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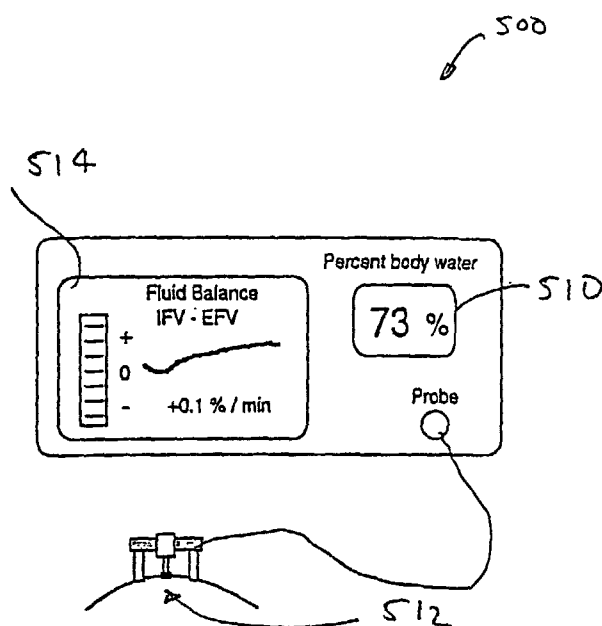
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(54) Title: DEVICE AND METHOD FOR MONITORING BODY FLUID AND ELECTROLYTE DISORDERS



(57) Abstract: A device and a method for measuring body fluid-related metrics using spectrophotometry to facilitate therapeutic interventions aimed at restoring body fluid balance. The specific body fluid-related metrics include the absolute volume fraction of water in the extravascular and intravascular tissue compartments, as well as the shifts of water between these two compartments on the absorbance of water and the sum of the absorbances of non-heme proteins, lipids and water in the difference between the fraction of water in the intravascular fluid volume ("IFV") and extravascular fluid volume ("EFV") compartments are also determined using a differential method that takes advantage of the observation that pulsations caused by expansion of blood vessels in the skin as the heart beats produce changes in the received radiation at a particular wavelength that are proportional to the difference between the effective absorption of light in the blood and the surrounding tissue. This difference, integrated over time, provides a measure of the quantity of the fluid that shifts into and out of the capillaries. A mechanism for mechanically inducing a pulse is built into the device to improve the reliability of measurements of IFV-EFV under weak-pulse conditions.

DEVICE AND METHOD FOR MONITORING BODY FLUID AND ELECTROLYTE DISORDERS

BACKGROUND OF THE INVENTION

The maintenance of body fluid balance is of foremost concern in the care and treatment of critically ill patients, yet physicians have access to few diagnostic tools to assist them in this vital task. Patients with congestive heart failure, for example,
5 frequently suffer from chronic systemic edema, which must be controlled within tight limits to ensure adequate tissue perfusion and prevent dangerous electrolyte disturbances. Dehydration of infants and children suffering from diarrhea can be life-threatening if not recognized and treated promptly.

The most common method for judging the severity of edema or
10 dehydration is based on the interpretation of subjective clinical signs (e.g., swelling of limbs, dry mucous membranes), with additional information provided by measurements of the frequency of urination, heart rate, serum urea nitrogen SUN/creatinine ratios, and blood electrolyte levels. None of these variables alone, however, is a direct and quantitative measure of water retention or loss.

15 The indicator-dilution technique, which provides the most accurate direct measure of water in body tissues, is the present de facto standard for assessment of body fluid distribution. It is, however, an invasive technique that requires blood sampling. Additionally, a number of patents have disclosed designs of electrical impedance monitors for measurement of total body water. The electrical-impedance technique is
20 based on measuring changes in the high-frequency (typically 10 KHz - 1 MHz) electrical impedance of a portion of the body. Mixed results have been obtained with the electrical-impedance technique in clinical studies of body fluid disturbances as reported by various investigators. The rather poor accuracy of the technique seen in many studies point to unresolved deficiencies of these designs when applied in a clinical setting.

25 Therefore, there exists a need for methods and devices for monitoring total body water fractions which do not suffer from problems due to their being invasive, subjective and inaccurate.

SUMMARY OF THE INVENTION

Embodiments of the present invention provide devices and methods that
30 measure body fluid-related metrics using spectrophotometry to facilitate therapeutic

interventions aimed at restoring body fluid balance. The specific body fluid-related metrics include the absolute volume fraction of water in the extravascular and intravascular tissue compartments, as well as the shifts of water between these two compartments. The absolute volume fraction of water is determined using algorithms where received radiation measured at two or more wavelengths are combined to form either a single ratio, a sum of ratios or ratio of ratios of the form $\log[R(\lambda_1)/R(\lambda_2)]$ in which the received radiation in the numerator depends primarily on the absorbance of water and the received radiation in the denominator depends primarily on the absorbance of water and the sum of the absorbances of non-heme proteins and lipids in tissue.

The difference between the fraction of water in the intravascular fluid volume ("IFV") and extravascular fluid volume ("EFV") compartments are also determined using a differential method that takes advantage of the observation that pulsations caused by expansion of blood vessels in the skin, as the heart beats, produce changes in the received radiation at a particular wavelength that are proportional to the difference between the effective absorption of light in the blood and the surrounding tissue. This difference, integrated over time, provides a measure of the quantity of the fluid that shifts into and out of the capillaries. A mechanism for mechanically inducing a pulse is built into the device to improve the reliability of measurements of IFV-EFV under weak-pulse conditions.

For a fuller understanding of the nature and advantages of the embodiments of the present invention, reference should be made to the following detailed description taken in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a graph showing tissue water fraction measured on the ear of a pig during an experiment using reflectance measurements at two wavelengths.

Fig. 2 is a graph showing an example regression for prediction of water from reflectances measured at three wavelengths.

Fig. 3 is a graph showing an example regression of a two-wavelength algorithm for determination of the difference between the intravascular and extravascular water fraction from pulsatile reflectances measured two wavelengths.

Fig. 4 is a diagram of an intermittent-mode version of a fluid monitor.

Fig. 5 is a diagram of a continuous-mode version of a fluid monitor.

Fig. 6 is a block diagram of a handheld apparatus for noninvasive measurement and display of tissue water.

DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Embodiments of the present invention overcome the problems of invasiveness, subjectivity, and inaccuracy from which previous methods for body fluid assessment have suffered. The method of diffuse reflectance near-infrared (“NIR”) spectroscopy is employed to measure the absolute fraction of water in skin. An increase or decrease in the free (non protein-bound) water content of the skin produces unique alterations of its NIR reflectance spectrum in three primary bands of wavelengths (1100 – 1350 nm, 1500 – 1800 nm, and 2000 – 2300 nm) in which none-heme proteins (primarily collagen and elastin), lipids, and water absorb. According to the results of numerical simulations and experimental studies carried out by the inventor, the tissue water fraction f_w , defined spectroscopically as the ratio of the absorbance of water and the sum of the absorbances of none-heme proteins, lipids, and water in the tissue, can be measured accurately in the presence of nonspecific scattering variation, temperature, and other interfering variables.

In embodiments of this invention, the apparatus and its associated measurement algorithm are designed according to the following guidelines:

1. To avoid the shunting of light through the superficial layers of the epidermis, the light source and detector in optical reflectance probe have low numerical apertures, typically less than 0.3.
2. The spacing between the source and detector in the probe is in the range of 1-5 mm to confine the light primarily to the dermis.
3. The reflectances are measured at wavelengths greater than 1150 nm to reduce the influence of hemoglobin absorption.
4. To ensure that the expression that relates the measured reflectances and f_w yields estimates of water fraction that are insensitive to scattering variations, the lengths of the optical paths through the dermis at the wavelengths at which the reflectances are measured are matched as closely as possible. This matching is achieved by judicious selection of wavelength sets that have similar water absorption characteristics. Such wavelength sets may be selected from any one of the three primary wavelength bands (1100-1350 nm, 1500-1800 nm, and 2000-2300 nm) discussed above. Wavelength pairs or sets are chosen from within one of these three primary bands, and not from across the bands.

More particularly the wavelength pair of 1180 and 1300 nm are one such wavelength set where the lengths of the optical paths through the dermis at these wavelengths are matched as closely as possible.

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 5. To ensure that the expression that relates the measured reflectances and f_w yields estimates of water fraction that are insensitive to temperature variations, the wavelengths at which the reflectances are measured are chosen to be either close to temperature isosbestic wavelengths in the water absorption spectrum or the reflectances are combined in a way that cancels the temperature dependencies of the individual reflectances. Typically, absorption peaks of various biological tissue components may shift with variations in temperature. Here, wavelengths are selected at points in the absorption spectrum where no significant temperature shift occurs. Alternately, by knowing the value of this temperature shift, wavelength sets may be chosen such that any temperature shift is mathematically canceled out when optical measurements are combined to compute the value of a tissue water metric. Such wavelength sets may be selected from any one of the three primary wavelength bands (1100-1350 nm, 1500-1800 nm, and 2000-2300 nm) discussed above. Wavelength pairs or sets are chosen from within one of these three primary bands, and not from across the bands. More particularly the wavelength pair of 1180 and 1300 nm are one such pair of temperature isosbestic wavelengths in the water absorption spectrum.
6. The reflectances measured at two or more wavelengths are combined to form either a single ratio, a sum of ratios or ratio of ratios of the form $\log[R(\lambda_1)/R(\lambda_2)]$ in which the reflectance in the numerator depends primarily on the absorbance of water and the reflectance in the denominator is nearly independent of the fraction of solids (lipids and proteins) in the tissue.

Thus, in one embodiment of the present invention the water fraction, f_w is estimated according to the following equation, based on the measurement of reflectances, $R(\lambda)$ at two wavelengths and the empirically chosen calibration constants c_0 and c_1 :

$$f_w = c_1 \log[R(\lambda_1)/R(\lambda_2)] + c_0 \quad (1)$$

Numerical simulations and *in vitro* experiments indicate that f_w can be estimated with an accuracy of approximately $\pm 2\%$ over a range of water contents

between 50 and 80% using Equation (1), with reflectances $R(\lambda)$ measured at two

5 wavelengths and the calibration constants c_0 and c_1 chosen empirically. Examples of suitable wavelength pairs are $\lambda_1 = 1300$ nm, $\lambda_2 = 1168$ nm, and $\lambda_1 = 1230$ nm, $\lambda_2 = 1168$ nm.

The ability to measure changes in the water content in the ear of a pig using two-wavelength NIR reflectometry was demonstrated experimentally in a study in which a massive hemorrhage was induced in a pig and the lost blood was replaced with lactated Ringer's solution over a period of several hours. Ringer's solution is a well-known solution of salts in boiled and purified water. Fig. 1 shows the water fraction in the skin of the ear of a pig, measured using Equation (1) with $\lambda_1 = 1300$ nm and

$\lambda_2 = 1168$ nm. Referring to Fig. 1, it should be noted that experimental observations of

15 concern to this embodiment commence when the lactated Ringer's solution was infused 120 minutes after the start of the experiment. It should also be noted that the drift in the water fraction from approximately 77.5% to 75% before the infusion is not related to this infusion experiment, but is related to the base-line hemorrhage portion of the experiment. The results show that the method of the present embodiment correctly reflects the effect of the infusion by showing an increase in tissue water fraction from approximately 75% to 79% while the infusion is continuing. These data suggest that the disclosed

20 embodiment has a clear value as a monitor of rehydration therapy in a critical care setting.

In another embodiment of the present invention the water fraction, f_w is estimated according to Equation (2) below, based on the measurement of reflectances, $R(\lambda)$ at three wavelengths and the empirically chosen calibration constants c_0 , c_1 and c_2 :

$$f_w = c_2 \log[R(\lambda_1)/R(\lambda_2)] + c_1 \log[R(\lambda_2)/R(\lambda_3)] + c_0 \quad (2)$$

Better absolute accuracy can be attained using Equation (2) which incorporates reflectance measurements at an additional wavelength. The results of *in*

30 *vitro* experiments on excised skin indicate that the wavelength triple ($\lambda_1 = 1190$ nm,

$\lambda_2 = 1170$ nm, $\lambda_3 = 1274$ nm) yields accurate estimates of skin water content based on Equation (2).

In yet another embodiment of the present invention the water fraction, f_w , is estimated according to Equation (3) below, based on the measurement of reflectances, $R(\lambda)$ at three wavelengths and the empirically chosen calibration constants c_0 and c_1 :

$$f_w = c_1 \frac{\log[R(\lambda_1)/R(\lambda_2)]}{\log[R(\lambda_3)/R(\lambda_2)]} + c_0 \quad (3)$$

Better absolute accuracy can be attained using Equations (3), as is attained using Equations (2), which also incorporates reflectance measurements at an additional wavelength. Numerical simulations as shown in Fig. 2 indicate that an accuracy better than $\pm 0.5\%$ can be achieved using Equation (3), with reflectances measured at three closely spaced wavelengths: $\lambda_1 = 1710$ nm, $\lambda_2 = 1730$ nm, and $\lambda_3 = 1740$ nm.

Individuals skilled in the art of near-infrared spectroscopy would recognize that, provided that the aforementioned guidelines are followed, additional terms can be added to Equations (1) – (3) to incorporate reflectance measurements made at more than three wavelengths and thus improve accuracy further.

An additional embodiment of the disclosed invention provides the ability to quantify shifts of fluid into and out of the bloodstream through a novel application of pulse spectrophotometry. This additional embodiment takes advantage of the observation that pulsations caused by expansion of blood vessels in the skin as the heart beats produce changes in the reflectance at a particular wavelength that are proportional to the difference between the effective absorption of light in the blood and the surrounding interstitial tissues. Numerical simulation indicate that, if wavelengths are chosen at which water absorption is sufficiently strong, the difference between the fractions of water in the blood, f_w^{blood} and surrounding tissue, f_w^{tissue} is proportional to the ratio of the dc-normalized reflectance changes ($\Delta R/R$) measured at two wavelengths, according to Equation (4) below:

$$f_w^{blood} - f_w^{tissue} = c_1 \left(\frac{\Delta R}{R} \right)_{\lambda_1} / \left(\frac{\Delta R}{R} \right)_{\lambda_2} + c_0, \quad (4)$$

where c_0 and c_1 are empirically determined calibration constants. This difference, integrated over time, provides a measure of the quantity of fluid that shifts into and out of the capillaries. Fig. 3 shows the prediction accuracy expected for the wavelength pair $\lambda_1 = 1320$ nm and $\lambda_2 = 1160$ nm.

5 Figs. 4 and 5 show diagrams of two different versions of an instrument for measuring the amount of water in body tissues. The simplest version of the instrument 400 shown in Fig. 4 is designed for handheld operation and functions as a spot checker. Pressing the spring-loaded probe head 410 against the skin 412 automatically activates the display of percent tissue water 414. The use of the spring-loaded probe head provides
10 the advantages of automatically activating the display device when needed and turning the device off when not in use, thereby extending device and battery life. Moreover, this unique use of a spring-loaded probe also provides the force needed to improve the reliability of measurements. Percent tissue water represents the absolute percentage of water in the skin beneath the probe (typically in the range 0.6 - 0.9). The force exerted by
15 a spring or hydraulic mechanism (not shown) inside the probe head 410 pushes out most of the blood in the skin below the probe to reduce the error caused by averaging the intravascular and extravascular fluid fractions. A pressure transducer (not shown) within the probe head 410 measures the compressibility of the skin for deriving an index of the fraction of free (mobile) water.

20 The more advanced version of the fluid monitor 500 shown in Fig. 5 is designed for use as a critical-care monitor. In addition to providing a continuous display of the absolute volume fraction of water 510 at the site of measurement 512, it also provides a trend display of the time-averaged difference between the intravascular fluid volume ("IFV") and extravascular fluid volume ("EFV") fractions 514, updated every
25 few seconds. This latter feature would give the physician immediate feedback on the net movement of water into or out of the blood and permit rapid evaluation of the effectiveness of diuretic or rehydration therapy. To measure the IFV-EFV difference, the monitor records blood pulses in a manner similar to a pulse oximeter. Therefore, placement of the probe on the finger or other well-perfused area of the body would be
30 required. In cases in which perfusion is too poor to obtain reliable pulse signals, the IFV-EFV display would be blanked, but the extravascular water fraction would continue to be displayed. A mechanism for mechanically inducing the pulse is built into the probe to improve the reliability of the measurement of IFV-EFV under weak-pulse conditions.

Fig 6. is a block diagram of a handheld device 600 for measuring tissue water fraction within the IFV and the EFV, as well as shifts in water between these two compartments with a pulse inducing mechanism. Using this device 600, patient places his/her finger 610 in the probe housing. Rotary solenoid 612 acting through linkage 614 and collar 616 induces a mechanical pulse to improve the reliability of the measurement of IFV-EFV. LEDs 618 emit light at selected wavelengths and photodiode 620 measure the transmitted light. Alternately, the photodiode 620 can be placed adjacent to the LEDs to allow for the measurement of the reflectance of the emitted light. Preamplifier 622 magnifies the detected signal for processing by the microprocessor 624. Microprocessor 624, using algorithms described above, determines the tissue water fraction within the IFV and the EFV, as well as shifts in water between these two compartments, and prepares this information for display on display device 626. Microprocessor 624 is also programmed to handle the appropriate timing between the rotary solenoid's operation and the signal acquisition and processing. The design of the device and the microprocessor integrates the method and apparatus for reducing the effect of noise on measuring physiological parameters as described in U.S. Pat. No. 5,853,364, assigned to Nellcor Puritan Bennett, Inc., now a division of the assignee of the present invention, the entire disclosure of which is hereby incorporated herein by reference. Additionally, the design of the device and the microprocessor also integrates the electronic processor as described in U.S. Pat. No. 5,348,004, assigned to Nellcor Incorporated, now a division of the assignee of the present invention, the entire disclosure of which is hereby incorporated herein by reference.

As will be understood by those skilled in the art, other equivalent or alternative methods for the measurement of tissue water fraction within the IFV and the EFV, as well as shifts in water between these two compartments according to the embodiments of the present invention can be envisioned without departing from the essential characteristics thereof. For example, the device can be operated in either a handheld or a tabletop mode, and it can be operated intermittently or continuously. Moreover, individuals skilled in the art of near-infrared spectroscopy would recognize that additional terms can be added to the algorithms used herein to incorporate reflectance measurements made at more than three wavelengths and thus improve accuracy further. Also, light sources or light emission optics other than LED's including and not limited to incandescent light and narrowband light sources appropriately tuned to the desired wavelengths and associated light detection optics may be placed within the probe housing

which is placed near the tissue location or may be positioned within a remote unit; and which deliver light to and receive light from the probe location via optical fibers.

Additionally, although the specification describes embodiments functioning in a back-scattering or a reflection mode to make optical measurements of reflectances, other

- 5 embodiments can be working in a forward-scattering or a transmission mode to make these measurements. These equivalents and alternatives along with obvious changes and modifications are intended to be included within the scope of the present invention. Accordingly, the foregoing disclosure is intended to be illustrative, but not limiting, of the scope of the invention which is set forth in the following claims.

WHAT IS CLAIMED IS:

- 1 1. A device for measuring body fluid-related metrics using optical
2 spectrophotometry comprising:
3 a probe housing configured to be placed proximal to a tissue location
4 which is being monitored;
5 light emission optics connected to said housing and configured to direct
6 radiation at said tissue location;
7 light detection optics connected to said housing and configured to receive
8 radiation from said tissue location; and
9 a processing device configured to process radiation from said light
10 emission optics and said light detection optics to compute said body fluid-related metrics.
- 1 2. The device of claim 1, further comprising a display device
2 connected to said probe housing and configured to display said body fluid-related metrics.
- 1 3. The device of claim 1, wherein said body fluid-related metrics
2 comprise absolute volume fractions of water in the extravascular and intravascular bodily
3 tissue compartments and differences between the intravascular fluid volume and
4 extravascular fluid volume fractions.
- 1 4. The device of claim 1, wherein said body-fluid metrics are
2 monitored intermittently.
- 1 5. The device of claim 1, wherein said body-fluid metrics are
2 monitored continuously.
- 1 6. The probe housing of the device of claim 1 further comprising a
2 spring-loaded probe configured to automatically activate a display device connected to
3 said probe housing when said spring-loaded probe is pressed against a tissue location
4 which is being monitored.
- 1 7. The probe housing of the device of claim 1 further comprising a
2 pressure transducer to measure the compressibility of tissue for deriving an index of a
3 fraction of free water within said tissue.

1 8. The probe housing of the device of claim 1 further comprising a
2 mechanism for mechanically inducing a pulse within said tissue location to permit
3 measurements of differences between an intravascular fluid volume and an extravascular
4 fluid volume fractions under weak-pulse conditions.

1 9. The device of claim 1, wherein said light emission optics are tuned
2 to emit radiation at a plurality of narrow spectral wavelengths chosen so that the
3 biological compound of interest will absorb light at said plurality of narrow spectral
4 wavelengths and so that absorption by interfering species will be at a minimum, where a
5 minimum absorption is an absorption by an interfering species which is less than 10% of
6 the absorption of the biological compound of interest.

1 10. The device of claim 1, wherein said light emission optics are tuned
2 to emit radiation at a plurality of narrow spectral wavelengths chosen to be preferentially
3 absorbed by tissue water, non-heme proteins and lipids, where preferentially absorbed
4 wavelengths are wavelengths whose absorption is substantially independent of the
5 individual concentrations of non-heme proteins and lipids, and is substantially dependent
6 on the sum of the individual concentrations of non-heme proteins and lipids.

1 11. The device of claim 1, wherein said light emission optics are tuned
2 to emit radiation at a plurality of narrow spectral wavelengths chosen to ensure that
3 measured received radiation are substantially insensitive to scattering variations and such
4 that the optical path lengths through the dermis at said wavelengths are substantially
5 equal.

1 12. The device of claim 1, wherein said light emission optics are tuned
2 to emit radiation at a plurality of narrow spectral wavelengths chosen to ensure that
3 measured received radiation from said tissue location are insensitive to temperature
4 variations, where said wavelengths are temperature isosbestic in the water absorption
5 spectrum or said received radiation are combined in a way that substantially cancel
6 temperature dependencies of said individual received radiation when computing tissue
7 water fractions.

1 13. The device of claim 1, wherein said light emission optics are tuned
2 to emit radiation at a plurality of narrow spectral wavelengths chosen from one of three

3 primary bands of wavelengths of approximately 1100-1350 nm, approximately 1500-
4 1800 nm and approximately 2000-2300 nm.

1 14. The device of claim 1, wherein said light emission optics and said
2 light detection optics are mounted within said probe housing and positioned with
3 appropriate alignment to enable detection in a transmissive mode.

1 15. The device of claim 1, wherein said light emission optics and said
2 light detection optics are mounted within said probe housing and positioned with
3 appropriate alignment to enable detection in a reflective mode.

1 16. The device of claim 1, wherein said light emission optics and said
2 light detection optics are placed within a remote unit and which deliver light to and
3 receive light from said probe housing via optical fibers.

1 17. The device of claim 1, wherein said light emission optics comprise
2 at least one of a (a) incandescent light source, (b) white light source, and (c) light emitting
3 diode ("LED").

1 18. The device of claim 1, wherein said processing device receives and
2 compares at least two sets of optical measurements, where the at least first set of optical
3 measurements corresponds to the detection of light whose absorption is primarily due to
4 water, lipids and non-heme proteins, and where the at least second set of optical
5 measurements corresponds to the detection of light whose absorption is primary due to
6 water, and where a comparison of said at least two optical measurements provides a
7 measure of the absolute water fraction within said tissue location.

1 19. The device of claim 1, wherein said processing device receives and
2 compares at least two sets of optical measurements, where said at least two sets of optical
3 measurements are based on received radiation from at least two wavelengths and which
4 are combined to form either a single ratio of said received radiation, a sum of ratios of
5 said received radiation or ratios of ratios of said received radiation.

1 20. The device of claim 1, wherein said processing device receives and
2 compares at least two sets of optical measurements from at least two different
3 wavelengths, where absorption of light at said at least two different wavelengths is

4 primarily due to water which is in the vascular blood and in the extravascular tissue, and
5 where a ratio of said at least two measurements provides a measure of a difference
6 between the fractions of water in the blood and surrounding tissue location.

1 21. The device of claim 1, wherein said body fluid-related metrics
2 comprise tissue water fraction, and where said tissue water fraction, f_w is determined
3 such that $f_w = c_1 \log[R(\lambda_1)/R(\lambda_2)] + c_0$, and where:

4 calibration constants c_0 and c_1 are chosen empirically;

5 $R(\lambda_1)$ is a received radiation at a first wavelength; and

6 $R(\lambda_2)$ is a received radiation at a second wavelength.

1 22. The tissue water fraction as determined in claim 21, wherein said
2 first and second wavelengths are approximately 1300 nm and approximately 1168 nm
3 respectively.

1 23. The tissue water fraction as determined in claim 21, wherein said
2 first and second wavelengths are approximately 1230 nm and approximately 1168 nm
3 respectively.

1 24. The device of claim 1, wherein said body fluid-related metrics
2 comprise tissue water fraction, and where said tissue water fraction, f_w is determined
3 such that $f_w = c_2 \log[R(\lambda_1)/R(\lambda_2)] + c_1 \log[R(\lambda_2)/R(\lambda_3)] + c_0$, and where:

4 calibration constants c_0 , c_1 and c_2 are chosen empirically;

5 $R(\lambda_1)$ is a received radiation at a first wavelength;

6 $R(\lambda_2)$ is a received radiation at a second wavelength; and

7 $R(\lambda_3)$ is a received radiation at a third wavelength.

1 25. The tissue water fraction as determined in claim 24, wherein said
2 first, second and third wavelengths are approximately 1190 nm, approximately 1170 nm
3 and approximately 1274 nm respectively.

1 26. The device of claim 1, wherein said body fluid-related metrics
 2 comprises tissue water fraction, and where said tissue water fraction, f_w is determined
 3 such that $f_w = c_1 \frac{\log[R(\lambda_1)/R(\lambda_2)]}{\log[R(\lambda_3)/R(\lambda_2)]} + c_0$, and where:
 4 calibration constants c_0 and c_1 are chosen empirically;
 5 $R(\lambda_1)$ is a received radiation at a first wavelength;
 6 $R(\lambda_2)$ is a received radiation at a second wavelength; and
 7 $R(\lambda_3)$ is a received radiation at a third wavelength.

1 27. The tissue water fraction as determined in claim 26, wherein said
 2 first, second and third wavelengths are approximately 1710 nm, approximately 1730 nm
 3 and approximately 1740 nm respectively.

1 28. The device of claim 1, wherein said body fluid-related metrics
 2 comprises a quantified measure of a difference between the water fraction in the blood
 3 and the water fraction in the extravascular tissue, where said difference is determined
 4 such that $f_w^{blood} - f_w^{tissue} = c_1 \left(\frac{\Delta R}{R} \right)_{\lambda_1} / \left(\frac{\Delta R}{R} \right)_{\lambda_2} + c_0$, and where:

5 f_w^{blood} is the water fraction in the blood;

6 f_w^{tissue} is the water fraction in the extravascular tissue;

7 calibration constants c_0 and c_1 are chosen empirically; and

8 $\left(\frac{\Delta R}{R} \right)_{\lambda_1} / \left(\frac{\Delta R}{R} \right)_{\lambda_2}$ is the ratio of dc-normalized received radiation changes

9 at a first wavelength, λ_1 and a second wavelength, λ_2 respectively, where said received
 10 radiation changes are caused by a pulsation caused by expansion of blood vessels in
 11 tissue.

1 29. The body fluid-metric as determined in accordance to claim 28,
 2 further comprising an integral of said difference between the water fraction in the blood
 3 and the water fraction in the extravascular tissue to provide a measure of the water that
 4 shifts into and out of the capillaries.

1 30. The bodily fluid-metrics as determined in claim 29, wherein said
2 first and second wavelengths are approximately 1320 nm and approximately 1160 nm
3 respectively.

1 31. The device of claim 1, further comprising a display device
2 configured to display body fluid-related metrics comprising percent body water and a
3 water balance, where a water balance is the integrated difference between a water fraction
4 in the blood and a water fraction in the extravascular tissue.

1 32. A device for measuring the absolute volume fraction of water
2 within human tissue using optical spectrophotometry comprising:
3 a probe housing configured to be placed proximal to a tissue location
4 which is being monitored;
5 light emission optics configured to direct radiation at said tissue location,
6 wherein said light emission optics comprises one of a (a) incandescent light sources, (b)
7 white light sources and (c) light emitting diodes ("LEDs") which are tuned to emit
8 radiation at a plurality of narrow spectral wavelengths chosen to be preferentially
9 absorbed by tissue water, non-heme proteins and lipids;
10 a photodiode configured to receive radiation from said tissue location;
11 a processing device configured to process radiation from said light
12 emission optics and said light detection optics to compute said absolute volume fraction
13 of water, wherein said processing device receives and compares at least two sets of
14 optical measurements, where the at least first set of optical measurements corresponds to
15 the detection of light whose absorption is primarily due to water, lipids and non-heme
16 proteins, and where the at least second set of optical measurements corresponds to the
17 detection of light whose absorption is primary due to water, and where a comparison of
18 said at least two optical measurements provides a measure of the absolute water fraction
19 within said tissue location; and
20 a display device connected to said probe housing and configured to display
21 said absolute volume fraction of water.

1 33. The probe housing of the device of claim 32 further comprising a
2 spring-loaded probe configured to automatically activate said display device when said
3 spring-loaded probe is pressed against a tissue location which is being monitored.

1 34. The device of claim 32 where said absolute volume fraction of
2 water within human tissue is determined using said processing device which receives and
3 compares at least two sets of optical measurements, where said at least two sets of optical
4 measurements are based on received radiation from at least two wavelengths and which
5 are combined to form either a single ratio of said received radiation, a sum of ratios of
6 said received radiation or ratios of ratios of said received radiation.

1 35. The tissue water fraction as determined in claim 34, wherein said
2 light emission optics are tuned to emit radiation at a plurality of narrow spectral
3 wavelengths chosen from one of three primary bands of wavelengths of approximately
4 1100-1350 nm, approximately 1500-1800 nm and approximately 2000-2300 nm.

1 36. A device for measuring a difference between an intravascular fluid
2 volume and an extravascular fluid volume using optical spectrophotometry comprising:
3 a probe housing configured to be placed proximal to a tissue location
4 which is being monitored;
5 light emission optics configured to direct radiation at said tissue location,
6 wherein said light emission optics comprises one of a (a) incandescent light sources, (b)
7 white light sources or (c) light emitting diodes ("LEDs") which are tuned to emit
8 radiation at a plurality of narrow spectral wavelengths chosen so that the biological
9 compound of interest will absorb light at said plurality of narrow spectral wavelengths
10 and so that absorption by interfering species will be at a minimum;
11 a photodiode configured to receive radiation from said tissue location;
12 a processing device configured to process radiation from said light
13 emission optics and said light detection optics to compute said difference between an
14 intravascular fluid volume and an extravascular fluid volume, wherein said processing
15 device receives and compares at least two sets of optical measurements from at least two
16 different wavelengths, where absorption of light at said at least two different wavelengths
17 is primarily due to water which is in the vascular blood and in the extravascular tissue,
18 and where a comparison of said at least two measurements provides a measure of a
19 difference between the fractions of water in the blood and surrounding tissue location;
20 and
21 a display device connected to said probe housing and configured to display
22 said difference between an intravascular fluid volume and an extravascular fluid volume.

1 38. The device of claim 36, where said difference between an
2 intravascular fluid volume and an extravascular fluid volume is determined such that

3 $f_w^{blood} - f_w^{tissue} = c_1 \left(\frac{\Delta R}{R} \right)_{\lambda_1} / \left(\frac{\Delta R}{R} \right)_{\lambda_2} + c_0$, and where:

4 f_w^{blood} is the water fraction in the blood;

5 f_w^{tissue} is the water fraction in the extravascular tissue;

6 $\left(\frac{\Delta R}{R} \right)_{\lambda_1} / \left(\frac{\Delta R}{R} \right)_{\lambda_2}$ is the ratio of dc-normalized received radiation changes

7 at a first wavelength, λ_1 and a second wavelength, λ_2 respectively, where said received
8 radiation changes are caused by a pulsation caused by expansion of blood vessels in tissue
9 in response to a heart beat and

10 calibration constants c_0 and c_1 are chosen empirically.

1 39. The body fluid-metric as determined in accordance to claim 38
2 further comprising an integral of said difference between an intravascular fluid volume
3 and an extravascular fluid volume to provide a measure of the water that shifts into and
4 out of the capillaries.

1 40. The bodily fluid-metrics as determined in claim 38, wherein said
2 first and second wavelengths are 1320 nm and 1160 nm respectively.

1 41. A method for measuring a volume fraction of water in a human
2 tissue location using optical spectrophotometry comprising:
3 placing a probe housing proximal to said tissue location;
4 emitting radiation at at least two wavelengths using light emission optics
5 configured to direct radiation at said tissue location;
6 detecting radiation using light detection optics configured to receive
7 radiation from said tissue location;
8 processing said radiation from said light emission optics and said light
9 detection optics;
10 computing said volume fraction of water, where said volume fraction
11 determined by:

measuring at least two sets of optical measurements based on received radiation of said at least two wavelengths;
 combining said at least two sets of optical measurements to form either a single ratio of said received radiation, a sum of ratios of said received radiation or ratios of ratios of said received radiation to form combinations of received radiation;
 determining said volume fraction of water and from said combinations;
 and
 displaying said volume fraction of water on a display device connected to said probe housing.

42. A method for measuring a difference between an intravascular fluid volume and an extravascular fluid volume in a human tissue location using optical spectrophotometry comprising:
 placing a probe housing proximal to said tissue location;
 emitting radiation using light emission optics configured to direct radiation at said tissue location;
 detecting radiation using light detection optics configured to receive radiation from said tissue location;
 processing said radiation from said light emission optics and said light detection optics;

computing said difference between an intravascular fluid volume and an extravascular fluid volume, and where said difference between an intravascular fluid volume and an extravascular fluid volume is determined such that

$$f_w^{blood} - f_w^{tissue} = c_1 \left(\frac{\Delta R}{R} \right)_{\lambda_1} / \left(\frac{\Delta R}{R} \right)_{\lambda_2} + c_0, \text{ and where:}$$

f_w^{blood} is the water fraction in the blood;

f_w^{tissue} is the water fraction in the extravascular tissue;

$\left(\frac{\Delta R}{R} \right)_{\lambda_1} / \left(\frac{\Delta R}{R} \right)_{\lambda_2}$ is the ratio of dc-normalized received radiation changes

at a first wavelength, λ_1 and a second wavelength, λ_2 respectively, where said received radiation changes are caused by a pulsation caused by expansion of blood vessels in tissue in response to a heart beat;

21 calibration constants c_0 and c_1 are chosen empirically; and
22 displaying said difference between an intravascular fluid volume and an
23 extravascular fluid volume on a display device.

1 / 4

Pig study: Hemorrhagic shock and fluid resuscitation

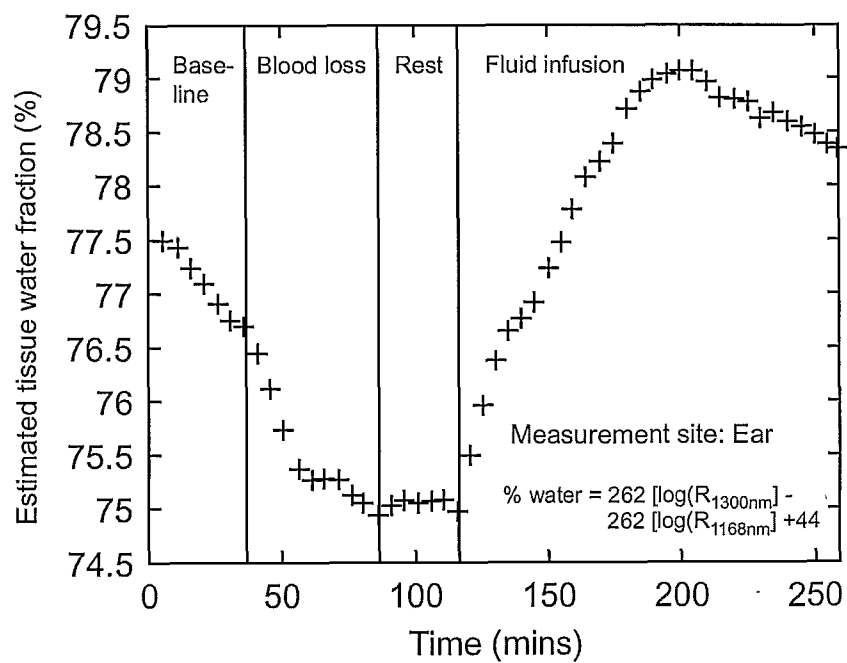


FIG. 1

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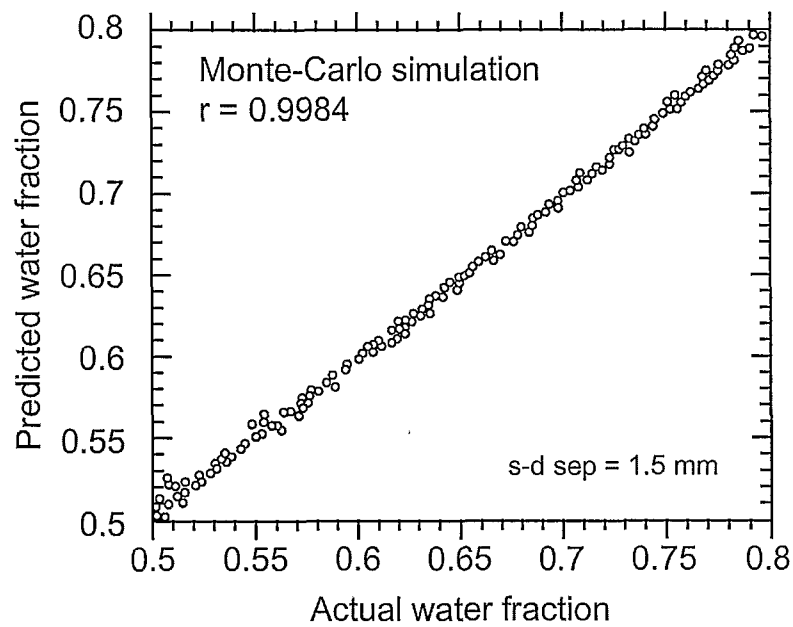


FIG. 2

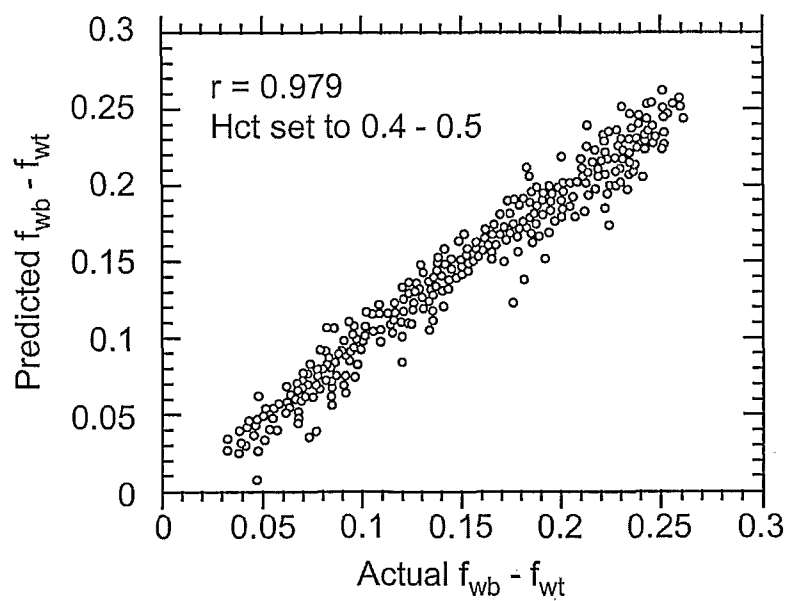


FIG. 3

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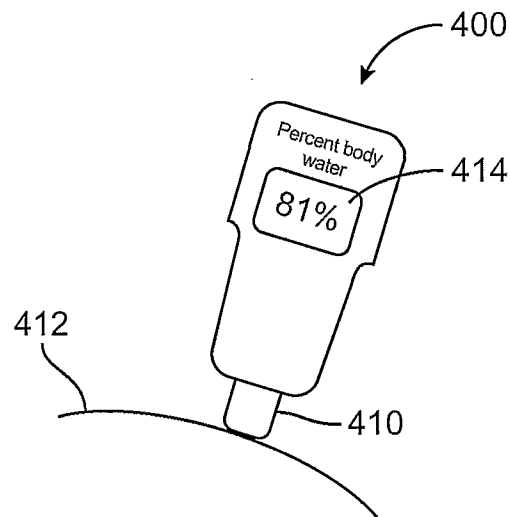


FIG. 4

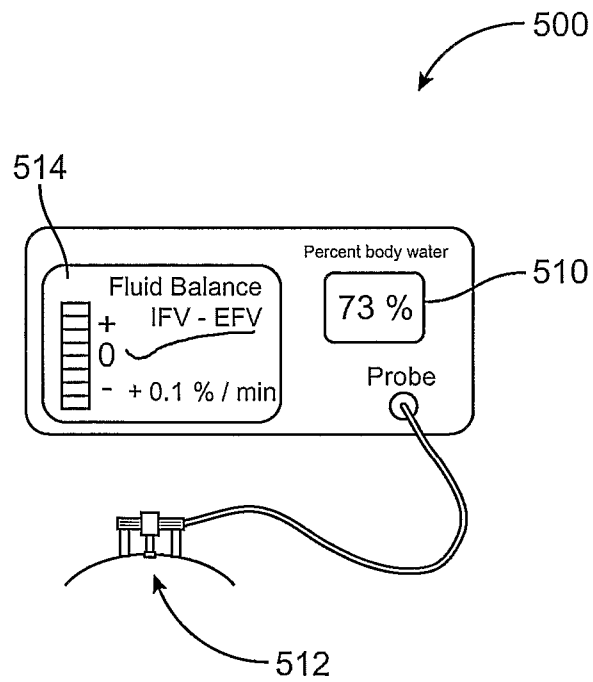


FIG. 5

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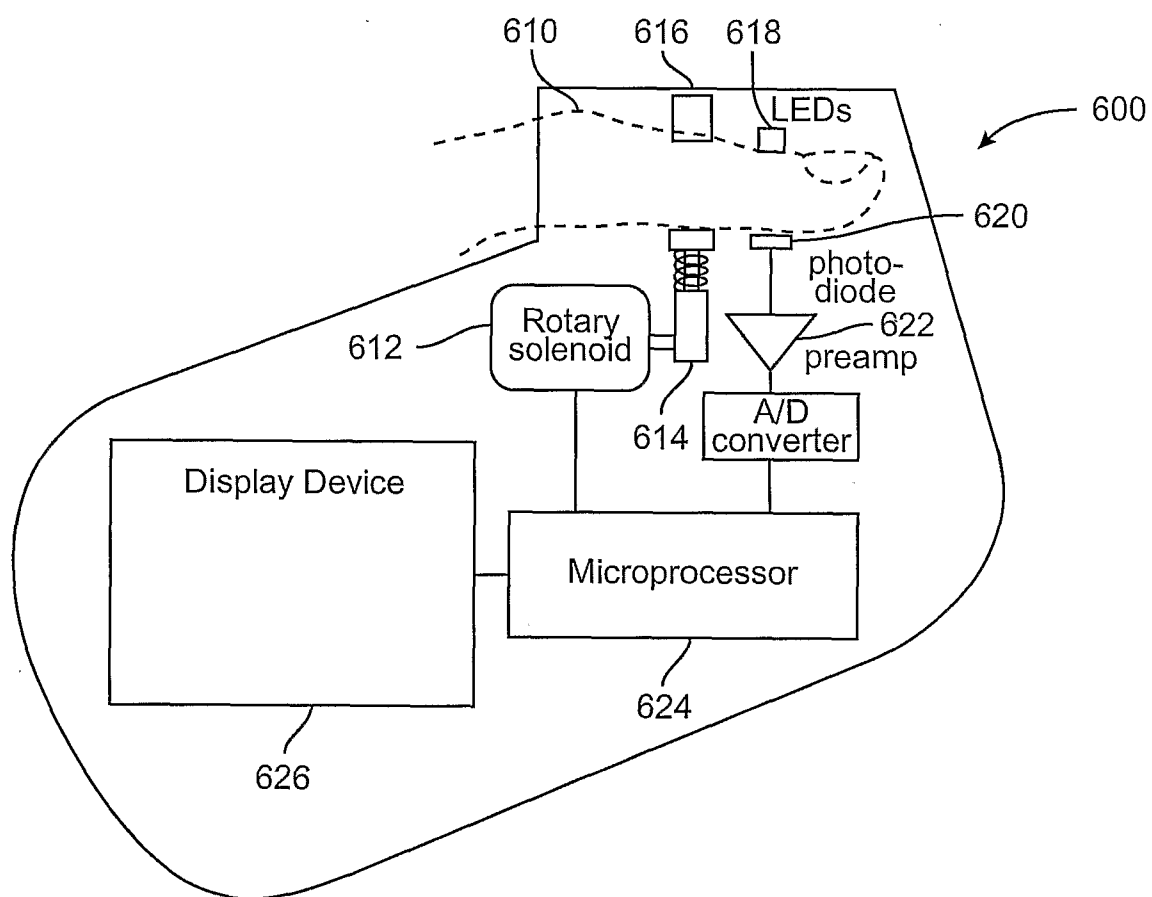


FIG. 6

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 02/07759

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B5/00 A61B5/103

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 348 003 A (CARO RICHARD G) 20 September 1994 (1994-09-20)	1, 4, 5, 14, 16, 17
Y	abstract; figures 1, 2 column 4, line 52 -column 5, line 50 ---	2, 9-11, 13, 18-20, 32, 34, 35
Y	WO 01 16577 A (CADELL THEODORE E ; PAWLUCZYK ROMUALD (CA); CME TELEMETRIX INC (CA)) 8 March 2001 (2001-03-08)	2, 9-11, 13, 18-20, 32, 34, 35
Y	page 3, column 22 -page 6, column 25; figures 1-5 ----- -/--	36



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

G document member of the same patent family

Date of the actual completion of the international search

24 July 2002

Date of mailing of the international search report

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/07759

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>US 6 064 898 A (ALDRICH THOMAS K) 16 May 2000 (2000-05-16) column 4, line 43 -column 5, line 18; figures 1,2</p> <p>-----</p>	36

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 02/07759

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 41-42
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Diagnostic method practised on the human or animal body
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/07759

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5348003	A	20-09-1994	NONE	
WO 0116577	A	08-03-2001	WO EP	0116577 A1 1214577 A1
				08-03-2001 19-06-2002
US 6064898	A	16-05-2000	AU WO	6257599 A 0016688 A1
				10-04-2000 30-03-2000

专利名称(译)	用于监测体液和电解质紊乱的装置和方法		
公开(公告)号	EP1367938A1	公开(公告)日	2003-12-10
申请号	EP2002717631	申请日	2002-03-13
[标]申请(专利权)人(译)	内尔科尔普里坦贝内特公司		
申请(专利权)人(译)	NELLCOR PURITAN BENNETT INCORPORATED		
当前申请(专利权)人(译)	NELLCOR PURITAN BENNETT LLC		
[标]发明人	SCHMITT JOSEPH M		
发明人	SCHMITT, JOSEPH, M.		
IPC分类号	A61B5/00 A61B5/024 A61B5/145 A61B5/1455 G01N21/35 A61B5/103		
CPC分类号	G01N21/359 A61B5/0053 A61B5/0059 A61B5/02438 A61B5/14546 A61B5/4878		
优先权	09/810918 2001-03-16 US		
其他公开文献	EP1367938B1		
外部链接	Espacenet		

摘要(译)

一种用于使用分光光度法测量体液相关度量的装置和方法，以促进旨在恢复体液平衡的治疗干预。特定的体液相关指标包括血管外和血管内组织隔室中水的绝对体积分数，以及这两个隔室之间的水对水的吸光度和非血红蛋白吸光度之和的变化，脂质和水在血管内液体体积（“IFV”）和血管外液体体积（“EFV”）室中的水分之间的差异也使用差分方法确定，该方法利用观察到由膨胀引起的脉动。当心跳时皮肤中的血管产生特定波长的接收辐射的变化，该变化与血液中的光和周围组织的有效吸收之间的差异成比例。随时间积分的这种差异提供了进出毛细管的流体量的量度。设备内置有机械诱导脉冲的机制，以提高弱脉冲条件下IFV-EFV测量的可靠性。