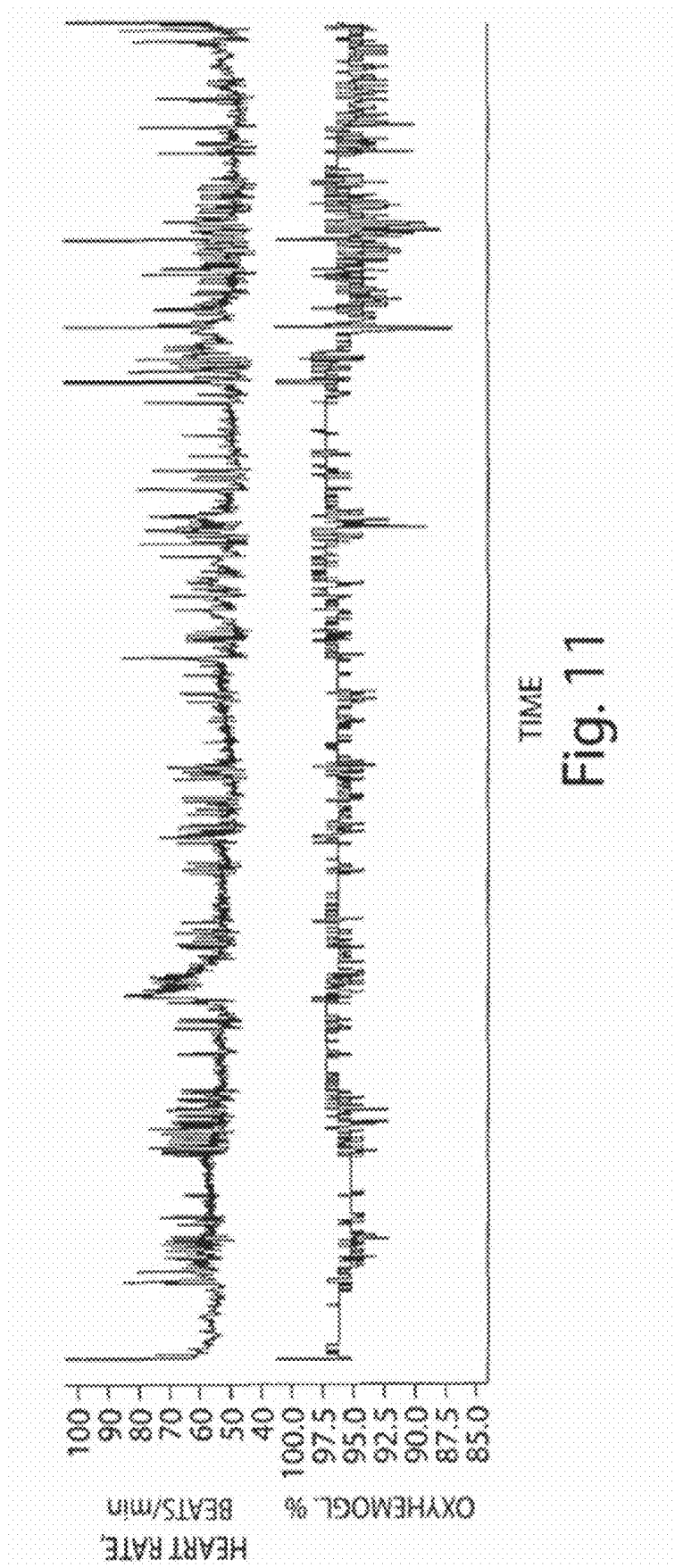


Fig. 10



TIME
Fig. 11

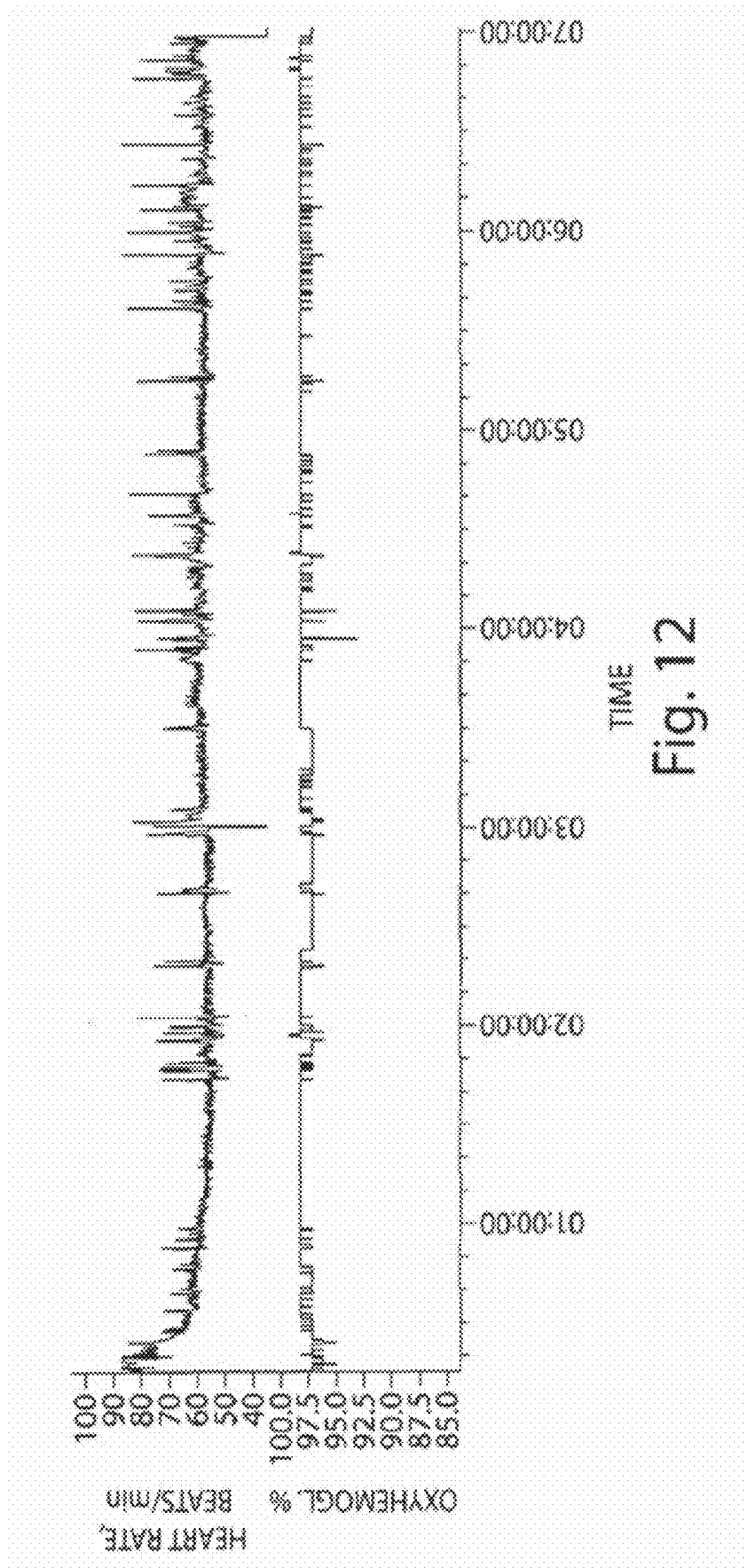


Fig. 12

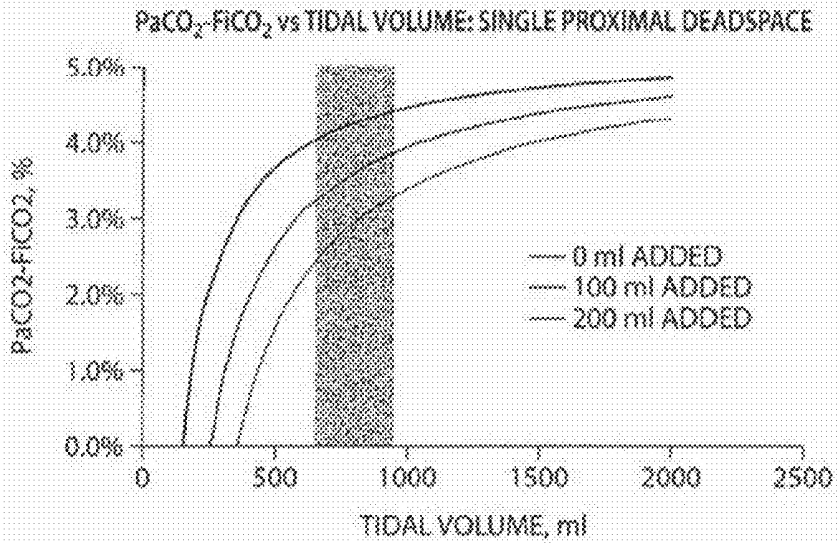


Fig. 13

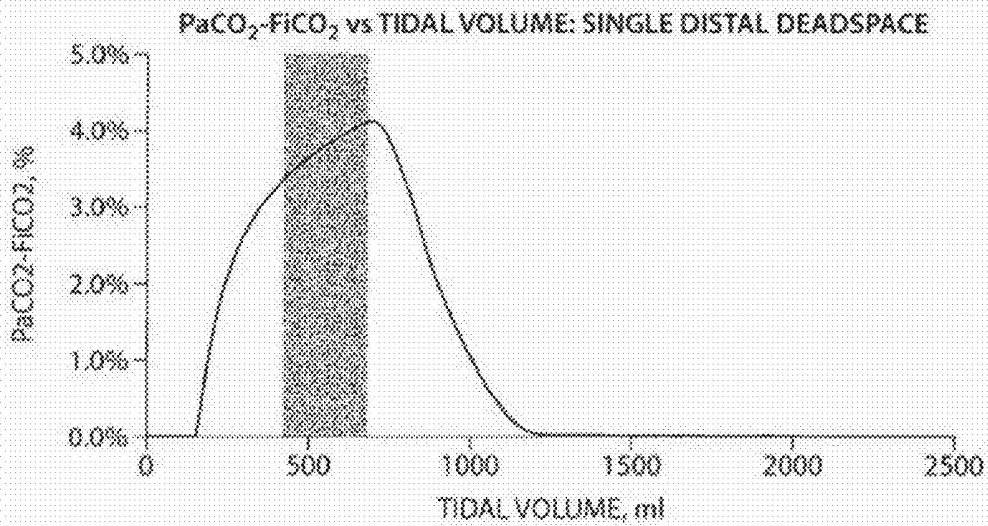
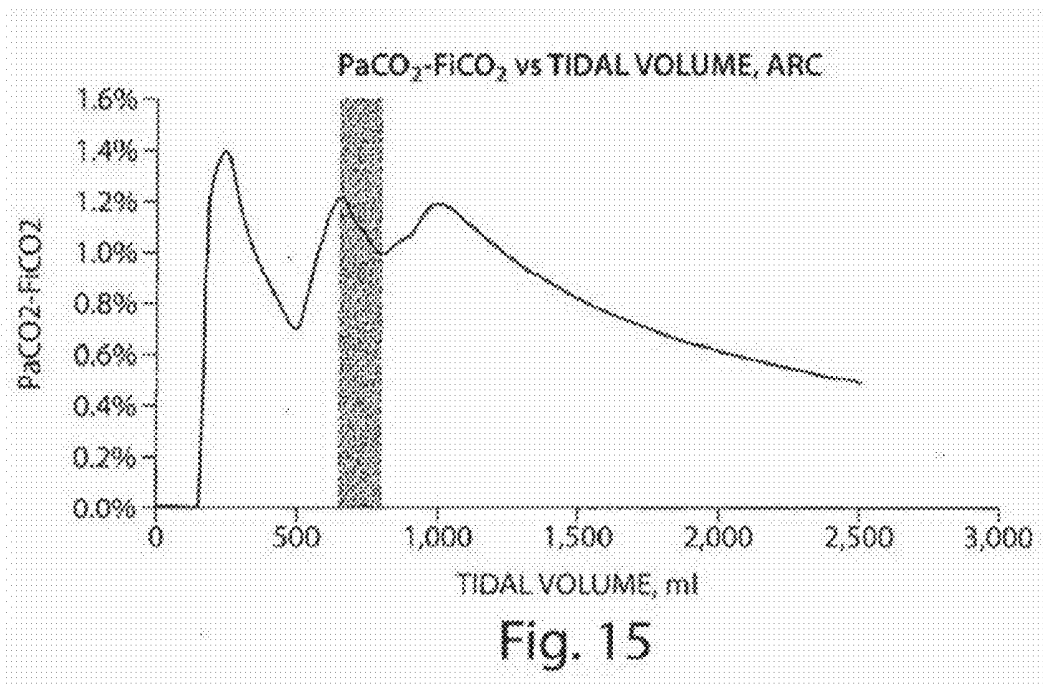


Fig. 14



METHOD AND SYSTEM FOR CONTROLLING BREATHING

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a continuation of and claims priority from earlier filed U.S. patent application Ser. No. 13/933,255, filed Jul. 2, 2013 which is a continuation-in-part of U.S. patent application Ser. No. 13/041,783, filed Mar. 7, 2011, now U.S. Pat. No. 8,485,181, issued Jul. 16, 2013, which is a divisional of U.S. Pat. No. 7,900,626, issued Mar. 8, 2011.

BACKGROUND OF THE INVENTION

[0002] The present invention relates generally to a method and system for the treatment of breathing disorders. In particular, the present invention relates to systems and methods for controlling breathing of a patient by maintaining specific levels of carbon dioxide (“CO₂”) dissolved in the patient’s arterial blood.

[0003] Sleep-disordered breathing (“SDB”) includes all syndromes that pose breathing difficulties during sleep. These include obstructive sleep apnea (“OSA”), mixed sleep apnea (“MSA”), central sleep apnea (“CSA”), Cheyne-Stokes respiration (“CSR”), and others. Some form of SDB occurs in approximately 3-5% of the U.S. population.

[0004] While anatomical problems such as obesity or an abnormally narrow upper airway may be a cause of some SDB, neurological difficulties in controlling levels of blood gases, such as CO₂ and oxygen (“O₂”), are increasingly being recognized as important contributors to the SDB disease process. This is especially true of the “central” syndromes, such as MSA, CSA and CSR, which may collectively account for as much as 20% of all SDB. Changes in the neurological system that controls the blood gases often produce unsteady respiratory patterns that in turn cause arousals from sleep. These arousals are accompanied by severe spikes in blood pressure and release of stress hormones that may result in long-term damage to a number of organ systems. Additionally, some SDB syndromes involve abnormal overall levels of blood gases. For example, low levels of dissolved CO₂ in arterial blood are frequently encountered, which represents a clinical problem. Thus, there is a need to stabilize respiration and establish appropriate blood gas levels by restoring normal control of blood gases when treating SDB.

BRIEF SUMMARY OF THE INVENTION

[0005] In this regard, the present invention provides for systems and methods for controlling breathing of a patient by maintaining specified levels of CO₂ in arterial blood. The systems and methods can be used to rectify inappropriate levels of both CO₂ and O₂ in arterial blood.

[0006] The system includes a respiratory conduit. The respiratory conduit is configured to be coupled at one end to a patient interface device that is coupled to a breathing airway, e.g., nose, mouth or both, of the patient. The respiratory conduit is configured at the opposing end to be coupled to a pressurized air generating device. The respiratory conduit includes at least two air flow control devices, positioned between the patient interface device and the pressurized air generating device. The respiratory conduit includes at least two volumes, wherein one volume is positioned between a first air flow control device and a second air flow control

device and another volume is positioned between a second air flow control device and a third air flow control device. Rates of flow of a gas through the first air flow control device and the second air flow control device are calculated based on an expected rate of production of the gas by the patient, expected respiration rate of the patient, expected depth of respiration by the patient, and an expected concentration of the gas in the air expired by the patient.

[0007] In an alternate configuration, the system includes a respiratory conduit configured to be coupled to a patient interface device. The respiratory conduit is also configured to be coupled to a pressurized air supply device, wherein the pressurized air supply device supplies air to the patient. The respiratory conduit includes a first valve located adjacent the patient interface device. The first valve includes a first opening configured to control an escape of gas. The conduit also includes a second valve including a second opening configured to control an escape of gas and a first volume connector coupled to the first valve and the second valve. The first volume connector is configured to contain a mixture of air as supplied by the pressurized air supply device and gas as generated by the patient. The conduit includes a third valve having a third fixed opening configured to control an escape of air and a second volume connector coupled to the second valve and the third valve. The second volume connector is configured to contain a mixture of air as supplied by the pressurized air supply device and gas as generated by the patient. The conduit includes a third connector coupled to the third valve and the air supply device. In an example, the amount of gas allowed to escape from each of the three valves is determined by sizes of the valves and two volume connectors, pressure at which the pressurized air supply device operates, respiratory parameters of the patient (e.g., depth and frequency of breathing), production of gas by the patient per unit of time, and concentration of the gas in the patient’s arterial blood.

[0008] In one example, the system includes a respiratory conduit configured to be coupled to a patient interface device and to a pressurized air supply device. The pressurized air supply device supplies air to the patient. The respiratory conduit includes a first valve located adjacent to the patient interface device that includes a first opening configured to control escape of the gas during the breathing process, a second valve that includes a second opening configured to control escape of gas during the breathing process; a first volume connector connecting the first valve and the second valve and configured to control supply of gas to the patient during the breathing process; a third valve that includes a third opening configured to control escape of gas during the breathing process; a second volume connector connecting the second valve and the third valve and configured to control supply of gas to the patient during the breathing process; a third connector connecting the third valve and the air supply device. The volume of expired gas that is re-breathed (in-haled) by the patient is continuously adjusted based on an amount of gas allowed to escape from the valves and an amount of gas contained in the volume connectors.

[0009] In another example, air is supplied to the patient using a patient interface device coupled to an air supply device using a respiratory conduit that includes multiple controllable openings and volume connectors positioned along the length of the respiratory conduit. The method includes determining a rate of production of gas generated by the patient. In an example, the determining also includes measur-

ing the amount of air exhaled by the patient as well as the concentration of gas in such air. Further, the determining can include calculating initial configuration of sizes of multiple controllable openings and volumes using a simulation or an estimation based on variables such as patient's age, gender, body mass, etc. The method further includes measuring a rate of flow and a concentration of gas at each of the multiple controllable openings; adjusting the sizes of the multiple controllable openings based on the measuring; and adjusting the sizes of the multiple volume connectors based on at least one of the determining and the measuring. The air supplied to the patient includes a mixture of air supplied by the air supply device and a gas generated by the patient.

[0010] An apparatus for controlling flow of CO₂ to a patient during breathing. The apparatus includes a CO₂ mixing device coupled to the patient interface device. The CO₂ mixing device is configured to be coupled to the pressurized gas device. The CO₂ mixing device includes multiple ventilation orifices interchangeably connected with multiple dead spaces, wherein the multiple ventilation orifices control supply of CO₂ to the patient and volume of CO₂ in the multiple dead spaces. The CO₂ mixing device also includes a means for measuring airflow through each of the multiple ventilation orifices; a means of detecting a concentration of CO₂ in the measured airflow; a means of adjusting airflow through each of the multiple ventilation orifices based on the detection of the content of CO₂; and a means of adjusting sizes of the multiple dead spaces based on the detection of the concentration of CO₂ and the adjusting of the airflow through each of the multiple ventilation orifices.

[0011] In another example, a tubing set is provided as a system for controlling the exchange of CO₂ for a patient during breathing. The system includes a respiratory conduit. The respiratory conduit is configured to be coupled at one end to a patient interface device that is coupled to a breathing airway, e.g., nose, mouth or both, of the patient. The respiratory conduit is configured at the opposing end to remain open to the atmosphere or to be coupled to a pressurized air generating device. The respiratory conduit includes at least two air flow control devices, a first positioned at a predetermined point between the patient interface device at the first end of the respiratory conduit and the second end of the respiratory conduit and a second airflow control device positioned proximate the first end of the respiratory conduit and the patient interface. Rates of flow of a gas through the first air flow control device and the second air flow control device initially set based on an expected rate of production of the gas by the patient, expected respiration rate of the patient, expected depth of respiration by the patient, and an expected concentration of the gas in the air expired by the patient. As expected outputs of CO₂ vary based on changes in respiration or patient metabolism, such changes are detected at the first airflow control device such that adjustments are made to the second airflow control device to bring the expected outputs of CO₂ back into the desired range.

[0012] A method for controlling flow of CO₂ to a patient during breathing is carried out as follows. The patient interface device is coupled to a CO₂ mixing device, which is coupled to air supply device; and the CO₂ mixing device includes multiple ventilation orifices interchangeably connected with multiple dead spaces, wherein the multiple ventilation orifices control supply of CO₂ to the patient and volume of CO₂ in the multiple dead spaces. The method includes measuring airflow through each of the multiple ventilation

orifices; detecting a content of CO₂ in the measured airflow; adjusting airflow through each of the multiple ventilation orifices based on the detecting of the concentration of CO₂; and adjusting sizes of the multiple dead spaces based on the detection of the concentration of CO₂ and the adjusting of the airflow through each of the multiple ventilation orifices.

[0013] Further features and advantages of the invention, as well as structure and operation of various embodiments of the invention, are disclosed in detail below with reference to the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

[0014] The present invention is described with reference to the accompanying drawings. In the drawings, like reference numbers indicate identical or functionally similar elements. Additionally, the left-most digit(s) of a reference number identifies the drawing in which the reference number first appears.

[0015] FIG. 1A is an illustration showing an exemplary system for controlling breathing of a patient, according to the present invention.

[0016] FIG. 1B is another illustration showing an exemplary system for controlling breathing of a patient, according to the present invention.

[0017] FIG. 2A is an illustration showing exemplary clinical equipment set up using methods and systems for controlling breathing of a patient, according to the present invention.

[0018] FIG. 2B is another illustration showing an exemplary system for controlling breathing of a patient, according to the present invention.

[0019] FIG. 2C is an illustration of a portion of breathing conduit shown in FIGS. 1A-2A.

[0020] FIG. 3 is an exemplary graphical representation of a relationship between ventilation (i.e., the total volume of air exhaled and inhaled by the patient per minute) and CO₂ excretion by the patient using systems and methods for controlling breathing of a patient, according to the present invention, along with a tracing representing a rate of CO₂ production by the patient during a night.

[0021] FIG. 4 is a graphical representation of a typical CO₂ excretion by the patient during a night.

[0022] FIG. 5 is a graphical representation of a relationship between depth of breathing (i.e., tidal volume) and CO₂ excretion during a single breath by the patient using conventional methods and systems for controlling breathing of a patient.

[0023] FIG. 6 is a graphical representation of a rate of CO₂ escaping from the apparatus for controlling breathing of a patient over the course of eight typical breaths, according to the present invention.

[0024] FIG. 7 is a graphical representation of a comparison between normal respiration and Cheyne-Stokes respiration.

[0025] FIG. 8 is a flow chart illustrating an exemplary method for controlling breathing of a patient, according to the present invention.

[0026] FIG. 9 is a flow chart illustrating an alternate embodiment of a method for controlling breathing of a patient, according to the present invention.

[0027] FIG. 10 is a series of tracings showing heart rate and blood oxygen saturation through the night for a patient using conventional methods and systems for controlling breathing.

[0028] FIG. 11 is a series of tracings showing heart rate and blood oxygen saturation through the night for a patient using a conventional pressurized air supply machine alone.

[0029] FIG. 12 is a series of tracings showing heart rate and blood oxygen saturation through the night, according to the present invention.

[0030] FIGS. 13-15 are a series of tracings indicating dead space gain in conventional breathing systems.

DETAILED DESCRIPTION OF THE INVENTION

[0031] Now referring to the drawings, the system and method for controlling breathing is shown and generally illustrated in the figures. It is notable that of the two blood gases, carbon dioxide (“CO₂”) and oxygen (“O₂”), problems with neurological control of breathing during sleep are primarily related to the control of CO₂ rather than O₂. CO₂ is dissolved in blood, and together with bicarbonate ions determines blood pH. Excessive CO₂ causes the blood to become acidic, while a deficit in CO₂ will cause the blood to be alkaline. Since proteins need a stable pH environment in which to function, the CO₂ levels should be controlled within a narrow range that will yield a blood pH of about 7.4. This is accomplished in the present invention through the close matching of CO₂ excretion via the lungs to the endogenous CO₂ production that is the product of cellular metabolism.

[0032] FIG. 7 illustrates normal respiration and Cheyne-Stokes respiration plots along with corresponding CO₂ blood levels plots. During normal respiration, the breathing effort of a patient is steady, as shown by the plot 710. This corresponds to steady arterial CO₂ blood levels, shown in plot 712. A typical normal partial pressure of dissolved CO₂ in arterial blood is 40 mm Hg and O₂ pressure is approximately 105 mm Hg. During Cheyne-Stokes respiration, the patient’s breathing effort is erratic, as illustrated by the waxing/waning plot 714. A corresponding plot 716 shows the associated variable blood CO₂ levels during Cheyne-Stokes respiration.

[0033] Within humans, a sensitive and finely tuned system detects blood CO₂ levels via a number of sensors, or chemoreceptors, located within the vasculature and the brain of the patient. Nerve signaling from these sensors is processed by respiratory control centers in the brain, which in turn send appropriate breathing pattern commands to the respiratory muscles including those of the diaphragm, chest and breathing airway. The goal of the system is to match the excretion of CO₂ with the production of CO₂ by varying the rate of respiration (both the depth and frequency of breathing). In healthy individuals, this system is accurate and steady. It is able to respond quickly to changes in CO₂ production and maintain blood CO₂ levels within a narrow range. Like many homeostatic mechanisms in the body, control of blood gases is accomplished by a closed-loop negative feedback control system.

[0034] When the system for controlling blood CO₂ becomes disordered, such as in CSR, it can lose its ability to maintain steady CO₂ levels. It “chases” blood CO₂ in an oscillating pattern of “overshoot” and “undershoot”, resulting in a characteristic waxing/waning respiratory pattern. CSR is the classic syndrome associated with this disordered respiratory patterning and it is common in the setting of a heart failure. FIG. 7 illustrates that normal breathing is accompanied by stable CO₂ levels in arterial blood while CSR exhibits oscillating breathing patterns due to unstable CO₂ levels.

[0035] Since the waxing/waning respiratory drive associated with poor control of blood gases applies also to control of the muscles holding the airway open, cyclic airway collapse during the waning epoch of respiratory drive is often a feature of these syndromes. In fact, pure waxing/waning respiratory

patterns not associated with at least intermittent airway collapse are relatively rare and MSA may be the dominant expression of respiratory instability. MSA may present as an extremely regular and predictable pattern of obstructive events associated with reduced respiratory effort but it may also present as a chaotic mixture of events of different kinds (e.g. obstructive apneas, central apneas, hypopneas) with no visually discernible pattern.

[0036] For several decades it has been possible to describe the necessary conditions for respiratory stability in mathematical terms. The analytical framework is identical to that used in classical process control theory for predicting the stability of a closed-loop negative feedback control system. While these systems are able stably to control very complex and sensitive processes if correctly tuned, certain categories of problems are known to cause instability and oscillating control that render the process useless or worse. In general, these problems are caused by an excessive sensitivity or “closed-loop gain” in the control loop and timing problems, where an excessive time delay is encountered in measuring the results of the process and taking the appropriate corrective action. These are the same problems that sufferers from unstable sleeping respiration often exhibit.

[0037] It is well-established that the underlying cause of instability in the chemical control of respiration is usually excessive gain or sensitivity of one of the blood gas sensors, namely the peripheral chemoreceptor. The peripheral chemoreceptor is located within the carotid artery and directly samples arterial blood for oxygen and CO₂ content. The chemoreceptor is sensing the concentration of H⁺ ions in the blood, which is a proxy for CO₂ content in the arterial blood over a short period of time. The sensing becomes disordered and sends signals to the respiratory centers in the brain that tends to overestimate changes in blood gases, specifically, CO₂. Even though the cause of the disordered sensing is unknown, it is common in various diseases, e.g., heart failure. It is difficult to correct the above disordered sensing using current medical technology. Further, problems with blood circulation prolong the time delay in reporting changes in blood gases, which adds to the problem of instability in the patient’s respiratory control loop.

[0038] Given that increased closed-loop gain in the respiratory control feedback loop resulting in unstable respiration is usually due either to excessively sensitive CO₂ sensors or impaired blood circulation, a number of therapeutic strategies have been attempted. Most existing therapies have various drawbacks.

[0039] Current therapeutic methods for restoring sleeping respiratory instability have the following problems:

[0040] 1. They are complicated.

[0041] 2. They are costly.

[0042] 3. They are inefficient in that they may reduce one aspect of the closed-loop respiratory control gain while increasing its other aspects. Further, they may fail to reliably reinstate conditions for stability.

[0043] 4. They fail to enable a clinician to specify a target blood CO₂ range to be maintained during therapy where patients are currently hypocapnic.

[0044] 5. They reduce an amount of oxygen available for breathing, necessitating an addition of supplemental oxygen in order to restore normal level of blood oxygen.

[0045] 6. They fail rapidly to excrete CO₂ under extraordinary circumstances, such as, after a prolonged obstructive apnea event.

[0046] 7. They fail to respond immediately on a breath-by-breath basis to unstable respiratory patterns and rely on multi-breath pattern-recognition algorithms.

[0047] 8. They rely on a single fixed estimate of respiratory requirements during the course of treatment and are not configured to adapt to variation in respiratory requirements.

[0048] 9. They rely on expensive electronic equipment.

[0049] Current methods are also unable to permit modeling of the relationship between the rate ventilation of the patient and the rate of CO₂ excretion in a non-linear fashion, including imposition of multiple distinct steps that permit “clamping” of respiration by maintaining CO₂ excretion within a defined range under most conditions.

[0050] The system and method capable of controlling breathing of a patient by maintaining certain levels of CO₂ in the patient’s blood, while maintaining or improving blood oxygenation, described herein provide a solution to these problems. The present invention also provides a way to substantially eliminate “dead space gain”. This issue is present in some conventional breathing systems.

[0051] Unstable breathing patterns consist of alternating hyperventilation and hypoventilation or apnea. During hyperventilation, there is rapid “blow-off” of CO₂ that causes a steep drop in arterial CO₂ that initiates an epoch of hypoventilation or even apnea when the arterial blood reaches the peripheral chemoreceptor and the brain detects an abnormally low level of blood CO₂. During the hypoventilation, CO₂ accumulates rapidly and again initiates an epoch of hyperventilation. This pattern can be repeated indefinitely.

[0052] Ideally, the lungs should be made to be less efficient during hyperventilation in order to resist the CO₂ blow-off. One of the ways to do this is to make the patient inhale a high percentage of CO₂ in inspired air, which will interfere with gas exchange in the lungs and therefore exhibit excessive excretion of CO₂. Likewise, the lungs should be maximally efficient during hypoventilation in order to limit the accumulation of CO₂. Thus, inhaled CO₂ is optimally zero during hypoventilation. Any design can be characterized in terms of its ability to exert a stabilizing influence by feeding the patient high concentrations of inspired CO₂ during hyperventilation and none during hypoventilation.

[0053] Unfortunately, the conventional dead space systems tend to do the opposite. As tidal volume increases, the concentration of CO₂ in inspired air decreases, thus, actually promoting instability. FIGS. 13-15 illustrate that during normal breathing the dead space gains of both proximal single dead space design and distal single dead space design are quite high. Single proximal dead space systems interpose a single dead space volume between a sealed patient interface and a single orifice configured to be large enough to permit flow through the orifice sufficient to wash out all exhaled gases that exceed the volume of the single dead space. Such devices are then further connected to an air supply device via a typical respiratory conduit. Single distal dead space systems are configured with a single orifice substantially on or near the patient interface and with a single conduit comprising the entire dead space acting as a coupling to the air supply device. The single orifice is configured to permit a certain maximum amount of a gas to be excreted from the device and to cause substantial re-breathing of any additional exhaled gas. High dead space gain is signified by a steep positive slope of the function in the shaded zone. The shaded zone represents a range of normal breathing while using the device.

[0054] Further features and advantages of the invention, as well as the structure and operation of various embodiments of the invention, are described in detail below with reference to the accompanying drawings. The invention is not limited to the specific embodiments described herein. Such embodiments are presented herein for illustrative purposes only. Additional embodiments will be apparent to persons skilled in the relevant art(s) based on the teachings contained herein.

[0055] While the present invention is described herein with reference to illustrative embodiments for particular applications, the invention is not limited thereto. Those skilled in the art with access to the teachings provided herein will recognize additional modifications, applications, and embodiments within the scope thereof and additional fields in which the present invention would be of significant utility.

Regulation of Blood Gas Levels

[0056] Methods and systems for controlling breathing of a patient are described herein. The methods and systems use a combination of multiple dead space volumes and valves to control CO₂ levels in a patient’s blood and, thereby, control breathing of the patient. The device of the therapeutic system controls a relationship between the rate of ventilation (i.e., total minute volume, V_E) and the rate of CO₂ excretion (V_{CO2}) while permitting extensive modeling of this relationship in a non-linear, discontinuous fashion (See, FIG. 3 discussion below). This system allows a clinician to define a level of arterial blood CO₂ to be maintained during therapy as well as to place strong limits on both hyperventilation and hypoventilation. Under certain circumstances, the present invention can increase blood oxygenation without the use of supplemental oxygen.

[0057] The system provides an interaction between multiple discreet dead space volumes and multiple ventilation orifices of either fixed (precisely-defined) or variable size, where the volumes and orifices can be organized in a specific pattern. Such interaction offers a possibility of defining a wide spectrum of relationships between the rate of ventilation and the rate of CO₂ excretion by the patient when used in conjunction with a ventilatory assist device such as a Continuous Positive Airway Pressure (“CPAP”) machine, which is set to a predetermined pressure. In an alternate embodiment, a ventilatory assist device is not used and the same effect is achieved using a simple device into which the patient breathes.

[0058] A respiratory conduit, which is placed between a patient interface device (e.g., a sealed CPAP mask) and the CPAP machine (or any other air supply device), has a cylindrical shape. Ventilation orifices are placed in line with the conduit to provide outflow of CO₂ that is exhaled by the patient. The lengths of conduit lying between each ventilation orifice represent a distinct dead space or quasi-dead space volume. As air containing CO₂ is expelled from the patient’s lungs into the respiratory conduit, a pressure generated by the CPAP machine causes at least some of the air and CO₂ contained in such air to flow out of the various orifices in a specific pattern. The pattern depends on the volume of each one of patient’s breaths or tidal volume (V_T) and the frequency of breathing, or respiration rate. Each breath consists of an expiratory interval and an inspiratory interval. Once the expiratory interval is over, inspiration commences and most or all of the remaining CO₂ in the conduit is re-breathed by the patient. Depending on the volume of each dead space and the size of each ventilatory orifice, the curve describing a rela-

tionship between the rate of ventilation and the rate of CO₂ excretion has an arbitrary number of inflection points defining line or curve segments (See, FIG. 3), each with a different slope and length.

[0059] The above system permits extensive modeling of the relationship between a patient's breathing (i.e., ventilation) and excretion of CO₂. Using conventional computer simulation techniques, the sizes of orifices, volumes, and/or configuration of the two are specified to establish a relationship that serves to return the respiratory control feedback loop to a stable operation. Since during the interval prior to falling asleep, CO₂ production may be high relative to the levels anticipated to prevail during sleep, an auxiliary ventilation valve is fitted that permits the patient to increase airflow through the device until comfortably resting in bed.

[0060] FIGS. 1A and 1B illustrate an exemplary system 100 for controlling breathing of a patient 101. Referring to FIG. 1A, the system 100 includes a respiratory conduit or a mixing device 120 configured to be coupled to mask and headgear assembly 102 and to a pressurized air supply device or CPAP device 130. The mask and headgear assembly 102 includes multiple straps 103 and a mask 104. The multiple straps 103 secure the mask 104 to the face of patient 101 so that there is a substantially sealed connection between the mask and the patient's breathing airway (e.g., nose or mouth). The sealed interface or connection prevents uncontrolled leakage of air or gases from openings that may occur between the patient's face and the mask. In the exemplary embodiment of FIG. 1A, one or a plurality of straps 103 are placed over upper and lower portions of the patient's head. As understood by one of ordinary skill in the art, other ways of securing the mask 104 to the patient 101 are encompassed herein. A pressurized and/or nonpressurized gaseous substance (including air, gas, etc.) generating device, e.g., the CPAP device 130, can be used with the therapeutic breathing system.

[0061] The mask 104 is a sealed orofacial non-invasive ventilation mask. For example, the mask 104 can be a Mirage NV Full Face Mask with adjustable VELCRO® strap headgear, as manufactured by ResMed Corp., Poway, Calif. A full-face mask can be used to cover both the nose and the mouth. This design eliminates mouth leak, permitting therapy for patients who breathe through the mouth and/or the nose. As can be understood by one of ordinary skill in the art, other types of masks can be used, such as a nasal mask, an oral mask, an orofacial mask, a nasal prong device, an intra-oral device, an endotracheal tube, or any other device.

[0062] The mask 104 includes a mask valve 105. The mask valve 105 can be a female Luer fitting that includes an orifice 136 and that attaches to one of the existing Luer ports on the mask 104. The orifice 136 can be drilled, punctured, or created by any other methods. The mask valve 105, through orifice 136, allows escape of gas (e.g., CO₂) exhaled by the patient. Alternatively, the mask 104 does not include the mask valve 105. Instead, a first valve 108 is placed on the mixing device 120, substantially adjacent to the mask 104. In one example, the orifice 136 has a fixed size. This design allows a certain volume of air to escape from the mask valve 105 per unit of time. In another example, the orifice 136 has a variable size, which can be altered depending on the amount of air intended to be allowed to escape from the mask valve 105. In one example, the orifice 136 permits air flow of 0.5-6 liters per minute, when the mask is pressurized by the CPAP machine 130 at a specific pressure. This pressure can be equal to the patient's CPAP pressure prescription.

[0063] Referring back to FIG. 1A, the mixing device 120 includes a first valve 108, a first volume 111, a second valve 112, a second volume 113, a third valve 114, and a connector volume 115. The first valve 108 includes an orifice 131. The second valve 112 includes an orifice 133. The third valve 114 includes an orifice 135. As can be understood by one having ordinary skill in the relevant art, the mask valve 105 can be the first valve 108. The mask valve 105 can be included or absent from the mask 104. Also, the first valve 108 can be placed on the mask 104 instead of the fitting 139.

[0064] As shown in FIG. 1A, a fitting 139 incorporates the first valve 108. The fitting 139 is coupled to the mask 104 and the first volume 111. The second valve 112 is coupled to the first volume 111 and the second volume 113. The third valve 114 is coupled to the second volume 113 and connector volume 115. The connector volume 115 is coupled to the pressurized air/gas generating device 130.

[0065] The fitting 139 further includes fittings 122 and 124 through which it is coupled to the mask 104 and first volume 111, respectively. The fittings 122, 124 can be standard type fittings having 22 mm outside diameter ("o.d."). To allow proper connection to the fitting 139, the first volume 111 can be a standard 22 mm inside diameter ("i.d.") respiratory hose.

[0066] Further, the fittings 122, 124 can be of a swivel type to permit rotation of the fitting 139 to accommodate various positions and orientations of the mixing device 120 and provide substantially leak proof connection. Otherwise, fitting 139 can be a straight fitting or a bent fitting, for example a fitting with two 22 mm o.d. ends and a 90-degree bend. The first valve 108 provides an air flow of 0.5 to 6 liters per minute when the system 100 is pressurized by the CPAP machine 130 at a given pressure equal to the patient's CPAP pressure prescription. Fittings 126, 128 (coupling second valve 112 to first volume 111 and second volume 113, respectively) and fittings 132, 134 (coupling third valve 114 to second volume 113 and connector volume 115, respectively) can be similar to fittings 122, 124.

[0067] The first volume 111 can be a standard 22 mm i.d. respiratory hose and can have an internal volume of 100-400 ml depending on the desired increase in the patients' arterial CO₂. The hose can be a conventional hose with rubber cuffs as used with CPAP machines; it can be a corrugated disposable respiratory hose, or it can be any other hose appropriate for connecting mask 104 to a fitting 126.

[0068] As stated above, the second valve 112 includes a straight connector incorporating the orifice 133 that can have a fixed size. Alternatively, the orifice 133 has a variable size. This connector can be plastic and have 22 mm o.d. ends suitable for connection to the first volume 111 and second volume 113. Further, the orifice 133 location in the connector is such that it is not obstructed by lying on a surface (e.g., a bed). A groove in the fitting containing the second valve 112 can be created to prevent any obstructions. The orifice 133 permits airflow of 3-8 liters per minute when it is pressurized by the CPAP machine 130 at a given pressure equal to the patient's CPAP pressure prescription.

[0069] The second volume 113 is substantially identical in type to the first volume 111. The second volume 113 can have a total volume of 100-400 ml.

[0070] The third valve 114 incorporates the orifice 135, which can be variable or fixed. The third valve 114 can be a straight connector, as shown in FIG. 1A. The connector can be plastic and have 22 mm o.d. ends suitable for connection to the first volume 113 and connector volume 115. The orifice

135 location in the mixing device **120** is such that it is not obstructed by lying on a surface (e.g., a bed). A groove in the fitting containing the third valve **114** can be created to prevent any obstructions. The orifice **135** permits airflow of 15-30 liters per minute when it is pressurized by the CPAP machine **130** at a given pressure that is equal to the patient's CPAP pressure prescription.

[0071] The connector volume **115** can be substantially identical in type to the first volume **111** and second volume **113**. The length of the connector volume **115** can be set to accommodate placement of the CPAP machine **130** in relation to the patient **101**.

[0072] Each one of the orifices **131** (or alternatively **136**), **133**, and **135** is configured to allow escape of air at a specific rate when the pressurized air supply device **130** is operated at a specific pressure. Depending on the concentration of gas in the air flowing through each of the orifices, the gas will be escaping through each orifice at a specific rate. The orifices can be fixed, variable, or a combination of fixed and variable sized orifices can be used. As can be understood by one having ordinary skill in the art, varying locations and/or numbers of fixed and variable orifices can be used as desired. This allows a predetermined amount of air and gas (depending on the concentration of the gas in such air) to escape from the orifices in case of fixed orifices' sizes or a variable amount of gas to escape from the orifices in case of variable orifices' sizes. Further, in case of variable orifices, their sizes can be manually or dynamically controlled. When orifice sizes are manually controlled, a patient, a clinician, or someone else can control the size of the orifice and, thus, the amount of gas allowed to escape from the orifice. When orifice sizes are automatically controlled, their sizes can be adjusted automatically based on an amount of gas exhaled by the patient, amount of gas escaping from each specific orifice, amount of gas contained in the volume connectors **111** and **113**, patient physical parameters (such as blood pressure, body mass, age, etc.) and/or other factors.

[0073] The sizes of orifices **131**, **133**, **135** and three volumes **111**, **113**, **115** can be preliminary determined using an algorithm based on patient's estimated high and low V_{CO_2} (rate of production of CO_2 in ml per minute) as directly measured during sleep. Alternatively, the patient's estimated high and low V_{CO_2} can be derived from patient's body mass or any other physiological or demographic variable or combination of variable. The sizes of volumes and orifices are adjusted during a polysomnographic study in a clinic, hospital, laboratory, or any other facility that is equipped with CO_2 monitoring equipment. Based on the adjustment, a final combination of orifices and volumes is determined. This combination establishes a first respiratory plateau (See, FIG. 3, segment **306**) at or below a value of V_{CO_2} equal to the minimum estimated CO_2 production per minute expected to occur during sleep and a second respiratory plateau (See, FIG. 3, segment **310**) at or above a value of V_{CO_2} equal to the maximum estimated CO_2 production per minute expected to occur during sleep.

[0074] The respiratory conduit **120** is rotatably coupled to the mask **104** and the CPAP device **130**. This arrangement allows the conduit **120** to rotate if the patient turns during sleep. As can be understood by one of ordinary skill in the art, the rotatable connection can be sealed to prevent any leaks during operation of system **100**.

[0075] Referring to FIG. 1B, the conduit **120** includes an anti-asphyxiation valve **118** and any number of auxiliary

valves **116** that can assist a patient during breathing. In the FIG. 1B example, the anti-asphyxiation valve **118** and the auxiliary valve **116** are placed in the fitting **139**.

[0076] The auxiliary valve **116**, when opened, provides a flow of air through the mixing device **120** sufficient to provide substantial washout of the exhaled CO_2 from the mixing device **120**. In one example, the patient **101** can operate the auxiliary valve **116** in order to provide CO_2 washout until patient **101** is resting comfortably. The auxiliary valve **116** can be closed manually by the patient **101** or automatically after a certain period of time elapsed.

[0077] The anti-asphyxiation valve **118** opens when the operating pressure of the CPAP machine **130** falls below a predefined value (i.e., CPAP machine **130** fails to provide adequate pressure). When the latter occurs, the anti-asphyxiation valve **118** opens and allows the patient **101** to breathe ambient air through the valve **118**. Hence, the valve **118** prevents asphyxiation of the patient in the event of failure of the CPAP machine **130**.

[0078] Additionally, the mixing device **120** includes a water condensation collection device that collects moisture from the patient's breaths. This prevents undesirable accumulation of moisture within the mixing device **120**.

[0079] In one example, it may be determined that a male patient with a body mass of 100 kg and a CPAP prescription of 15 cm H_2O may require the following configuration of orifices and volumes:

Orifice 131	3 liters per minute
First volume 113	350 ml
Orifice 133	5 liters per minute
Second volume 115	400 ml
Orifice 135	22 liters per minute

[0080] FIG. 2A illustrates an exemplary setup **200** for a polysomnographic and/or titration study of a patient. The setup **200** includes a CO_2 monitor **204**, a computing device **206**, variable area flow meters **202** (*a*, *b*, *c*) having needle valve controls, a CPAP machine **212**, a switchable manifold **208**, tubing **210** (*a*, *b*, *c*), a conduit **218**, and an orofacial mask **214**.

[0081] The mask **214** is similar to **104** shown in FIGS. 1A and 1B. The CPAP machine **212** is similar to the CPAP machine **130**. Also, the conduit **218** is similar to the mixing device **120**. The conduit **218** connects mask **214** and CPAP machine **212**. The conduit **218** is also connected to tubing **210** (*a*, *b*, *c*). The conduit **218** includes a first volume **211**, a second volume **213**, and a connector volume **215**, which are similar to the volumes **111**, **113**, and **115**, respectively. The tubing **210a** connects orifice **131** (not shown in FIG. 2A) a flow meter **202a**. The tubing **210b** connects orifice **133** (not shown in FIG. 2A) to a flow meter **202b**. The tubing **210c** connects orifice **135** (not shown in FIG. 2A) to a flow meter **202c**. The tubing **210** (*a*, *b*, *c*) can be $\frac{3}{8}$ inch i.d. Tygon tubing. The tubing **210** (*a*, *b*, *c*) can be glued, cemented, or otherwise securely fastened to the orifices **131**, **133**, **135** and flow meters **202** (*a*, *b*, *c*), respectively.

[0082] Further, the conduit **218** is configured to vary volumes **213** and **215** using movable pistons or cylinders (shown in FIG. 2C) located inside the volumes **213** and **215**. The cylinders can be sealed using o-ring clamps (shown in FIG. 2C). FIG. 2C illustrates a portion of the conduit **218** having a cylinder/piston **236** placed in the conduit's interior **234**. The cylinder/piston **236** is able to move back and forth as shown

by the bi-directional arrow A. The movement increases or decreases dead space volume 232. The cylinder/piston 236 is secured by an O-ring clamp 238. This cylinder/piston 236 arrangement can be placed in either or all volumes 211, 213, and 215. The volumes can also include graduation scales (not shown in FIGS. 2A, 2C) to adjust the dead space volume 232 to a specific value.

[0083] Referring back to FIG. 2A, the output sides of the flow meters 202 (a, b, c) are coupled to switchable manifold 208, which allows measurement of CO₂ content in the air flowing from anyone of or a combination of the variable flow meters 202 (a, b, c) by the monitor 204. The monitor 204 is connected to the computing device 206, which collects the data. The data is used to adjust the rates of airflow through each of the flow meters 202 and the sizes of the volumes, as described with respect to FIGS. 1A, 1B and 3-9.

[0084] Referring now to FIG. 2B, in one embodiment, the mixing device 120 includes a first orifice 131 connected and in fluid communication with a first control tube 161 and terminating in a first variable flow control valve 162, a first volume 111 that includes the collective interior volume of a gain chamber 163, a second orifice 133 connected and in fluid communication with a second control tube 164 and terminating in a second variable flow control valve 165. A controller 166 is provided that houses the variable flow control valves 162, 165, the computer interface 206, and the CO₂ monitor 204. A means for establishing airflow through the mixing device 120 is shown as a pressurized air supply device 130 or CPAP. Alternately, rather than introducing pressure to the mixing device 120, airflow through the mixing device 120 may be established by providing a vacuum pump that is connected to the outlet ends of the variable flow control valves 162, 165.

[0085] The second control tube 164 may preferably have its inlet located centrally on the interior of the gain chamber 163. Further the inlet of the second control tube 164 may be a length of perforated tubing such that the gasses entering the second control tube inlet are an accurate representation of the gasses within the gain chamber 163 itself.

[0086] In combination, the orifices 131 and 133 are configured to allow a specific, measured rate of escaping gases based on the rates of the supply air flow (either via pressure or vacuum) and the predicted metabolic rate of the patient. In an initial set-up for example, the flow of gasses out of the orifices 131 and 133, via control tubes 161 and 164 as controlled by control valves 162 and 165 may be set for example at an outflow of 4 l/min. The outflowing gasses are monitored at the CO₂ detector 204 such that the CO₂ output is preferably maintained at 1% of the mixed gas output. More preferably, the process variable in terms of percentage CO₂ output is monitored via the second control tube at the gain chamber 163. Should the CO₂ output vary beyond a predetermined range, the controller 166 adjusts the flow at control valve 162 to either increase or decrease the outflow of gasses until the CO₂ output returns to the set range.

[0087] In the case of CSR such an adjustment is made on a gradual basis as the metabolism of the patient changes instead of on a breath by breath monitoring. However, certain disordered breathing conditions result in random breathing rhythms such that the present invention can operate to clamp each breath of the patient as well.

[0088] As can be understood by one having ordinary skill in the art, varying locations and/or numbers of fixed and variable orifices can be used as desired. This allows a predetermined

amount of air and gas (depending on the concentration of the gas in such air) to escape from the orifices in case of fixed orifices' sizes or a variable amount of gas to escape from the orifices in case of variable orifices' sizes. Further, in case of variable orifices, their sizes can be manually or dynamically controlled. When orifice sizes are manually controlled, a patient, a clinician, or someone else can control the size of the orifice and, thus, the amount of gas allowed to escape from the orifice. When orifice sizes are automatically controlled, their sizes can be adjusted automatically based on an amount of gas exhaled by the patient, amount of gas escaping from each specific orifice, patient physical parameters (such as blood pressure, body mass, age, etc.) and/or other factors.

[0089] The initial outflow allowed by control valves 162, 165 and the combined volume of the tubing 111 and the gain chamber 163 can be preliminary determined using an algorithm based on patient's estimated high and low V_{CO_2} (rate of production of CO₂ in ml per minute) as directly measured during sleep. Alternatively, the patient's estimated high and low V_{CO_2} can be derived from patient's body mass or any other physiological or demographic variable or combination of variable. The sizes of volumes and orifices are adjusted during a polysomnographic study in a clinic, hospital, laboratory, or any other facility that is equipped with CO₂ monitoring equipment. Based on the adjustment, a final combination of flow control setting and volume is determined. This combination establishes a first respiratory plateau (See, FIG. 3, segment 306) at or below a value of V_{CO_2} equal to the minimum estimated CO₂ production per minute expected to occur during sleep and a second respiratory plateau (See, FIG. 3, segment 310) at or above a value of V_{CO_2} equal to the maximum estimated CO₂ production per minute expected to occur during sleep.

Method of Treatment and Titration of a Patient

[0090] Initially, a nightly CO₂ excretory profile of a patient during sleep is determined. This profile is determined by measuring a total amount of CO₂ production by the patient during a diagnostic overnight polysomnographic study. Such profile contains information about high, low and mean levels of CO₂ production during sleep. Prior to a trial fitting of the device (See, FIGS. 1A-2) on a patient, the collected data along with other patient physiological data and desired therapeutic results are used to generate a simulation model, which provides a best estimate of a configuration of volumes and orifices to be used during treatment. During a subsequent polysomnographic titration study the device is fitted on the patient, an initial CPAP pressure is selected and an actual CO₂ flow through each of the orifices is measured at the predetermined air flow rate. The orifice sizes are adjusted (either manually or automatically) so that the CO₂ flow through or escape from each orifice equals a desired value depending on an intended relationship to the patient's CO₂ excretory profile. The volumes' sizes are also adjusted (whether manually or automatically). This depends on whether patient's mean amount of arterial CO₂ diverges from the desired level. The adjustment of sizes can be done by physically substituting volume hoses of known size. Alternatively, a cylinder/piston arrangement (shown in FIG. 2C) can be inserted into each of the volumes to manually or automatically decrease or increase the interior spaces of the volumes based on the obtained data and desired values. In the event that it is nec-

essary to change the starting CPAP pressure, the procedure of measuring and adjusting can be repeated to return to a specific desired result.

[0091] At the end of the titration study, a final configuration of CPAP pressure, volumes and airflow through each of orifices is recorded. A custom-built conduit/mixing device (as shown in FIGS. 1A-2C) can be manufactured according to these specifications and dispensed to a patient for use. As can be understood by one having ordinary skill in the art, various configurations of orifices and volumes are possible.

[0092] The device and therapeutic system is tailored to each individual patient. Initially, the patient is referred to an appropriate sleep diagnostic facility. In the facility, a clinician orders an evaluation of a patient for possible respiratory instability. Certain modifications and enhancements are optionally made to the usual overnight polysomnographic study, described above. These modifications can include additions of end-tidal CO₂ monitoring and calibrated nasal pressure measurement. Alternatively, instead of nasal pressure, another highly accurate means of determining airflow through the patient's nose and mouth can be utilized, including wearing a respiratory mask with an attached flow sensor. The capnography **600** waveform (See, FIG. 6) and flow signals are recorded throughout the night and stored in the polysomnographic recording system. As a result of the study, either in real time or a post-study process, a patient's minute CO₂ volume (V_{CO_2}) versus time, i.e., a rate of CO₂ excretion during sleep, is derived by multiplying the sum of the rates of airflow through the orifices and the airflow meters and the percentage of CO₂ in the air, as measured by the end-tidal CO₂ monitor. The patient's CO₂ excretion profile is determined using a number of commercially available analytic packages, such as DASYlab, manufactured by National Instruments Corporation of Austin, Tex.

[0093] The interpreting clinician inspects the evolution of V_{CO_2} during the course of the night and determines the predicted low, mean, and high V_{CO_2} targets for which the device should be configured. The clinician also inspects the end-tidal CO₂ waveform itself to evaluate the evolution of arterial CO₂ and to determine to what degree the patient will require overall CO₂ support in order to reach a target mean arterial CO₂ level during the night. The clinician then again refers the patient for a titration study using the present invention.

[0094] Prior to the titration study, the polysomnographic technician will obtain certain demographic and physical information about the patient in order to establish a starting configuration. For example, age, sex, body mass, arterial CO₂ level, estimated CPAP prescription, and actual and target end-tidal CO₂ values are collected. This information is then used to make an estimate of a probable optimal configuration of orifices and volumes. Patient's age, sex and body mass are used to derive a probable low, mean, and high value for sleeping V_{CO_2} based on at least studies of multiple patients. Then, V_{CO_2} values are used to set target flow rates for the orifices and determine the size of the orifices based on flow rates through each orifice under pressure. The size of the first dead space volume **111** is estimated based on the desired target end-tidal CO₂. Finally, a minimum size for the third orifice **115** is estimated. This permits a washout of any over-flow CO₂.

[0095] After the study is completed, the patient can be provided with a home-use device that is similar to the system **100** shown in FIG. 1. Alternatively, the patient can be sched-

uled for treatment at a clinic using the system of present invention. The device is capable of the following exemplary functions

[0096] (i) measuring an airflow through each ventilatory orifice **131**, **133**, **135** individually (conventional gauges can be used as variable area flow meters or electronic flow meters coupled to an input/output device, e.g., a computer, can be used to measure the airflow);

[0097] (ii) detecting CO₂ content in airstreams stemming from each orifice **131**, **133**, **135** and transmitting the collected content data to an input/output device, e.g., a computer;

[0098] (iii) adjusting airflow through (or escaping from) each of the orifices **131**, **133**, **135** using valves (the valves can be operated manually or automatically);

[0099] (iv) adjusting sizes of the two dead space volumes by disconnecting and connecting hoses of various lengths (alternatively, variable volume devices can be incorporated, which permit altering the dead space volumes without changing hoses; the variable volume devices can be nested cylinders sealed with O-rings that can slide in and out); and

[0100] (v) computing and displaying a rate of flow of CO₂ through each of the orifices (this function can be performed by any computing device having an appropriate data acquisition peripheral device running on software, such as DASYLab, which permits acquisition of both the CO₂ and flow data channels; a suitable display can be used to permit a clinician to observe flow of CO₂ through each orifice as the volumes are adjusted).

[0101] FIGS. 8 and 9 illustrate exemplary methods **800** and **900**, respectively, of controlling breathing of a patient in accordance with the above discussion and using the systems shown in FIGS. 1A-2C. Referring to FIG. 8, method **800** begins with step **802**. In step **802**, the amount of CO₂ generated by the patient is determined (high, low and mean values of CO₂ production per minute by the patient are measured). Then, the processing proceeds to step **803**, where the end-tidal CO₂ tracing for the night is inspected to determine the magnitude of a desired increase in the mean arterial CO₂ during therapy. In step **804**, the optimum CPAP pressure likely to treat any existing obstructive apnea is determined. Then, in steps **805** and **806**, a preliminary configuration of the system **100** is determined using the data gathered in steps **802-804**. To configure the system, a computer simulation of the performance of the system under various assumptions can be used. Alternatively, empirically determined values for the orifices and volumes that are a function of the data gathered in steps **802** and **804** in addition to patient's physiological and/or demographic data can be used. In step **806**, a rate of flow and concentration of gas at each of the multiple controllable openings is measured. In step **807**, patient's arterial CO₂ level is measured. Then, in steps **808-809** the sizes of the orifices, volumes, and optionally CPAP pressure are adjusted. Steps **808-809** can be repeated until a specific configuration of orifices, volumes and CPAP pressure is reached.

[0102] Referring to FIG. 9, method **900** begins with step **902**, where airflow through each of the ventilation orifices is measured. In step **904**, the content of CO₂ in the airflow, measured in step **902**, is determined. The method then proceeds to step **906**. In step **906**, the airflow is adjusted through each of the multiple ventilation orifices based on the detecting, performed in step **904**. In step **908**, the sizes of the dead space volumes are adjusted also based on the detecting of step **904** as well as the adjustment of the multiple ventilation orifices performed in step **906**.

[0103] As can be understood by one having ordinary skill in the art, the above methods can be applied in a laboratory setting, a hospital, a clinic, at patient's home, or any other facility.

[0104] FIG. 3 illustrates a relationship **300** between the various dead space volumes and orifices which permits an extensive modeling of the rate of excretion of CO₂ (V_{CO_2}) by the patient with respect to various rates of ventilation (V_E). In an embodiment, the present invention includes two dead space volumes **111** and **113** and three ventilation orifices **131**, **133**, **135** that cause various changes in the slope of FIG. 3.

[0105] In FIG. 3, curve **302** represents a nightly CO₂ excretion profile of a patient which is overlaid on the plot to illustrate the range of likely CO₂ excretion rates by the patient. Referring to FIG. 4, the horizontal axis of the plot represents time in minutes and the vertical axis represents a rate of production of CO₂ by a patient per minute, as measure in milliliters per minute (ml/min). Referring back to FIG. 3, the horizontal axis represents patient's rate of ventilation (V_E), measured in ml/min, and the vertical axis represents the rate of excretion of CO₂ (V_{CO_2}) by the patient in ml/min when the present invention's system is used. A typical relationship between these two quantities, when the present invention's system is not used, is defined as follows:

$$V_{CO_2} = (V_E - V_D) * (F_{ACO_2} - F_{ICO_2}) \quad (1)$$

where V_D is equal to the sum of the physiological and artificially added volumes of dead space multiplied by the respiratory frequency; V_E is equal to the total volume of air inspired and expired during each breath multiplied by the respiratory frequency, F_{ACO_2} is the partial pressure of dissolved CO₂ in arterial blood divided by an ambient air pressure; F_{ICO_2} is a fractional concentration of CO₂ in the air inspired by the patient. The function described in equation (1) is represented by a straight line that intersects a horizontal axis above zero.

[0106] Referring back to FIG. 3, the curve **320** describes a relationship between V_E and V_{CO_2} according to the present invention, and includes the following segments: hypoventilatory traverse segment **304**, first respiratory plateau segment **306**, eucapnic traverse segment **308**, second respiratory plateau segment **310**, and hyperventilatory traverse segment **312**. Each segment has a specific slope and length defined by the number and size of dead space volumes and orifices placed in the respiratory conduit as well as volume of CO₂ flowing through the dead space volumes and orifices. Thus, the number of segments varies with the number of dead space volumes and orifices in the conduit.

[0107] As shown in FIG. 3, the hypoventilatory traverse segment **304** is caused by the placement of the first orifice in the respiratory conduit. The slope of the segment illustrates a normal relationship between breathing and CO₂ excretion described in equation (1) until a saturation point is reached. The saturation point that corresponds to a maximum rate of CO₂ flow through the first orifice is represented as the junction of the segment **304** and segment **306**.

[0108] This hypoventilatory traverse describes a relationship between ventilation and CO₂ excretion while the patient is hypoventilating. At values of V_{CO_2} below the estimated minimum sleeping level, the relationship between V_E and V_{CO_2} is substantially unchanged from the normal physiological relationship. One of the destabilizing elements in unstable respiratory syndromes is the rapid accumulation of blood CO₂ during epochs of hypoventilation. Due to the inherent

time delay in executing the control loop, overshoot is inevitable when this happens and the accumulation will quickly result in blood CO₂ levels that are substantially above normal. The system described herein substantially minimizes any CO₂ build-up and provides sufficient ventilation to expel all exhaled CO₂ during hypoventilation immediately through the orifices. The size of the first orifice together with the configuration of the other orifices and dead space volumes as well as patient's respiratory parameters determines the value at which the relationship between V_{CO_2} and V_E begins to depart from normal values. The first orifice is sufficiently large to place this first inflection point in the curve **320** at or just below the minimum expected sleeping V_{CO_2} (See, FIG. 3).

[0109] The first respiratory plateau segment **306** represents the effect of placing a first dead space volume in the respiratory conduit. Once the first orifice reaches the saturation point, it does not matter how much the patient increases ventilation until such increase overcomes the first dead space volume by pushing expired CO₂ beyond the first dead space volume and past the second orifice. Hence, increases in ventilation do not result in any additional CO₂ excretion until this point is reached. The rate of ventilation at which the first dead space is overcome and CO₂ can flow from the second orifice is defined at the junction of the segment **306** and segment **308**.

[0110] This respiratory plateau includes a zone where increased respiration above the first inflection point in the curve results in virtually no increase in V_{CO_2} . This segment has a slope substantially near zero. The existence of this respiratory plateau is due to the fact that the first dead space volume is larger than the volume of gas that can be expelled through first orifice during the duration of a typical breath. The remaining volume of CO₂ is re-inhaled. Any additional CO₂ volume within the first dead space volume does not result in increased levels of excreted CO₂. The onset of an unstable respiratory cycle often commences with a progressive narrowing of the airway, resulting in decreasing V_E . The instability may further develop if decreases in V_E are accompanied by proportional decreases in V_{CO_2} . This gives rise to a build-up of CO₂ in the blood sufficiently rapid to cause "overshoot" before the brain can respond to the build-up. The existence of the first respiratory plateau serves to maintain CO₂ excretion at a steady level in the face of substantial decreases in V_E , thus, avoiding a rapid CO₂ build-up and preventing substantial "overshoot" as the brain has time to respond to the decrease in ventilation. When recovering from an epoch of low or no ventilation, the first respiratory plateau prevents the increase in CO₂ excretion from increasing proportionally to the increase in ventilation. In a similar fashion, this places an obstacle in front of excessive CO₂ blow-off that poses the possibility of "undershoot."

[0111] The first respiratory plateau segment **306** also permits the clinician to specify a mean arterial level of CO₂ for the patient during sleep. Since affected patients are typically at least slightly hypocapnic (i.e., having lower than normal CO₂ in arterial blood), it is desirable to reset their sleeping CO₂ levels to a value that is closer to normal. The length of the first respiratory plateau segment **306** determines blood CO₂ during therapy. Further, since the segment **306** is generated as a result of existence of the first dead space volume in the mixing device, increasing the size of the first dead space volume will raise blood CO₂ levels. The amount by which any such increase in volume will raise blood CO₂ levels can be calculated based on the patient's collected data.

[0112] The eupapnic traverse segment **308** represents placement of a second orifice or gain chamber in the respiratory conduit. Until this orifice is saturated (i.e., the point at which the concentration of CO₂ in the air flowing from the orifice reaches a maximum), increases in the rate of ventilation (V_E) result in increases in the rate of CO₂ excretion (V_{CO_2}). The saturation point of the second orifice is defined at the junction of the segment **308** and **310**.

[0113] Further, segment **308** represents the relationship between V_E and V_{CO_2} in the range of expected sleeping V_{CO_2} . Segment **308** is a straight line having a slope that is substantially less than that of the hypoventilatory traverse segment **304**. The slope of this relationship as it passes through the actual rate of CO₂ production by the patient at a given time establishes the conditions for respiratory stability. The slope is a variable in the relationship describing a closed-loop gain in the respiratory control feedback loop. Since the gain in the control becomes excessive in unstable respiratory syndromes, reducing the slope of the segment **308** in an immediate vicinity of a point where CO₂ production and excretion match (i.e., eupapnia) stabilizes respiration.

[0114] The slope of the eupapnic traverse segment **308** is governed by multiple variables, such as the first and second dead space volumes and sizes of the first and second ventilator orifices. The slope of segment **308** becomes shallower when larger dead space volumes are used and where the saturation points of the first and second orifices are closer together. The range of V_{CO_2} traversed is also determined by the size of the second orifice **133**. The measurement of patient's sleeping V_{CO_2} permits setting the first respiratory plateau segment **306** at the highest appropriate V_{CO_2} level and making the length of the eupapnic traverse segment **308** as short as possible. This achieves a shallow slope of the segment **308**.

[0115] The second respiratory plateau segment **310** is similar to the first respiratory plateau segment **306**, however, segment **310** represents placement of a second dead space volume in the respiratory conduit. The effects produced are similar to those discussed above with respect to segment **306**. The saturation point of the second dead space volume is defined at the junction of the segment **310** and **312**.

[0116] The second respiratory plateau segment **310** is disposed above the highest expected sleeping value of V_{CO_2} and functions in a manner similar to that of the first respiratory plateau segment **306**. It is also a line segment with a nearly zero slope and constitutes a zone where changes in V_E result in little or no change in V_{CO_2} . The length of the second respiratory plateau segment **310** is determined by the volume of the second dead space. It inhibits CO₂ excretion during hyperventilation, as sharp increases in ventilation result in little or no increase in V_{CO_2} .

[0117] The first and second respiratory plateaus segments **306**, **310** provide a powerful "ventilatory clamp." While V_{CO_2} can vary outside of the zone determined by the two plateaus **306**, **310**, it will do so in response to a very strong stimulus, e.g., a need to excrete CO₂ rapidly after a prolonged obstructive apnea.

[0118] The hyperventilatory traverse segment **312** represents placement of an "escape" valve or a third orifice in the respiratory conduit. The third orifice is larger than the other two orifices. This allows escape of CO₂ after saturation of the first and second orifices and dead space volumes. As can be understood by one having ordinary skill in the art, other

configurations of orifices and dead space volumes are possible, thus, resulting in a different graphical representation.

[0119] The hyperventilatory traverse segment **312** serves as a safety precaution in the event that it will be necessary to excrete CO₂ at a higher than expected rate, e.g., after a lengthy obstructive breathing event. Such excretion generates vigorous breathing at rates that are twice or more the normal rate of ventilation required to achieve such V_{CO_2} levels. Without the hyperventilatory traverse there is a risk of developing at least temporary respiratory acidosis under some circumstances. The hyperventilatory traverse is created by the third orifice **135**, which can be larger than orifices **131** and **133**. The size of the orifice **135** is determined by the ability of the CPAP machine **130** to maintain pressure at maximum flow rates likely to be encountered during treatment. In an embodiment, the orifice **135** is made as large as possible without overtaxing the CPAP machine.

[0120] FIG. 6 illustrates a tracing **600** the concentration of CO₂ in the air flowing out of all of the orifices of the system together over the course of eight breaths. In this tracing the system is correctly adjusted and a characteristic "hip" **612** develops in the waveform. The existence of this hip is due to the elimination of all exhaled CO₂ from the second dead space at a point in the breathing cycle and thus a cessation of all CO₂ flow through the second orifice. Since significant CO₂ remains in the first dead space and in fact the first orifice remains saturated for a further period of time, the flow of CO₂ remains briefly at the level of the hip until the first dead space is fully exhausted. The lack of a hip is an indication that the first orifice is too large and the emergence of a second hip is an indication that the first and second orifices taken together are too small. Thus, the system may be tunable with reference to the morphology of this waveform.

[0121] FIGS. 10-11 illustrate tracings of a heart rate (respective upper portions of the figures) and blood oxygen saturation levels (respective lower portions of the figures) for a patient during a night. The segments of the heart rate tracings containing dense spikes indicate disturbed or fragmented sleep due to frequent arousals originating from a respiratory anomaly. The segments of the heart rate tracings not containing frequent spikes indicate restful or consolidated sleep. FIGS. 10 and 11 illustrate that the affected patient actually gets very few and short periods of consolidated sleep during the night using conventional methods and systems for controlling breathing.

[0122] FIG. 12 illustrates tracings of heart rate and blood oxygen saturation using the systems and methods discussed in FIGS. 1A-9. The device substantially resolved the frequent arousals, permitting long periods of restful, consolidated sleep. This results in an improvement of symptoms and is indicated by the existence of far fewer spikes in the heart rate tracings, as well as a virtually fixed oxygen tracing. Further, the system described herein has increased the patient's blood oxygen saturation to a level nearly the same as that in FIG. 10, where three liters per minute of supplemental oxygen were being given. The oxygen levels indicated in FIG. 12 were achieved using only the system and no supplemental oxygen. These data indicate that the therapeutic system effectively and reliably eliminates arousals caused by breathing anomalies while maintaining very favorable blood oxygen levels. This provides substantial symptomatic relief to affected patients.

[0123] In an exemplary setting, the present invention allows for 2-2.5% improvement in oxyhemoglobin saturation

in a patient as compared to free breathing of ambient air. Since the oxyhemoglobin saturation curve is flat at its high end, this represents an important increase in available oxygen at the perfused tissues. Further, the present invention potentially obviates a need for supplemental oxygen in a number of medical settings. Also, by increasing oxygenation the present invention may reduce the sensitivity of the peripheral chemoreceptor, which causes most periodic breathing syndromes.

[0124] The present invention forces an increase in the depth of breathing and, thus, the overall rate of ventilation, since the first orifice is configured to saturate at a level that is insufficient to permit excretion of all CO₂ being produced by the patient. The patient breathes deeply enough to push CO₂ through the first dead space volume, so that CO₂ exits the device through at least the second orifice or at the gain chamber. By the time patient's inspiratory interval commences, the exhaled gas in various dead space volumes has been replaced with air and, thus, the concentration of oxygen in the inspired air is only slightly lower than that in the ambient air. Taking the two things together, the increase in breathing more than offsets the slight decline in oxygen content of inspired air (F_{IO₂}) to produce greater oxygen transport in the lungs. Conventional single proximal dead space produces a decrease in F_{IO₂} that more closely matches or exceeds the increase in ventilation and therefore, a frequent need for supplemental oxygen. This is because the dead space is filled with exhaled breath and remains filled until inhalation commences. Conventional single distal dead space neither increases ventilation nor decreases F_{IO₂} versus normal breathing, thus, there should be no change in oxygen saturation.

[0125] The present invention, as described with respect to FIGS. 1A-12, can be used in the following areas:

[0126] 1. Recovery from carbon monoxide poisoning. The systems and methods of the present invention speed up the rate of clearance of CO by three to five times relative to the conventionally available methods (e.g., giving oxygen).

[0127] 2. Prevention of hypocapnia during birth. Hyperventilation by the delivering mother is very common and cuts oxygen supply to the fetus substantially due to a sharp drop in CO₂. Low CO₂, or hypocapnia, inhibits oxygen transport in many ways. The present invention improves oxygen flow to the fetus during delivery.

[0128] 3. Recovery from altitude sickness/mountain climbing. The present invention systems and methods without use of the CPAP machine allows quick recovery from this condition.

[0129] 4. Recovery from ventilator dependency. It is often difficult to wean patients from ventilator dependency, which is a cause of death in a critical care setting. The present invention stimulates breathing and increases oxygenation of the patient allowing the patient to quickly recover.

[0130] 5. Recovery from anesthesia. This is similar to the recovery from ventilator dependency.

[0131] 6. Obviating the use of supplemental oxygen in certain chronic lung diseases. Chronic obstructive pulmonary disease is very common and requires expensive oxygen therapy. However, with the present invention there is no need to use such oxygen therapy.

[0132] 7. As can be understood by one having ordinary skill in the art, other uses of the present invention's systems and methods are possible.

[0133] Example embodiments of the methods, circuits, and components of the present invention have been described herein. As noted elsewhere, these example embodiments have been described for illustrative purposes only, and are not limiting. Other embodiments are possible and are covered by the invention. Such embodiments will be apparent to persons skilled in the relevant art(s) based on the teachings contained herein. Thus, the breadth and scope of the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

What is claimed:

1. A method for controlling the breathing of a patient comprising:

applying a patient interface in communication with the patient's airway;

providing a mixing device that extends from the patient interface, the mixing device comprising:

a first orifice connected to and in fluid communication with a first control tube and terminating in a first variable flow control valve;

a second orifice connected to and in fluid communication with a second control tube and terminating in a second variable flow control valve;

a first volume extending between said first and second orifices; and

monitoring exhaled gasses at an output of said first and second variable flow control valves via a controller;

adjusting one or both of said first and second variable flow control valves based on a change in said exhaled gasses detected by said controller.

2. The method of claim 1, wherein said controller includes a CO₂ detector said controller adjusting at least one of said first and second variable flow control valves in response to a reading at said CO₂ detector.

3. The method of claim 1, wherein said controller includes a CO₂ detector said controller predicting a metabolic rate of said patient based on the CO₂ concentration in said exhaled gasses said controller adjusting at least one of said first and second variable flow control valves in response to said metabolic rate.

4. The method of claim 1, said first volume comprising a gain chamber at said second orifice.

5. The method of claim 1, further comprising:

establishing an airflow through said mixing device.

6. The method of claim 5, wherein establishing airflow through said mixing device is done with a pressurized air supply device.

7. The method of claim 5, wherein establishing airflow through said mixing device is done with a vacuum pump.

8. The method of claim 1, wherein said controller monitors a process variable via the second control tube and adjusts the first control valve to increase or decrease the flow there-through until the process variable returns to a predetermined range.

9. The method of claim 8, wherein said process variable is CO₂.

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专利名称(译)	用于控制呼吸的方法和系统		
公开(公告)号	US20140238399A1	公开(公告)日	2014-08-28
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[标]申请(专利权)人(译)	THE周期性呼吸FOUND		
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[标]发明人	DALY ROBERT W		
发明人	DALY, ROBERT W.		
IPC分类号	A61M16/00 A61B5/083 A61M16/06 A61M16/08 A61M16/12 A61M16/20 A61B5/00		
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其他公开文献	US9878114		
外部链接	Espacenet USPTO		

摘要(译)

本发明涉及一种用于控制患者呼吸的方法和系统。用于控制患者呼吸的系统包括呼吸导管。呼吸导管被配置为耦合到患者接口设备，并且还配置为耦合到加压空气产生设备。呼吸导管包括至少两个气流控制装置，其定位在患者接口装置和加压空气产生装置之间，其协作以通过控制患者的呼吸来紧密控制患者血流中的CO₂水平。

