



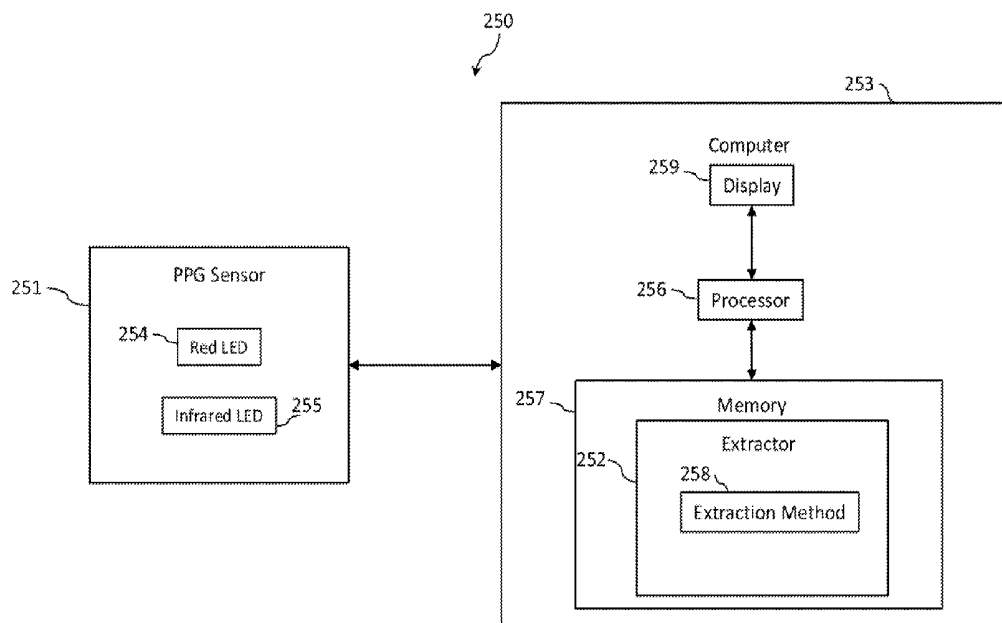
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(19) **United States**(12) **Patent Application Publication**
Yousefi et al.(10) **Pub. No.: US 2015/0282746 A1**(43) **Pub. Date: Oct. 8, 2015**(54) **METHODS AND SYSTEMS FOR
EXTRACTING VENOUS PULSATION AND
RESPIRATORY INFORMATION FROM
PHOTOPLETHYSMOGRAPHS****Publication Classification**(51) **Int. Cl.***A61B 5/1455* (2006.01)*A61B 5/00* (2006.01)*A61B 5/0205* (2006.01)(52) **U.S. Cl.**CPC *A61B 5/14551* (2013.01); *A61B 5/0205*(2013.01); *A61B 5/7203* (2013.01); *A61B**5/7239* (2013.01); *A61B 5/7278* (2013.01);*A61B 5/02433* (2013.01)(71) Applicant: **The Board of Regents, The University
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of Texas System, Austin, TX (US)**(21) Appl. No.: **14/740,795**(22) Filed: **Jun. 16, 2015****Related U.S. Application Data**(63) Continuation-in-part of application No. 14/595,866,
filed on Jan. 13, 2015.(60) Provisional application No. 61/926,773, filed on Jan.
13, 2014, provisional application No. 62/012,720,
filed on Jun. 16, 2014.

(57)

ABSTRACT

A system and method for separating a venous component and an arterial component from a red signal and an infrared signal of a PPG sensor is provided. The method uses the second order statistics of venous and arterial signals to separate the venous and arterial signals. After reliable separation of the venous and the arterial component signals, the component signals can be used for different purposes. In a preferred embodiment, the respiratory signal, pattern, and rate are extracted from the separated venous component and a reliable "ratio of ratios" is extracted for SpO₂ using only the arterial component of the PPG signals. The disclosed embodiments enable real-time continuous monitoring of respiration pattern/rate and site-independent arterial oxygen saturation.



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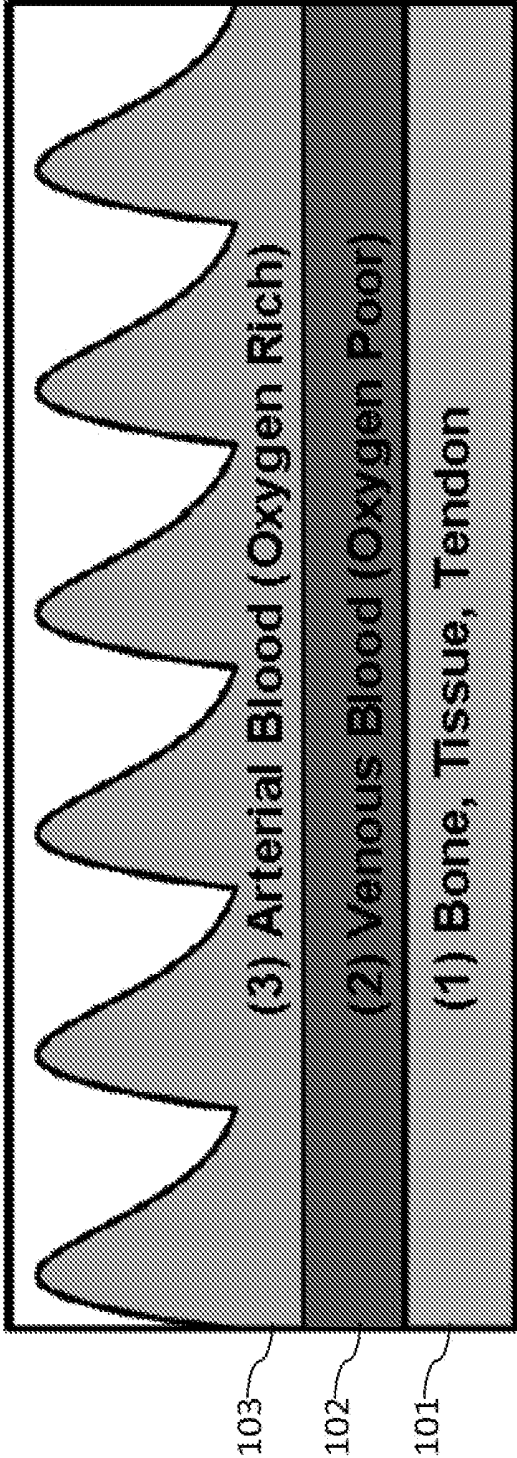


Fig. 1

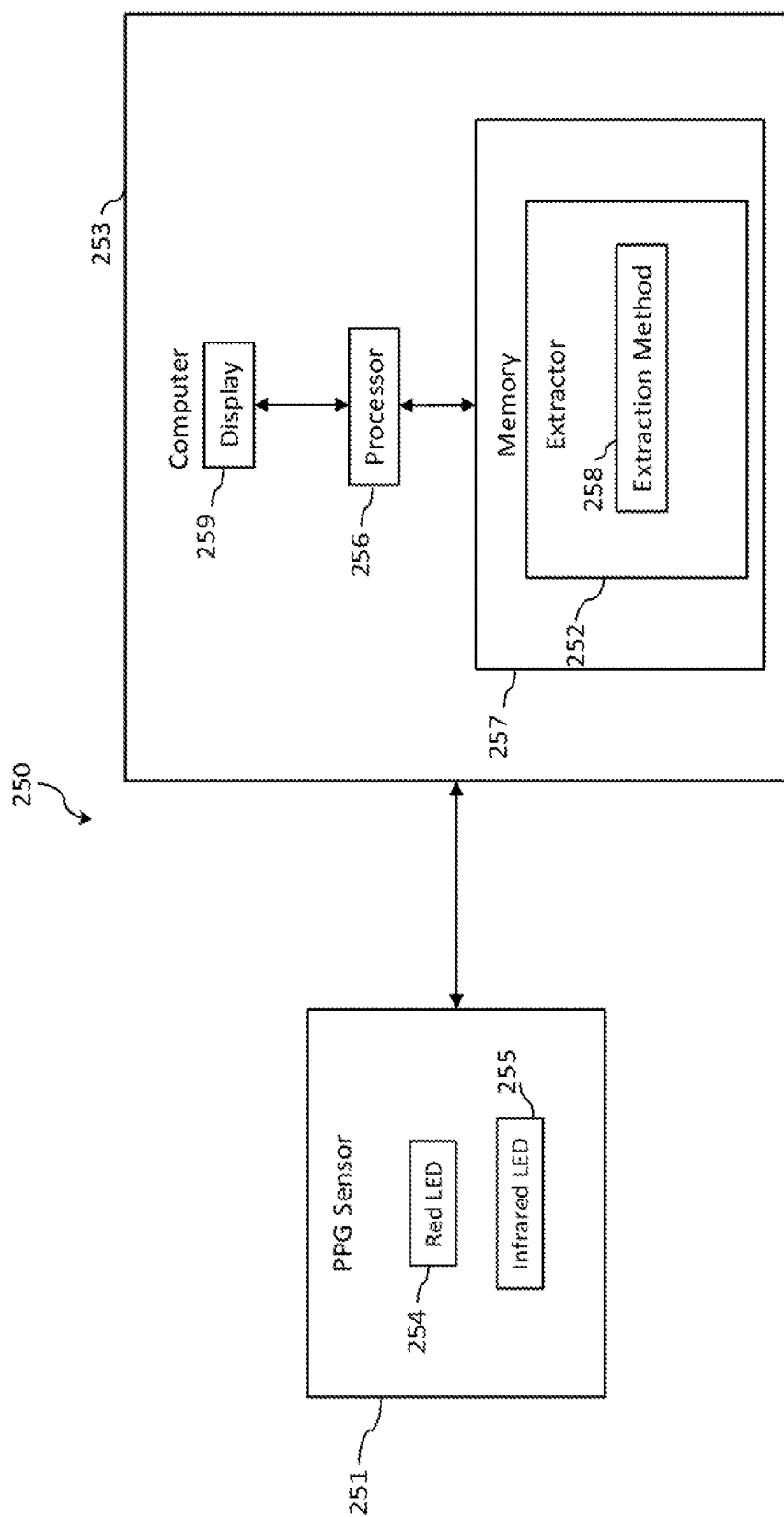


Fig. 2A

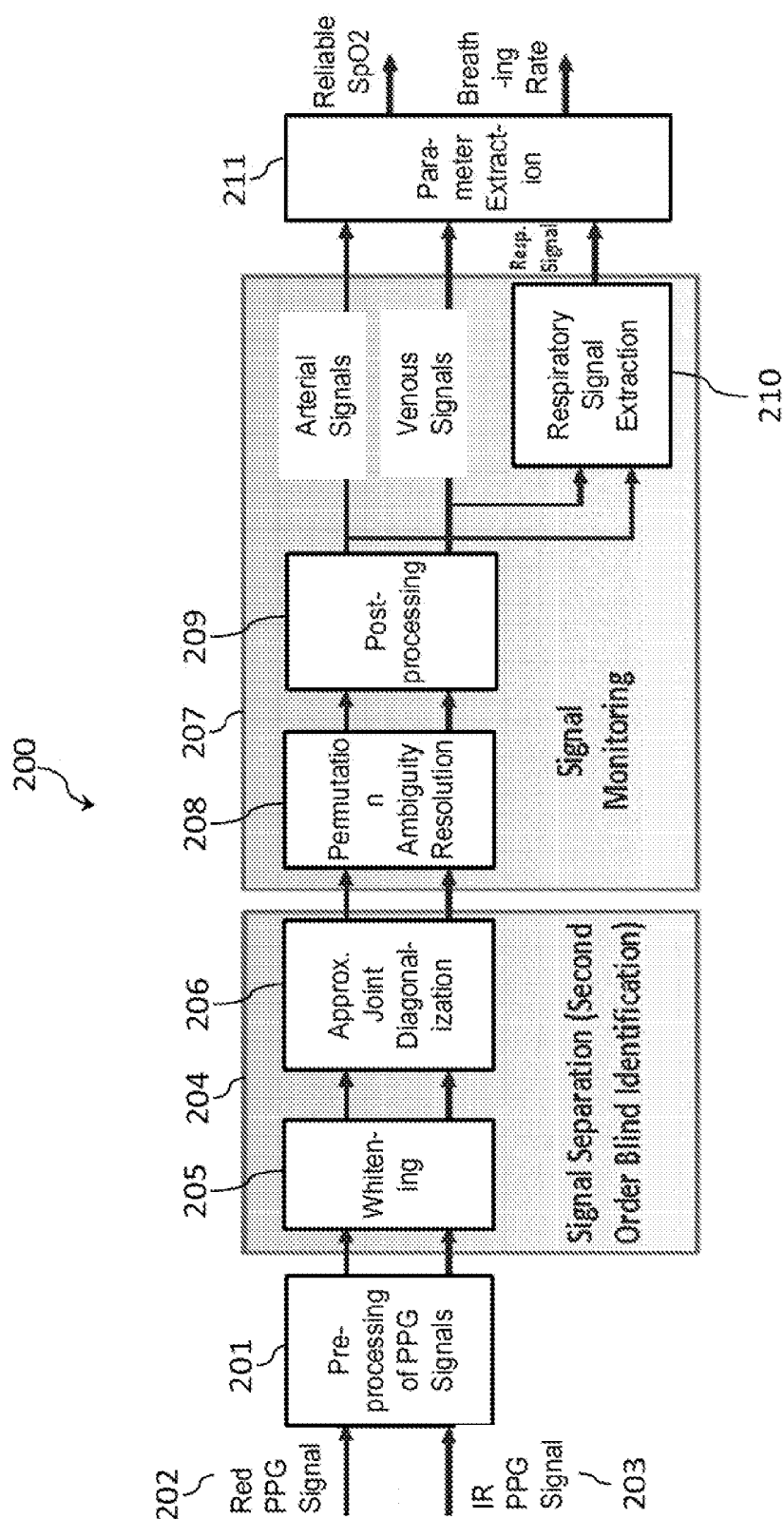


Fig. 2B

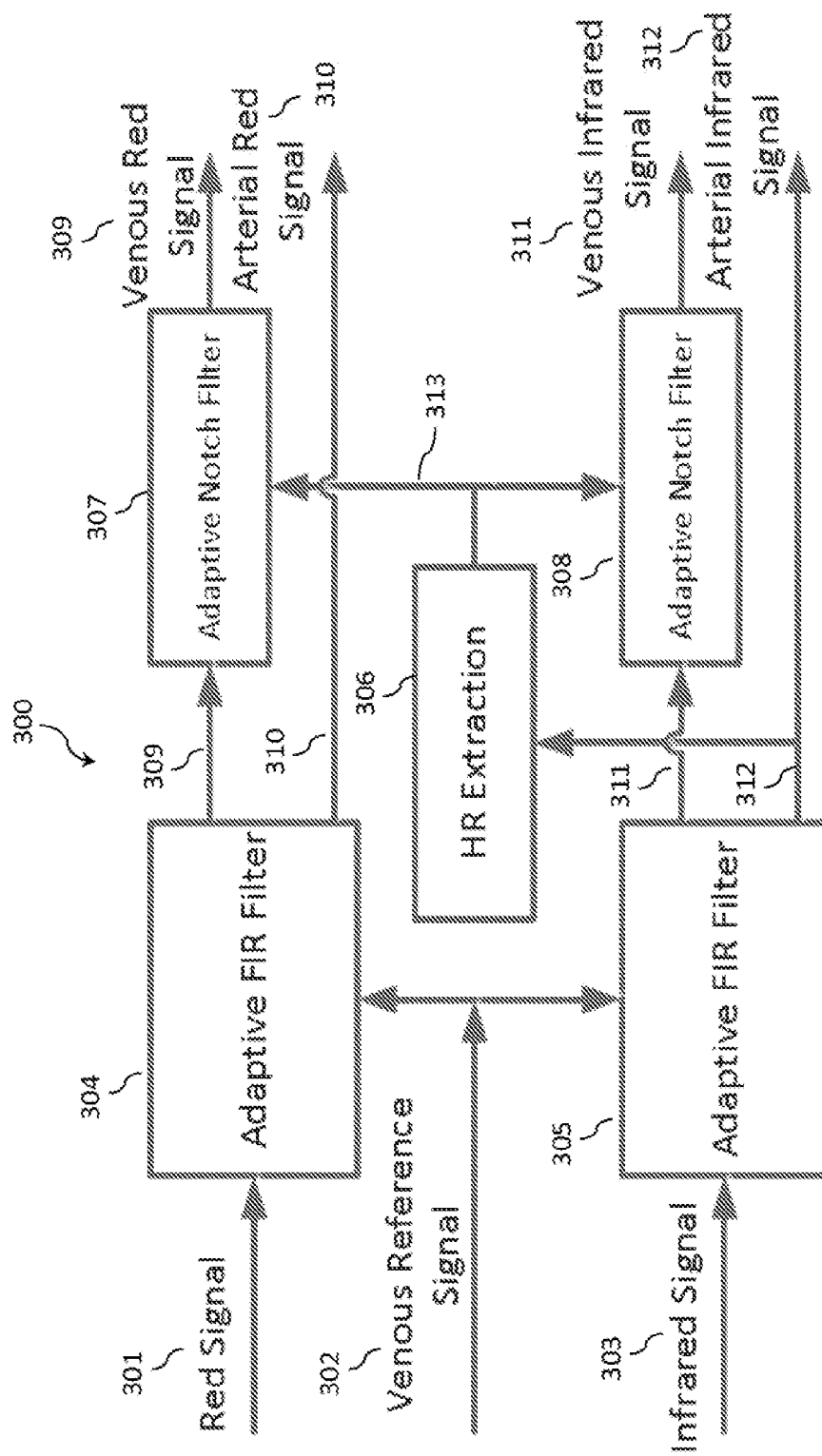


Fig. 3

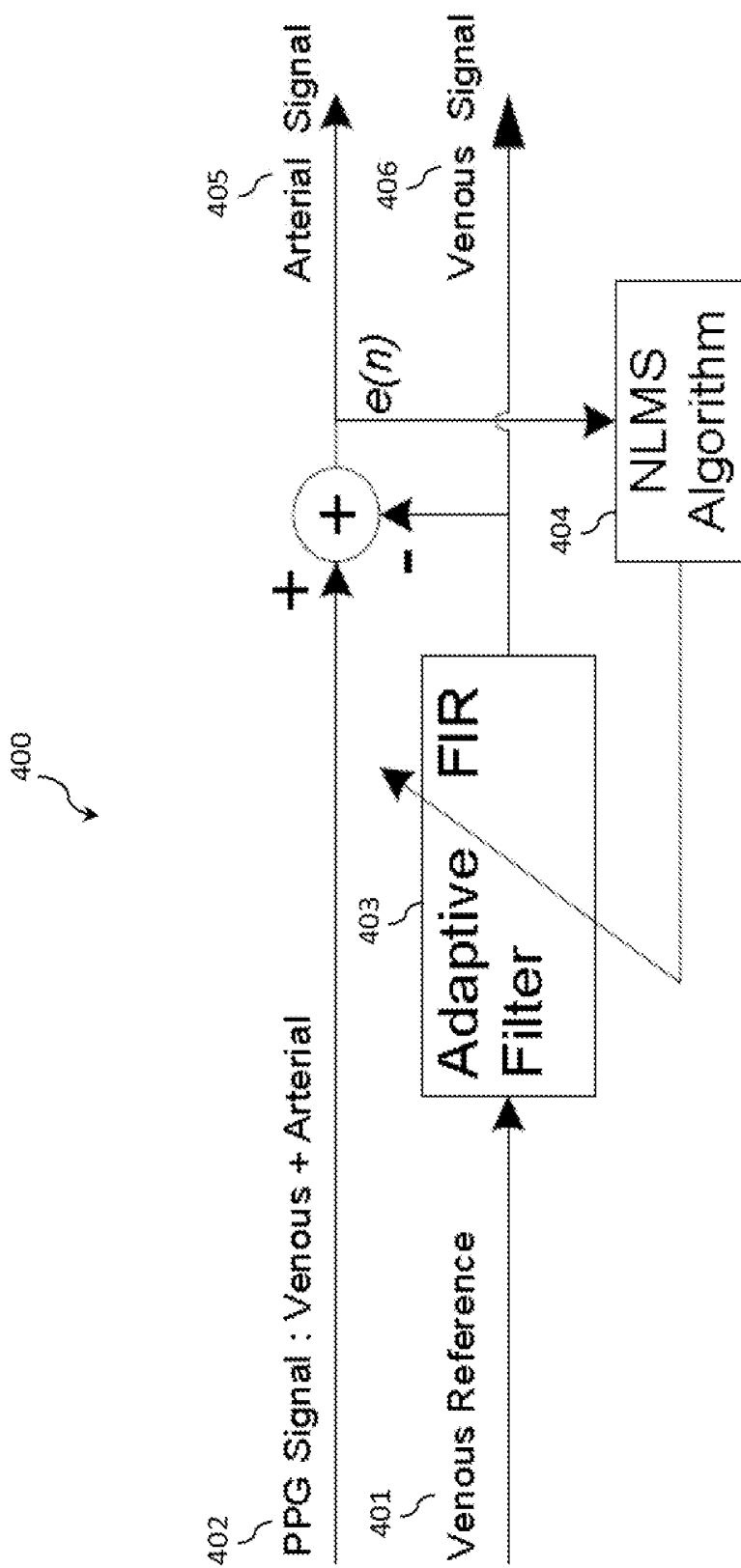
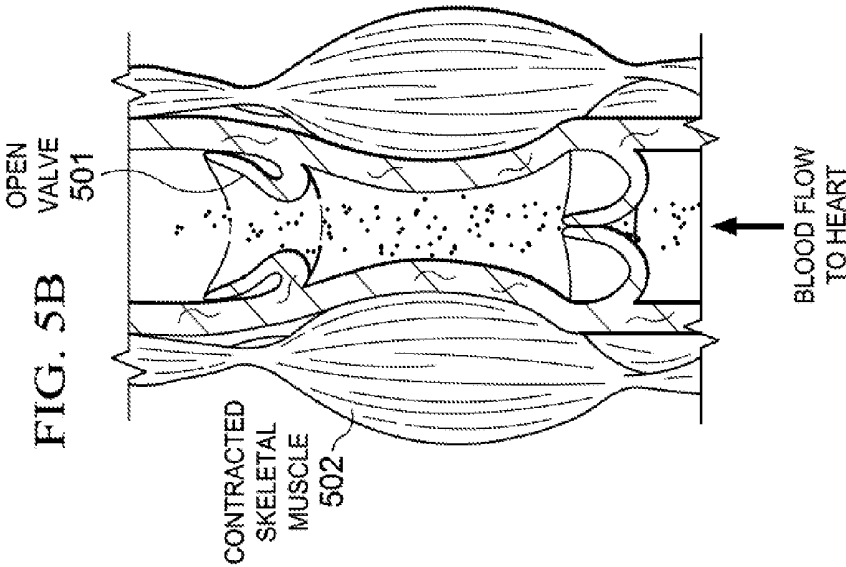
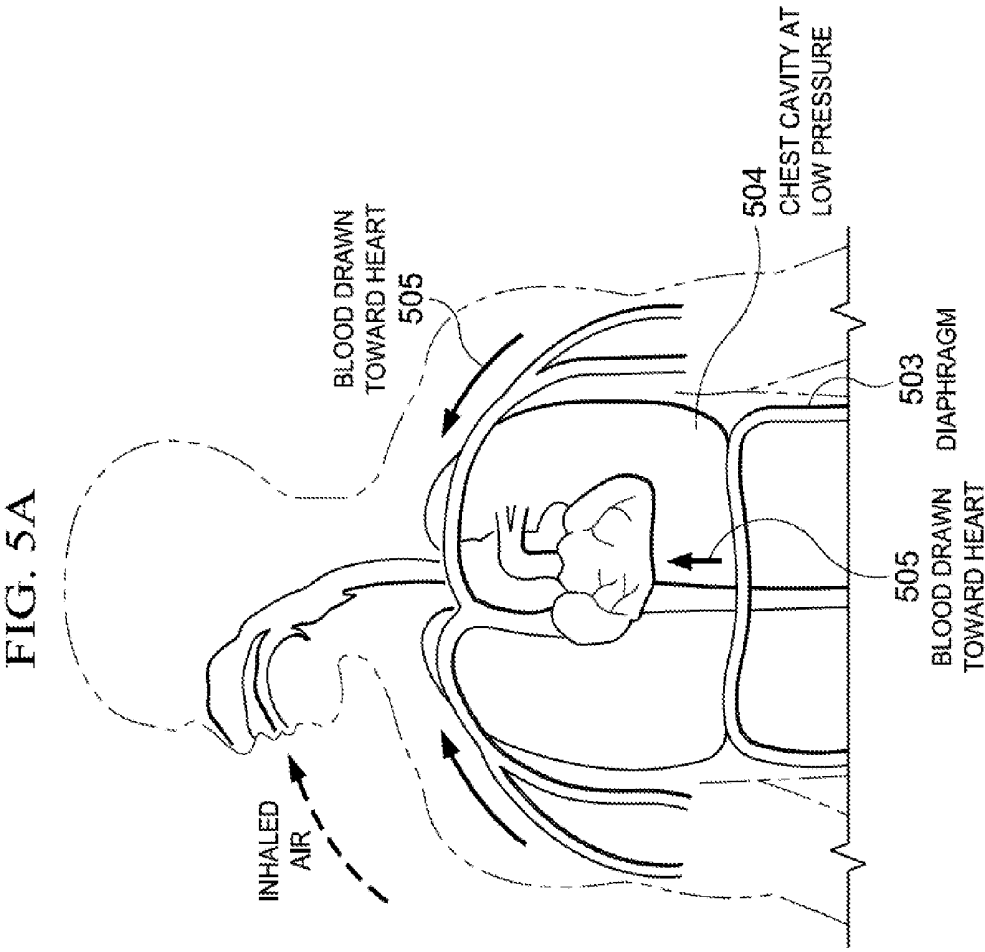


Fig. 4



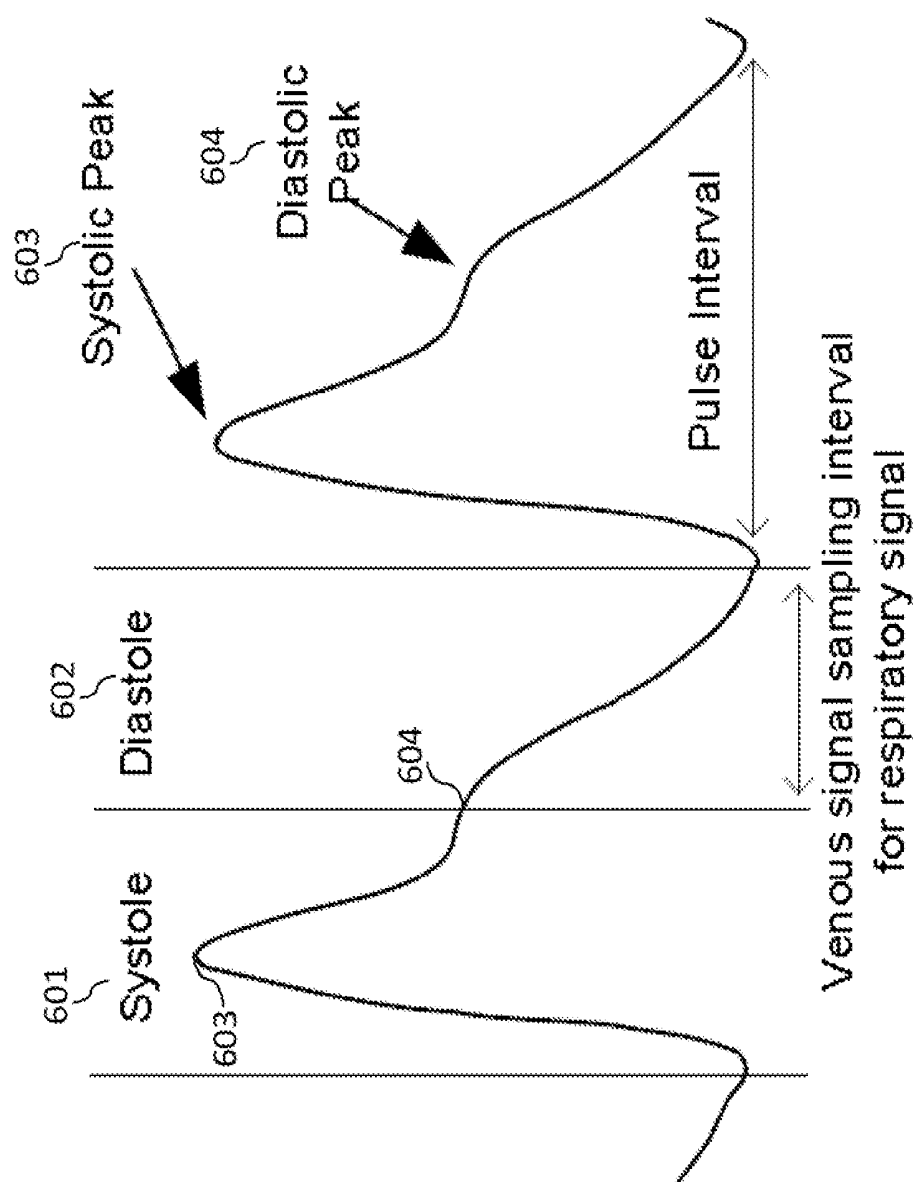


Fig. 6

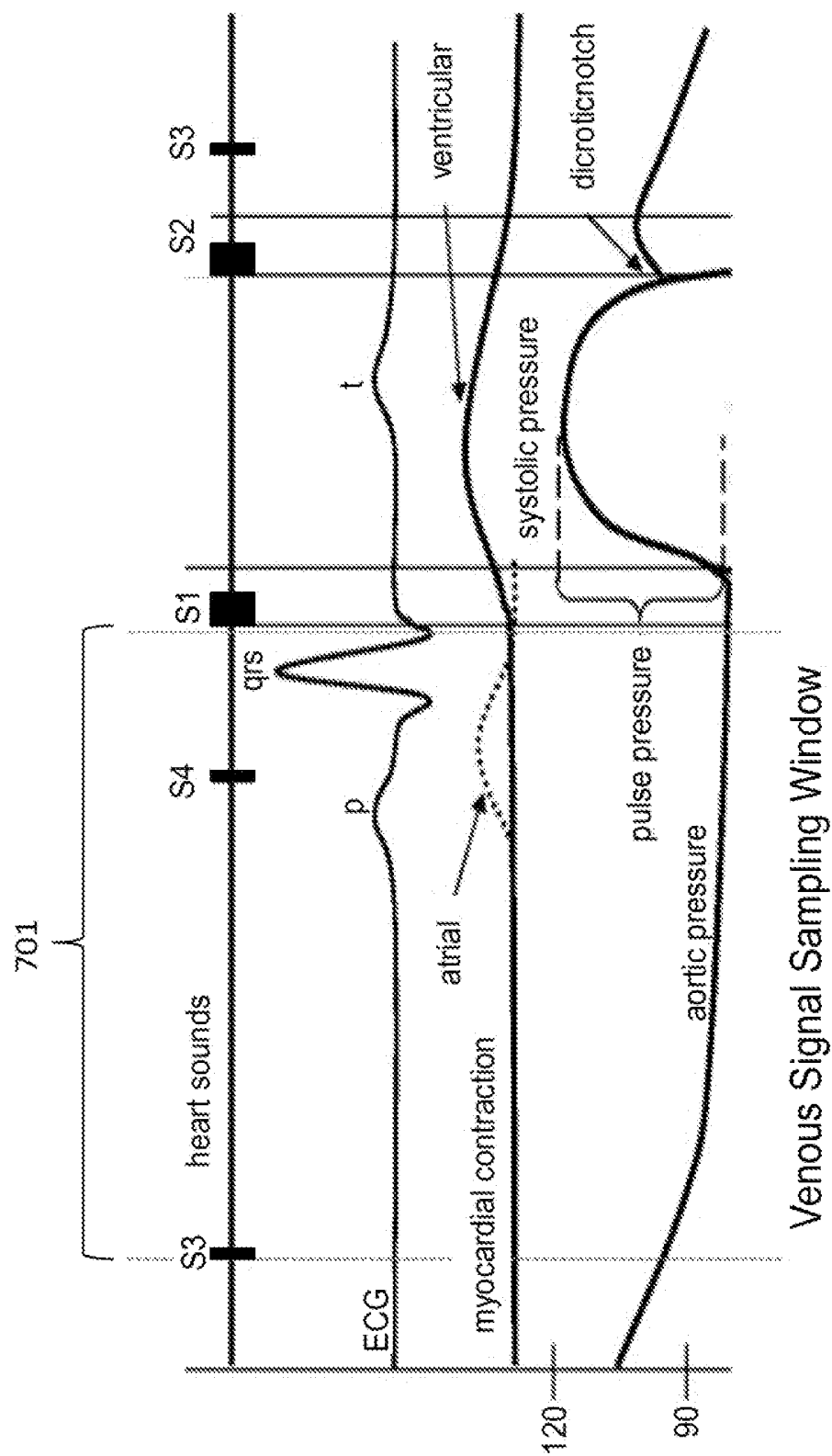
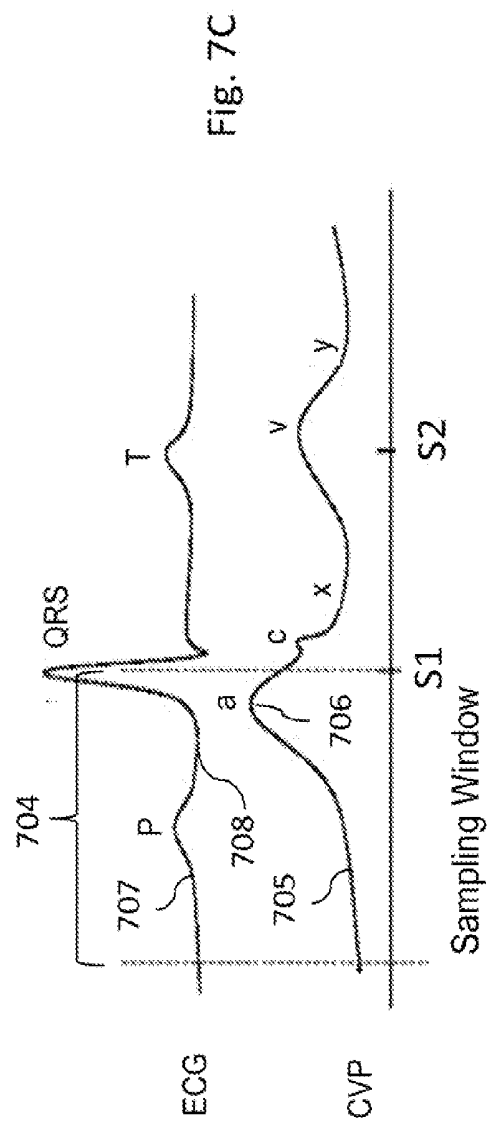
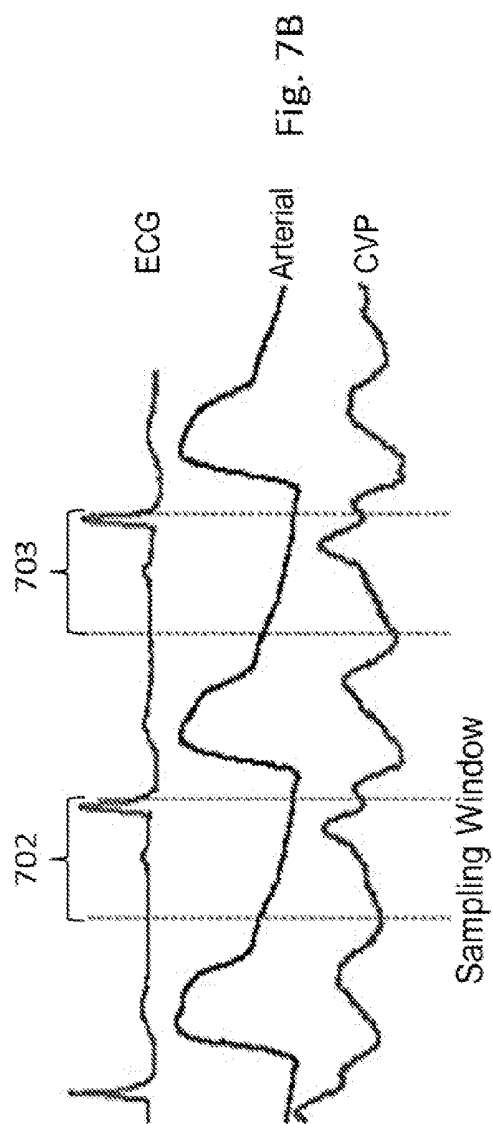


Fig. 7A



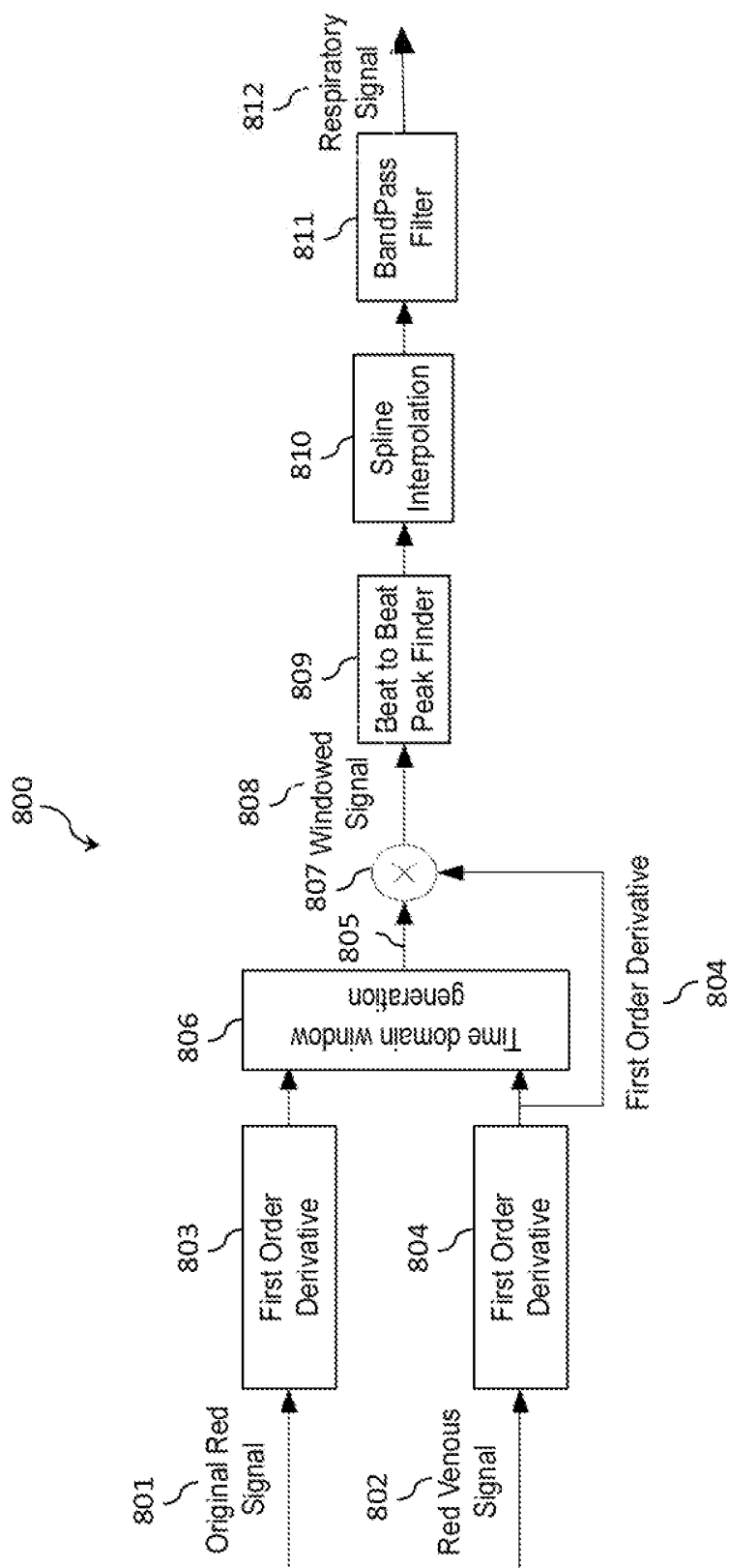


Fig. 8

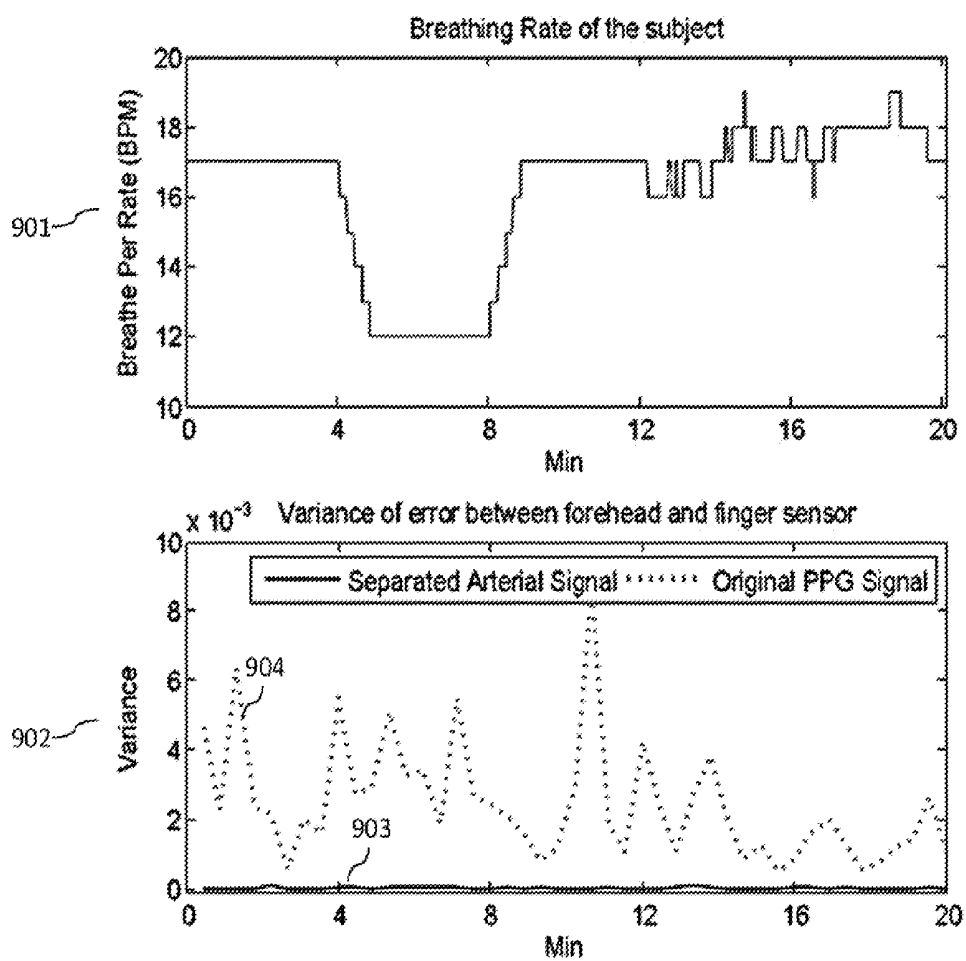


Fig. 9

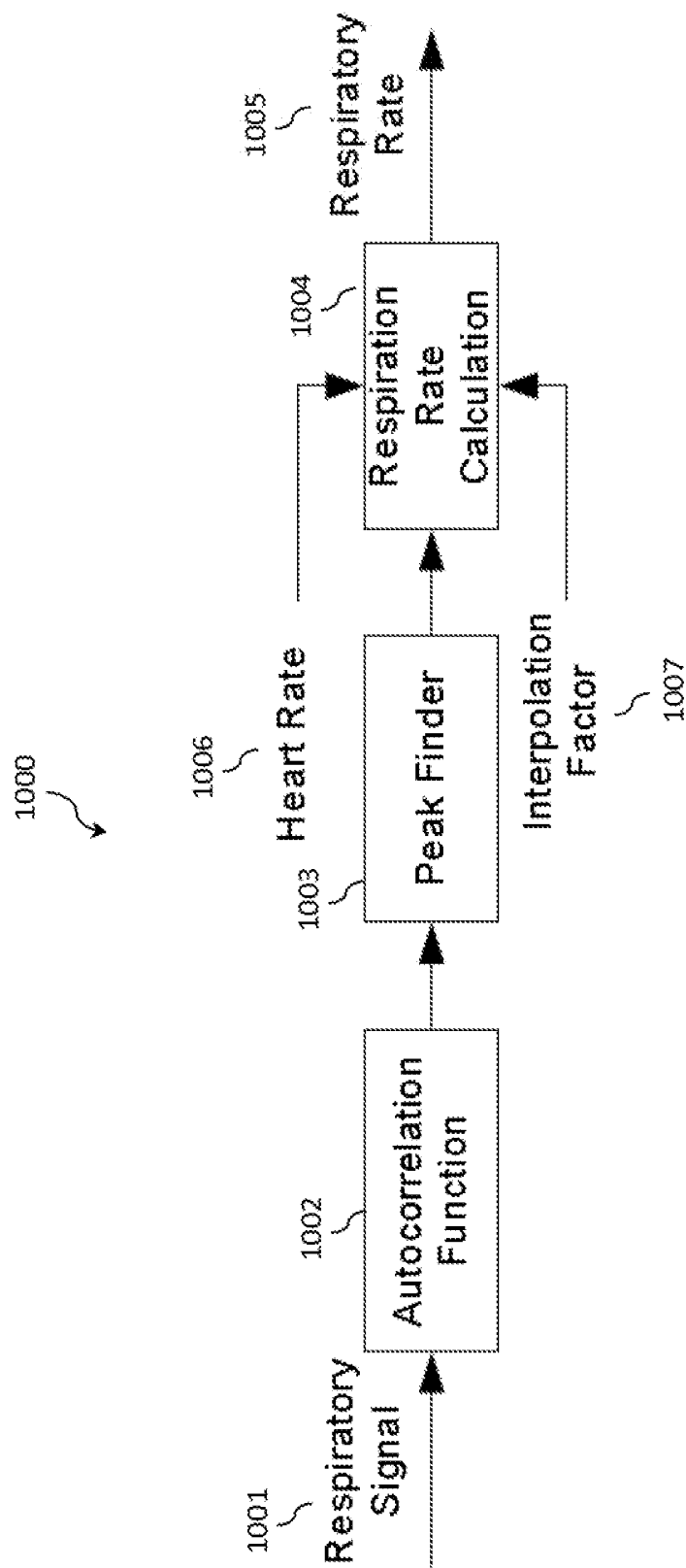


Fig. 10

METHODS AND SYSTEMS FOR EXTRACTING VENOUS PULSATION AND RESPIRATORY INFORMATION FROM PHOTOPLETHYSMOGRAPHS

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a continuation in part of U.S. application Ser. No. 14/595,866 filed Jan. 13, 2015, which claims priority benefit from U.S. Provisional Application No. 61/926,773, filed Jan. 13, 2014. This application claims priority benefit from U.S. Provisional Application No. 62/012,720, filed Jun. 16, 2014. Each patent application identified above is incorporated herein by reference in its entirety to provide continuity of disclosure.

FIELD OF THE INVENTION

[0002] The present invention relates to monitoring a human's circulatory system. In particular, the present invention relates to arterial oxygen saturation monitoring and systems and methods for extracting information from photoplethysmographic sensors. The present invention further relates to healthcare, systems and methods for human health monitoring, and systems and methods for human circulation system monitoring.

BACKGROUND OF THE INVENTION

[0003] One of the main applications of photoplethysmographic (PPG) biosensors is measuring blood oxygen saturation. The commercial device based upon this technology is a pulse oximeter. Pulse oximetry may be used to quantify various blood flow characteristics including arterial oxygen saturation, the volume of blood pulsation carried to the tissues, and the heart rate. A pulse oximeter typically uses two light emitting diodes (LEDs) with wavelengths in red and infrared regions which emit light at a measurement site on a human body. A photodetector captures the transmitted or reflected light. The analog front-end hardware uses a time multiplexed approach with three phases: (i) an activated red LED phase, (ii) an activated infrared LED phase, and (iii) a dark phase. A transimpedance amplifier amplifies the current generated in the photodetector due to optical density during active phases and provides a voltage signal. The voltage signal is filtered, amplified, and sampled with an analog-to-digital converter for further processing.

[0004] At the measurement site (e.g. finger, ear, forehead, nasal), the tissue slightly expands during each heart beat as blood enters via arteries during systole. Then, the site contracts as blood leaves during diastole. As a result, the path length of the light will periodically change. Absorption is proportional to the optical path length according to the Lambert law of optical density and the blood volume change will be reflected in the output of the photodetector. Referring to FIG. 1, the light absorbance at sensor site **100** has three main signal components: a nonpulsatile component **101** originated from nonpulsatile blood, tissue pigmentation (e.g. skin, bone, muscle), which results in a direct current (DC) signal in the photodetector, a weaker signal **102** caused by blood return in veins, and a dominant alternating current (AC) **103** caused by blood volume change in arteries.

[0005] Light absorption is also a function of the hemoglobin concentration in blood and a "ratio of ratios" technique defines R as an intensity-independent parameter. When R is

large, the blood saturation is low and vice versa. An empirical linear calibration curve relates the measured R to oxygen saturation where R is mathematically expressed as:

$$R = \frac{AC_R/DC_R}{AC_{IR}/DC_{IR}} \quad \text{Eq. 1}$$

where, AC and DC are the ac and dc power of the PPG signals and indices R and IR refer to red and infrared, respectively. The existence of the venous component which has the information of blood return to the heart has been verified with different techniques, including imaging-based techniques, such as phase contrast magnetic resonance angiography.

[0006] PPG sensors also capture blood volume change in veins. The sensor's output AC current is a mixture of arterial and venous signals. Existence of the venous component causes the AC power of the PPG signals to change which leads to inaccuracy and false alarms in readings such as oxygen saturation level. In clinical settings, the venous signal has a significant effect on the shape of PPG signal and the SpO₂ readings. For example, a commercial forehead probe called The Nellcor™ manufactured by Covidien LP of Mansfield, Mass. needs to be placed above the eyebrow using a self-adhesive bandage. However, this forehead sensor is limited to low saturation readings. In hospital settings using finger, forehead, and ear sensors, a complex waveform can be created by the prominent venous component. However, the power of the venous component signal differs in various sensor sites, leading to inaccurate readings. This inaccuracy is confirmed by applying a pressure dressing to the measurement site, which weakens the effect of the venous signal on the PPG signal.

[0007] As seen, venous pulsation remains one of the sources of inaccuracy in various applications of PPG sensors such as arterial oxygen saturation. Researchers have collected clinical data on effect of venous signal/pulsation on PPG signal or pulse oximetry readings. Generally, researchers have observed occurrences of venous signal pulsation on PPG signals and attempted to understand how such pulsation affects the oxygen saturation readings. The main experimental solution suggested has been control of pressure on the sensor during signal acquisition.

[0008] The prior art has attempted to address these problems associated with venous signal pulsation with limited success. For example, the system for pulse oximetry from Covidien defines and adjusts the sensitivity level of a PPG sensor. The sensitivity level is adjusted based on the location of the measurement displayed to the user. The system also compares the current R value to historical R values. The historical value may include measurements from the same patient or an average value from other patients. However, in the Covidien system, once a prominent venous is detected, the reading is stopped or ignored. This is a problem because, in many applications, such as under anesthesia during surgery, the intermittent and temporary changes of the oxygen saturation need to be constantly monitored which cannot occur if the readings are stopped.

[0009] Other methods of the prior art include extracting information from venous pulsation of the PPG signal by adding a mechanical vibrator to the sensing part. The mechanical vibrator works as an actuator that creates an external artificial perturbation close to the PPG sensor. A pressure transducer is attached at a first site and applies a drive

signal at a predetermined frequency. The drive signal causes a series of pulsations of a predetermined magnitude in the veins. Then, variations in blood volume in veins are captured by an optical sensor and used to estimate venous oxygen saturation. However, in order for the system to function, an artificial pulsation is needed from a mechanical source. Since this pulsation is artificial, it does not provide any insight into the actual blood return and natural venous functionality.

[0010] Based on the negative role of venous pulsation on signal accuracy, there is a need in the art for a system and method for extracting venous pulsation from PPG sensors. There is a need in the art for a system and method that addresses the inaccuracy in measurement of parameters, such as SpO₂, by extracting a high-quality venous signal. There is a further need in the art for a system and method that uses the extracted venous signal on PPG, by extracting other medically relevant information, such as respiration rate.

SUMMARY

[0011] A system and method for separating a venous component and an arterial component from a red signal and an infrared signal of a PPG sensor is provided. The method uses the second order statistics of venous and arterial signals to separate the venous and arterial signals. After reliable separation of the venous and the arterial component signals, the component signals can be used for different purposes. In a preferred embodiment, the respiratory signal and rate are extracted from the separated venous component and a reliable “ratio of ratios” is extracted for SpO₂ using only the arterial component of the PPG signals. The disclosed embodiments enable real-time continuous monitoring of respiration pattern/rate and site-independent arterial oxygen saturation.

BRIEF DESCRIPTION OF THE DRAWINGS

[0012] In the detailed description of the preferred embodiments described below, reference is made to the accompanying drawings.

[0013] FIG. 1 is a diagram of different components of a captured PPG signal.

[0014] FIG. 2A is a schematic of an extraction system of a preferred embodiment.

[0015] FIG. 2B is a flowchart of a method of a preferred embodiment.

[0016] FIG. 3 is a schematic of a postprocessing method of a preferred embodiment.

[0017] FIG. 4 is a schematic of an adaptive filter used in the postprocessing method.

[0018] FIG. 5A is a diagram of a venous return during a respiratory pump.

[0019] FIG. 5B is a diagram of a venous return during a muscle pump.

[0020] FIG. 6 is a graph of a venous signal sampling for respiratory signal extraction.

[0021] FIG. 7A is a graph of a venous signal sampling window.

[0022] FIG. 7B is a graph of a venous signal sampling window.

[0023] FIG. 7C is a graph of a venous signal sampling window.

[0024] FIG. 8 is a schematic of respiratory signal extraction using a cardiac gated venous return signal.

[0025] FIG. 9 is a set of graphs for a breathing rate and a variance of error due to respiratory induced venous return changes.

[0026] FIG. 10 is a flow chart of a method for correlation-based respiration rate extra oil.

DETAILED DESCRIPTION

[0027] It will be appreciated by those skilled in the art that aspects of the present disclosure may be illustrated and described in any of a number of patentable classes or contexts including any new and useful process or machine or any new and useful improvement. Aspects of the present disclosure may be implemented entirely in hardware, entirely in software (including firmware, resident software, micro-code, etc.) or combining software and hardware implementation that may all generally be referred to herein as a “circuit,” “module,” “component,” or “system.” Further, aspects of the present disclosure may take the form of a computer program product embodied in one or more computer readable media having computer readable program code embodied thereon.

[0028] Referring to FIG. 2A, system 250 includes PPG sensor 251 connected to computing device 253. PPG sensor 251 includes red LED 254 and infrared LED 255. Computing device 253 includes processor 256, memory 257 connected to processor 256, and display 259 connected to processor 256. Extractor 252 is saved in memory 257 and is executed by processor 256. Extractor 252 includes extraction method 258, as will be further described below. In a preferred embodiment, extractor 252 receives a red signal from red LED 254 and an infrared signal from infrared LED 255. Extractor 252 processes the red signal and the infrared signal according to extraction method 258, as will be further described below.

[0029] In one embodiment, computing device 253 is a personal computer. In another embodiment, computing device 253 is a tablet computer. In another embodiment, computing device 253 is a smartphone. Any computing device known in the art may be employed.

[0030] In one embodiment, computing device 253 is a wearable device, including a wrist-worn device or an electronic patch. In this embodiment, processor 256 and memory 257 are embedded. Any wearable computing device known in the art may be employed.

[0031] In a preferred embodiment, display 259 is an organic light-emitting diode (“OLED”) digital display. Any suitable display type known in the art may be employed.

[0032] In a preferred embodiment, extractor 252 is a set of software modules including a set of filters and multipliers, executable by any processor. Any type of implementation known in the art, including software implementations, hardware implementations, and any combinations thereof may be employed.

[0033] The operation of PPG biosensors is described by the Beer-Lambert law wherein transmitted light, I , resulting from an incident source light, I_0 , traveling through a substance with concentration c and path length l at the site of measurement, is modeled as:

$$I = I_0 e^{-\epsilon(\lambda)cl} \quad \text{Eq. 2}$$

where ϵ is called the extinction coefficient of the absorbance substance at the site of measurement and is a function of the wavelength λ . The optical density, $A = \epsilon(\lambda)cl$, is the negative natural log of the transmitted light I_0 to incident light defined for both scatterer and non-scatterer. The Schuster's theory of optical scattering has been applied to pulse oximetry which

provides more insight to the improvement of the pulse oximeters compared to the Beer-Lambert law which gives an approximate estimation about scatterer. The optical density changes (ΔA) resulting from arterial blood thickness change, ΔD_a , is:

$$\Delta A_a = \sqrt{E_a(E_a + F)} Hb_a \Delta D_a \quad \text{Eq. 3}$$

where $E_a = S_a E_a + (1 - S_a) E_r$, F is the scattering coefficient, E_o is the extinction coefficient of oxyhemoglobin, E_r is the extinction coefficient of deoxyhemoglobin and S_a is the oxygen saturation rate. When measured in at least two-wavelengths, this optical density change is used to derive parameters such as SpO_2 . However, the venous pulsation will be present in the measured optical density and affect the quality and reliability of the measurement. When more than one substance (e.g. vein with variable blood volume) absorbs light in a medium, the total optical density is modeled as:

$$\Delta A = \sqrt{E_a(E_a + F)} Hb_a \Delta D_a + \sqrt{E_v(E_v + F)} Hb_v \Delta D_v \quad \text{Eq. 4}$$

where indices a and v denote artery and vein, respectively. Tissue pigmentation including skin, bone and muscle results in wavelength-independent fixed absorption which can be removed or has no effect on the parameter extraction. To formulate the problem, assume that a two wavelength PPG sensor producing two mixed signals $x_r(n)$ and $x_{ir}(n)$ corresponding to red and infrared LEDs, respectively, and optical density changes of $\Delta A_r(n)$ and $\Delta A_{ir}(n)$, respectively. $x_r(n)$ and $x_{ir}(n)$ are linear combinations of arterial and venous component signals where the extinction coefficient is different at each wavelength. The observation signal corresponding to LEDs is written as:

$$x(n) = A s(n) \quad \text{Eq. 5}$$

where $x(n) = [x_r(n) x_{ir}(n)]^T$ is the PPG sensor's output corresponding to different wavelengths, $s(n) = [s_a(n) s_v(n)]^T$ is a vector including the of arterial signal and venous noise source and A is the 2×2 mixing matrix.

[0034] Every major vessel in the human body has a flow pattern characteristic that is visible in a time domain signal. These patterns that are representable in modalities such as spectral waveforms obtained with Doppler ultrasonography have been used as diagnosis tools in current practices. As a result, there is a time coherence and structure in source signals $s(n)$ coming from the vein and the artery of the measurement site. This time coherence and structure will be reflected in the second order statistics (e.g. autocorrelation) of the source signals and thus is considered as second order stationary signal in the time interval of measurement.

[0035] Given the linear IVA mixing model of Eq. 5, an unsupervised data analysis technique is applied, namely, Blind Source Separation (BSS), to process the two-channel recording of PPG signals. The BSS technique uses observed signals x and the IVA mixing model in Eq. 5 to extract underlying sources of the mixed signals. The solution to the BSS problem is found by approximating the generalized eigenvectors of a set of target matrices representing signal statistics. Therefore, the blind source separation of arterial and venous source signals is accomplished by solving a joint diagonalization problem. Given a set of k target matrices of size $N \times N$ (i.e., $C_1, C_2, \dots, C_k$), the joint diagonalization problem finds a nonsingular matrix V such that the transformed matrices $VC_k V^T$ becomes as diagonal as possible for all k values. By suitably defining the target matrices of the observation x , the time coherence and time structure of arterial and venous source signals are used to separate the observed mixture.

[0036] Second order statistics of the source signals which capture the time structure and coherence of vein and arterial source signals are used to define the target matrices. Covariance matrices $C_\tau(x)$ of the delayed mixed signals are employed for joint diagonalization in BSS. Thus, $C_\tau(x)$ is defined as:

$$C_\tau(x) = E\{x(t)x(t+\tau)^T\} \quad \text{Eq. 6}$$

and is used as a target matrix where E is the expectation over time t and τ is a shift in time domain. Using the IAV mixing model in Eq. 5, it is shown that the covariance of mixed observation signals, x , is related to covariance of source signals s by:

$$C_\tau(x) = A C_\tau(s) A^T \quad \text{Eq. 7}$$

where off diagonal cross correlation elements of $C_\tau(s)$ are zero due to lack of correlation among source signals and $C_\tau(s)$ is assumed to be a diagonal matrix. Hence, mixing matrix A in the BSS problem is found by diagonalizing the covariance matrices $C_\tau(x)$. Assuming A is invertible, this is expressed as:

$$C_\tau(s) = V C_\tau(x) V^T \quad \text{Eq. 8}$$

where V diagonalizes all target matrices $C_\tau(x)$ simultaneously. This means that the problem of venous noise effect in the PPG signal is converted to a BSS problem. The BSS problem is effectively solved by approximate joint diagonalization (AJD) of time-lagged covariance matrices of mixed PPG observation signal in two red and infrared wavelengths. In order to solve AJD problem, a two stage algorithm called Second Order Blind Identification (SOBI) is used, as described below.

[0037] Referring to FIG. 2B, method 200 for extracting venous and pulsation and respiratory information is described. In step 201, a low pass filter is applied on a red channel and an infrared channel to remove unwanted frequency content of red PPG signal 202 and infrared PPG signal 203 in a preprocessing step. In one embodiment, red PPG signal 202 and infrared PPG signal 203 may be corrupted by motion. In step 204, the SOBI technique separates the arterial and venous signal components. The SOBI technique in step 204 uses the diagonalization of several covariance matrices.

[0038] In step 205, a whitening step is performed. The sensor signals $x_r(n)$ and $x_{ir}(n)$ are generally mutually correlated and the covariance matrix is a full but not diagonal matrix. "Prewhitening" is accomplished by singular value decomposition of the covariance matrix at time lag $\tau=0$. Prewhitening is applied on mixed signal vector $x(n)$ to prepare input signals for robust separation. The sensor signals $x(n)$ is processed by the following transformation:

$$y(n) = W x(n) \quad \text{Eq. 9}$$

and the covariance matrix of zero mean vector y becomes:

$$E\{y(n)y(n)^T\} = W C_0(x) W^H = W A A^H W^H = I_2 \quad \text{Eq. 10}$$

where I_2 is a unity 2×2 matrix as a result of this transformation. Consequently, for the whitening matrix W , a unitary matrix L exists such that $W A = L$ and hence:

$$A = W^{-1} L \quad \text{Eq. 11}$$

where W^{-1} is the inverse of W .

[0039] Exploiting the time structure of source signals, the unitary factor L is obtained from covariance matrices at non-zero time lags, in other words:

$$C_\tau(y) = W C_\tau(x) W^H \quad \text{Eq. 12}$$

where $C_\tau(y)$ is the covariance matrix of y , whitened red and infrared observation signals. Using Equations (7) and (11), we have $C_\tau(y) = LC_\tau(s)L^H$. This means that L can be obtained as a unitary diagonalizing matrix $C_\tau(y)$ for non-zero lag τ .

[0040] SOBI technique uses this fact with a set of lagged covariance matrices $C = [C_{\tau_1}(y), \dots, C_{\tau_k}(y)]$ where $C_{\tau_i}(y)$ $i=1, \dots, k$ is a covariance matrix of y at lag τ_i . This technique defines a cost function:

$$Q(C, V) = \sum_{i=1}^k \text{Off}(V^H C_{\tau_i} V) \quad \text{Eq. 13}$$

for joint diagonalization of the lagged covariance matrices. $\text{Off}(V^H C_{\tau_i} V)$ is the summation of square of absolute value of off diagonal elements in C_{τ_i} . Minimization of cost function Q leads to approximate joint diagonalization of covariance matrices where it uses information of a set of covariance matrices and it is not required that all C_{τ_i} 's be exactly simultaneously diagonalized. There are different numerically efficient techniques for computation of AJD.

[0041] In step 206, a Jacobi technique is generalized for minimization of Q and finding the unitary matrix L . The generalized Jacobi approach computes L using the product of given rotations. Step 205 provides matrix W and step 206 finds the unitary matrix L for computation of mixing matrix A in Eq. 11. Arterial and venous components are separated by the SOBI technique in step 204. However, a permutation indeterminacy resolution is needed to label the arterial and venous components because it is not known which of the outputs is related to the artery and which one to the vein.

[0042] In step 207, the arterial and venous signal components are monitored. In step 208, a permutation ambiguity technique is added to the separated arterial and venous components to label the arterial and venous components.

[0043] The BSS process factors the mixed observed signal x into a mixing matrix A and a vector s . A scalar factor is exchanged between $s_a(n)$ and $s_v(n)$ in s and the corresponding columns of A . This is called "scaling ambiguity" which means that the amplitude of the source signals is not uniquely defined. In other words, the mixing matrix is rewritten as:

$$x = As = \sum_{j=1}^N A_j s = \sum_{j=1}^N (\alpha_j A_j) (1/\alpha_j s) \quad \text{Eq. 14}$$

where A_j is the j^{th} column of matrix A . Moreover, this means that the sign of the sources cannot be derived. Similarly, there is also a permutation ambiguity meaning that the order of the sources is not unique. This is because equation:

$$x = AP^{-1}Ps \quad \text{Eq. 15}$$

is indistinguishable from Eq. 5, where here P is a permutation matrix.

[0044] This results in separation of the arterial and venous signals with the linear system of the form:

$$\begin{cases} s_1(n) = h_{11}x_r(n) + h_{12}x_{ir}(n) \\ s_2(n) = h_{21}x_r(n) + h_{22}x_{ir}(n) \end{cases} \quad \text{Eq. 16}$$

where h is a demixing matrix derived from AJD of second order statistics. Due to permutation ambiguity, it is not known which source (s_1 or s_2) is the arterial source.

[0045] In order to automatically find arterial and venous components after separation, time domain characteristics of

arterial and venous components are used. Venous and arterial signals have different time domain behaviors, and so the statistical properties of these two signals are different. Kurtosis of s_1 and s_2 are shown labeled as K_{s1} and K_{s2} . The arterial signal is more correlated to a sinusoidal signal. So, the absolute value of difference of each source and 1.5 (kurtosis of sinusoidal) is computed, i.e. $\delta_{\text{source}} = |K_{\text{source}} - 1.5|$. Therefore, the 2x2 permutation matrix P is defined to be a unity matrix when $\delta s_1 < \delta s_2$, and

$$P = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \text{Eq. 17}$$

otherwise. In other embodiments, similar time domain features such as skewness or power analysis of signal in frequency domain may be used for labeling the arterial and venous signals.

[0046] Returning to FIG. 2B, in step 210 a respiratory signal is extracted using separated venous and arterial signals, which will be described further. In step 211, after extracting the respiratory signal, a correlation-based respiration rate extractor is used to extract the respiration (e.g., breathing) rate.

[0047] Referring to FIG. 3, post processing step 209 is performed using set of filters 300. Venous reference component 302 is now used as a reference noise source in adaptive filters 304 and 305 to separate arterial and venous portions of the signal from input red and infrared signals 301 and 303, respectively. Adaptive filter 304 receives red signal 301 and venous reference component 302 and outputs venous red signal 309 and arterial red signal 310. Adaptive filter 305 receives infrared signal 303 and venous reference component 302 and outputs venous infrared signal 311 and arterial infrared signal 312.

[0048] Referring to FIG. 4, each of adaptive filters 304 and 305 are further described. Each filter 403 receives venous reference source 401 and PPG mixed signal 402 and provides two output signals, venous signal 406 and arterial signal 405. Algorithm 404 controls filter 403 to separate venous signal 406 and arterial signal 405.

[0049] Returning to FIG. 3, arterial red and infrared signals 310 and 312 will be used for monitoring SpO_2 . Each of venous signals 309 and 311 is filtered with notch filter 307 and 308, respectively, to suppress the signal power in fundamental frequency 313 of the PPG signal.

[0050] Each of notch filters 307 and 308 of a preferred embodiment is an adaptive comb filter, timing the location of zeros and poles with fundamental frequency (e.g., Heart Rate) 313 of the signal. Fundamental frequency 313 is extracted at 306 using an autocorrelation based technique and arterial infrared signal 312. Fundamental frequency 313 is used in transfer function:

$$H(z) = \frac{(1 + \alpha z^{-1} + z^{-2})}{(1 + \rho \alpha z^{-1} + \rho^2 z^{-2})} \quad \text{Eq. 18}$$

which has two zeros at $e^{\pm jk\Omega_0}$ on the unit circle and two poles on with the same angle and radii of ρ in the range of about 0.95 to 0.995.

[0051] Referring to FIGS. 5A and 5B, the venous return/signal (e.g., back flow of blood to the heart) is mainly due to

skeletal muscle pump and respiratory pump. When muscles contract, one way valves open and when muscles relax valves close to prevent back flow of blood. Open valve **501** during muscle contraction **502** is shown in FIG. **5b**. Another main contributing factor in pulsating venous return is the respiratory pump which causes compression and decompression of veins. During inhalation, diaphragm **503** moves downward which causes a low pressure state in thoracic cavity **504** and high pressure abdominal cavity. Hence, the abdominal veins are compressed and blood moves to decompressed thoracic and to the right atrium of the heart at **505**. Pressure reverses during exhalation and valves prevent back flow of the blood. Therefore, blood flow in veins is affected by respiration and one of the applications of the separation of arterial and venous signals is extraction of respiratory related information.

[0052] In order to extract the respiratory signal, beat-to-beat variation of the venous return signal using a cardiac gating approach is employed. Referring to FIG. **6**, the biphasic activity of cardiac cycle includes systole portion **601** and diastole portion **602**. Systole portion **601** has systolic peaks **603**. Diastole portion **602** has diastolic peaks **604**. The venous signal is sampled during diastole portion **602** for extracting the respiratory signal.

[0053] Referring to FIGS. **7a**, **7b**, and **7c**, different activities during a cardiac cycle are shown. When the ventricular is not contracted during diastole **701**, the arterial blood flow and hydrodynamic pressures are minimum. Decreased blood pressure during diastole **701** results in a weak and low amplitude arterial signal. During this time duration, the venous return is still in progress by both respiratory and skeletal muscle pumps. The arterial signal may be considered as an interference added to the venous signal, and the diastole time duration is the interference or noise-free portions **702**, **703**, and **704** of the biphasic cardiac cycle. Therefore, the noise-free portions **702**, **703**, and **704** of the extracted venous signal in each cardiac cycle may be sampled to extract the respiratory signal.

[0054] FIG. **7b** shows sampling windows **702** and **703** of interest during consecutive cardiac cycles. An algorithm is used to determine the active sampling window in each cardiac cycle, which will be further described.

[0055] FIG. **7c** shows active time duration of sampling during a signal cardiac cycle. During sampling window **704** venous pressure **705** changes rapidly to its maximum value at point **706** while arterial signal **707** has a low amplitude at point **708**. Respiration changes the morphological features of this upward back flow of the blood return including maximum signal value at point **706**.

[0056] Referring to FIG. **8**, step **210** (of FIG. **2B**) is further described as method **800** for cardiac gating of extracted venous signal and extracting respiratory signal. In method **800**, first derivatives **803** and **804** of original red signal **801** and extracted red venous signal **802**, respectively, are taken. Time domain window signal **805** whose value is 1 during diastole and zero elsewhere is created. Time domain window signal **805** is created using time domain window generation **806** as follows: If first order derivative **803** of original red signal **801** is greater than zero, then time domain window signal **805** takes zero value. Otherwise, time domain window signal **805** remains one. This operation makes the starting point of the PPG signal up to peak systolic points of the window zero in each cardiac cycle. Next, if first order derivative **804** of extracted red venous signal **802** is less than zero, the corresponding time domain window signal **805** becomes

zero. This operation will remove the time duration between systolic peak and diastolic peak pressures. After creating time domain window signal **805**, which implements the cardiac gating approach, time domain window signal **805** is multiplied with first order derivative **804** of extracted red venous signal **802** with multiplier **807** into windowed first order derivative venous signal **808**. Then, in each beat cycle and during diastole, peak finder **809** finds the maximum amplitude of windowed first order derivative venous signal **808**. The peak value of windowed first order derivative venous signal **808** will change as the respiratory pump changes the venous return in every beat cycle. Sampling rate of the extracted respiratory induced venous return variations is lower compared to original signal. In fact, there is one sample per cardiac cycle, hence spline interpolator **810** is used to interpolate the extracted variation by implementing a polynomial interpolation, preferably a spline interpolation, and filtered with band pass filter **811** to limit the frequency content of the output signal to preferably the typical frequency of human respiration rate (e.g. 4 breaths per minute to 40 breaths per minute for adults), to build respiratory signal **812**.

[0057] Test 1

[0058] An experimental platform is developed using finger and forehead probes with red and infrared LEDs. Analog conditioning circuit limits the bandwidth of the signal to 70 Hz and data is acquired with sampling rate of 150 Hz using a TMS320C5515 processor by Texas Instruments. Method **200** removes the venous component from red and infrared signals and provides arterial red and arterial infrared signals. So, the ratio of red to infrared signal is computed using arterial signals by Eq. 1. After extraction of ratio R an empirical calibration curve is used to compute the arterial oxygen saturation level SpO_2 .

[0059] A prominent venous component can cause inaccurate SpO_2 readings and the power of the venous component varies in different locations of the body. So, venous effect is location sensitive. For example, forehead sensors are shown to be more sensitive to venous return signal. Further, for the same measurement site, the power of the venous component may change over time depending on volume of blood return due to motion, respiration, etc. The proven causes of venous return change are skeletal muscle pumping and respiratory pumping as previously discussed. Therefore, in order to change venous return and see the effect of venous return signal changes on the “ratio of ratios” (i.e. SpO_2), we can either change the respiratory pump component or skeletal muscle pump. Skeletal muscle pumping can be changed by motion or exercise. Rate of flow through exercising skeletal muscles can be 15 to 20 times greater than resting muscles. Despite the dramatic change of venous return signal during exercise, the motion artifact significantly reduces the signal quality and changes will be buried in noise. Therefore, the effect of such changes on “ratio of ratios” or related SpO_2 cannot be easily studied. Hence, an experiment was designed to validate method **200** in terms of removal of venous related inaccuracies in “ratio of ratios” measurement. In this experiment, data was collected from twelve subjects from both a finger sensor and a forehead sensor, simultaneously. Twenty minutes of data was collected from each subject. In order to change venous return, subjects were required to change respiration rate over time in a wide range.

[0060] Referring to FIG. **9**, the pattern of respiratory rate changes over the course of the experiment for one of the subjects is shown in graph **901** and the corresponding vari-

ance of difference between readings from forehead and finger sensors is shown in graph 902. In graph 902 variance of error between forehead and finger “ratio of ratios” is computed every 26 seconds. The variance of the error is much less for method 200 plotted as curve 903 than for original PPG signal curve 904, which means that despite changes in venous signal due to respiration, method 200 gives similar readings at the output.

[0061] Table 1 below summarizes the variance of difference between readings using method 200 and standard “ratio of ratios” on original PPG signal for all subjects. Since method 200 separates the arterial component and the ratio is computed based on arterial component, method 200 significantly reduces the error between forehead and finger sensors.

TABLE 1

VARIANCE OF RATIO DIFFERENCE BETWEEN FOREHEAD AND FINGER SENSOR													
Methods	Subjects												
	M-32	M-44	M-50	F-29	M-29	M-21	M-31	F-50	M-59	F-41	F-29	M-25	Average
Prior Art Method	0.003	0.020	0.040	0.017	0.032	0.086	0.008	0.019	0.0235	0.035	0.078	0.053	0.04
Method 200	2.0e-5	1.07e-4	1.3e-4	7.23e-5	4.5e-4	3.53e-4	7.12e-5	5.4e-5	4.34e-5	1.81e-5	3.42e-5	0.51e-4	1.3e-4

[0062] Method 200 extracts the venous signal and is employed to provide other features related to venous signal, including the extraction of a respiratory signal in step 210. In step 211, the respiration rate (number of breaths per minute) is extracted from the respiratory signal.

from each sensor are captured for twenty minutes. Simultaneously, the respiration rate of each subject is monitored and recorded using a commercial reference sensor.

[0066] Accuracy of method 1000 is summarized in Table 2 below. The average Root Mean Square Deviation (RMSD), i.e., the measure of difference between calculated and reference respiration rates, is 1.89 breaths per minute and average standard deviation is 1.54. Average Mean of Difference (Diff. Mean) and Mean of Absolute Value of Difference (Abs. Diff. Mean) for 12 subjects are -0.69 and 1.26 breaths per minutes, respectively. The experimental results show that the separated

venous component has the respiratory pump and respiratory induced venous return variation. Further, the respiratory signal was extracted from the separated venous signal and finally, the respiration rate was computed from the respiratory waveform.

TABLE 2

BREATHING RATE ACCURACY													
Parameter	Subject												
	M-32	M-44	M-50	F-29	M-29	M-21	M-31	F-50	M-59	F-41	F-29	M-25	Average
RMSD	0.87	2.03	1.87	2.05	1.54	2.06	2.45	1.17	2.66	2.17	2.35	1.56	1.89
Diff. Mean	-0.28	-0.56	-0.35	-1.27	-0.83	0.12	-1.26	0.61	-1.89	-1.27	-0.97	-0.30	-.69
Abs. Diff. Mean	0.65	1.32	0.79	1.65	1.08	1.43	1.57	0.92	1.95	1.38	1.24	1.23	1.26
St. Dev	0.82	1.95	1.12	1.6	1.29	2.05	2.10	1.00	1.87	1.76	1.36	1.53	1.54

[0063] Referring to FIG. 10, step 211 (of FIG. 2B) is further described as method 1000 for respiration rate extraction. At step 1002, an autocorrelation function is computed using respiratory signal 1001 in the lag range corresponding to a human respiration rate range, e.g., 4 breaths per minute to 40 breaths per minute for adults. At step 1003, the first dominant peak of the autocorrelation function is found by detection of all local peaks and selecting the peak with highest amplitude. At step 1004, the fundamental period corresponding to the first peak is divided by interpolation factor 1007 and provides the number of heart beats (cardiac cycles) in a single respiratory cycle (inspiration and expiration). In this step, heart rate per minute 1006 is divided by the number of beats per respiratory cycle provides respiratory rate per minute 1005.

[0064] Test 2

[0065] In this experiment, data is collected from 12 subjects. Each subject wore a finger sensor and the PPG signals

[0067] The experimental results show that compared to standard techniques, the variance of difference between forehead and finger sensor is negligible. This enables a measurement site with independent and accurate arterial oxygen saturation monitoring. The experimental results shows that on average the respiration rate can be computed with 1.89 RMSD compared to commercially available technologies.

[0068] It will be appreciated by those skilled in the art that the described embodiments disclose significantly more than an abstract idea including technical advancements in the fields of healthcare, human health monitoring, and human circulation system monitoring, and a transformation of data which is directly related to real world objects and situations. Specifically, the disclosed embodiments generate respiratory, blood oxygen, and respiratory signals by detecting artery, vein, and tissue movements and transforming such movements into a set of photoplethysmographic signals. The set of photoplethysmographic signals are then transformed into identifiable and usable arterial and venous signal. The arterial

and venous signal are then transformed into a blood oxygen level and a respiratory rate to determine the health of a human patient.

[0069] It will be appreciated by those skilled in the art that modifications can be tirade to the embodiments disclosed and remain within the inventive concept. Therefore, this invention is not limited to the specific embodiments disclosed, but is intended to cover changes within the scope and spirit of the claims.

1. A method for extracting arterial, venous, blood oxygen, and respiratory information from a set of photoplethysmographic signals comprising the steps of:

preprocessing the set of photoplethysmographic signals;
 locating a venous signal and an arterial signal in each photoplethysmographic signal of the set of photoplethysmographic signals, using second order blind identification;
 extracting the arterial signal and the venous signal from each photoplethysmographic signal of the set of photoplethysmographic signals;
 extracting a respiratory signal using the venous signal and the arterial signal; and,
 extracting a blood oxygen saturation from the arterial signal.

2. The method of claim 1, wherein the set of photoplethysmographic signals further comprises a red signal and an infrared signal, wherein the red signal further comprises a red venous signal and a red arterial signal and wherein the step of extracting a respiratory signal further comprises the steps of:
 determining a red signal derivative of the red signal;
 determining a red venous signal derivative for the red venous signal;
 generating a time domain window signal from the red signal derivative and the red venous signal derivative;
 generating a windowed signal from the time domain window signal and the red venous signal derivative;
 determining a maximum amplitude from the windowed signal;
 determining a venous return variation the maximum amplitude;
 interpolating the venous return variation; and,
 filtering the venous return variation to generate the respiratory signal.

3. The method of claim 2, further comprising the steps of:
 computing an autocorrelation function of the respiratory signal;
 determining a peak of the autocorrelation function; and,
 calculating a respiratory rate using the peak.

4. The method of claim 1, wherein the step of extracting the arterial signal further comprises the steps of:

determining a venous reference signal from the set of photoplethysmographic signals;
 filtering the arterial signal from each photoplethysmographic signal of the set of photoplethysmographic signals, using the venous reference signal; and,
 filtering the venous signal from each photoplethysmographic signal of the set of photoplethysmographic signals, using the venous reference signal.

5. The method of claim 4, further comprising the steps of:
 extracting a fundamental frequency from the arterial signal; and,
 filtering the venous signal using the fundamental frequency.

6. The method of claim 1, wherein the step of preprocessing further comprises the step of filtering the set of photoplethysmographic signals with a low pass filter.

7. The method of claim 1, wherein the step of locating further comprises the steps of:

whitening the set of photoplethysmographic signals; and,
 computing an approximate joint diagonalization of the set of photoplethysmographic signals.

8. A method for extracting a respiratory signal and a blood oxygen level from a red photoplethysmographic signal and an infrared photoplethysmographic signal comprising the steps of:

receiving the red photoplethysmographic signal and the infrared photoplethysmographic signal;
 filleting the red photoplethysmographic signal and the infrared photoplethysmographic signal;
 separating the red photoplethysmographic signal into a first signal component and a second signal component;
 separating the infrared photoplethysmographic signal into a third signal component and a fourth signal component;
 determining a red venous signal from the first signal component and a red arterial signal from the second signal component;
 determining an infrared venous signal from the third signal component and an infrared arterial signal component from the fourth signal component;
 extracting the red arterial signal and the red venous signal from the red photoplethysmographic signal;
 extracting the infrared arterial signal and the infrared venous signal from the infrared photoplethysmographic signal;
 extracting the respiratory signal using the red photoplethysmographic signal and the red venous signal; and,
 extracting the blood oxygen signal using the red arterial signal and the infrared arterial signal.

9. The method of claim 8, wherein the step of extracting the respiratory signal further comprises the steps of:

determining a red signal derivative of the red photoplethysmographic signal;
 determining a red venous signal derivative of the red venous signal;
 generating a time domain window signal from the red signal derivative and the red venous signal derivative;
 generating a windowed signal from the time domain signal and the red venous signal derivative;
 determining a maximum amplitude from the windowed signal;
 determining a venous return variation from the maximum amplitude;
 interpolating the venous return variation; and,
 filtering the venous return variation to generate the respiratory signal.

10. The method of claim 9, further comprising the steps of:
 computing an autocorrelation function of the respiratory signal;
 determining a peak of the autocorrelation function; and,
 calculating a respiratory rate using the peak.

11. The method of claim 8, wherein the step of extracting the red arterial signal and the red venous signal further comprises the steps of:

determining a venous reference signal from the red photoplethysmographic signal and the infrared photoplethysmographic signal;

filtering the red arterial signal from the red photoplethysmographic signal using the venous reference signal; and, filtering the red venous signal from the red photoplethysmographic signal using the venous reference signal.

12. The method of claim **11**, wherein the step of extracting the infrared arterial signal and the infrared venous signal further comprises the steps of:

determining the venous reference signal from the red photoplethysmographic signal and the infrared photoplethysmographic signal;

filtering the infrared arterial signal from the infrared photoplethysmographic signal using the venous reference signal; and,

filtering the infrared venous signal from the infrared photoplethysmographic signal using the venous reference signal.

13. The method of claim **12**, further comprising the steps of:

extracting a fundamental frequency from the infrared arterial signal;

filtering the red venous signal using the fundamental frequency; and

filtering the infrared venous signal using the fundamental frequency.

14. A system for extracting arterial, venous, blood oxygen, and respiratory information from a set of photoplethysmographic signals comprising:

an extractor;

a set of photoplethysmographic sensors, each photoplethysmographic sensor connected to the extractor;

a computing device connected to the extractor and programmed to:

preprocess the set of photoplethysmographic signals;

locate a venous signal and an arterial signal in each photoplethysmographic signal of the set of photoplethysmographic signals using second order blind identification;

extract the arterial signal and the venous signal from each photoplethysmographic signal of the set of photoplethysmographic signals;

extract a respiratory signal using the venous signal and the arterial signal; and,

extract a blood oxygen saturation from the arterial signal.

15. The system of claim **14**, wherein each photoplethysmographic sensor further comprises a red LED and an infrared LED, wherein the set of photoplethysmographic signals further comprises a red signal and an infrared signal, wherein

the red signal further comprises a red venous signal and a red arterial signal, and wherein the computing device is further programmed to:

receive the red signal from the red LED;

receive the infrared signal from the infrared LED;

determine a red signal derivative of the red signal;

determine a red venous signal derivative for the red venous signal;

generate a time domain window signal from the red signal derivative and the red venous signal derivative;

generate a windowed signal from the time domain signal and the red venous signal derivative;

determine a maximum amplitude from the windowed signal;

determine a venous return variation from the maximum amplitude;

interpolate the venous return variation; and,

filter the venous return variation to generate the respiratory signal.

16. The system of claim **15**, wherein the computing device is further programmed to:

compute an autocorrelation function of the respiratory signal;

determine a peak of the autocorrelation function; and,

calculate a respiratory rate using the peak.

17. The system of claim **14**, wherein the computing device is further programmed to:

determine a venous reference signal from the set of photoplethysmographic signals;

filter the arterial signal from each photoplethysmographic signal of the set of photoplethysmographic signals using the venous reference signal; and,

filter the venous signal from each photoplethysmographic signal of the set of photoplethysmographic signals using the venous reference signal.

18. The system of claim **17**, wherein the computing device is further programmed to:

extract a fundamental frequency from the arterial signal; and,

filter the venous signal using the fundamental frequency.

19. The system of claim **14**, wherein the extractor further comprises a set of filters, and wherein the computing device is further programmed to filter the set of photoplethysmographic signals with the set of filters.

20. The system of claim **14**, wherein the computing device is further programmed to:

whiten the set of photoplethysmographic signals; and,

compute an approximate joint diagonalization of the set of photoplethysmographic signals.

* * * * *

专利名称(译)	从光电容积描记器中提取静脉脉动和呼吸信息的方法和系统		
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摘要(译)

提供了一种用于将静脉部件和动脉部件与PPG传感器的红色信号和红外信号分离的系统和方法。该方法使用静脉和动脉信号的二阶统计来分离静脉和动脉信号。在静脉和动脉分量信号可靠分离之后，分量信号可用于不同目的。在优选实施例中，从分离的静脉成分中提取呼吸信号，模式和速率，并且仅使用PPG信号的动脉分量为SpO₂提取可靠的“比率比”。所公开的实施例能够实时连续监测呼吸模式/速率和与位点无关的动脉血氧饱和度。

